



# On a paper of Dressler and Van de Lune

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## Abstract

If  $z \in \mathbb{C}$  and  $1 \leq n$  is a natural number then

$$\sum_{d_1 d_2 = n} (1 - z^{p_1}) \cdots (1 - z^{p_m}) z^{q_1 e_1 + \cdots + q_i e_i} = 1,$$

where  $d_1 = p_1^{r_1} \cdots p_m^{r_m}$ ,  $d_2 = q_1^{e_1} \cdots q_i^{e_i}$  are the prime decompositions of  $d_1, d_2$ . This is one of the identities involving arithmetic functions that we prove using ideas from the paper of Dressler and van de Lune [3].

**Keyword** Arithmetic functions · Identities · Zeta function

**Mathematics Subject Classification** 11A25 · 11MXX

## 1 Introduction and results

Recall that the arithmetic function  $\omega(n)$  is the number of distinct prime divisors of a positive integer  $n$  and  $\Omega(n)$  is the total number of prime divisors of  $n$ . In other words if for a natural number  $n \geq 2$  we write  $n = p_1^{r_1} \cdots p_m^{r_m}$  with  $p_i$  distinct primes (a notation that we keep throughout this paper where  $p$  always denotes a prime) then  $\omega(n) = m$ ,  $\Omega(n) = r_1 + \cdots + r_m$  and  $\Omega(1) = \omega(1) = 0$ . Write  $\zeta(s)$  for the Riemann–Zeta function.

One knows that  $\omega(n) = O(\log n / \log \log n)$  which easily implies that  $\sum_{n=1}^{\infty} z^{\omega(n)} / n^s$  is an entire function of  $z$  when  $\Re s = \sigma > .$  Also

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$$\sum_{n=1}^{\infty} \frac{z^{\Omega(n)}}{n^s} = \prod_p \left( 1 + \frac{z}{p^s} + \frac{z^2}{p^{2s}} + \dots \right) = \prod_p \frac{1}{1 - z/p^s},$$

is an analytic function of  $z$  if  $1 < \sigma$  and  $|z| < 2^\sigma$ .

In [3] the following remarkable duality relation was proved.

**Theorem 1** (R. Dressler and J. van de Lune) *If  $|z| < 2^\sigma$  and  $\Re s = \sigma >$  then*

$$\left( \sum_{n=1}^{\infty} \frac{(1 - z)^{\omega(n)}}{n^s} \right) \left( \sum_{n=1}^{\infty} \frac{z^{\Omega(n)}}{n^s} \right) = \zeta(s).$$

The aim of this note is to obtain similar formulas using the methods of [3].

To state our results we need some definitions: let  $\omega_o(n)$  ( $\omega_e(n)$  respectively) be the number of primes in the decomposition of  $n$  with odd (even respectively) exponent. Thus  $\omega_o(2^2 3^5 5^6) = 1$ ,  $\omega_e(2^2 3^5 5^6) = 2$ . Note that  $\omega_e(n) + \omega_o(n) = \omega(n)$ .  $\lfloor x \rfloor$  is the floor function and  $\mu$  is the Möbius function. The radical of a number  $n$  is defined as  $rad(n) = p_1 \cdots p_m$ .

Ramanujan’s tau function is defined by (see [2], p. 136)

$$z \prod_{n=1}^{\infty} (1 - z^n)^{24} = \sum_{n=1}^{\infty} \tau(n) z^n,$$

and its associated Dirichlet series is

$$\sum_{n=1}^{\infty} \frac{\tau(n)}{n^s} = \prod_p \frac{1}{1 - \tau(p)p^{-s} + p^{11-2s}}. \tag{1}$$

One has the bound

$$|\tau(p)| \leq 2p^{11/2}. \tag{2}$$

This result was conjectured by Ramanujan and it was proved by Deligne [2].

The main contribution of this note is the following theorem. Note: in formulas (a)–(g) below it is assumed that in all the sums the term with  $n = 1$  is equal to 1.

**Theorem 2**

(a) *If  $1 < \sigma = \Re s$ ,  $|\Im s| \leq$  then*

$$\left( \sum_{n=1}^{\infty} \frac{(1 - z^{p_1}) \cdots (1 - z^{p_m})}{n^s} \right) \left( \sum_{n=1}^{\infty} \frac{z^{p_1 r_1 + \cdots + p_m r_m}}{n^s} \right) = \zeta(s).$$

(b) *If  $1 < \sigma$ ,  $|z| \leq 1$  then*

$$\sum_{n=1}^{\infty} \frac{z^{p_1 r_1 + \dots + p_m r_m}}{n^s} = \zeta(s) \sum_{n=1}^{\infty} \frac{(z^{p_1} - 1) \dots (z^{p_m} - 1) z^{(r_1-1)p_1 + \dots + (r_m-1)p_m}}{n^s}.$$

(c) If  $0 < |z| < 2^\sigma$  and  $1 < \sigma$  then

$$\sum_{n=1}^{\infty} \frac{z^{\Omega(n) - \omega(n)} (1+z)^{\omega(n)}}{n^s} = \frac{\zeta(s)}{\zeta(2s)} \left( \sum_{n=1}^{\infty} \frac{z^{\Omega(n)}}{n^s} \right).$$

(d) If  $z \in \mathbb{C}$  and  $1 < \sigma$  then

$$\sum_{n=1}^{\infty} \frac{(z+2)^{\omega(n)}}{n^s} = \frac{\zeta(s)^2}{\zeta(2s)} \left( \sum_{n=1}^{\infty} \frac{(-1)^{\Omega(n) - \omega(n)} z^{\omega(n)}}{n^s} \right).$$

(e) If  $0 < |z| \leq 1$  and  $1 < \sigma$  then

$$\sum_{n=1}^{\infty} \frac{z^{\Omega(n) - \omega(n)} (z - 1/p_1) \dots (z - 1/p_m)}{n^s} = \frac{\zeta(s)}{\zeta(s+1)} \left( \sum_{n=1}^{\infty} \frac{z^{\Omega(n) - \omega(n)} (z - 1)^{\omega(n)}}{n^s} \right).$$

(f) If  $0 < |z| \leq 1$  and  $1 < \sigma$  then

$$\begin{aligned} \frac{\zeta(s)\zeta(2s)\zeta(3s)}{\zeta(6s)} &= \left( \sum_{n=1}^{\infty} \frac{(-1)^{\omega_o(n)} (1+z)^{\omega_e(n)} z^{\sum_{i=1}^m \lfloor \frac{n-1}{2^i} \rfloor}}{n^s} \right) \\ &\times \left( \sum_{n=1}^{\infty} \frac{\{(r_1+1) - (r_1-1)z\} \dots \{(r_m+1) - (r_m-1)z\}}{n^s} \right). \end{aligned}$$

(g) If  $1 < \Re \lambda$  and  $1 < \sigma$  then

$$\sum_{n=1}^{\infty} \frac{1}{rad(n)^\lambda n^s} = \zeta(s) \left\{ \sum_{n=1}^{\infty} \frac{\mu(n)}{n^s} \left( 1 - \frac{1}{p_1^\lambda} \right) \dots \left( 1 - \frac{1}{p_m^\lambda} \right) \right\}.$$

(h) Assume that  $\{\epsilon_p\}$  is any sequence of complex numbers defined on the set of primes with  $|\epsilon_p| \leq 1$  for all  $p$ . Write  $n = n_1 n_2$ , where  $n_1 = p_1 \dots p_t$ ,  $n_2 = p_{t+1}^{r_1+1} \dots p_m^{r_m}$  with  $2 \leq \min\{r_{t+1}, \dots, r_m\}$  and define

$$\begin{aligned}
 a_n &:= \prod_1 \prod_2, \\
 \prod_1 &:= \prod_{p \in n_1} \left\{ \epsilon_p p^{11/2} - \tau(p) \right\}, \\
 \prod_2 &:= n_2^{11/2} \text{rad}(n_2)^{-11} \prod_{k=t+1}^m \left\{ \left( 1 + \epsilon_{p_k}^2 \right) p_k^{11} - \epsilon_{p_k} p_k^{11/2} \tau(p_k) \right\} \epsilon_{p_k}^{r_k-2}. \\
 A(s) &:= \sum_{n=1}^{\infty} \frac{a_n}{n^s}, \\
 B(s) &:= \sum_{n=1}^{\infty} \frac{\mu(n) \epsilon_{p_1} \cdots \epsilon_{p_m}}{n^{s-11/2}}.
 \end{aligned}$$

Then if  $13/2 < \sigma$

$$\left( \sum_{n=1}^{\infty} \frac{\tau(n)}{n^s} \right)^{-1} = A(s)B(s).$$

Note: it is understood that the first summand is 1 in any of the last two sums. Also  $\prod_i = 1$  if  $n_i = 1$ .

We remark that the formula in the abstract follows from formula (a) where it is understood there that  $(1 - z^{p_1}) \cdots (1 - z^{p_m}) \equiv 1$  if  $d_1 = 1$  and  $z^{q_1 e_1 + \cdots + q_i e_i} \equiv 1$  if  $d_2 = 1$ .

Observe that formula (b) yields, on setting  $z = 0$ , the well-known relation

$$1 = \zeta(s) \sum_{n=1}^{\infty} \frac{\mu(n)}{n^s}.$$

Also, the above zeta quotients appearing in formulas (c)–(f) are well-known: if  $\phi(n)$  is the number of numbers less than  $n$  and prime to  $n$  and the arithmetic function  $\kappa(n)$  is defined by  $\kappa(1) = 1$  and  $\kappa(p_1^{r_1} \cdots p_m^{r_m}) = r_1 \cdots r_m$  then

$$\begin{aligned}
 \frac{\zeta(s)^2}{\zeta(2s)} &= \sum_{n=1}^{\infty} \frac{2^{\omega(n)}}{n^s}, \\
 \frac{\zeta(s)}{\zeta(2s)} &= \sum_{n=1}^{\infty} \frac{|\mu(n)|}{n^s}, \\
 \frac{\zeta(s)\zeta(2s)\zeta(3s)}{\zeta(6s)} &= \sum_{n=1}^{\infty} \frac{\kappa(n)}{n^s}, \\
 \frac{\zeta(s)}{\zeta(s+1)} &= \sum_{n=1}^{\infty} \frac{\phi(n)}{n^{s+1}}.
 \end{aligned}$$

See [1] p. 247, [4] formulas (1,2,7), (1.2.8) and (1.2.12).

This permits, using Dirichlet convolution, to obtain the following corollary.

**Corollary 1** *If  $z \in \mathbb{C}$  in (i), (ii) and (iii) then*

(i)

$$z^{\Omega(n)-\omega(n)}(1+z)^{\omega(n)} = \sum_{d|n} |\mu(n/d)| z^{\Omega(d)}.$$

(ii)

$$(z+2)^{\omega(n)} = \sum_{d|n} 2^{\omega(n/d)} (-1)^{\Omega(d)} (-z)^{\omega(d)}.$$

(iii)

$$n(z-1/p_1) \cdots (z-1/p_m) z^{\Omega(n)-\omega(n)} = \sum_{d|n} d \phi(n/d) z^{\Omega(d)-\omega(d)} (z-1)^{\omega(d)}.$$

(iv) *If  $\lambda \in \mathbb{C}$  then*

$$\text{rad}(n)^\lambda = \sum_{d|n} \mu(d) (1-q_1^\lambda) \cdots (1-q_i^\lambda).$$

(Note: here  $d = q_1^{e_1} \cdots q_i^{e_i}$  is the prime decomposition of  $d$  and if  $d = 1$  in the last sum then summand is understood to be 1.)

**Proof** Formulas (i), (ii), (iii), (iv) follow from formulas (c), (d), (e), (g) respectively and analytic continuation.  $\square$

## 2 Proof

Recall that if  $2 \leq n$  is an integer then we write  $n = p_1^{r_1} \cdots p_m^{r_m}$  with  $p_i$  different primes. We record the following formal formulas:

$$\begin{aligned} \prod_p \left( 1 + \frac{g(p)}{p^s - z} \right) &= \prod_p \left( 1 + \frac{g(p)}{p^s} + \frac{zg(p)}{p^{2s}} + \frac{z^2g(p)}{p^{3s}} + \cdots \right) \\ &= \sum_{n=1}^{\infty} \frac{z^{\Omega(n)-\omega(n)} g(p_1) \cdots g(p_m)}{n^s}, \end{aligned} \tag{3}$$

$$\begin{aligned} \prod_p \frac{p^s}{p^s - g(p)} &= \prod_p \left( 1 + \frac{g(p)}{p^s} + \frac{g(p)^2}{p^{2s}} + \frac{g(p)^3}{p^{3s}} + \cdots \right) \\ &= \sum_{n=1}^{\infty} \frac{g(p_1)^{r_1} \cdots g(p_m)^{r_m}}{n^s}. \end{aligned} \tag{4}$$

If we set  $g(p) = z$ ,  $z = 1$  in the first equation and  $g(p) = z$  in the second equation one gets:

$$\prod_p \left( 1 + \frac{z}{p^s - 1} \right) = \sum_{n=1}^{\infty} \frac{z^{\omega(n)}}{n^s}, \tag{5}$$

$$\prod_p \frac{p^s}{p^s - z} = \sum_{n=1}^{\infty} \frac{z^{\Omega(n)}}{n^s}. \tag{6}$$

Using this two formulas in

$$\zeta(s) = \prod_p \frac{p^s}{p^s - 1} = \prod_p \left( \frac{p^s}{p^s - z} \right) \left( 1 + \frac{1 - z}{p^s - 1} \right)$$

yields Theorem 1.

The values of  $z$  and  $\sigma$  depend on each formula in such way that the products or sums involved are absolutely convergent. For example assume that  $|z| \leq 1$  and  $1 < \sigma$ . Then if  $g(p) = 1 - z^p$ ,  $z = 1$  in (3) and  $g(p) = z^p$  in (4) one has

$$\prod_p \left( 1 + \frac{1 - z^p}{p^s - 1} \right) = \sum_{n=1}^{\infty} \frac{(1 - z^{p_1}) \cdots (1 - z^{p_m})}{n^s}, \tag{7}$$

$$\prod_p \frac{p^s}{p^s - z^p} = \sum_{n=1}^{\infty} \frac{z^{p_1 r_1 + \cdots + p_m r_m}}{n^s}. \tag{8}$$

The product of (7) and (8) is equal to  $\zeta(s)$ . This proves formula (a) of Theorem 2. Also

$$\begin{aligned} \prod_p \left( \frac{p^s - 1}{p^s - z^p} \right) &= \prod_p \left( \left\{ 1 - \frac{1}{p^s} \right\} \left\{ 1 + \frac{z^p}{p^s} + \frac{z^{2p}}{p^{2s}} + \cdots \right\} \right) \\ &= \sum_{n=1}^{\infty} \frac{(z^{p_1} - 1) \cdots (z^{p_m} - 1) z^{(r_1 - 1)p_1 + \cdots + (r_m - 1)p_m}}{n^s}. \end{aligned}$$

Formula (b) follows using (8) and observing that  $\prod_p \frac{p^s}{p^s - z^p} \left( \frac{p^s - 1}{p^s - z^p} \right)^{-1} = \zeta(s)$ .

If  $g(p) = 1/p^\lambda$ ,  $z = 1$  in (3) then

$$\prod_p \left( 1 + \frac{1/p^\lambda}{p^s - 1} \right) = \sum_{n=1}^{\infty} \frac{1}{rad(n)^\lambda n^s}.$$

Also

$$\prod_p \left( \frac{p^s - 1 + 1/p^\lambda}{p^s} \right)^{-1} = \prod_p \left( 1 - \frac{1}{p^s} \left\{ 1 - \frac{1}{p^\lambda} \right\} \right)^{-1} \\ = \left\{ \sum_{n=1}^\infty \frac{\mu(n)}{n^s} \left( 1 - \frac{1}{p_1^\lambda} \right) \cdots \left( 1 - \frac{1}{p_m^\lambda} \right) \right\}^{-1}.$$

The product of this two formulas yields formula (g).

In a similar way using (3) one has that

$$\prod_p \left( 1 + \frac{z}{p^s - u} \right) = \sum_{n=1}^\infty \frac{u^{\Omega(n) - \omega(n)} z^{\omega(n)}}{n^s}, \tag{9}$$

which can be used to prove that

$$\frac{\zeta(s)^2}{\zeta(2s)} = \prod_p \frac{p^s + 1}{p^s - 1} = \prod_p \frac{p^s + z + 1}{p^s - 1} \frac{p^s + 1}{p^s + z + 1} \\ = \prod_p \left( 1 + \frac{z + 2}{p^s - 1} \right) \left( 1 + \frac{z}{p^s + 1} \right)^{-1} \\ = \left( \sum_{n=1}^\infty \frac{(z + 2)^{\omega(n)}}{n^s} \right) \left( \sum_{n=1}^\infty \frac{(-1)^{\Omega(n) - \omega(n)} z^{\omega(n)}}{n^s} \right)^{-1},$$

which is formula (d).

Also

$$\frac{\zeta(s)}{\zeta(s + 1)} = \prod_p \frac{p^s - 1/p}{p^s - 1} = \prod_p \left( \frac{p^s - 1}{p^s - z} \right)^{-1} \left( \frac{p^s - 1/p}{p^s - z} \right) \\ = \left( \sum_{n=1}^\infty \frac{z^{\Omega(n) - \omega(n)} (z - 1)^{\omega(n)}}{n^s} \right)^{-1} \\ \times \left( \sum_{n=1}^\infty \frac{z^{\Omega(n) - \omega(n)} (z - 1/p_1) \cdots (z - 1/p_m)}{n^s} \right),$$

which is formula (e).

Formula (c) follows from (using (9) and (6))

$$\frac{\zeta(s)}{\zeta(2s)} = \prod_p \frac{p^s + 1}{p^s} = \prod_p \left( 1 + \frac{1 + z}{p^s - z} \right) \left( \frac{p^s}{p^s - z} \right)^{-1} \\ = \left( \sum_{n=1}^\infty \frac{z^{\Omega(n) - \omega(n)} (1 + z)^{\omega(n)}}{n^s} \right) \left( \sum_{n=1}^\infty \frac{z^{\Omega(n)}}{n^s} \right)^{-1}.$$

To prove formula (f) observe that after simplification using  $\zeta(s) = \prod_p \frac{p^s}{p^s - 1}$  one has

$$\frac{\zeta(s)\zeta(2s)\zeta(3s)}{\zeta(6s)} = \prod_p \frac{p^{2s} - p^s + 1}{(p^s - 1)^2}.$$

Formula (f) follows multiplying

$$\begin{aligned} \prod_p \frac{p^{2s} - p^s + 1}{p^{2s} - z} &= \prod_p \left(1 - \frac{1}{p^s} + \frac{1}{p^{2s}}\right) \left(1 + \frac{z}{p^{2s}} + \frac{z^2}{p^{4s}} + \dots\right) \\ &= \prod_p \left(1 - \frac{1}{p^s} - \frac{z}{p^{3s}} - \frac{z^2}{p^{5s}} - \dots + \frac{(1+z)}{p^{2s}} + \frac{z(1+z)}{p^{4s}} + \frac{z^2(1+z)}{p^{6s}} + \dots\right) \\ &= \sum_{n=1}^{\infty} \frac{(-1)^{\omega_o(n)} (1+z)^{\omega_e(n)} z^{\sum_{i=1}^m \lfloor \frac{r_i-1}{2} \rfloor}}{n^s}, \end{aligned}$$

with

$$\begin{aligned} \prod_p \frac{p^{2s} - z}{(p^s - 1)^2} &= \prod_p \left(1 - \frac{z}{p^{2s}}\right) \left(1 + \frac{2}{p^s} + \frac{3}{p^{2s}} + \dots\right) \\ &= \prod_p \left(1 + \frac{2}{p^s} + \frac{3-z}{p^{2s}} + \frac{4-2z}{p^{3s}} + \dots\right) \\ &= \sum_{n=1}^{\infty} \frac{\{(r_1 + 1) - (r_1 - 1)z\} \dots \{(r_m + 1) - (r_m - 1)z\}}{n^s}. \end{aligned}$$

Next we prove formula (h). One has the following formal formula

$$\begin{aligned} \prod_p \frac{p^s - \tau(p) + p^{11}/p^s}{p^s - g(p)} &= \prod_p \left(1 - \frac{\tau(p)}{p^s} + \frac{p^{11}}{p^{2s}}\right) \left(1 + \frac{g(p)}{p^s} + \frac{g(p)^2}{p^{2s}} + \dots\right) \\ &= \prod_p \left\{1 + \frac{g(p) - \tau(p)}{p^s} + \sum_{j=2}^{\infty} \frac{g(p)^{j-2} \{g(p)^2 - g(p)\tau(p) + p^{11}\}}{p^{js}}\right\} \\ &= \sum_{n=1}^{\infty} \frac{\prod_{k=1; r_k=1}^m \{g(p_k) - \tau(p_k)\} \prod_{k=1; r_k \geq 2}^m \{g(p_k)^2 - g(p_k)\tau(p_k) + p_k^{11}\} g(p_k)^{r_k-2}}{n^s}. \end{aligned}$$

Setting  $g(p) = \epsilon_p p^{11/2}$  with  $|\epsilon_p| \leq 1$  in the last formula one gets

$$A(s) := \prod_p \frac{p^s - \tau(p) + p^{11}/p^s}{p^s - \epsilon_p p^{11/2}} = \sum_{n=1}^{\infty} \frac{a_n}{n^s},$$

where  $a_n$  is defined as follows. To ease the notation we write  $n = n_1 n_2$ , where  $n_i$  are positive integers such that  $n_1 = p_1 \dots p_t$ ,  $n_2 = p_{t+1}^{r_{t+1}} \dots p_m^{r_m}$  with  $2 \leq \min\{r_{t+1}, \dots, r_m\}$ . Then



$$\begin{aligned}
 a_n &:= \prod_1 \prod_2, \\
 \prod_1 &:= \prod_{p \in n_1} \left\{ \epsilon_p p^{11/2} - \tau(p) \right\}, \\
 \prod_2 &:= \prod_{k=t+1}^m \left\{ (1 + \epsilon_{p_k}^2) p_k^{11} - \epsilon_{p_k} p_k^{11/2} \tau(p_k) \right\} \epsilon_{p_k}^{r_k-2} p_k^{\frac{11}{2}(r_k-2)} \\
 &= n_2^{11/2} \text{rad}(n_2)^{-11} \prod_{k=t+1}^m \left\{ (1 + \epsilon_{p_k}^2) p_k^{11} - \epsilon_{p_k} p_k^{11/2} \tau(p_k) \right\} \epsilon_{p_k}^{r_k-2}.
 \end{aligned}$$

If one sets

$$B(s) := \prod_p \frac{p^s - \epsilon_p p^{11/2}}{p^s} = \sum_{n=1}^{\infty} \frac{\mu(n) \epsilon_{p_1} \cdots \epsilon_{p_m}}{n^{s-11/2}},$$

then

$$A(s)B(s) = \left( \sum_{n=1}^{\infty} \frac{\tau(n)}{n^s} \right)^{-1},$$

which follows using (1). The proof is complete.

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