

# A Formula for the HOMFLY Polynomial of rational links

Sergei Duzhin<sup>1</sup> · Mikhail Shkolnikov<sup>2</sup>

Received: 10 November 2014 / Accepted: 7 April 2015 / Published online: 24 April 2015  
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**Abstract** We give an explicit formula for the HOMFLY polynomial of a rational link (in particular, knot) in terms of a special continued fraction for the rational number that defines the given link [after this work was accomplished, the authors learned about a paper by Nakabo (J. Knot Theory Ramif 11(4):565–574, 2002) where a similar result was proved. However, Nakabo’s formula is different from ours, and his proof is longer and less clear].

**Keywords** Rational knots · HOMFLY polynomial · 2-bridge knots · Chebyshev polynomials

## 1 Rational links

Rational (or 2-bridge) knots and links constitute an important class of links for which many problems of knot theory can be completely solved and provide examples often leading to general theorems about arbitrary knots and links. For the basics on rational (2-bridge) knots and links we refer the reader to Lickorish (1997) and Murasugi (1996). As regards the definition, we follow Lickorish (1997), while the majority of properties that we need, are to be found in a more detailed exposition of Murasugi (1996). In particular, by *equivalence* of (oriented) links  $L = K_1 \cup K_2$  and  $L' = K'_1 \cup K'_2$  we

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S. Duzhin is supported by Grants NSH-4850.2012.1 and RFBR 13-01-00383.

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M. Shkolnikov is supported by the Swiss National Science Foundation grant 140666.

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✉ Mikhail Shkolnikov  
mikhail.shkolnikov@gmail.com

<sup>1</sup> PDMI, St. Petersburg, Russia

<sup>2</sup> UNIGE, Geneva, Switzerland

understand a smooth isotopy of  $\mathbb{R}^3$  which takes the union  $K_1 \cup K_2$  into the union  $K_1 \cup K_2$ , possibly interchanging the components of the link.

Let  $p$  and  $q$  be mutually prime integers,  $q > 0$ ,  $|\frac{p}{q}| \leq 1$ , and we have a continued fraction

$$\frac{p}{q} = \frac{1}{b_1 + \frac{1}{b_2 + \frac{1}{\dots + \frac{1}{b_{n-1} + \frac{1}{b_n}}}}}, \tag{1}$$

where  $b_i$  are nonzero integers (positive or negative). Below, we will use shorthand notation  $[b_1, b_2, \dots, b_n]$  for the continued fraction with denominators  $b_1, b_2, \dots, b_n$ . A theorem of Schubert (see, for instance, Lickorish 1997; Murasugi 1996) says that the (isotopy type of the) resulting unoriented link does not depend on the choice of the continued fraction for the given number  $p/q$ .

The case  $p = q = 1$  is exceptional: it corresponds to the trivial knot which is the only rational, but not 2-bridge knot. On some occasions, it will be helpful to allow the numbers  $b_i$  also take values 0 and  $\infty$  subject to the rules  $1/0 = \infty$ ,  $1/\infty = 0$ ,  $\infty + x = \infty$ .

Consider a braid on four strands corresponding to the word  $A^{b_1} B^{b_2} A^{b_3} \dots$ , where  $A$  and  $B$  are fragments depicted in Fig. 1 and concatenated from left to right.

Then take the closure of this braid depending on the parity of  $n$  (see Fig. 2).

We will call (non-oriented) diagrams obtained in this way *natural diagrams of rational links* and denote them by  $D[b_1, b_2, \dots, b_n]$ . We shall denote the link represented

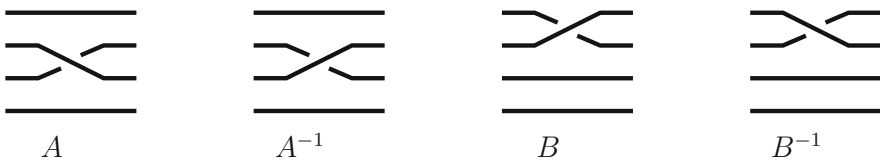


Fig. 1 Fragments of natural diagrams



Fig. 2 Odd and even closure

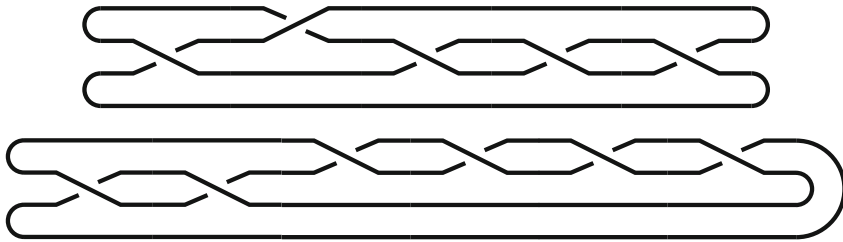


Fig. 3 Two natural diagrams of the table knot  $5_2$

by this diagram as  $L\left(\frac{p}{q}\right)$ . For odd denominators  $L\left(\frac{p}{q}\right)$  turns out to be a knot, while for even denominators it is a two-component link. Such knots and links are called *2-bridge* or *rational*.

*Example* We have, among others, the following two continued fractions for the rational number  $4/7$  (we use shorthand notation, see page 2):

$$\frac{4}{7} = [1, 1, 3] = [2, -4].$$

These fractions correspond to the natural link diagrams shown in Fig. 3.

### 2 Orientations

Note that, if a natural diagram represents a two-component link, then the two vertical leftmost fragments belong to different components. If they are oriented in the same direction, as shown in Fig. 4, then we call the diagram *positive* and denote it by  $D^+[b_1, b_2, \dots, b_n]$ .

If the orientation of one of the components is reversed, then we call it *negative* and denote by  $D^-[b_1, b_2, \dots, b_n]$ . It does not matter which component of the link is reversed, because the change of orientation of both components yields the same link, see Murasugi (1996). As we will see later (Lemmas 3, 4), the choice between the



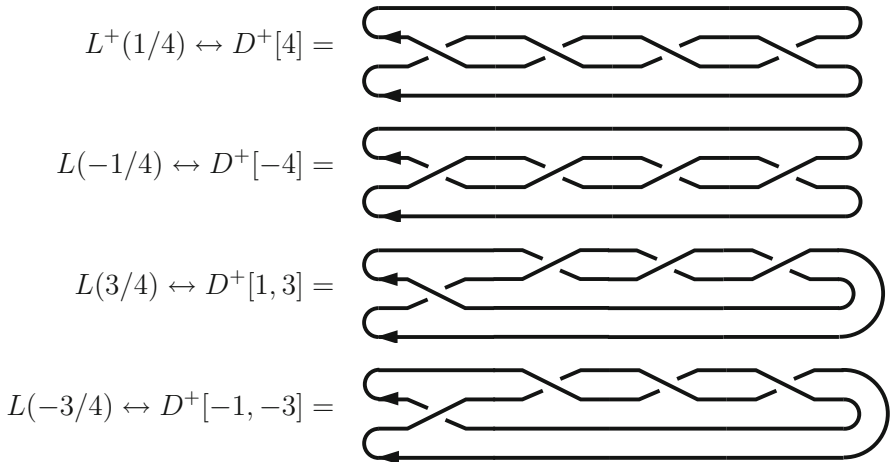
Fig. 4 Positive orientation on a 2-component rational link

corresponding links does not depend on a particular continued fraction expansion of the number  $p/q$ . This makes the notations  $L^+(p/q)$  and  $L^-(p/q)$  well-defined.

Let  $p' = p - q$ , if  $p > 0$ , and  $p' = p + q$ , if  $p < 0$ . According to Murasugi (1996), we have:  $L^-\left(\frac{p}{q}\right) = L^+\left(\frac{p'}{q}\right)$ , therefore, in principle, it is sufficient to study only the totality of all positive rational links. In the case of knots (when  $q$  is odd), the two oppositely oriented knots are isotopic, and we have  $L\left(\frac{p}{q}\right) = L\left(\frac{p'}{q}\right)$  (again, see Murasugi 1996). Therefore, it is sufficient to study only the knots with an even numerator (cf. Lemma 2 below).

Another important operation on links is the reflection in space; it corresponds to the change of sign of the corresponding rational number:  $p/q \mapsto -p/q$ , see Murasugi (1996).

The two symmetry  $\mathbb{Z}_2$  operations on rational links generate a group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ ; they are transparently exemplified by the examples  $p/q = 1/4, -1/4, 3/4, -3/4$ , which correspond to the four versions of the so called *Solomon knot* (although it is actually a two-component link):



By dragging the lower strand of the diagram for  $L(3/4)$  upwards we get the diagram for  $L(-1/4)$  with the opposite orientation of the upper strand. The same is true for the pair  $L(-3/4)$  and  $L(1/4)$ .

### 3 HOMFLY Polynomial

In 2004–2005 Japanese mathematicians Fukuhara (2005) and Mizuma (2004) found independently different explicit formulae for the simplest invariant polynomial of 2-bridge links: the Conway (Alexander) polynomial. The aim of the present paper is to establish a formula for a more general HOMFLY polynomial  $P$  in terms of the number  $p/q$  that defines the rational link.

The HOMFLY polynomial (Lickorish 1997; Prasolov and Sossinsky 1997; Chmutov et al. 2012) is a Laurent polynomial in two variables  $a$  and  $z$  uniquely defined by

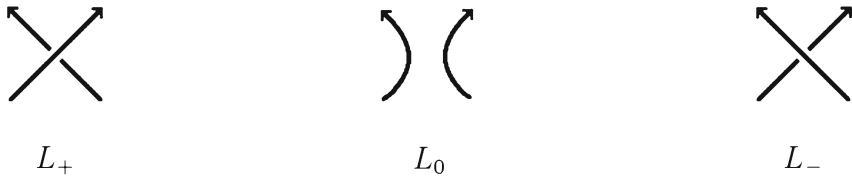


Fig. 5 Outside of these regions the three links coincide

the following relations (we use the normalization of Knot Atlas 2015; Chmutov et al. 2012; other authors may write the same polynomial in different pairs of variables, for example, Lickorish 1997 uses  $l = \sqrt{-1}a$  and  $m = -\sqrt{-1}z$ ):

$$P(\bigcirc) = 1, \quad aP(L_+) - a^{-1}P(L_-) = zP(L_0), \tag{2}$$

where  $L_+, L_-$  and  $L_0$  are links that differ inside a certain ball as shown in Fig. 5.

As we mentioned in the previous section, in the case of rational knots, the change of orientation gives the same (isotopic) knot, while for links it is important to distinguish between the two essentially different orientations (this number is two, not four, because the change of orientation on both components gives the same rational link).

There is a simple formula relating the HOMFLY polynomials of a knot (link) with that of its mirror reflection ( $a \mapsto -a^{-1}, z \mapsto z$ ), so in principle it is enough to study only the knots (links) described by positive fractions.

HOMFLY polynomials of some links are given below in Fig. 7 and Table 1.

### 4 Reduction Formula

Consider a family of links  $L_n$  for  $n$  even, which coincide everywhere but in a certain ball, where they look as shown in Fig. 6a–c. Moreover, we define the link  $L_\infty$  by Fig. 6d. That is, we consider a family of links with a distinguished block where the strands are counter-directed. A formula similar to what we are going to prove, can also be established for co-directed strands, but for our purposes the following Proposition is sufficient. It expresses the value  $P(L_n)$  through  $P(L_0)$  and  $P(L_\infty)$ .

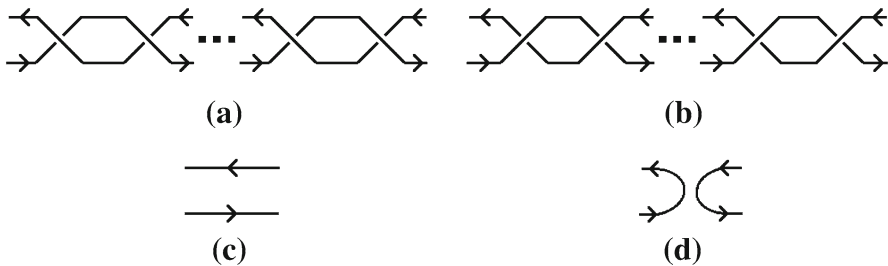


Fig. 6 The differing portions of the links  $L_n$ . In the first two pictures the elementary fragment is repeated  $\frac{|n|}{2}$  times. **a**  $n > 0$ . **b**  $n < 0$ . **c**  $n = 0$ . **d**  $n = \infty$

**Lemma 1**

$$P(L_n) = a^n P(L_0) + z \frac{1 - a^n}{a - a^{-1}} P(L_\infty).$$

*Proof* Proceed by induction on  $n$ .

- (1) For  $n = 0$  the assertion is trivially true.
- (2) Suppose it is true for  $n - 2$ . The skein relation (2) shows that  $zP(L_\infty) = aP(L_{n-2}) - a^{-1}P(L_n)$ . Substituting here the assumed formula for  $P(L_{n-2})$ , we can express  $P(L_n)$  as follows:

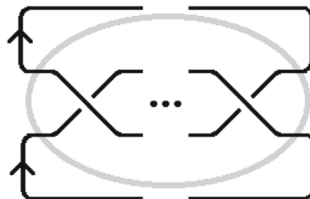
$$\begin{aligned} P(L_n) &= a^2 P(L_{n-2}) - zaP(L_\infty) \\ &= a^2 \left( a^{n-2} P(L_0) + z \frac{1 - a^{n-2}}{a - a^{-1}} P(L_\infty) \right) - zaP(L_\infty) \\ &= a^n P(L_0) + z \left( a^2 \frac{1 - a^{n-2}}{a - a^{-1}} - a \right) P(L_\infty) \\ &= a^n P(L_0) + z \frac{1 - a^n}{a - a^{-1}} P(L_\infty). \end{aligned}$$

The positive branch of induction is thus proved.

- (3) Suppose the assertion holds for a certain value of  $n$ . Prove it for the value  $n - 2$ . To do so, it is enough to reverse the argument in the previous item. This completes the proof of the proposition. □

*Remark* For even values of  $n$  the fraction  $(1 - a^n)/(a - a^{-1})$  is actually a Laurent polynomial, namely,  $-a - a^3 - \dots - a^{n-1}$ , if  $n > 0$ , and  $a^{-1} + a^{-3} + \dots + a^{n+1}$ , if  $n < 0$ .

**Corollary 1** Let  $T_{2,n}$  be the torus link with counter-directed strands (shown in the picture on the right). Then  $P(T_{2,n}) = z^{-1} a^n (a - a^{-1}) + z \frac{1 - a^n}{a - a^{-1}}$ .



*Proof* Notice that  $n$  is even. Consider the family of links  $L_m = T_{2,m}$ , where  $m$  is an arbitrary even number. Outside of the grey ellipse all the links of this family are the same, and inside it they look as shown on Fig. 6. Therefore, we fall under the assumptions of Lemma 1, and it only remains to note that  $P(L_0) = z^{-1}(a - a^{-1})$  and  $P(L_\infty) = 1$ . □

Particular cases of this Corollary for  $n = 0, \pm 2, \pm 4$  give the well-known values of the HOMFLY polynomial for the two unlinked circles, the Hopf link and the two (out of the total four) versions of the oriented ‘‘Solomon knot’’, see Fig. 7.

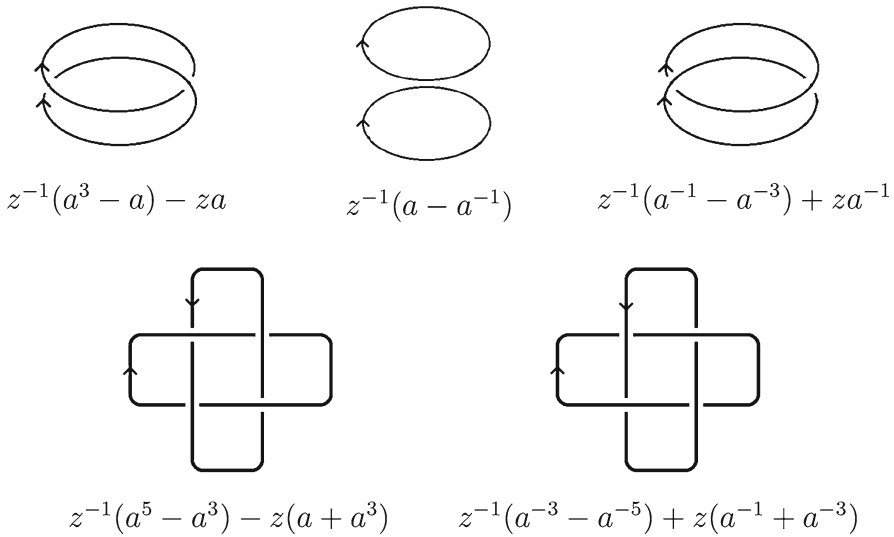


Fig. 7 HOMFLY polynomial of some torus links

### 5 Canonical Orientation of Rational Links

**Lemma 2** Suppose the numbers  $p$  and  $q$  are mutually prime and  $|\frac{p}{q}| < 1$ . The number  $\frac{p}{q}$  has a continued fraction expansion with non-zero even denominators if and only if the product  $pq$  is even, and if such an expansion exists, it is unique.

*Proof* (1) Necessity: if  $p/q = [b_1, b_2, \dots, b_n]$  with all  $b_i$ 's even, then  $pq$  is even. We shall prove that by induction on the length  $n$  of the continued fraction. The induction base is evident. Now,

$$\frac{p}{q} = [b_1, b_2, \dots, b_n] = \frac{1}{b_1 + [b_2, \dots, b_n]} = \frac{1}{b_1 + p'/q'} = \frac{q'}{b_1q' + p'}$$

By the induction assumption, one (and only one!) of  $p'$  or  $q'$  is even. Since  $b_1$  is even, it follows that either the numerator or the denominator of the last fraction is even, so their product is even and, since the numbers  $p'$  and  $q'$  are mutually prime and  $p' < q'$ , this fraction is irreducible and smaller than 1 by absolute value.

(2) Sufficiency: if the product  $pq$  is even, then the irreducible fraction  $p/q$  allows for a continued fraction with even denominators. If  $q = \pm 2$ , then the expansion clearly exists. We proceed by induction on  $|q|$ . Among the numbers  $[\frac{q}{p}]$  and  $[\frac{q}{p}] + 1$  one is even, call it  $b$ . The number  $b - \frac{q}{p}$  can be written as an irreducible fraction  $\frac{p'}{q'}$ . Note that  $b$  cannot be 0, because  $|q/p| > 1$ . Then  $|\frac{p'}{q'}| < 1$  and  $\frac{p}{q} = \frac{1}{b + \frac{p'}{q'}}$ , where we have  $|q'| < |q|$ . Similarly to the argument in the previous section we infer that the product  $p'q'$  is even. By the induction

assumption  $\frac{p'}{q'}$  has a continued fraction expansion with even denominators. This completes the proof of sufficiency.

We proceed to the proof of uniqueness, using induction on the length of the continued fraction. For  $p = 1$  the assertion is trivial. Suppose that

$$[b_1, b_2, \dots, b_n] = [c_1, c_2, \dots, c_n]$$

where all the numbers  $b_i$  and  $c_i$  are even, and several last terms of the sequence  $c_i$  may be  $\infty$  (which means that this sequence is actually shorter than the first one). Then

$$b_1 + [b_2, \dots, b_n] = c_1 + [c_2, \dots, c_n].$$

Therefore,

$$|b_1 - c_1| = |[b_2, \dots, b_n] - [c_2, \dots, c_n]| < 2$$

But the number  $|b_1 - c_1|$  is even, hence  $b_1 = c_1$ .

The lemma is proved. □

The continued fraction expansion with even denominators and the corresponding natural diagram will be referred to as the *canonical expansion* of a rational number and the *canonical diagram* of a rational link (defined up to a rotation, see Lemma 4).

*Remark* The parity of the denominator of a rational number is always opposite to the parity of the length of its even (canonical) continued fraction expansion. That is, for knots the canonical expression is of even length, while for links it is of odd length.

Now we are in a position to define a canonical *oriented* rational link.

Let

$$\frac{p}{q} = [b_1, b_2, \dots, b_n] = \frac{1}{b_1 + \frac{1}{b_2 + \frac{1}{\dots + \frac{1}{b_{n-1} + \frac{1}{b_n}}}}},$$

where  $q$  and all  $b_i$  are even. The diagram  $D[b_1, \dots, b_n]$  taken with the positive orientation, denoted by  $D^+[b_1, \dots, b_n]$ , will be referred to as the *canonical diagram* of the oriented link  $L^+(p/q)$ .

We will use the canonical diagrams for the proof of the main theorem. However, for this theorem to make sense, we must check that the oriented link  $L^+(p/q)$  does not depend on a particular choice of the continued fraction for the rational number  $p/q$  and, especially, that it does not change when  $p/q$  is changed by  $\bar{p}/q$  where  $p\bar{p} \equiv 1 \pmod{2q}$ . We will prove these facts immediately.



**Lemma 3** *Suppose that  $p/q = [b_1, \dots, b_n] = [c_1, \dots, c_m]$  where  $b_i$  and  $c_i$  are non-zero integers. Then the natural diagram  $D^+[b_1, \dots, b_n]$  and  $D^+[c_1, \dots, c_m]$  are oriented isotopic.*

*Proof* We will prove that every natural diagram  $D^+[b_1, \dots, b_n]$  is oriented isotopic to the canonical (even) natural diagram. To do so, we follow the induction argument used in Lemma 2 (sufficiency part). In fact, the induction step used there consists of one of the two transformations on the sequence  $\{b_1, b_2, \dots, b_n\}$ :

- (1)  $[S, s, t, T] \mapsto [S, s + 1, -1, 1 - t, T]$ , if  $t > 0$ ,
- (2)  $[S, s, t, T] \mapsto [S, s - 1, 1, -1 - t, T]$ , if  $t < 0$ ,

where  $s, t$  are arbitrary integers and  $S, T$  are arbitrary sequences.

The algorithm is to find the first from the left occurrence of an odd number and apply one of these rules. If  $T = \emptyset$  and  $t = \pm 1$ , then we use the rule  $[S, s, \pm 1] \mapsto [S, s \pm 1]$  instead. Note that the situation when all numbers  $b_i, 1 \leq i \leq n - 1$  are even, while  $b_n$  is odd, is impossible, because it corresponds to a knot rather than to a two-component link.

The Proof of Lemma 2 assures that, in this process, the denominator of the rational fraction monotonically decreases, and thus the algorithm is finite.

Each step of the algorithm, when depicted on natural diagrams, shows that during this process the equivalence of oriented links is preserved (even with numbering of components). □

The previous lemma justifies the notation  $L^+(p/q)$ .

**Lemma 4** *If  $p\bar{p} \equiv 1 \pmod{2q}$ , then the links  $L^+(p/q)$  and  $L^+(\bar{p}/q)$  are oriented isotopic.*

*Proof* Making the rotation of the canonical diagram  $D^+[b_1, b_2, \dots, b_n]$  around a vertical axis, we obtain the canonical diagram  $D^+[b_n, b_{n-1}, \dots, b_1]$ , and it is easy to show (by induction on  $n$ ) that these two continued fractions have the same denominators, and their numerators are related as indicated in the statement of the lemma. (Remind that, for links, the number  $n$  is odd). We see that the two corresponding links are isotopic with the orientation of both components changed. But the total change of orientation is a link equivalence (see Murasugi 1996). □

In a canonical diagram of a rational link, due to the fact that all blocks are of even length, the strands are everywhere counter-directed. Therefore, Lemma 1 can be applied recursively:

$$\begin{aligned}
 P(D^+[b_1, \dots, b_n]) &= a^{\varepsilon_n b_n} P(D^+[b_1, \dots, b_{n-1}, 0]) + z \frac{1 - a^{\varepsilon_n b_n}}{a - a^{-1}} P(D^+[b_1, \dots, b_{n-1}, \infty]) \\
 &= a^{\varepsilon_n b_n} P(D^+[b_1, \dots, b_{n-2}]) + z \frac{1 - a^{\varepsilon_n b_n}}{a - a^{-1}} P(D^+[b_1, \dots, b_{n-1}]), \tag{3}
 \end{aligned}$$

because the following two pairs of diagrams are equivalent as links:  $D^+[b_1, \dots, b_{n-1}, 0] = D^+[b_1, \dots, b_{n-2}]$  and  $D^+[b_1, \dots, b_{n-1}, \infty] = D^+[b_1, \dots, b_{n-1}]$ . The

sign  $\varepsilon_n = (-1)^{n-1}$  comes from our convention of counting the number of twists in the first and the second layers of a natural diagram (see Fig. 1—the powers of  $A$  and  $B$  correspond to odd and even values of  $n$ , respectively).

For a given sequence  $[b_1, \dots, b_n]$  denote  $x_n = P(D^+[b_1, \dots, b_n])$ . Then Eq. (3) can be rewritten as

$$x_n = z \frac{1 - a^{(-1)^{n-1}b_n}}{a - a^{-1}} x_{n-1} + a^{(-1)^{n-1}b_n} x_{n-2} \tag{4}$$

which makes sense when  $n > 2$ . Drawing the diagrams and applying skein relation (2) for the cases  $n = 2$  and  $n = 1$ , we can see that Eq. (4) still holds for these values, if we set  $x_0 = 1$  and  $x_{-1} = z^{-1}(a - a^{-1})$ .

### 6 Main Theorem

Our aim is to find a closed form formula for  $x_n$  in terms of  $a$  and  $z$ . To do this, it is convenient to first consider a more general situation.

**Lemma 5** *Let  $r_n$  and  $l_n$  be elements of a certain commutative ring  $R$ . Define recurrently the sequence  $x_n$ ,  $n \geq -1$ , of elements from  $R$  by the relation*

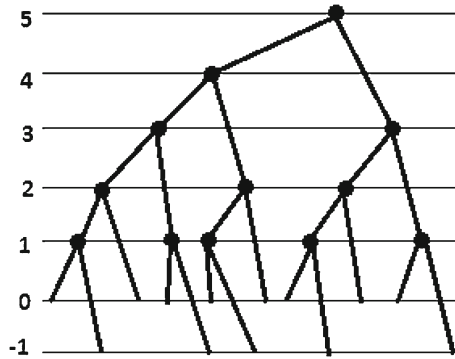
$$x_n = z l_n x_{n-1} + r_n x_{n-2}, \quad n \geq 1,$$

where  $z$ ,  $x_{-1}$  and  $x_0$  are fixed elements of  $R$ . Let  $C$  be the set of all integer sequences  $c = \{c_1, c_2, \dots, c_N\}$  where  $c_1 > c_2 > \dots > c_N$ ,  $c_1 = n$ ,  $c_i - c_{i+1} = 1$  or  $2$ ,  $c_N = 0$  or  $-1$ , and only one of the numbers  $0$  and  $-1$  is present in the sequence  $c$  (that is, if  $c_N = -1$ , then  $c_{N-1} \neq 0$ ). Then  $x_n$  can be expressed as the following polynomial in  $z$  with coefficients depending on the elements  $l_i$ ,  $r_i$  and the initial conditions  $x_0$ ,  $x_{-1}$ :

$$x_n = \sum_{c \in C} z^{k(c)} x_{c_N} \prod_{i \in \lambda(c)} l_{c_i} \prod_{i \in \rho(c)} r_{c_i},$$

where  $\lambda(c) = \{i \mid c_i - c_{i+1} = 1\}$ ,  $\rho(c) = \{i \mid c_i - c_{i+1} = 2\}$  and  $k(c) = |\lambda(c)| = \#\{i \mid c_i - c_{i+1} = 1\}$ .

*Proof* Essentially, the written formula describes the computational tree for the calculation of  $x_n$ . Note that the recurrence is of depth 2, that is, the element  $x_n$  is expressed through  $x_{n-1}$  and  $x_{n-2}$ . Therefore, the computational tree is best represented as a layered tree where each layer matches the  $l_i$ 's and  $r_i$ 's with the same  $i$ . We draw the  $l$ -edges (of length 1) to the left and the  $r$ -edges (of length 2) to the right. The exponent of  $z$  for each directed path from the vertex at level  $n$  to a vertex at levels  $0$  or  $-1$  in this tree corresponds to the number of left-hand edges. Any path in such a tree is uniquely determined by a sequence of levels  $c$  with the above listed properties. In the picture, you can see an example of such a tree for  $n = 5$ .



□

*Remark* In the special case when  $R = \mathbb{Z}[z]$  is a ring of integer polynomials,  $l_k = 2$ ,  $r_k = -1$  for all  $k$ , and  $x_{-1} = 0$ ,  $x_0 = 1$ , the sequence of polynomials  $x_n = U_n(z)$  defined by the recursive relation in Lemma 5 coincides with a sequence of all Chebyshev polynomials of the second kind.

To obtain the formula for the HOMFLY polynomial of an arbitrary rational link  $L^\pm(p/q)$ , we combine Lemma 5 with formula (4). For the sake of unification, we first make some preparations:

- If  $q$  is odd (that is, we deal with a knot) and  $p$  is odd, too, then we change  $p$  to  $p' = p - q$ , if  $p > 0$ , or to  $p' = p + q$ , if  $p < 0$ . Then  $L(p/q) = L(p'/q)$ , the numerator of the fraction becomes even, hence Lemma 2 applies and formula (4) is valid.
- If  $q$  is even and the link is negative, then we use the property  $L^-(p/q) = L^+(p'/q)$ , where  $p'$  is computed by the same rule as above. Below, we will simply write  $L(p/q)$  instead of  $L^+(p/q)$ .

Now the main result reads:

**Theorem 1** *Suppose that  $p$  is even and  $q$  is odd or  $p$  is odd and  $q$  is even. Let  $[b_1, b_2, \dots, b_n]$  be the canonical continued fraction for the number  $p/q$  (all numbers  $b_i$  are even, positive or negative, see Lemma 2). Then*

$$P(L(p/q)) = \sum_{c \in C} z^{k(c)} y_{c_N} \prod_{i \in \lambda(c)} \frac{1 - a^{(-1)^{c_i-1} b_{c_i}}}{a - a^{-1}} \prod_{i \in \rho(c)} a^{(-1)^{c_i-1} b_{c_i}}, \quad (5)$$

where

- $C$  is the set of all integer sequences  $c = \{c_1, c_2, \dots, c_N\}$  with  $c_1 > c_2 > \dots > c_N$ ,  $c_i - c_{i+1} = 1$  or  $2$ ,  $c_1 = n$ ,  $c_N = 0$  or  $-1$ , and only one of the numbers  $0$  and  $-1$  is present in the sequence  $c$  (that is, if  $c_N = -1$ , then  $c_{N-1} \neq 0$ ),
- $\lambda(c) = \{i \mid c_i - c_{i+1} = 1\}$ ,
- $\rho(c) = \{i \mid c_i - c_{i+1} = 2\}$ ,

- $k(c) = |\lambda(c)| = \#\{i \mid c_i - c_{i+1} = 1\}$ ,
- $y_0 = 1$  and  $y_{-1} = z^{-1}(a - a^{-1})$ .

*Proof* The proof was actually given above. □

*Example* The canonical expansion of the fraction  $4/7$  is  $[2, -4]$ . We have  $n = 2$ , and there are three possibilities for the sequence  $c$ :

- (1)  $c = \{2, 1, 0\}$ , then  $\lambda(c) = \{2, 1\}$ ,  $k(c) = 2$ ,  $\rho(c) = \emptyset$ ,  $c_l = 0$ ,
- (2)  $c = \{2, 1, -1\}$ , then  $\lambda(c) = \{2\}$ ,  $k(c) = 1$ ,  $\rho(c) = \{1\}$ ,  $c_l = -1$ ,
- (3)  $c = \{2, 0\}$ , then  $\lambda(c) = \emptyset$ ,  $k(c) = 0$ ,  $\rho(c) = \{2\}$ ,  $c_l = 0$ ,

Then formula (5) gives:

$$\begin{aligned}
 P(L(4/7)) &= z^2 \cdot \frac{1 - a^2}{a - a^{-1}} \cdot \frac{1 - a^4}{a - a^{-1}} + z \cdot z^{-1}(a - a^{-1}) \cdot \frac{1 - a^4}{a - a^{-1}} \cdot a^2 + a^4 \\
 &= z^2(a^2 + a^4) + (a^2 + a^4 - a^6)
 \end{aligned}$$

In formula (5) one can, in principle, collect the terms with equal powers of  $z$ . The formulation of this result is rather involved, and we need first to introduce necessary notations.

Let  $\alpha = p/q$  be a nonzero irreducible rational number between  $-1$  and  $1$ . We denote by  $n = \nu(\alpha)$  the length of the canonical continuous fraction for  $\alpha$ , and by  $\alpha'$ , the number  $\alpha + 1$ , if  $\alpha < 0$ , and  $\alpha - 1$ , if  $\alpha > 0$ . Now, let

$$\rho_k(\alpha) = \sum_{\substack{S \subseteq \overline{1, n}, S \cap (S-1) = \emptyset \\ |S| = (n-k)/2}} \prod_{m \in S} a^{(-1)^{m+1}b_m} \prod_{\substack{m \in \overline{1, n} \\ m \notin S \cup (S-1)}} (1 - a^{(-1)^{m+1}b_m})$$

where  $\overline{1, n} = \{1, 2, \dots, n\}$  and for  $S \subset \overline{1, n}$  the set  $S - 1$  is understood as the set of all numbers  $m - 1$ , where  $m \in S$ .

Then we have:

**Theorem 2** *Let  $q$  be odd, that is,  $L(\alpha)$  is a knot. Then:*

- (1) *If  $p$  is even, then*

$$P(L(\alpha)) = \sum_{\substack{0 \leq k \leq \nu(\alpha) \\ k \equiv 0 \pmod{2}}} z^k (a - a^{-1})^{-k} \rho_k(\alpha)$$

- (2) *If  $p$  is odd, then*

$$P(L(\alpha)) = \sum_{\substack{0 \leq k \leq \nu(\alpha') \\ k \equiv 0 \pmod{2}}} z^k (a - a^{-1})^{-k} \rho_k(\alpha')$$

**Table 1** HOMFLY polynomials of rational links with denominators  $\leq 9$

$R$	$T$	$H$
$L\left(\frac{1}{2}\right)$	$L_2a_1$	$z^{-1}(a^3 - a) - za$
$L\left(\frac{2}{3}\right)$	$3_1$	$(2a^2 - a^4) + z^2a^2$
$L\left(\frac{1}{4}\right)$	$L_4a_1$	$z^{-1}(-a^3 + a^5) + z(-a - a^3)$
$L\left(\frac{3}{4}\right)$	$L_4a_1^*$	$z^{-1}(-a^3 + a^5) + z(-3a^3 + a^5) - z^3a^3$
$L\left(\frac{2}{5}\right)$	$4_1$	$(a^{-2} - 1 + a^2) - z^2$
$L\left(\frac{4}{5}\right)$	$5_1$	$(3a^4 - 2a^6) + z^2(4a^4 - a^6) + z^4a^4$
$L\left(\frac{1}{6}\right)$	$L_6a_3$	$z^{-1}(-a^5 + a^7) + z(-a - a^3 - a^5)$
$L\left(\frac{5}{6}\right)$	$L_6a_3^*$	$z^{-1}(a^7 - a^5) + z(3a^7 - 6a^5) + z^3(a^7 - 5a^5) - z^5a^5$
$L\left(\frac{2}{7}\right)$	$5_2$	$(a^2 + a^4 - a^6) + z^2(a^2 + a^4)$
$L\left(\frac{6}{7}\right)$	$7_1$	$(4a^6 - 3a^8) + z^2(10a^6 - 4a^8) + z^4(6a^6 - a^8) + z^6a^6$
$L\left(\frac{1}{8}\right)$	$L_8a_{14}^*$	$z^{-1}(-a^7 + a^9) + z(-a - a^3 - a^5 - a^7)$
$L\left(\frac{3}{8}\right)$	$\overline{L_5a_1}$	$z^{-1}(-a^{-1} + a) + z(a^{-3} - 2a^{-1} + a) - z^3a^{-1}$
$L\left(\frac{7}{8}\right)$	$L_8a_{14}$	$z^{-1}(a^9 - a^7) + z(6a^9 - 10a^7) + z^3(5a^9 - 15a^7) + z^5(a^9 - 7a^7) - z^7a^7$
$L\left(\frac{2}{9}\right)$	$6_1$	$(a^{-2} - a^2 + a^4) + z^2(-1 - a^2)$
$L\left(\frac{8}{9}\right)$	$9_1$	$(5a^8 - 4a^{10}) + z^2(20a^8 - 10a^{10}) + z^4(21a^8 - 6a^{10}) + z^6(8a^8 - a^{10}) + z^8a^8$

Let  $q$  be even, that is,  $L(\alpha)$  is a two-component link. Then:

(3) If the two components are counterdirected, then

$$P(L^+(\alpha)) = \sum_{\substack{-1 \leq k \leq \nu(\alpha) \\ k \equiv 1 \pmod{2}}} z^k (a - a^{-1})^{-k} \rho_k(\alpha)$$

(4) If the two components are codirected, then

$$P(L^-(\alpha)) = \sum_{\substack{-1 \leq k \leq \nu(\alpha') \\ k \equiv 1 \pmod{2}}} z^k (a - a^{-1})^{-k} \rho_k(\alpha')$$

The theorem can be proved by first collecting the terms with equal powers of  $z$  in the statement of Lemma 5 and then using induction on  $\nu(\alpha)$ ; we do not give the details here. Although Theorem 2 is in a sense more explicit than Theorem 1, it is less

practical; in particular, the formula of Theorem 1 is better suited for programming purposes.

## 7 Computer Calculations

The formula for  $P(L(p/q))$  can be easily programmed. The source code of the program, written by the second author and tested by the first one, as well as the resulting table of HOMFLY polynomials for rational links with denominators not exceeding 1000, are presented online at [Computer generated table of HOMFLY polynomials for rational links \(2010\)](#). Below, we give a short excerpt of that big table which is enough to know the polynomials of all rational knots and links with denominators no  $< 9$ , if one uses the following rules (see [Murasugi 1996](#)):

- (1)  $P(L^+(-p/q))$  is obtained from  $P(L^+(p/q))$  by the substitution  $a \mapsto -a^{-1}$ .
- (2) The knots  $L(p_1/q)$  and  $L(p_2/q)$  are equivalent, if  $p_1 p_2 \equiv 1 \pmod{q}$ .
- (3) The links  $L^+(p_1/q)$  and  $L^+(p_2/q)$  are oriented equivalent, if  $p_1 p_2 \equiv 1 \pmod{2q}$ .

In Table 1, the first column (R) gives the notation of the rational link (knot) as  $L(p/q)$  (in the case of links, this means  $L^+(p/q)$ ), the second column (T) contains the standard notation of that link (knot) from Thistlethwaite (Rolfsen) tables (see [Knot Atlas 2015](#); the bar over a symbol means mirror reflection, the star is for the change of orientation of one component), and the third column (H) is for the values of the HOMFLY polynomial. Note that we list HOMFLY polynomials for both orientations of each rational link, while the famous [Knot Atlas \(2015\)](#) shows them for only one orientation of two-component links.

## 8 Concluding Remarks

1. As the Conway polynomial is a reduction of the HOMFLY polynomial, Theorem 1 gives a formula for the Conway polynomial of rational links by the substitutions  $a = 1$ ,  $z = t$  [the fraction  $(1 - a^n)/(a - a^{-1})$  is first transformed to a Laurent polynomial and becomes equal to  $-n/2$ ].
2. Since the Jones polynomial is a reduction of the HOMFLY polynomial, Theorem 1 leads to a formula for the Jones polynomial of rational links by the substitutions  $a = t^{-1}$ ,  $z = t^{1/2} - t^{-1/2}$ .
3. The famous open problem whether a knot must be trivial if its Jones polynomial is 1, has a simple positive solution for rational knots. Indeed, the value  $|J(-1)|$  is equal to the determinant of the knot, and the determinant of a rational knot is its denominator (see [Murasugi 1996](#)).
4. *Open problem* Can one generalize formula (5) to *all* links? The results of [Traldi \(1989\)](#) show that it can be generalized to at least some non-rational links, although his formula is less explicit than ours.

**Acknowledgments** The authors are grateful to M. Karev who read the manuscript and indicated several inaccuracies. We also thank S. Chmutov for pointing out the relation of our investigations with papers [Jaeger \(1988\)](#) and [Traldi \(1989\)](#), and [Traldi \(1989\)](#) for valuable comments on his paper.

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