



# Blood lipid profile, HbA1c, fasting glucose, and diabetes: a cohort study and a two-sample Mendelian randomization analysis

Y.-C. Lin<sup>1</sup> · H.-P. Tu<sup>2</sup> · T.-N. Wang<sup>1</sup>

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## Abstract

**Purpose** The prevalence of diabetes is increasing worldwide. The associations between the lipid profile and glycated hemoglobin (HbA1c), fasting glucose, and diabetes remain unclear, so we aimed to perform a cohort study and a two-sample Mendelian randomization (MR) study to investigate the causality between blood lipid profile and HbA1c, fasting glucose, and diabetes.

**Methods** A total of 25,171 participants from the Taiwan Biobank were enrolled. We applied a cohort study and an MR study to assess the association between blood lipid profile and HbA1c, fasting glucose, and diabetes. The summary statistics were obtained from the Asian Genetic Epidemiology Network (AGEN), and the estimates between the instrumental variables (IVs) and outcomes were calculated using the inverse-variance weighted (IVW) method. A series of sensitivity analyses were performed.

**Results** In the cohort study, high-density lipoprotein cholesterol (HDL-C) was negatively associated with HbA1c, fasting glucose, and diabetes, while the causal associations between HDL-C and HbA1c ( $\beta_{IVW} = -0.098$ ,  $p = 0.003$ ) and diabetes ( $\beta_{IVW} = -0.594$ ,  $p < 0.001$ ) were also observed. Furthermore, there was no pleiotropy effect in this study using the MR-Egger intercept test and MR-PRESSO global test.

**Conclusions** Our results support the hypothesis that a genetically determined increase in HDL-C is causally related to a reduction in HbA1c and a lower risk of diabetes.

**Keywords** Blood lipid profile · Glycated hemoglobin · Fasting glucose · Diabetes · Mendelian randomization

## Abbreviations

MR	Mendelian randomization
IVW	Inverse-variance weighted
HbA1c	Glycated hemoglobin
HDL-C	High-density lipoprotein cholesterol
LDL-C	Low-density lipoprotein cholesterol
RCTs	Randomized controlled trials
IVs	Instrumental variables
SNPs	Single nucleotide polymorphisms
WHR	Waist-hip ratio
BMI	Body mass index

PRESSO	Pleiotropy residual sum and outliers
MBE	Mode-based estimate

## Introduction

Hyperglycemia and diabetes are common diseases with an increasing prevalence worldwide. In 2021, the International Diabetes Federation (IDF) Diabetes Atlas Tenth edition reported approximately 537 million adults with diabetes increasing to 783 million by 2045 [1]. In addition, the estimated number of diabetes in South-East Asia will increase up to 152.8 million in 2045 [2]. It is a disease that often has complications due to chronic hyperglycemia, which causes long-term functional damage and failure of the eyes, kidneys and blood vessels [3]. With the increasing prevalence of diabetes, an increased use of medical resources and higher medical expenses will become the focus of attention in all countries.

✉ T.-N. Wang  
wangtn@kmu.edu.tw

<sup>1</sup> Department of Public Health, College of Health Science, Kaohsiung Medical University, No. 100, Shi-Chuan 1st Rd, Kaohsiung 807, Taiwan

<sup>2</sup> Department of Public Health and Environmental Medicine, School of Medicine, College of Medicine, Kaohsiung Medical University, Kaohsiung, Taiwan

Diabetes is a disease related to multiple risk factors including age, race, family history of diabetes, alcohol abuse, tobacco abuse, metabolic syndrome, genetic factors, and so on [4–9]. Diabetes is affected both by genes and environmental factors. Currently, several observational studies have suggested an association between the blood lipid profile and glycated hemoglobin (HbA1c) [10, 11], fasting glucose [12, 13] and diabetes [14, 15]. However, several Mendelian randomization (MR) studies have indicated inconsistent causal evidence between serum lipid profile and fasting glucose and diabetes [16–26]. Until now, no MR study has discussed the associations between high-density lipoprotein cholesterol (HDL-C) and low-density lipoprotein cholesterol (LDL-C) and HbA1c. A European MR study indicated that genetically increased HDL-C levels were associated with decreased fasting glucose levels [16]. One bidirectional two-sample MR study in India indicated that there was no causal relationship between LDL-C and fasting glucose and there was also no evidence for reverse causality of LDL-C affected by fasting glucose [17], while another European two-sample MR study showed lower LDL-C concentration was associated with higher fasting glucose based on PCSK9 variants [18]. Furthermore, several MR studies in European demonstrated that HDL-C levels had protective effects against diabetes [19–24], but a Copenhagen General Population Study showed that the levels of HDL-C were not causally associated with the risk of diabetes [25]; nevertheless, some European MR studies have indicated that a negative causal association between LDL-C and diabetes has been observed [18–20, 26], but a recent MR study in European demonstrated that genetically increased LDL-C level was associated with the risk of diabetes [23].

Mendelian randomization uses genetic variants as instrumental variables (IVs) to make inferences about causality based on observational studies [27]. The concept of MR is that when meiosis produces gametes, it is also random, not the result of human manipulation, so it is considered as natural randomized control trials (RCTs). In MR studies, researchers used IVs as a proxy for exposure and examined the causality between the exposure and outcome [28]. There are three assumptions of Mendelian randomization: first, the genetic instrument variables are related to exposure (relevance assumption); second, the genetic instrument variables are not associated with other factors (independence assumption); and third, the genetic instrument variables influence the outcome only through exposure (exclusion restriction assumption) [27].

Up to now, no Mendelian randomization (MR) study has been applied to investigate the causal associations between lipid profile and HbA1c while the causal relationship between lipid profile and fasting glucose and diabetes still remains unclear. Although there are many MR studies based on European ancestry populations, few are MR studies based

on Asian populations. It is important because the frequency and distribution may have differences between each ethnicity, so it might not be appropriate to generalize the findings of European individuals to Asian individuals. Accordingly, there are three purposes of this study: first, we examined the association between HDL-C and LDL-C and HbA1c, fasting glucose, and diabetes based on a cross-sectional study and a cohort study; second, we evaluated the causal relationship between HDL-C and LDL-C and HbA1c, fasting glucose, and diabetes using one-sample Mendelian randomization and two-sample Mendelian randomization in Asian ancestry; and finally, we performed a series of sensitivity analyses to validate our results.

## Methods

### Observational analyses

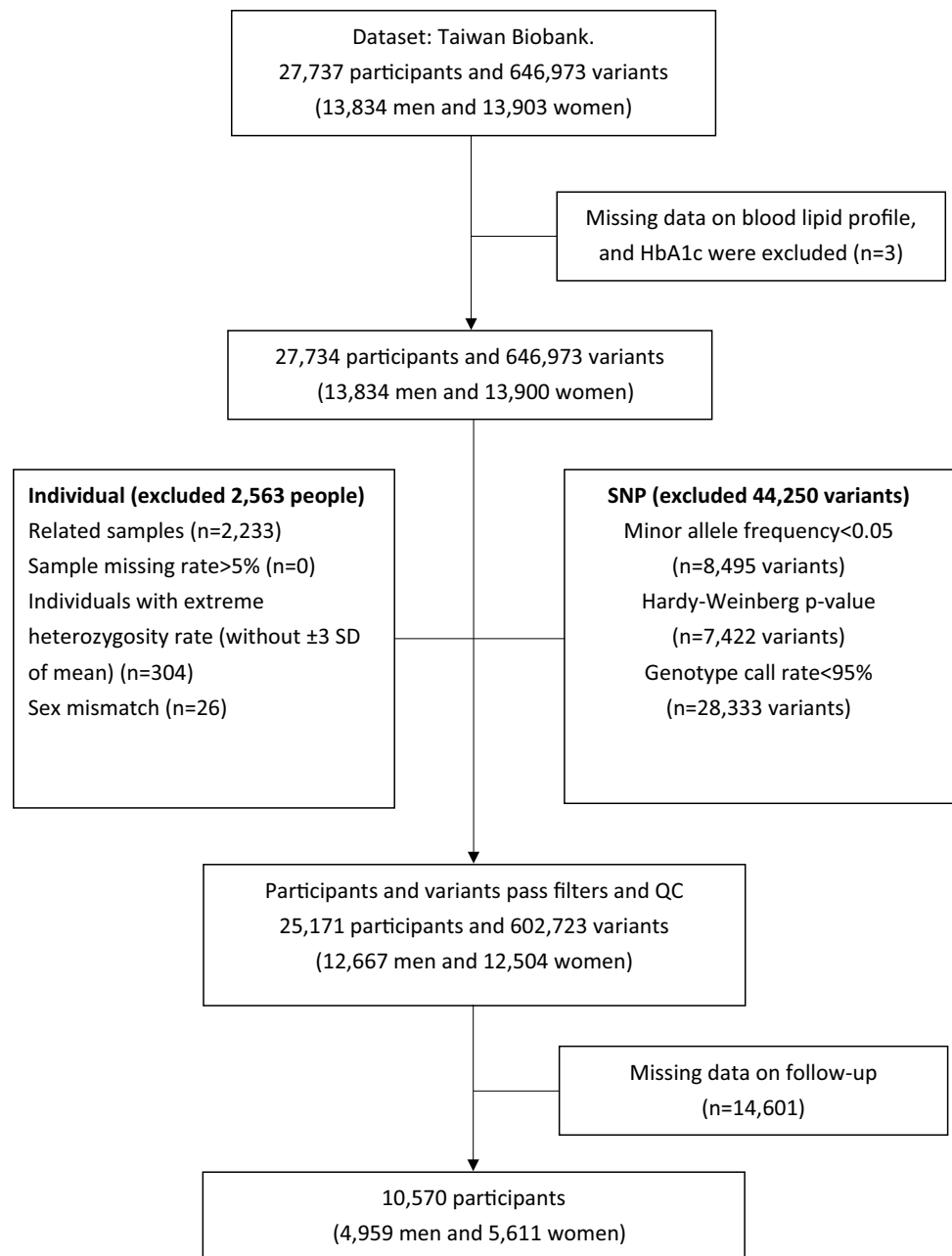
#### Study population

The Taiwan Biobank (TWB) study conducted from 2012 to 2019 included 27,737 participants (13,834 men and 13,903 women) of Taiwan Han Chinese ethnicity without a history of cancer. The participants who enrolled in TWB were followed up within 2–4 years. The TWB collected questionnaires, physical examinations, genomic information, and urine and blood samples at the first recruitment and second follow-up [29]. In this study, individuals with missing lipid profiles and HbA1c data ( $N=3$ ) and failure in quality control ( $N=2563$ ) (Fig. 1) were excluded, so 25,171 participants were involved in cross-sectional and MR analysis. In addition, we also conducted a cohort study that excluded participants without a second follow-up, and a total of 10,570 participants were used in further analysis.

Ethical approval for the study was granted by the Institutional Review Board of Kaohsiung Medical University and Chung-Ho Memorial Hospital and the Ethics and Governance Committee (EGC) of the Taiwan Biobank. Written informed consent of participation was obtained from all participants when joining TWB and the personal information of each participant was fully encrypted for protection.

#### Covariates assessment

The covariates in our study included age, sex, education status (below high school graduate, college graduate or below, or above college graduate), marriage status (married or unmarried), sports habit (yes or no), drinking habit (yes or no), smoking habit (yes or no), body fat percentage, waist-hip ratio (WHR), family history of hyperlipidemia, and diabetes, and blood lipid profile (high-density lipoprotein cholesterol, low-density lipoprotein cholesterol).

**Fig. 1** The flow chart of Taiwan Biobank population

BMI was calculated by dividing the body weight (kg) by the square of height ( $m^2$ ). WHR was the ratio of the waist circumference to the hip circumference.

### Outcomes

HbA1c and fasting glucose were measured from blood samples after fasting for 8 h by using a Hitachi LST08 analyzer at Linkou Chang Gung Memorial Hospital. Diabetes was defined as fasting glucose levels higher than 126 mg/dL, HbA1c levels higher than 6.5% (48 mmol/mol), or a self-report of diabetes diagnosed by physicians [30–32].

### Principle component analysis (PCA)

Population stratification (PS) is commonly caused by geographic isolation, which relates to low migration rates and gene flow over the course of several generations [33] and may operate as a confounder between genotypes and traits in genetic studies [34]. As principle component analysis (PCA) is a common dimension reduction method and is widely used to identify and adjust problems of PS in Genetics [35, 36], we visualized the results of PCA and presented these in Figure E1. In order to eliminate the effects of PS, the first eight ancestry principal components were adjusted in our study.

## Mendelian randomization analyses

In this study, we performed a one-sample MR and a two-sample MR to examine the causality of the blood lipid profile and fasting glucose, HbA1c, and diabetes. One-sample MR was conducted by using individual data. The study design of two-sample MR analysis was established on two nonoverlapping populations [37]. The SNP-exposure summary effect size and standard error were obtained from the Asian Genetic Epidemiology Network, and we calculated the SNP-outcome effect size and standard error from the Taiwan Biobank.

### Asian Genetic Epidemiology Network (AGEN)

AGEN is an Asian-based population consortium of genetic epidemiology studies of type 2 diabetes and cardiovascular disease-related phenotypes. This consortium aims to identify new loci by conducting genome-wide meta-analyses, replicate studies with similar phenotypes, and conduct other genotyping to discover novel loci. Variants were genotyped using Affymetrix or Illumina genome-wide genotyping arrays and imputed using a Hapmap Project Phase II reference panel and the 1000 Genomes Project Phase 3 of the University of Michigan imputation server reference panel [38]. Our study used summary data of HDL-C and LDL-C from two-stage GWAS meta-analyses based on 34,421 East Asian participants from 13 studies (CAGE-Network, CLHNS, GenSalt, KARE, KCPS II, SBCS, SCES, SiMES, SP2, SWMHS, TWSC, BES, and CHNS). The levels of blood lipid profiles were measured in mg/dL by standard biomedical methods. Participants with lowering cholesterol medication use were excluded from this study.

### Genetic instrumental variables

In our research, summary data related to the blood lipid profile were obtained from AGEN. Firstly, we selected SNPs with a genome-wide significance threshold ( $P < 5 \times 10^{-8}$ ) associated with high-density lipoprotein cholesterol and low-density lipoprotein cholesterol; secondly, we removed the SNPs when they restricted linkage disequilibrium ( $R^2 > 0.1$ ); thirdly, when the F statistic was less than or equal to 10, it was treated as a weak instrumental variable and removed; fourthly, we excluded IVs directly associated with the outcome to meet assumption three of MR, so we included 161 SNPs as instrumental variables (IVs) for HDL-C on HbA1c, fasting glucose, and diabetes and included 81, 91, and 89 SNPs as IVs for LDL-C on HbA1c, fasting glucose and diabetes (criteria 2); and fifthly, according to independence between lipid profiles as exclusion of instrumental variables (IVs) associated with other lipid profiles based on the hypothesis of

their independence, we searched the GWAS catalog and Phenoscanner to find the genome-wide significant variants that were related to other blood lipid profiles then we conducted MR analysis between HDL-C and HbA1c excluding 129 variants related to LDL-C, total cholesterol, or triglycerides. We also excluded 88 variants for MR analysis between LDL-C and HbA1c to reduce any possible pleiotropy effects for the Asian population [39, 40]. Finally, we included 40, 38, and 44 SNPs as IVs for HDL-C on HbA1c, fasting glucose, and diabetes to meet assumption three of MR where IVs did not directly associate with the outcome; thus, we included 8 SNPs as IVs for LDL-C on three outcomes (criteria 1) (Figure E2, Tables E1–E6) [41, 42].

### Taiwan Biobank (TWB)

Genotyping was performed using a TWB chip based on an Axiom Genome-Wide CHB 1 Array plate (Affymetrix, Inc., Santa Clara, CA, USA) and the Human Exome BeadChip (Illumina, Inc., San Diego, CA, USA) in TWB study [29].

### Quality control and strength of the instrumental variables

Quality control is an indispensable step in genetic research to avoid false-positive or false-negative results [43], so we had several exclusion criteria, as follows: For individuals, we checked for data integrity (missing rate  $> 5\%$ ), kinship less than second-grade relatives, out of the heterozygosity rate (without  $\pm 3SD$  of mean), and gender mismatches. For SNPs, we excluded low minor allele frequency ( $< 0.05$ ), low call rate ( $< 95\%$ ), and violations of Hardy–Weinberg ( $P$  values  $< 0.0001$ ). After quality control, 25,171 participants and 602,723 variants remained for the final analysis (Fig. 1).

The strength of the instrument variable was evaluated by the F value. It represents the magnitude and quality of the effect on the SNP and exposure [44]. The calculation of the F value was a linear regression between GRS and exposure traits and it was adjusted for age, sex, and population stratification. To avoid weak instrumental variable bias, an F value above 10 was expected to provide sufficient strength for further analyses [45].

### Data harmonization

In a two-sample MR study, inappropriate data harmonization can lead to distortion of the MR results. Harmonizing the data is necessary when combining two independent datasets according to the guidelines provided by Fortier et al. [37, 46]. First, we standardized the direction of all variants in the AGEN dataset, which meant that we adjusted all beta values of the effect size to be positive. Second, we matched

the alleles in two datasets; that is, if the effect allele was different, we changed the effect allele and the reference allele in dataset 2 and multiplied the effect size by  $-1$ , and the allele frequency was subtracted by 1. Finally, we removed the alleles that did not match in both datasets ( $n=0$ ).

### One-sample Mendelian randomization

We conducted a one-sample Mendelian randomization (MR) using individual-level data to investigate the causal association between HDL-C and LDL-C and HbA1c, fasting glucose, and diabetes by 2-stage least-square regression [47]. In the first stage, we constructed the linear regression of the risk factors (e.g. HDL-C or LDL-C) on the IVs, resulting in the predicted value of risk factors by GRS, then in second stage, the HbA1c or fasting glucose was regressed on the predicted value by using linear regression, and diabetes was regressed on the predicted value by using logistic regression.

### Two-sample Mendelian randomization

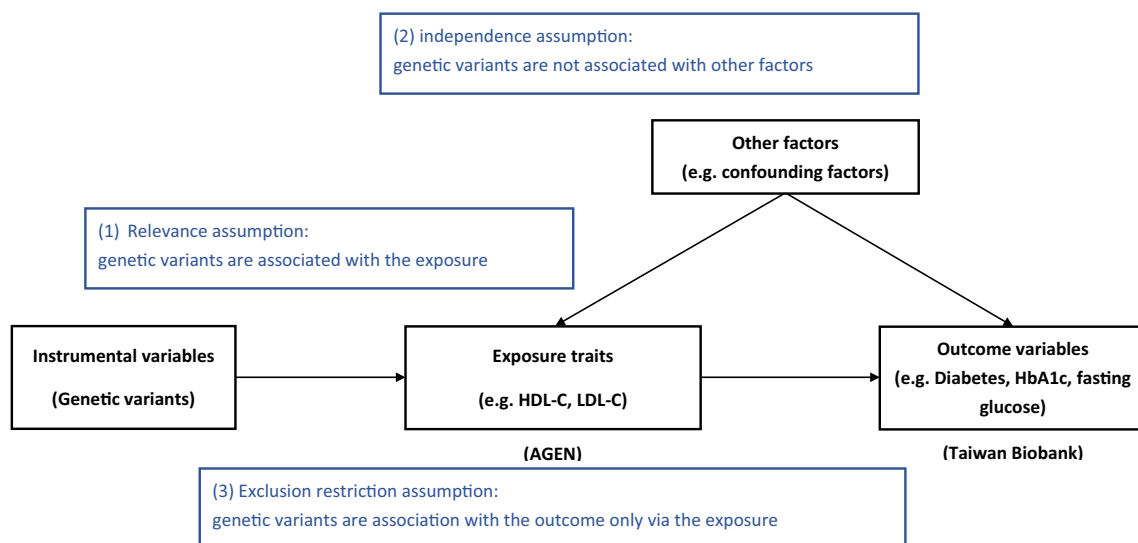
Compared to one-sample Mendelian randomization (MR), which uses individual-level data from the same sample for estimating the effect sizes of exposure and outcome, two-sample MR can be performed using not only individual data but also summary data, which can increase statistical power [48]. The effect size of exposure and outcome comes from separate datasets instead of using the same dataset, in order to avoid overfitting, and can also enlarge the study sample size [49]. Between two study designs, weak instruments bias can be avoided when using two independent samples (Fig. 2) [50].

The common approach is to examine this correlation through the inverse-variance weighted (IVW) method, which

provides a causal estimate from summary data on multiple instrument variables [51]. The following is the formula of the estimate:  $\beta_{IVW} = \frac{\sum_k X_k Y_k \sigma_{Y_k}^{-2}}{\sum_k X_k^2 \sigma_{Y_k}^{-2}}$ , and the standard error of the estimate is  $se(\hat{\beta}_{IVW}) = \sqrt{\frac{1}{\sum_k X_k^2 \sigma_{Y_k}^{-2}}}$ , where  $X_k$  means the change in exposure per additional allele with the standard error  $\sigma_{X_k}$ , and  $Y_k$  represents the change in outcome per additional allele with the standard error  $\sigma_{Y_k}$  [52]. The estimates of SNP-exposure and SNP-outcome were obtained from the two separate datasets for each SNP. For each SNP-exposure, we extracted information, such as effect size and standard error, from the AGEN consortium. For each SNP-outcome, we conducted a multiple linear regression or multiple logistic regression adjusted for age, sex, one to eight population stratifications and other covariates including education status, marriage status, sport habit, drinking habit, smoking habit, body mass index, family history of hyperlipidemia and a family history of diabetes from the Taiwan Biobank to obtain the estimates.

### Sensitivity analyses

We used a less strict standard (criteria 2) to select instrumental variables and conducted an MR analysis. Weighted median, MR-Egger, MR Pleiotropy Residual Sum and Outlier (MR-PRESSO), the mode-based estimate (MBE), and the contamination mixture method were used in our sensitivity analyses. Weighted median regression provided consistent causal estimates from the summary data, even if up to 50% of variants did not conform to the Instrument Strength Independent of Direct Effect (InSIDE) assumption [53]. MR-Egger also provided causal estimates, even though there were no valid instrumental variables, and the intercept term



**Fig. 2** The directed acyclic graph for the Mendelian randomization causal inference and assumption

of this method is often used to assess pleiotropy [53]. The MBE method and the contamination mixture method were used when we included multiple instrumental variables, and it could provide a consistent estimate when we had some invalid instrumental variables. However, the contamination mixture method could further distinguish whether there were different mechanisms between the exposure and outcome from different genetic variant groups that had similar estimates [54, 55]. To test the directional pleiotropy and determine the outlier, we also used the MR-PRESSO method. Leave-one-out analysis was performed to detect whether a single SNP could affect the results [56]. Heterogeneity could be regarded as an index related to potential violation of MR assumption and was tested using Cochran's Q statistics [57].

### Statistical analyses

Continuous variables are represented by means and standard deviations, and categorical variables are expressed as values and percentages. The relationships between the lipid profiles, demographic characteristics, glycated hemoglobin (HbA1c), and fasting glucose are presented as beta values ( $\beta$ ) and 95% confidence intervals (95% C.I.) analyzed by linear regression. We used logistic regression, odds ratios

(ORs), and 95% confidence intervals (95% C.I.) to evaluate the risk of HDL-C and LDL-C on diabetes. We conducted multiple linear regression and multiple logistic regression adjusted for age, sex, one to eight principal components, education status, marriage status, sports habit, drinking habit, smoking habit, body mass index, family history of hyperlipidemia, and a family history of diabetes. Generalized estimating equations (GEE) were performed to analyze repeat measurement data and have robustness against deviations from normality. This method can also be used to analyze continuous or binary data, is insensitive to missing values, and considers the intraindividual correlation between measurements [58, 59]. Hence, we used multivariate generalized estimating equations (GEE) regression to examine longitudinal relationships between HDL-C and LDL-C and HbA1c, fasting glucose, and diabetes. A two-tailed test  $p$  value  $< 0.05$  was regarded as statistically significant. Bonferroni correction was made ( $p$  value was multiplied by 6) for avoiding type 1 error resulting from multiple comparisons ( $2 \text{ traits} \times 3 \text{ outcomes} = 6$ ). Observational analyses were performed with SAS version 9.4 software, and Mendelian randomization analyses were performed on Plink version 1.90 and R version 3.5.1 using the “MendelianRandomization” and “MRPRESSO” packages.

**Table 1** General characteristics of the initial Taiwan Biobank cohort

	All participants (n = 25,171)	Cohort participant (n = 10,570)
Age (year) <sup>a</sup>	48.87 ± 11.06	50.04 ± 10.73
Sex (male, n (%))	12,667 (50.32%)	4959 (46.92%)
Education		
Below high school graduate	3180 (12.64%)	1665 (15.77%)
College graduate or below	7370 (29.30%)	3459 (32.76%)
Above college graduate	14,607 (58.06%)	5435 (51.47%)
Married	21,798 (86.67%)	8460 (80.11%)
Sport habit (yes, n (%))	10,303 (40.96%)	4723 (44.70%)
Drinking habit (yes, n (%))	2521 (10.02%)	740 (7.00%)
Smoking habit (yes, n (%))	2612 (10.38%)	984 (9.31%)
BMI (kg/m <sup>2</sup> ) <sup>a</sup>	24.34 ± 3.70	24.25 ± 3.56
Body fat rate (%) <sup>a</sup>	27.21 ± 7.36	27.52 ± 7.34
WHR <sup>a</sup>	0.87 ± 0.07	0.87 ± 0.07
Blood lipid profile (mg/dL) <sup>a</sup>		
HDL-C	52.90 ± 13.09	53.32 ± 13.02
LDL-C	120.90 ± 31.69	121.52 ± 31.89
TC	193.56 ± 35.51	194.12 ± 35.61
TG	116.88 ± 90.78	117.37 ± 88.27
Diabetes (yes, n (%))	2,176 (8.64%)	976 (9.23%)
HbA1c (%) <sup>a</sup>	5.73 ± 0.80	5.76 ± 0.79
Fasting glucose (mg/dL) <sup>a</sup>	96.32 ± 20.93	96.71 ± 20.19

<sup>a</sup>Values are expressed as the mean ± standard deviation (SD)

<sup>b</sup>BMI body mass index, WHR waist-hip ratio, HDL-C high-density lipoprotein cholesterol, LDL-C low-density lipoprotein cholesterol, TC total cholesterol, HbA1c glycated hemoglobin

## Data and resource availability

Summary data of HDL-C and LDL-C GWAS were used in our study is available at the AGEN consortium website (<https://blog.nus.edu.sg/agen/summary-statistics/lipids/>). Summary data (effect size and SE) of HbA1c, fasting glucose, and diabetes is available in Tables E1–E6.

## Results

### Participants

The distribution of the demographic characteristics for the study population is presented in Table 1. A total of 25,171 participants were enrolled from the Taiwan Biobank in a cross-sectional analysis and 10,570 participants were included in a cohort study. The median follow-up period was 3.35 years (range 2.85–3.88 years), and the number of incident diabetes was 976 in the cohort study. The average age of all participants in the cross-sectional study was 48.89 ± 11.06 years old, men accounted for 50.32%, and the average BMI was 24.34 kg/m<sup>2</sup>. The average values of HDL-C, LDL-C, TC, and TG were 50.9, 120.9, 193.56, and 116.88 mg/dL, respectively. In the cohort study, participants were younger, had lower BMI, there were fewer males, and higher values of HDL-C, LDL-C, TC, and TG.

## Cross-sectional study and cohort study

After adjusting for age, sex, first eight ancestry principal components, education status, marriage status, smoking habit, drinking habit, sports habit, BMI, family history of diabetes, and family history of hyperlipidemia, a negative association between HDL and HbA1c ( $\beta_{\text{HDL-C}} = -0.0051$ ,  $p < 0.0001$ ), and a positive association between LDL-C and HbA1c was observed ( $\beta_{\text{LDL-C}} = 0.0004$ ,  $p = 0.0046$ ). When we adjusted for the same covariates, HDL-C ( $\beta_{\text{HDL-C}} = -0.131$ ,  $p < 0.0001$ ) and LDL-C ( $\beta_{\text{LDL-C}} = -0.01$ ,  $p = 0.0136$ ) were negatively associated with fasting glucose. Furthermore, we adjusted for the above covariates to examine the association between HDL-C, LDL-C, and diabetes. We found that HDL-C ( $\text{OR}_{\text{HDL-C}} = 0.961$ ,  $p < 0.0001$ ) and LDL-C ( $\text{OR}_{\text{LDL-C}} = 0.992$ ,  $p < 0.0001$ ) were negatively associated with diabetes. In Multivariate GEE models for the cohort study, we observed similar results, where HDL-C had a protective effect on HbA1c ( $\beta = -0.006$ ,  $p < 0.0001$ ), fasting glucose ( $\beta = -0.14$ ,  $p < 0.0001$ ) and diabetes ( $\text{OR} = 0.967$ ,  $p < 0.0001$ ). LDL-C revealed a negative relationship with diabetes ( $\text{OR} = 0.99$ ,  $p < 0.0001$ ), and had no association with HbA1c ( $\beta = 0.0002$ ,  $p = 0.4814$ ) and fasting glucose ( $\beta = -0.011$ ,  $p = 0.0587$ ). The results are presented in Table 2.

**Table 2** Association between HDL-C and LDL-C and diabetes, HbA1c and fasting glucose in Taiwan biobank cohort using multivariate logistic regression, multivariate linear regression and multivariate generalized estimating equations regression

Traits	Prevalent diabetes			HbA1c			Fasting glucose		
	OR <sup>a</sup>	95%C.I	p-value	$\beta^a$	95%C.I	p-value	$\beta^a$	95%C.I	p-value
Cross-sectional study: multivariate logistic regression and linear regression									
HDL-C	0.961	(0.957, 0.966)	<0.0001	-0.0051	(-0.0060, -0.0043)	<0.0001	-0.1305	(-0.1524, -0.1087)	<0.0001
LDL-C	0.992	(0.991, 0.994)	<0.0001	0.0004	(0.0001, 0.0007)	0.0046	-0.0101	(-0.0180, -0.0022)	0.0122
Traits	Incident diabetes			HbA1c			Fasting glucose		
	OR <sup>a</sup>	95%C.I	p-value	$\beta^a$	95%C.I	p-value	$\beta^a$	95%C.I	p-value
Cohort study: Multivariate generalized estimating equations (GEE) regression									
HDL-C	0.967	(0.960, 0.973)	<0.0001	-0.0061	(-0.0072, -0.0049)	<0.0001	-0.1400	(-0.1701, -0.1099)	<0.0001
LDL-C	0.990	(0.988, 0.991)	<0.0001	0.0002	(-0.0003, 0.0006)	0.4814	-0.0111	(-0.0226, 0.0004)	0.0587

Prevalent diabetes: 2176 diabetes; incident diabetes: 976 diabetes

HbA1c glycated hemoglobin, OR odds ratio, C.I. confidence interval, HDL-C high-density lipoprotein cholesterol, LDL-C low-density lipoprotein cholesterol

<sup>a</sup>Adjusted age, sex, PC1-8, education status, marriage status, sport habit, smoking habit, drinking habit, family history of diabetes, family history of hyperlipidemia and BMI

### Instrumental variables

In our study, we calculated the F value to evaluate the strength of the IVs. F values for IVs of HDL-C were 102.02 to 103.98 and F values for IVs of LDL-C were 13.29 to 13.96, respectively. The F values of all IVs were greater than 10, indicating that the strength of IVs was sufficient. The detailed information was shown in Tables E1–E6.

### One sample Mendelian randomization analyses

In assessment of one-sample MR using individual-level data for causal association between HDL-C and LDL-C and HbA1c, fasting glucose and diabetes, we found that HDL-C was causally associated with HbA1c ( $\beta_{IVW} = -0.11$ ,  $p = 0.05$ ), fasting glucose ( $\beta_{IVW} = -3.67$ ,  $p = 0.02$ ) and diabetes ( $\beta_{IVW} = -0.02$ ,  $p = 0.03$ ), and there was no evidence between LDL-C and HbA1c, fasting glucose and diabetes.

### Two sample Mendelian randomization analyses

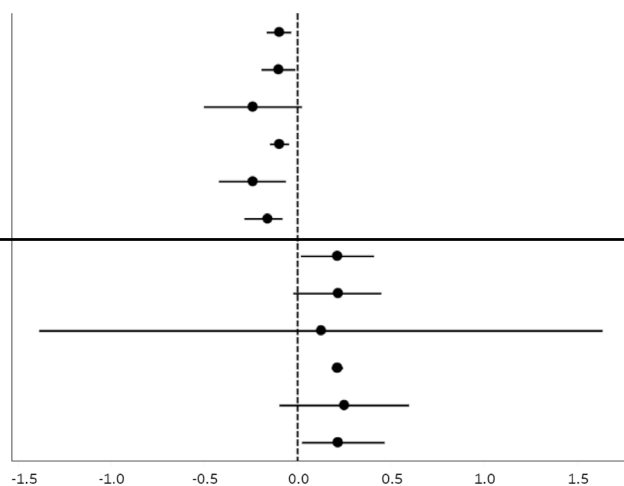
We conducted a two-sample MR to examine the causality between HDL-C and LDL-C and HbA1c, fasting glucose, and diabetes. We found that the increase in HDL-C caused a decrease in the HbA1c value ( $\beta_{IVW} = -0.098$ ,  $p = 0.003$ ), and the increase in LDL-C led to an increase in HbA1c ( $\beta_{IVW} = 0.213$ ,  $p = 0.034$ ), as shown in Fig. 3 and Table E8. For fasting glucose, we found that neither HDL-C nor LDL-C had a significant causal effect on the value of

fasting glucose, as shown in Fig. 4 and Table E9. In addition, we detected that higher HDL-C ( $\beta_{IVW} = -0.532$ ,  $p = 0.002$ ) reduced the risk of diabetes, but there was no genetic effect of LDL-C on the risk of diabetes in Fig. 5 and Table E10.

### Sensitivity analyses

In our study, we applied the weighted median, MR-PRESSO, MBE, and contamination mixture method to check the robustness of the results from the IVW method and the intercept of the MR-Egger method to inspect the horizontal pleiotropy. We found that the effects of HDL-C on HbA1c in all methods had consistent negative directions, and all four sensitivity analyses were consistent. The effect of LDL-C on HbA1c in all methods had the same direction, but only the IVW, MR-PRESSO and contamination mixture methods had positive causal relationships ( $\beta_{IVW} = 0.213$ ,  $p = 0.034$ ;  $\beta_{MR-PRESSO} = 0.213$ ,  $p < 0.001$ ;  $\beta_{contamination\ mixture\ method} = 0.216$ ,  $p = 0.034$ ), as shown in Fig. 3 and Table E8. For fasting glucose, although HDL-C had the same direction in all MR methods, there was no causal association for IVW methods ( $\beta_{IVW} = -0.856$ ,  $p = 0.387$ ). In sensitivity analyses, the results of MBE and the contamination mixture method for the associations between HDL-C and fasting glucose were significant ( $\beta_{mode-based} = -5.331$ ,  $p = 0.044$ ;  $\beta_{contamination\ mixture\ method} = -3.782$ ,  $p = 0.004$ ). The association between LDL-C and fasting glucose had no causal relationship, except for using the MR-PRESSO method

Traits	MR methods	$\beta$ (95% C.I.)
HDL-C	IVW	-0.10 (-0.16, -0.03)
	Weighted median	-0.10 (-0.19, -0.01)
	MR-Egger <sup>a</sup>	-0.24 (-0.50, 0.02)
	MR-PRESSO <sup>b</sup>	-0.10 (-0.15, -0.05)
	Mode-based estimate	-0.24 (-0.42, -0.06)
	Contamination mixture method	-0.16 (-0.28, -0.08)
LDL-C	IVW	0.21 (0.02, 0.41)
	Weighted median	0.22 (-0.02, 0.45)
	MR-Egger <sup>c</sup>	0.13 (-1.38, 1.63)
	MR-PRESSO <sup>d</sup>	0.21 (0.18, 0.24)
	Mode-based estimate	0.25 (-0.10, 0.60)
	Contamination mixture method	0.22 (0.03, 0.47)



<sup>a</sup>MR-Egger intercept test for pleiotropy for HDL p-value=0.273

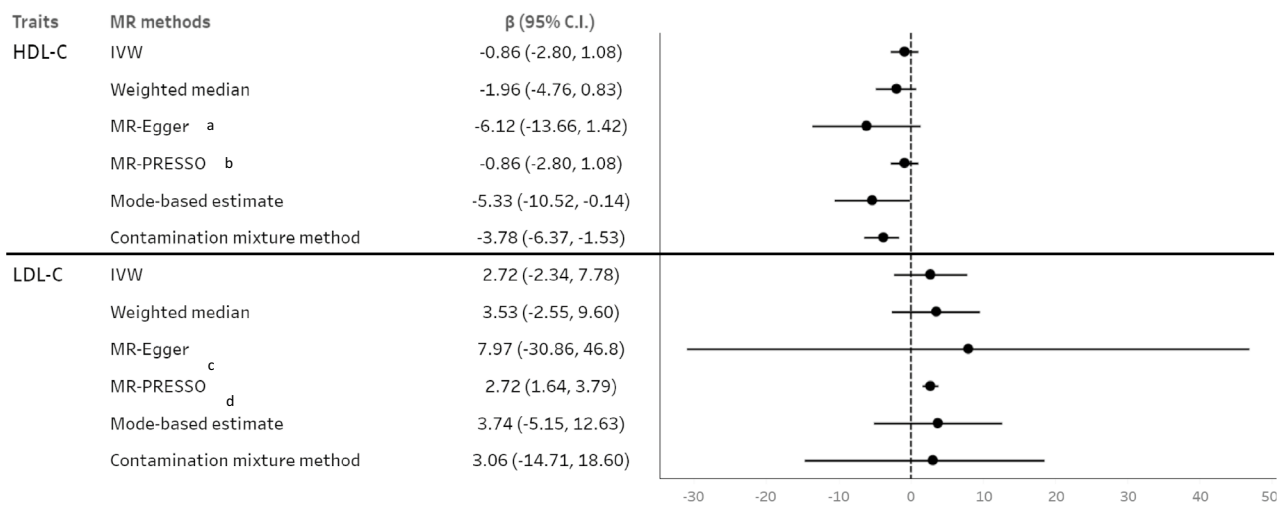
<sup>b</sup>MR-PRESSO global test for for pleiotropy for HDL p-value=0.985

<sup>c</sup>MR-Egger intercept test for pleiotropy for LDL p-value=0.910

<sup>d</sup>MR-PRESSO global test for for pleiotropy for LDL p-value=1.000

**Fig. 3** The association between high-density lipoprotein cholesterol and low-density lipoprotein cholesterol and HbA1c using Mendelian randomization analyses





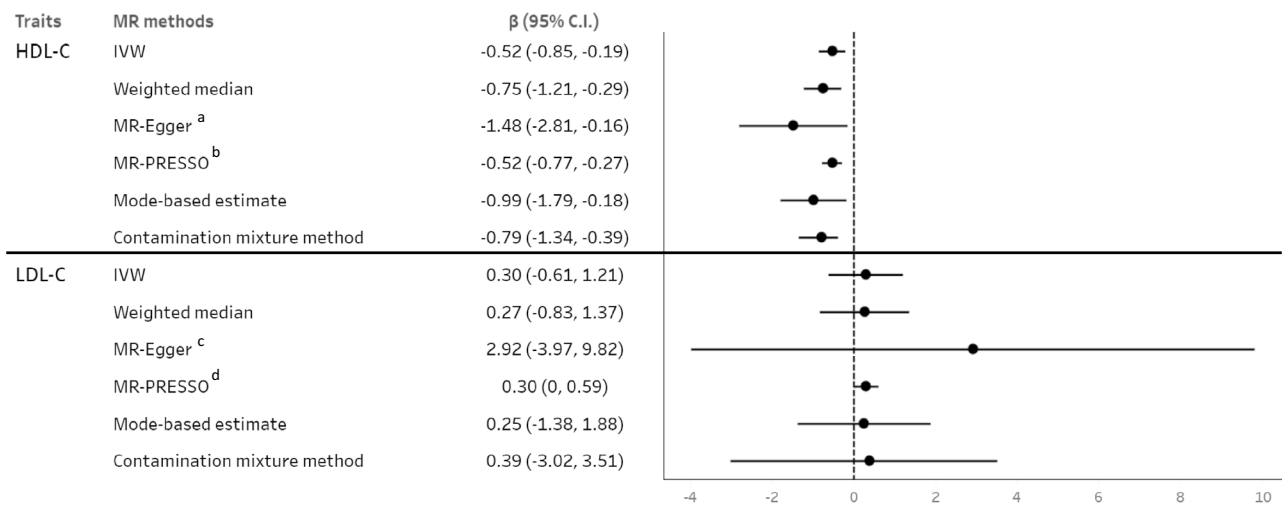
<sup>a</sup>MR-Egger intercept test for pleiotropy for HDL p-value=0.157

<sup>b</sup>MR-PRESSO global test for for pleiotropy for HDL p-value=0.227

<sup>c</sup>MR-Egger intercept test for pleiotropy for LDL p-value=0.789

<sup>d</sup>MR-PRESSO global test for for pleiotropy for LDL p-value=1.000

**Fig. 4** The association between high-density lipoprotein cholesterol and low-density lipoprotein cholesterol and fasting glucose using Mendelian randomization analyses



<sup>a</sup>MR-Egger intercept test for pleiotropy for HDL p-value=0.143

<sup>b</sup>MR-PRESSO global test for for pleiotropy for HDL p-value=0.989

<sup>c</sup>MR-Egger intercept test for pleiotropy for LDL p-value=0.451

<sup>d</sup>MR-PRESSO global test for for pleiotropy for LDL p-value=0.999

**Fig. 5** The association between high-density lipoprotein cholesterol and low-density lipoprotein cholesterol and diabetes using Mendelian randomization analyses

( $\beta_{\text{MR-PRESSO}} = 2.718, p = 0.002$ ). These results are shown in Fig. 4 and Table E9. The causal relationship between HDL-C and diabetes was estimated in the same direction in all methods, but LDL-C was not associated with diabetes in Fig. 5 and Table E10. After Bonferroni correction,

we observed that HDL-C still has a causal association with diabetes.

When we used SNPs as IVs that were related to other lipid profiles (criteria 2), we observed similar results between HDL-C and HbA1c ( $\beta_{\text{IVW}} = -0.020, p = 0.002$ )

and diabetes ( $\beta_{IVW} = -0.129$ ,  $p < 0.001$ ), but the effects were attenuated. The causal association between HDL-C and fasting glucose ( $\beta_{IVW} = -0.623$ ,  $p = 0.006$ ) was observed in this sensitivity analysis. The causal association between LDL-C and diabetes ( $\beta_{IVW} = -0.108$ ,  $p = 0.03$ ) was observed and the results were shown in Tables E11–E13.

In addition, we did not find any horizontal pleiotropy of IVs in any blood lipid profile by the intercept test of the MR-Egger regression test and the MR-PRESSO global test ( $p > 0.05$ ). The results of pleiotropy and heterogeneity were shown in Table E14. Besides, there was no evidence that the causal estimation was affected by a single SNP in the leave-one-out analysis (Figure E4).

## Discussion

To date, this is the first two-sample MR analysis to examine the causality between HDL-C, LDL-C, and HbA1c. Besides, few MR studies have explored the relationship between lipid profile and three outcomes of HbA1c, fasting glucose, and diabetes concurrently in Asian ancestry. In our study, we found that HDL-C had strongly negative causality with HbA1c and diabetes with the IVW method and all sensitivity analyses.

The results between observational studies and MR studies might have conflicting findings due to reverse causation and confounding. We aimed to overcome the limitation by using the MR approach and to provide evidence as to whether HDL-C and LDL-C were causally associated with HbA1c, fasting glucose, and diabetes. We observed evidence that the HDL-C levels had a causal association with HbA1c and diabetes in one-sample MR, and two-sample MR. Our findings were robust and consistent across different MR methods and various sensitivity analyses. LDL-C was not causally associated with HbA1c, fasting glucose, and diabetes in all methods and sensitivity analyses, and the results were not varying by statistical adjustment.

Up to now, few studies have explored genetically determined associations between lipid profiles and fasting glucose. A Dutch study found that higher levels of HDL-C were causally associated with lower levels of fasting glucose using two-sample MR analysis [16]. Inconsistent causality between LDL-C levels and fasting glucose had been found, where a European MR study indicated increased 1 mmol/L LDL-C would decrease 0.09 mmol/L fasting glucose [18]. Similar to our findings, an Indian study reported that the genetically predicted levels of LDL-C were not causally associated with the levels of fasting glucose [17]. Compared with other MR findings, we considered the diversity between each ethnic group, differences in SNP numbers, varying SNP

selection criteria, or sample size might cause inconsistent results.

Previous MR studies have reported that higher levels of HDL-C and LDL-C were causally associated with a lower risk of diabetes using over one hundred IVs in European ancestry [19, 20]. An MR study using two large community-based studies (including UK Biobank) and independent case–control studies found a consistent result that demonstrated increased HDL-C and LDL-C levels would decrease the risk of diabetes [21]; furthermore, similar results using univariable MR analysis and multivariable MR analysis indicated that HDL-C was negatively associated with the risk of diabetes, but LDL-C did not have causal association with diabetes [22]. In line with our results, a recent MR study among Africans showed that genetically predicted HDL-C was associated with a decreased risk of diabetes, and a positive association between genetically predicted LDL-C and diabetes was also found [23]. An MR study from Danish populations demonstrated that the reduction of HDL-C levels would increase the risk of diabetes [24], but another Danish study showed no evidence between HDL-C and diabetes [25]. Further consistent results were observed between LDL-C and diabetes in previous MR studies where both studies found that decreased levels of LDL-C were causally associated with increased risk of diabetes [18, 26].

The development of diabetes involves several pathogenic processes, including  $\beta$ -cell dysfunction, insulin deficiency, and insulin resistance. These factors can cause an increase in insulin-mediated glucose production in the liver and a reduction in the uptake of insulin-mediated glucose production in skeletal muscle and adipose tissue, leading to hyperglycemia and then the development of diabetes [7, 60]. Prediabetes is defined as glycemic variables higher than a normal statement but lower than the diabetic threshold and plays an important role in the development of diabetes. Recent studies have also demonstrated the importance of the association between prediabetes, heart failure, and cardiovascular disease [61, 62]. Some studies have pointed out that HDL-C may directly influence glucose metabolism [13, 63]. There is evidence that HDL-C inhibits caspase 3 cleavage and blocks the harmful effect of LDL-C to directly protect  $\beta$ -cells [64]. Another study reported that HDL-C, LDL-C, and TC regulate the function and survival of  $\beta$ -cells and that HDL-C has antiobesity and insulin-sensitizing effects [65]. Moreover, a few studies have demonstrated that increasing HDL-C can be used as a treatment for diabetes [66, 67]. The influence of HDL-C on pancreatic  $\beta$ -cells and skeletal muscle cells in mice was discovered in this study, and an elevation of HDL-C in humans was associated with a reduction in blood glucose levels [67]. These associations might provide evidence for dyslipidemia contributing to prediabetes or diabetes. In addition, cholesterol ester transfer protein (CETP) is mainly related to the transfer of lipids

in the plasma. It promotes the conversion of HDL to very low-density lipoprotein cholesterol (VLDL) and LDL in exchange for reverse-transported triglyceride (TG) [68]. In other words, CETP inhibitors not only decrease LDL-C but also increase HDL-C [66]. As mentioned above, the use of CETP inhibitors will increase HDL-C levels and decrease LDL-C levels, as well as affect glucose metabolism. Therefore, CETP inhibitors might not only treat dyslipidemia but regulate glucose metabolism as well. The underlying mechanism needs to be verified in the future.

We observed that the effect of HDL-C on HbA1c and fasting glucose was inconsistent in our study. The diagnose criteria for diabetes were based on fasting plasma glucose (FPG) or 2-h plasma glucose (2-h PG) value during a 75-g oral glucose tolerance test (OGTT), or HbA1c [69]. FPG is a relatively convenient and inexpensive method, but its disadvantages are that patients need to fast for 8 h, and FPG value will be affected by large diurnal variations, stress, or acute diseases [70]. HbA1c level is a stable and consistent indicator because it reflects blood glucose concentrations over the past eight to twelve weeks [71]. As a result, we speculated that these reasons might have led to our inconsistent results, and we believed that the result of HbA1c was more robust than fasting glucose.

Our study has several limitations. First, the Taiwan Biobank enrolls participants voluntarily, so there may be a problem of selection bias. However, the participants themselves were not informed of their genotypes and there was no significant difference in the ratio of men to women (men: 50.32%, women: 49.68%), so the problem of selection bias was reduced. Second, we did not perform multivariable MR because there were no common variants in HDL-C and LDL-C after strict exclusion criteria, however, we did not observe pleiotropy of IVs by MR-Egger intercept test and MR-PRESSO global test. Third, medication could not be taken into account in the analysis of our study, since the TWB did not collect information on drug use for diabetes (or high blood glucose, including insulin), so we were unable to obtain such information on treatment for patients. Finally, because the proportion of participants with type 1 diabetes was low (2.5%), we did not eliminate them from our study. We assumed that the small number of people with type 1 diabetes would not affect our findings.

There were several strengths in our study. First, there is no two-sample MR literature to discuss the causality between HDL-C, LDL-C and HbA1c in the Asian ancestry population. As genetic effects on disease should be different by race or ancestry, establishing such specific evidence is very important to understand the etiology by different genetic backgrounds. Second, the results of Mendelian randomization studies are often influenced by linkage disequilibrium (LD), horizontal pleiotropy, and population stratification. We removed SNPs with a strict criterion of LD ( $r^2 \geq 0.1$ ) in

our study. Third, we selected the genetic variants as instrumental variables (IVs) with strict criteria (excluded variants associated with other lipid traits) so that there was no pleiotropy effect in our study. Fourth, we adjusted the population stratification in all analyses by principal component analysis. Fifth, we used the large GWAS consortium from Asian countries and an F value > 10 when selecting the IVs. Sixth, we used five sensitivity analyses and the Bonferroni correction method to elevate the robustness of our results. Finally, we conducted a cohort study to enhance our findings.

## Conclusion

Our results support the hypothesis that an increase in HDL-C is causally related to a lower level of HbA1c and a lower risk of diabetes in Asian ancestry. It is necessary to investigate the mechanism of HDL-C's effects on HbA1c in the future.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s40618-023-02209-x>.

**Author contributions** YCL and TNW contributed to the conception and design. TNW contributed to the acquisition of data. YCL and HPT contributed to statistical analysis and interpretation. YCL and TNW drafted the manuscript for important intellectual content. TNW is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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**Data availability** Summary data of blood lipid profiles GWAS were used in our study is available at the AGEN consortium website (<https://blog.nus.edu.sg/agen/summary-statistics/lipids/>).

## Declarations

**Conflict of interests** The authors have declared that they have no conflict of interest.

**Ethical approval** Ethical approval for the study was granted by the Institutional Review Board of Kaohsiung Medical University and Chung-Ho Memorial Hospital and the Ethics and Governance Committee (EGC) of the Taiwan Biobank.

**Informed consent** Written informed consent of participation was obtained from all participants when joining TWB and the personal information of each participant was fully encrypted for protection.

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