



# Sharpening of Turán type inequalities for polar derivative of a polynomial

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## Abstract

Let  $p(z)$  be a polynomial of degree  $n$ . The polar derivative of  $p(z)$  with respect to a complex number  $\alpha$  is defined by

$$D_\alpha p(z) = np(z) + (\alpha - z)p'(z).$$

If  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j$ ,  $0 \leq s \leq n$ , has all its zeros in  $|z| \leq k$ ,  $k \geq 1$ , then for  $|\alpha| \geq k$ , Kumar and Dhankhar [Bull. Math. Soc. Sci. Math., 63(4), 359-367 (2020)] proved

$$\max_{|z|=1} |D_\alpha p(z)| \geq \frac{n(|\alpha| - k)}{1 + k^{n-s}} \left( 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k - 1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right) \max_{|z|=1} |p(z)|.$$

In this paper, we first improve the above inequality. Besides, we are able to prove an improvement of a result due to Govil and Mctume [Acta. Math. Hungar., 104, 115-126 (2004)] and also prove an inequality for a subclass of polynomials having no zero in  $|z| < k$ ,  $k \leq 1$ .

**Keywords** Polynomial · Polar derivative · Inequality

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## 1 Introduction

Let  $p(z) = \sum_{j=0}^n c_j z^j$  be a polynomial of degree  $n$  over the set of complex numbers. We will use  $q(z)$  to represent the polynomial  $z^n \overline{p\left(\frac{1}{\bar{z}}\right)}$ .

According to the famous Bernstein's inequality [6],

$$\max_{|z|=1} |p'(z)| \leq n \max_{|z|=1} |p(z)|. \quad (1)$$

Equality in (1) holds for  $p(z) = \alpha z^n$ ,  $\alpha \neq 0$ .

If we restrict the zeros of  $p(z)$ , inequality (1) can be refined. In this direction, Erdős conjectured and later Lax [19, p. 1] proved that if  $p(z)$  is a polynomial of degree  $n$  having no zero in  $|z| < 1$ , then

$$\max_{|z|=1} |p'(z)| \leq \frac{n}{2} \max_{|z|=1} |p(z)|. \quad (2)$$

Inequality (2) is best possible for  $p(z) = \alpha + \beta z^n$ , where  $|\alpha| = |\beta|$ .

It was R. P. Boas who asked that if  $p(z)$  is a polynomial of degree  $n$  not vanishing in  $|z| < k, k > 0$ , then how large

$$\left\{ \frac{\max_{|z|=1} |p'(z)|}{\max_{|z|=1} |p(z)|} \right\} \text{ can be ?}$$

A partial answer to this problem was given by Malik [20, Theorem, p. 58], who proved that if  $p(z)$  is a polynomial of degree  $n$  having no zeros in  $|z| < k, k \geq 1$ , then

$$\max_{|z|=1} |p'(z)| \leq \frac{n}{1+k} \max_{|z|=1} |p(z)|. \tag{3}$$

In the literature, there exist generalizations and improvements of inequality (3), for brief understanding one can refer to: Chan and Malik [8], Qazi [21], Bidkham and Dewan [7], Aziz and Zargar [4], Chanam and Dewan [9], Aziz and Shah [3] etc.

On the other hand, for the class of polynomials  $p(z)$  such that  $p(z) \neq 0$  for  $|z| < k, k \leq 1$ , the precise estimate for maximum of  $|p'(z)|$  on  $|z| = 1$  does not seem to be easily obtainable. For quit some time, it was believed that the inequality analogous to (3) for  $p(z) \neq 0$  in  $|z| < k, k \leq 1$ , should be

$$\max_{|z|=1} |p'(z)| \leq \frac{n}{1+k^n} \max_{|z|=1} |p(z)|, \tag{4}$$

till E. B. Saff gave the example  $p(z) = (z - \frac{1}{2})(z + \frac{1}{3})$  to counter this belief.

With extra assumption inequality (4) could be satisfied. In this direction, Govil [11] proved that if  $p(z)$  is a polynomial of degree  $n$  having no zero in  $|z| < k, k \leq 1$ , with additional hypothesis that  $|p'(z)|$  and  $|q'(z)|$  attain their maxima at the same point on  $|z| = 1$ , then

$$\max_{|z|=1} |p'(z)| \leq \frac{n}{1+k^n} \max_{|z|=1} |p(z)|. \tag{5}$$

Under the same set of hypothesis, Kumar and Dhankar [18, Theorem 2] further improved inequality (5) by proving

$$\begin{aligned} \max_{|z|=1} |p'(z)| \\ \leq \frac{n}{1+k^n} \left\{ 1 - \frac{k^n (|c_0| - |c_n|k^n) (1-k)}{2 (|c_0|k + |c_n|k^n)} \right\} \max_{|z|=1} |p(z)|. \end{aligned} \tag{6}$$

Another improvement of (5) was also recently obtained by Singh and Chanam [23, Theorem 3] by proving

$$\begin{aligned} \max_{|z|=1} |p'(z)| \\ \leq \left[ \frac{n}{1+k^n} - \frac{(\sqrt{|c_0|} - k^{\frac{n}{2}} \sqrt{|c_n|}) k^n}{(1+k^n)\sqrt{|c_0|}} \right] \max_{|z|=1} |p(z)|. \end{aligned} \tag{7}$$

In 1939, Turán [26] provided a lower bound estimate of the derivative to the size of the polynomial by restricting its zeros, and proved that if  $p(z)$  has all its zeros in  $|z| \leq 1$ , then

$$\max_{|z|=1} |p'(z)| \geq \frac{n}{2} \max_{|z|=1} |p(z)|. \tag{8}$$

Aziz and Dawood [1, Theorem 4] further refined inequality (8) by involving  $\min_{|z|=1} |p(z)|$ . In fact, they proved

$$\max_{|z|=1} |p'(z)| \geq \frac{n}{2} \left\{ \max_{|z|=1} |p(z)| + \min_{|z|=1} |p(z)| \right\}. \tag{9}$$

Both the inequalities (8) and (9) are best possible and equality holds if  $p(z)$  has all its zeros on  $|z| = 1$ .

Inequalities (8) and (9) have been extended and generalized in different directions (see [3,5,12–14]). For polynomial  $p(z)$  having all its zeros in  $|z| \leq k, k \geq 1$ , Govil [12, Theorem, p. 544] proved that

$$\max_{|z|=1} |p'(z)| \geq \frac{n}{1+k^n} \max_{|z|=1} |p(z)|. \tag{10}$$

Further, as an improvement of (10) and a generalization of (9), Govil [13, Theorem 2] proved

$$\max_{|z|=1} |p'(z)| \geq \frac{n}{1+k^n} \max_{|z|=1} |p(z)| + \frac{n}{1+k^n} \min_{|z|=k} |p(z)|. \tag{11}$$

Inequalities (10) and (11) are sharp and equality holds for  $p(z) = z^n + k^n$ .

The concept of ordinary derivative of a polynomial has been generalized to polar derivative of a polynomial as follows:

If  $p(z)$  is a polynomial of degree  $n$  and  $\alpha$  be any real or complex number, the polar derivative of  $p(z)$  with respect to  $\alpha$ , denoted by  $D_\alpha p(z)$ , is defined as

$$D_\alpha p(z) = np(z) + (\alpha - z)p'(z).$$

It is easy to see that  $D_\alpha p(z)$  is a polynomial of degree at most  $n - 1$  and it generalizes the ordinary derivative in the sense that

$$\lim_{\alpha \rightarrow \infty} \left[ \frac{D_\alpha p(z)}{\alpha} \right] = p'(z).$$

Shah [22] extended inequality (8) to the polar derivative and proved that if  $p(z)$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq 1$ , then for any complex number  $\alpha$  with  $|\alpha| \geq 1$

$$\max_{|z|=1} |D_\alpha p(z)| \geq \frac{n(|\alpha| - 1)}{2} \max_{|z|=1} |p(z)|. \tag{12}$$

Recently, Gulzar et al. [17, Theorem 2.1] refined inequality (12) and proved that if  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq 1$ , then for any complex number  $\alpha$  with  $|\alpha| \geq 1$  and  $|z| = 1$

$$|D_\alpha p(z)| \geq \frac{(|\alpha| - 1)}{2} \left( n + \frac{\sqrt{|c_n|} - \sqrt{|c_0|}}{\sqrt{|c_n|}} \right) |p(z)|. \tag{13}$$

In 1998, Aziz and Rather [2, Theorem 2] extended inequality (10) to polar derivative by proving that if  $p(z)$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then for every complex number  $\alpha$  with  $|\alpha| \geq k$ ,

$$\max_{|z|=1} |D_\alpha p(z)| \geq n \left( \frac{|\alpha| - k}{1 + k^n} \right) \max_{|z|=1} |p(z)|. \tag{14}$$

Recently, Kumar and Dhankhar [18, Theorem 3] obtained a generalization as well as improvement of (14) by establishing that if  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j, 0 \leq s \leq n$ , is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then for any complex number  $\alpha$  with  $|\alpha| \geq k$ ,

$$\begin{aligned} &\max_{|z|=1} |D_\alpha p(z)| \\ &\geq \frac{n(|\alpha| - k)}{1 + k^{n-s}} \left( 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k - 1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right) \\ &\quad \times \max_{|z|=1} |p(z)|. \end{aligned} \tag{15}$$

With the same hypothesis, Singh and Chanam [23, Theorem 1] provided another improvement of (14) and a generalization of (13) and obtained

$$\begin{aligned} &\max_{|z|=1} |D_\alpha p(z)| \\ &\geq \frac{(|\alpha| - k)}{1 + k^n} \left( n + s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \\ &\quad \times \max_{|z|=1} |p(z)|. \end{aligned} \tag{16}$$

Govil and Mctume [15, Theorem 3] extended inequality (11) to polar derivative and proved

$$\begin{aligned} &\max_{|z|=1} |D_\alpha p(z)| \\ &\geq n \left( \frac{|\alpha| - k}{1 + k^n} \right) \max_{|z|=1} |p(z)| + n \left( \frac{|\alpha| - (1 + k + k^n)}{1 + k^n} \right) \\ &\quad \times \min_{|z|=k} |p(z)|, \end{aligned} \tag{17}$$

where  $\alpha$  is any complex number with  $|\alpha| \geq 1 + k + k^n$ .

Improvements of inequality (17) by involving leading coefficient and constant term of the polynomial can be seen in recent works of Singh and Chanam [23, Theorem 2] and Singh et al. [24, Theorem 4].

## 2 Main results

We begin by presenting the following refinement of inequality (15) and inequality (16).

**Theorem 1** *If  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j, 0 \leq s \leq n$ , is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then for any complex number  $\alpha$  with  $|\alpha| \geq k$ ,*

$$\begin{aligned} &\max_{|z|=1} |D_\alpha p(z)| \\ &\geq \left( \frac{|\alpha| - k}{1 + k^{n-s}} \right) \left( n + s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \\ &\quad \times \left\{ 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k - 1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right\} \max_{|z|=1} |p(z)|. \end{aligned} \tag{18}$$

**Remark 1** Since the polynomial  $h(z) = \frac{p(z)}{z^s} = \sum_{j=0}^{n-s} c_j z^j$  has all its zeros in  $|z| \leq k, k \geq 1$ , we have

$$\left| \frac{c_0}{c_{n-s}} \right| \leq k^{n-s},$$

which is equivalent to

$$|c_0|k^s \leq |c_{n-s}|k^n,$$

and

$$k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} \geq \sqrt{|c_0|}.$$

Dividing both sides of (18) by  $|\alpha|$  and taking limit as  $|\alpha| \rightarrow \infty$ , we get the following generalization and refinement of inequality (10) due to Govil [12].

**Corollary 1** If  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j, 0 \leq s \leq n$ , is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then

$$\max_{|z|=1} |p'(z)| \geq \left( \frac{1}{1+k^{n-s}} \right) \left( n+s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \times \left\{ 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k-1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right\} \max_{|z|=1} |p(z)|. \tag{19}$$

When  $s = 0$ , Theorem 1, in particular, gives the following improvement of inequality (14) proved by Aziz and Rather [2] and a generalization and an improvement of inequality (13) of Gulzar et al. [17].

**Corollary 2** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then for any complex number  $|\alpha|$  with  $|\alpha| \geq k$

$$\max_{|z|=1} |D_\alpha p(z)| \geq \left( \frac{|\alpha| - k}{1+k^n} \right) \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \times \left\{ 1 + \frac{(|c_n|k^n - |c_0|)(k-1)}{2(|c_n|k^n + |c_0|k)} \right\} \max_{|z|=1} |p(z)|. \tag{20}$$

Dividing both sides of (20) by  $|\alpha|$  and taking limit as  $|\alpha| \rightarrow \infty$ , we get the following refinement of inequality (10) due to Govil [12].

**Corollary 3** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then

$$\max_{|z|=1} |p'(z)| \geq \left( \frac{1}{1+k^n} \right) \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \times \left\{ 1 + \frac{(|c_n|k^n - |c_0|)(k-1)}{2(|c_n|k^n + |c_0|k)} \right\} \max_{|z|=1} |p(z)|. \tag{21}$$

The inequality (21) is best possible for  $p(z) = z^n + k^n$ .

**Remark 2** Taking  $k = 1$  in Corollary 3, inequality (21) provides a refinement of inequality (8) due to Turán [26].

As an application of Theorem 1, we obtain the following result which is a refinement of inequality (17) due to Govil and Mctume [15] and a result recently proved by Singh and Chanam [23, Theorem 2].

**Theorem 2** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then for any complex

number  $\alpha$  with  $|\alpha| \geq 1+k+k^n$

$$\begin{aligned} & \max_{|z|=1} |D_\alpha p(z)| \\ & \geq \frac{(|\alpha| - k)}{1+k^n} \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \\ & \times \left\{ 1 + \frac{(|c_n|k^n - |c_0 + e^{i\theta_0} m|)(k-1)}{2(|c_n|k^n + |c_0 + e^{i\theta_0} m|k)} \right\} \max_{|z|=1} |p(z)| \\ & + \left[ n \left( \frac{|\alpha| - (1+k+k^n)}{1+k^n} \right) \right. \\ & + \frac{|\alpha| - k}{1+k^n} \left\{ \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right. \\ & + \left. \frac{(|c_n|k^n - |c_0 + e^{i\theta_0} m|)(k-1)}{2(|c_n|k^n + |c_0 + e^{i\theta_0} m|k)} \right. \\ & \left. \left. \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \right\} \right] m, \tag{22} \end{aligned}$$

where  $m = \min_{|z|=k} |p(z)|$  and  $\theta_0 = \arg \{p(e^{i\theta_0})\}$  such that  $|p(e^{i\theta_0})| = \max_{|z|=1} |p(z)|$ .

**Remark 3** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then for any complex number  $|\lambda|e^{i\theta_0}$  with  $|\lambda| < 1$ , by Rouché’s theorem it follows that the polynomial  $p(z) + |\lambda|e^{i\theta_0} m = (c_0 + |\lambda|e^{i\theta_0} m) + c_1 z + \dots + c_n z^n$  has all its zeros in  $|z| \leq k$ , where  $m = \min_{|z|=k} |p(z)|$ , then

$$k^n \geq \left| \frac{c_0 + |\lambda|e^{i\theta_0} m}{c_n} \right|,$$

which implies that

$$k^{\frac{n}{2}} \sqrt{|c_n|} \geq \sqrt{|c_0 + |\lambda|e^{i\theta_0} m|}.$$

Taking  $|\lambda| \rightarrow 1$ , we get

$$k^{\frac{n}{2}} \sqrt{|c_n|} \geq \sqrt{|c_0 + e^{i\theta_0} m|},$$

and

$$k^n |c_n| \geq |c_0 + e^{i\theta_0} m|.$$

**Remark 4** Dividing both sides of (22) by  $|\alpha|$  and taking limit as  $|\alpha| \rightarrow \infty$ , we have the following refinement of inequality (11) due to Govil [13].

**Corollary 4** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then

$$\begin{aligned} & \max_{|z|=1} |p'(z)| \\ & \geq \frac{1}{1+k^n} \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \\ & \times \left\{ 1 + \frac{(|c_n|k^n - |c_0 + e^{i\theta_0} m|)(k-1)}{2(|c_n|k^n + |c_0 + e^{i\theta_0} m|)} \right\} \max_{|z|=1} |p(z)| \\ & + \left[ \frac{n}{1+k^n} + \frac{1}{1+k^n} \left\{ \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right. \right. \\ & \left. \left. + \frac{(|c_n|k^n - |c_0 + e^{i\theta_0} m|)(k-1)}{2(|c_n|k^n + |c_0 + e^{i\theta_0} m|)} \right\} \right] m, \end{aligned} \tag{23}$$

where  $m = \min_{|z|=k} p(z)$  and  $\theta_0 = \arg \{p(e^{i\phi_0})\}$  such that  $|p(e^{i\phi_0})| = \max_{|z|=1} |p(z)|$ .

Inequality (23) is best possible for  $p(z) = z^n + k^n$ .

**Remark 5** Taking  $k = 1$  in Corollary 4, inequality (23) reduces to a refinement of inequality (9) due to Aziz and Dawood [1].

**Corollary 5** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq 1$ , then

$$\begin{aligned} & \max_{|z|=1} |p'(z)| \\ & \geq \frac{1}{2} \left( n + \frac{\sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{\sqrt{|c_n|}} \right) \max_{|z|=1} |p(z)| \\ & + \frac{1}{2} \left[ n + \left( \frac{\sqrt{|c_n|} - \sqrt{|c_0 + e^{i\theta_0} m|}}{\sqrt{|c_n|}} \right) \right] m, \end{aligned} \tag{24}$$

where  $m = \min_{|z|=1} |p(z)|$  and  $\theta_0 = \arg \{p(e^{i\phi_0})\}$  such that  $|p(e^{i\phi_0})| = \max_{|z|=1} |p(z)|$ .

Further, we are able to prove an improvement of inequalities (6) and (7).

**Theorem 3** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having no zero in  $|z| < k, k \leq 1$ . If  $|p'(z)|$  and  $|q'(z)|$  attain their maxima at the same point on  $|z| = 1$ , then

$$\max_{|z|=1} |p'(z)| \leq \frac{1}{1+k^n} \left[ n - k^n \left\{ \frac{\sqrt{|c_0|} - k^{\frac{n}{2}} \sqrt{|c_n|}}{\sqrt{|c_0|}} \right\} \right]$$

$$\begin{aligned} & + \frac{(|c_0| - k^n |c_n|)(1-k)}{2(|c_0|k + k^n |c_n|)} \left( n + \frac{\sqrt{|c_0|} - k^{\frac{n}{2}} \sqrt{|c_n|}}{\sqrt{|c_0|}} \right) \Bigg] \\ & \max_{|z|=1} |p(z)|. \end{aligned} \tag{25}$$

The result is sharp and equality in (25) holds for  $p(z) = z^n + k^n$ .

**Remark 6** Since  $p(z) = \sum_{j=0}^n c_j z^j$  has all its zeros in  $|z| \geq k, k \leq 1, q(z)$  has all its zeros in  $|z| \leq \frac{1}{k}, \frac{1}{k} \geq 1$ , then

$$\begin{aligned} & \left| \frac{c_n}{c_0} \right| \leq \frac{1}{k^n}, \\ & \text{which equivalently gives} \\ & |c_0| \geq |c_n| k^n, \end{aligned} \tag{26}$$

and

$$\sqrt{|c_0|} \geq k^{\frac{n}{2}} \sqrt{|c_n|}. \tag{27}$$

From inequalities (26) and (27), it is evident that the bound (25) improves both the bounds given by (6) and (7).

**Remark 7** Taking  $k = 1$  in Theorem 3, we get the following improvement of (2) due to Erdős and Lax for a subclass of polynomials.

**Corollary 6** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n$  having no zero in  $|z| < 1$ . If  $|p'(z)|$  and  $|q'(z)|$  attain their maxima at the same point on  $|z| = 1$ , then

$$\max_{|z|=1} |p'(z)| \leq \frac{1}{2} \left( n - \frac{\sqrt{|c_0|} - \sqrt{|c_n|}}{\sqrt{|c_0|}} \right) \max_{|z|=1} |p(z)|. \tag{28}$$

### 3 Lemmas

We need the following lemmas to prove our theorems.

**Lemma 1** If  $p(z) = \sum_{j=0}^n c_j z^j$  is a polynomial of degree  $n \geq 1$  having all its zeros in  $|z| \leq 1$ , then for all  $z$  on  $|z| = 1$  with  $p(z) \neq 0$ .

$$\Re \left( z \frac{p'(z)}{p(z)} \right) \geq \frac{1}{2} \left( n + \frac{\sqrt{|c_n|} - \sqrt{|c_0|}}{\sqrt{|c_n|}} \right). \tag{29}$$

The above result is due to Dubin [10, Theorem 4]( also see Singh and Chanam [23, Lemma 3] and Wali and Shah [25, Inequality 9]).

**Lemma 2** Let  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j, 0 \leq s \leq n$  be a polynomial of degree  $n$  having all its zeros in  $|z| \leq k, k \geq 1$ , then

$$\max_{|z|=k} |p(z)| \geq \frac{2k^n}{1+k^{n-s}} \left( 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k-1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right) \max_{|z|=1} |p(z)|. \tag{30}$$

The above result appears in Kumar and Dhankar [18, Lemma 4].

**Lemma 3** If  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j, 0 \leq s \leq n$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq 1$ , with  $s$ -fold zeros at the origin, then for any complex number  $\alpha$  with  $|\alpha| \geq 1$  and on  $|z| = 1$

$$|D_\alpha p(z)| \geq \frac{(|\alpha| - 1)}{2} \left( n + s + \frac{\sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{\sqrt{|c_{n-s}|}} \right) |p(z)|. \tag{31}$$

This result appears in Singh and Chanam [23, Lemma 5].

**Lemma 4** If  $p(z)$  is a polynomial of degree  $n$ , then on  $|z| = 1$

$$|p'(z)| + |q'(z)| \leq n \max_{|z|=1} |p(z)|. \tag{32}$$

The above result is a particular case of a result [16, Inequality 3.2] due to Govil and Rahman.

### 4 Proofs of the theorems

**Proof of Theorem 1** Since  $p(z) = z^s \sum_{j=0}^{n-s} c_j z^j$  has all its zeros in  $|z| \leq k, k \geq 1$ , the polynomial  $p(kz) = z^s (k^s c_0 + k^{s+1} c_1 z + \dots + k^n c_n z^{n-s})$  has all its zeros in  $|z| \leq 1$ . Using Lemma 3 to  $p(kz)$ , we get for  $|\frac{\alpha}{k}| \geq 1$

$$\begin{aligned} & \max_{|z|=1} |D_{\frac{\alpha}{k}} p(kz)| \\ & \geq \frac{|\alpha| - k}{2k} \left( n + s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \\ & \quad \times \max_{|z|=1} |p(kz)|, \end{aligned}$$

that is

$$\begin{aligned} & \max_{|z|=1} |np(kz) + \left(\frac{\alpha}{k} - z\right) kp'(kz)| \\ & \geq \frac{(|\alpha| - k)}{2k} \left( n + s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \end{aligned}$$

$$\max_{|z|=k} |p(z)|. \tag{33}$$

Using Lemma 2 and the fact that  $\max_{|z|=1} |np(kz) + \left(\frac{\alpha}{k} - z\right) kp'(kz)| = \max_{|z|=k} |D_\alpha p(z)|$ , inequality (33) implies

$$\begin{aligned} & \max_{|z|=k} |D_\alpha p(z)| \\ & \geq \frac{(|\alpha| - k)}{2k} \left( n + s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \\ & \quad \times \frac{2k^n}{1+k^{n-s}} \left\{ 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k-1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right\} \\ & \quad \times \max_{|z|=1} |p(z)|. \end{aligned} \tag{34}$$

As we can see that  $D_\alpha p(z)$  is a polynomial of degree at most  $n - 1$  and  $k \geq 1$ , it is well-known that

$\max_{|z|=k} |D_\alpha p(z)| \leq k^{n-1} \max_{|z|=1} |D_\alpha p(z)|$ . Using this fact, inequality (34) gives

$$\begin{aligned} & k^{n-1} \max_{|z|=1} |D_\alpha p(z)| \\ & \geq (|\alpha| - k) \left( n + s + \frac{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|} - \sqrt{|c_0|}}{k^{\frac{n-s}{2}} \sqrt{|c_{n-s}|}} \right) \\ & \quad \times \frac{k^{n-1}}{1+k^{n-s}} \left\{ 1 + \frac{(|c_{n-s}|k^n - |c_0|k^s)(k-1)}{2(|c_{n-s}|k^n + |c_0|k^{s+1})} \right\} \\ & \quad \times \max_{|z|=1} |p(z)|. \end{aligned}$$

which gives inequality (18), and the proof of Theorem 1 is complete.  $\square$

**Proof of Theorem 2** If  $p(z)$  has a zero on  $|z| = k$ , then  $m = 0$  and the result follows trivially from Theorem 1. So, without loss of generality, let us assume that  $p(z)$  has all its zeros in  $|z| < k, k \geq 1$ , then it follows by Rouché's theorem that for any complex number  $\lambda$  with  $|\lambda| < 1$ , the polynomial  $p(z) + \lambda m = (c_0 + \lambda m) + c_1 z + \dots + c_n z^n$  has all its zeros in  $|z| < k, k \geq 1$ . Therefore, applying Theorem 1 to  $p(z) + \lambda m$  with  $s = 0$ , we get for  $|\alpha| \geq 1 + k + k^n$

$$\begin{aligned} & \max_{|z|=1} |D_\alpha [p(z) + \lambda m]| \\ & \geq \left( \frac{|\alpha| - k}{1+k^n} \right) \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + \lambda m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \\ & \quad \times \left\{ 1 + \frac{(|c_n|k^n - |c_0 + \lambda m|)(k-1)}{2(|c_n|k^n + |c_0 + \lambda m|k)} \right\} \\ & \quad \times \max_{|z|=1} |p(z) + \lambda m|. \end{aligned} \tag{35}$$

Let  $0 \leq \phi_0 < 2\pi$ , be such that  $|p(e^{i\phi_0})| = \max_{|z|=1} |p(z)|$ . Then, inequality (35) takes

$$\begin{aligned} & \max_{|z|=1} |D_\alpha p(z) + n\lambda m| \\ & \geq \left( \frac{|\alpha| - k}{1 + k^n} \right) \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + \lambda m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \end{aligned} \tag{36}$$

$$\times \left\{ 1 + \frac{(|c_n|k^n - |c_0 + \lambda m|)(k - 1)}{2(|c_n|k^n + |c_0 + \lambda m|k)} \right\} |p(e^{i\phi_0}) + \lambda m|. \tag{37}$$

Now,

$$\begin{aligned} |p(e^{i\phi_0}) + \lambda m| &= ||p(e^{i\phi_0})e^{i\theta_0} + |\lambda|e^{i\phi}m| \\ &= ||p(e^{i\phi_0})| + |\lambda|e^{i(\phi-\theta_0)}m|. \end{aligned}$$

Setting the argument  $\phi$  such that  $\phi = \theta_0$ , then

$$|p(e^{i\phi_0}) + \lambda m| = |p(e^{i\phi_0})| + |\lambda|m. \tag{38}$$

Using this fact in inequality (37), we have

$$\begin{aligned} & \max_{|z|=1} |D_\alpha p(z) + n|\lambda|m| \\ & \geq \left( \frac{|\alpha| - k}{1 + k^n} \right) \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + |\lambda|e^{i\theta_0}m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \\ & \times \left\{ 1 + \frac{(|c_n|k^n - |c_0 + |\lambda|e^{i\theta_0}m|)(k - 1)}{2(|c_n|k^n + |c_0 + |\lambda|e^{i\theta_0}m|k)} \right\} \\ & (|p(e^{i\phi_0})| + |\lambda|m). \end{aligned}$$

which is equivalent to

$$\begin{aligned} & \max_{|z|=1} |D_\alpha p(z)| \\ & \geq \frac{(|\alpha| - k)}{1 + k^n} \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + |\lambda|e^{i\theta_0}m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \\ & \times \left\{ 1 + \frac{(|c_n|k^n - |c_0 + |\lambda|e^{i\theta_0}m|)(k - 1)}{2(|c_n|k^n + |c_0 + |\lambda|e^{i\theta_0}m|k)} \right\} \max_{|z|=1} |p(z)| \\ & + |\lambda| \left[ n \left( \frac{|\alpha| - (1 + k + k^n)}{1 + k^n} \right) \right. \\ & + \frac{|\alpha| - k}{1 + k^n} \left\{ \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + |\lambda|e^{i\theta_0}m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right. \\ & + \frac{(|c_n|k^n - |c_0 + |\lambda|e^{i\theta_0}m|)(k - 1)}{2(|c_n|k^n + |c_0 + |\lambda|e^{i\theta_0}m|k)} \\ & \left. \left. \left( n + \frac{k^{\frac{n}{2}} \sqrt{|c_n|} - \sqrt{|c_0 + |\lambda|e^{i\theta_0}m|}}{k^{\frac{n}{2}} \sqrt{|c_n|}} \right) \right\} \right] m, \end{aligned}$$

Taking  $|\lambda| \rightarrow 1$ , the above inequality reduces to (22). This completes the proof of Theorem 2.  $\square$

**Proof of Theorem 3** Since  $p(z)$  has all its zeros in  $|z| \geq k, k \leq 1, q(z)$  has all its zeros in  $|z| \leq \frac{1}{k}, \frac{1}{k} \geq 1$ . Then applying Corollary 3 to  $q(z)$ , we have

$$\begin{aligned} & \max_{|z|=1} |q'(z)| \\ & \geq \left( \frac{k^n}{1 + k^n} \right) \left( n + \frac{\left(\frac{1}{k}\right)^{\frac{n}{2}} \sqrt{|c_0|} - \sqrt{|c_n|}}{\left(\frac{1}{k}\right)^{\frac{n}{2}} \sqrt{|c_0|}} \right) \\ & \times \left\{ 1 + \frac{\left(|c_0| \left(\frac{1}{k}\right)^n - |c_n|\right) \left(\frac{1}{k} - 1\right)}{2\left(|c_0| \left(\frac{1}{k}\right)^n + |c_n| \frac{1}{k}\right)} \right\} \max_{|z|=1} |p(z)|. \end{aligned} \tag{39}$$

By Lemma 4, we have on  $|z| = 1$ ,

$$|p'(z)| + |q'(z)| \leq n \max_{|z|=1} |p(z)|. \tag{40}$$

Since  $|p'(z)|$  and  $|q'(z)|$  attain their maxima at the same point on  $|z| = 1$ , then

$$\max_{|z|=1} \{|p'(z)| + |q'(z)|\} = \max_{|z|=1} |p'(z)| + \max_{|z|=1} |q'(z)|. \tag{41}$$

Combining (39), (40) and (41), we have

$$\begin{aligned} & n \max_{|z|=1} |p(z)| \\ & \geq \left( \frac{k^n}{1 + k^n} \right) \left( n + \frac{\sqrt{|c_0|} - k^{\frac{n}{2}} \sqrt{|c_n|}}{\sqrt{|c_0|}} \right) \\ & \times \left\{ 1 + \frac{(|c_0| - k^n|c_n|)(1 - k)}{2(|c_0|k + k^n|c_n|)} \right\} \max_{|z|=1} |p(z)| + \max_{|z|=1} |p'(z)|, \end{aligned}$$

which is equivalent to

$$\begin{aligned} & \max_{|z|=1} |p'(z)| \\ & \leq \frac{1}{1 + k^n} \left[ n - k^n \left\{ \frac{\sqrt{|c_0|} - k^{\frac{n}{2}} \sqrt{|c_n|}}{\sqrt{|c_0|}} \right. \right. \\ & \left. \left. + \frac{(|c_0| - k^n|c_n|)(1 - k)}{2(|c_0|k + k^n|c_n|)} \left( n + \frac{\sqrt{|c_0|} - k^{\frac{n}{2}} \sqrt{|c_n|}}{\sqrt{|c_0|}} \right) \right\} \right] \\ & \max_{|z|=1} |p(z)|, \end{aligned}$$

$\square$

### Declarations

**Conflict of interest** The authors declares that they have no conflict of interest.

**Ethical approval** This article does not contain any studies with human participants or animals performed by any of the authors.

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