TECHNICAL PAPER

Time‑varying characteristics of guide roller‑rail contact stifness of super high‑speed elevator under aerodynamic load

Guangjiu Qin[1](http://orcid.org/0000-0002-9434-2188) · Zhe Yang²

Received: 8 April 2021 / Accepted: 13 September 2021 / Published online: 27 September 2021 © The Brazilian Society of Mechanical Sciences and Engineering 2021

Abstract

The super high-speed operation of the elevator will inevitably make the elevator bear signifcant aerodynamic load. Under the action of the aerodynamic load, the rubber on the outer ring of the guide roller will produce a certain deformation, which will cause the contact stifness between the guide roller and the rail to change. Moreover, because the aerodynamic load is time-varying, the guide roller-rail contact stifness will also show the time-varying characteristics. In view of this, considering the time-varying characteristics of the contact stifness, a 17 degrees-of-freedom (DOF) dynamic model of the horizontal vibration of the super high-speed elevator is established in this paper; the Gaussian white noise is employed to simulate the excitations of guide, and the Savitzky–Golay flter is used to flter the excitations; based on Hertz elastic contact theory, the guide roller-rail contact stifness model is established under the action of preload and aerodynamic loads; for the calculation of aerodynamic load, a user-defned fle is compiled according to the actual running curve of the elevator to set the velocity inlet boundary condition, and CFD numerical simulation is used to obtain the aerodynamic load data of the whole running process of the elevator; fnally, using Newmark-β method, the time-varying characteristics of the contact stifness under the action of aerodynamic load are analyzed, so as to infuence the horizontal vibration of the elevator. The results show that, under the action of aerodynamic load, the maximum change rate of contact stifness is 5.2%, which has a signifcant impact on the horizontal vibration of the elevator, among which the vibration acceleration of the elevator in the *X*-direction increases by 16.97%. Therefore, the efect of aerodynamic load should be considered in the study of the dynamic response of the super high-speed elevator.

Keywords Super high-speed elevator · Aerodynamic characteristics · Guide roller-rail contact · Time-varying · Horizontal vibration

1 Introduction

The vibration is one of the important indexes to evaluate the ride comfort of an elevator. The amplitude, the frequency, and the direction of vibration can all afect passengers' perception of vibration. Compared with vertical vibration, human body is more sensitive to horizontal vibration [\[1](#page-12-0)].

Technical Editor: Samuel da Silva.

 \boxtimes Guangjiu Qin jdyf523@163.com

School of Aerospace Engineering and Applied Mechanics, Tongji University, Shanghai 200092, P.R. China

There are many excitation sources that cause the horizontal vibration of elevator, such as guide rail defect, air disturbance in hoistway, swing of traction rope, shaking of building caused by strong wind or earthquake [[2](#page-12-1)]. Among all these excitation sources, guide rail excitation plays a major role, and it exists in the whole process of elevator operation.

In view of the infuence of rail excitation on elevator horizontal vibration, many scholars have done a lot of useful exploration. In order to analyze the dynamic characteristics of the elevator, Li et al. [[3\]](#page-12-2) proposed a model construction method suitable for analyzing the horizontal vibration of the elevator; up to now, this method has been widely used; guide rail excitation was one of the important factors that afect the horizontal vibration of elevator; Feng et al. [\[4](#page-12-3)] constructed the horizontal vibration model of elevator, calculated the acceleration of elevator horizontal vibration with the rail

¹ School of Mechanical and Electrical Engineering, Shandong Jianzhu University, Jinan 250101, Shandong Province, P.R. China

excitation measured by experiment as input, and verifed its correctness by experiment;

Song [[5](#page-12-4)] used the sinusoidal signal to approximate the fuctuation of the guide rail, the step signal to the gap excitation at the connection of the guide rail, and the random signal to the rail surface roughness; on this basis, the 1/4 model of the elevator was established. Du et al. [[6\]](#page-12-5) introduced wavelet de-noising method to improve the efectiveness of elevator guide rail irregularity identifcation; the results show that discrete wavelet change can be used as a tool for elevator horizontal vibration signal de-noising and rail fault diagnosis; the coupling vibration between the elevator components also afected the horizontal vibration of the elevator. Xia et al. [[7\]](#page-13-0) constructed the elevator dynamic model in the horizontal direction, including the guide rollerrail interface contact stifness, and by considering the guide rail excitation, the horizontal vibration law of the elevator was analyzed; Zhang et al. [\[8](#page-13-1)] considered the coupling efect of various parts in the elevator system, established the coupling dynamic model of guide rail, guide shoes, and car, and analyzed the infuence of structural parameters of guide rail (the length, the weight of rail per unit length, and bending stifness) on the dynamic characteristics of rail; Cao et al. [\[9\]](#page-13-2) considered the infuence of the forced vibration of the rail on the vibration of the elevator, and the coupling between the various system components, established the dynamic model of the coupling of the car-rail-guide shoe, and studied the infuence of the rail parameters on the horizontal vibration of the super high-speed elevator car.

With the increase in elevator operation speed, the infuence of air disturbance on the horizontal vibration becomes more and more significant. Mao [[10\]](#page-13-3) studied the air flow disturbance characteristics in the working process of the mining elevator and obtained the total lateral vibration displacement of the elevator by considering the aerodynamic load and the eccentric load during the operation of the elevator; Liu et al. [[11\]](#page-13-4) comprehensively considered the common excitation effect of shaft air flow and guiding system, proposed a gas structure coupling vibration analysis method for high-speed elevator, and analyzed the influence of shaft air flow on the horizontal vibration of car under diferent operating speeds.

As we all know, in the process of elevator operation, the contact between the guide rollers and the rails ensures that the elevator runs along the fxed direction. In order to reduce the vibration of elevator, the outer ring of guide roller is usually made of rubber, which can absorb vibration [[12](#page-13-5)]. Moreover, the preload is applied to ensure that the two are in contact all the time [\[13](#page-13-6)]. For the guide roller-rail contact stifness, according to Kalker's three-dimensional Hertz rolling contact simplifcation theory, Mei et al. [[14\]](#page-13-7) established the three-dimensional rolling contact model of guide rollerrail and deduced the normal, longitudinal, and transverse contact stiffness coefficients; based on the contact stiffness

model established by Mei et al. [[14\]](#page-13-7), Wang et al. [[15\]](#page-13-8) established the dynamic model of car-roller-rail coupling vibration and calculated the horizontal vibration response of the elevator system under the multi-point excitation of guide rail bracket; Zhu et al. [[16\]](#page-13-9) calculated the contact force between the guide roller and the rail by the proposed judgment algorithm of the contact state, and then constructed the coupled vibration dynamic model of the car-frame-rail, and analyzed the seismic response of the coupling system under the seismic excitation; according to Hertz contact theory, Zhang et al. [[17\]](#page-13-10) derived the function expression of the relationship between the roller deformation and the guide roller-rail contact force and established the nonlinear model of the guide roller.

Although the existing research has done some research on the guide roller-rail contact stifness of elevator, to our best knowledge, the infuence of aerodynamic load on the wheel rail contact stifness has not been considered. In the actual operation of the elevator, especially for the high-speed $(2 \text{ m/s} < v_{\text{elevation}} \leq 5 \text{ m/s})$ /super high-speed ($v_{\text{elevation}} > 5 \text{ m/s}$) elevator, the aerodynamic loads are relatively signifcant, which will inevitably change the resulting preload between the roller and the rail. Therefore, under the constant change of contact force, the roller will produce diferent degrees of deformation, which makes the contact stifness show the "time-varying characteristics" and ultimately afect the dynamic behavior of the elevator. To solve this problem, a time-varying model of guide roller-rail contact stifness under the action of aerodynamic load is established in this paper. Based on this model, a 17-DOF dynamic model of elevator horizontal vibration is constructed, and the impact of aerodynamic loads on the wheel rail contact stifness and the dynamic response of elevator are analyzed.

2 Dynamic model construction of super high‑speed elevator

2.1 17‑DOF dynamic model of elevator horizontal vibration

The purpose of this paper is to study the infuence of aerodynamic force (moment) on guide roller-rail contact stifness, and the change of elevator vibration acceleration caused by it, and it was necessary to consider the infuence of the excitation disturbance at each guide boot. Meanwhile, the car and the frame are regarded as one [\[18\]](#page-13-11) for a more obvious infuence law in this paper. Referring to above work and the assumptions, the dynamic model constructed has 17 degrees of freedom (DOFs), the translation of car system along *X*-axis and *Y*-axis, the rotation around *X-*, *Y-,* and *Z*-axis, and the translation of 12 rollers, as shown in Fig. [1,](#page-2-0) where *O* is the centroid of the car system; h_1 is the vertical distance from the centroid of car top

Fig. 1 17-DOF dynamic model of elevator horizontal vibration

guide roller to $O; h_2$ is the vertical distance from the centroid of car bottom guide roller to O ; W_g is the horizontal distance from the centroid of roller to *O* in *Y*-direction. The diferential equation of the horizontal vibration of the super high-speed elevator is,

 $\mathbf{M}\ddot{\mathbf{X}} + \mathbf{C}\dot{\mathbf{X}} + \mathbf{K}\mathbf{X} = \mathbf{F}$ (1)

where

(A1) $X = [x_{cf}, y_{cf}, \theta_x, \theta_y, \theta_z, x_1, y_2, x_3, x_4, y_5, x_6, x_7, y_8, x_9, x_{10}, y_{11}, x_{12}]^T$

> $\overline{}$ $\overline{}$ $\overline{}$ ⎥ $\overline{}$ $\overline{}$ \overline{a}

$$
M = diag(m_{cf}, m_{cf}, J_x, J_y, J_z, m_g, m_g)
$$
(A2)

$$
\mathbf{K} = \left[\begin{array}{cc} \mathbf{K}_{11} & \mathbf{K}_{12} \\ \mathbf{K}_{21} & \mathbf{K}_{22} \end{array} \right],
$$

$$
\mathbf{K}_{11} = \begin{bmatrix} 8k_s & 0 & 0 & 4k_s(h_1 - h_2) & 0 \\ 0 & 4k_s & 2k_s(h_1 - h_2) & 0 & -k_s \\ 0 & 2k_s(h_1 - h_2) & 2k_s(h_1^2 + h_2^2) & 0 & 0 \\ 4k_s(h_1 - h_2) & 0 & 0 & 4k_s(h_1^2 + h_2^2) & 0 \\ 0 & 0 & 0 & 0 & 8k_s w_g \end{bmatrix},
$$

$$
\mathbf{K}_{12} = \mathbf{K}_{21}^T
$$
\n
$$
= \begin{bmatrix}\n-k_s & 0 & -k_s & -k_s & 0 & -k_s & -k_s & 0 & -k_s & 0 & -k_s \\
0 & -k_s & 0 & 0 & -k_s & 0 & 0 & -k_s & 0 & 0 & -k_s & 0 \\
0 & -k_s h_1 & 0 & 0 & -k_s h_1 & 0 & 0 & k_s h_2 & 0 & 0 & k_s h_2 & 0 \\
-k_s h_1 & 0 & -k_s h_1 & -k_s h_1 & 0 & -k_s h_1 & k_s h_2 & 0 & k_s h_2 & k_s h_2 & 0 & k_s h_2 \\
-k_s w_g & 0 & -k_s w_g & k_s w_g & 0 & k_s w_g & -k_s w_g & 0 & -k_s w_g & k_s w_g & 0 & k_s w_g\n\end{bmatrix}
$$

 $\mathbf{K}_{22} = k_s \mathbf{I}_{12 \times 12} + \text{diag}(k_{c1}, k_{c2}, k_{c3}, k_{c4}, k_{c5}, k_{c6}, k_{c7}, k_{c8}, k_{c9}, k_{c10}, k_{c11}, k_{c12}),$

$$
\mathbf{C} = \begin{bmatrix} \mathbf{C}_{11} & \mathbf{C}_{12} \\ \mathbf{C}_{21} & \mathbf{C}_{22} \end{bmatrix},
$$
\n
$$
\mathbf{C}_{11} = \begin{bmatrix} 8c_g & 0 & 0 & 4c_g(h_1 - h_2) & 0 \\ 0 & 4c_{gf} & 2c_{gf}(h_1 - h_2) & 0 & 0 \\ 0 & 2c_{gf}(h_1 - h_2) & 2c_{gf}(h_1^2 + h_2^2) & 0 & 0 \\ 0 & 0 & 0 & 0 & 4c_{gf}(h_1^2 + h_2^2) & 0 \\ 0 & 0 & 0 & 0 & 8c_{gf}w_g \end{bmatrix},
$$
\n
$$
\mathbf{C}_{12} = \mathbf{C}_{21}^T =
$$
\n
$$
\begin{bmatrix} -c_{gf} & 0 & -c_{gf} & -c_{gf} & 0 & -c_{gf} & -c_{gf} & 0 & -c_{gf} & 0 & -c_{gf} \\ 0 & -c_{gf} & 0 & 0 & -c_{gf} & 0 & 0 & -c_{gf} & 0 \\ 0 & -c_{gf} & 0 & 0 & -c_{gf} & 0 & 0 & -c_{gf} & 0 \\ 0 & -c_{gf} & 0 & 0 & -c_{gf} & 0 & 0 & -c_{gf} & 0 \\ -c_{gf}h_1 & 0 & -c_{gf}h_1 & -c_{gf}h_1 & 0 & -c_{gf}h_1 & c_{gf}h_2 & 0 & c_{gf}h_2 & c_{gf}h_2 & 0 & c_{gf}h_2 \\ -c_{gf}w_g & 0 & -c_{gf}w_g & c_{gf}w_g & 0 & c_{gf}w_g & -c_{gf}w_g & 0 & -c_{gf}w_g & c_{gf}w_g & 0 & -c_{gf}w_g \end{bmatrix}
$$

$$
\mathbf{C}_{22} = c_{gf} \mathbf{I}_{12 \times 12}, \mathbf{F} = [\mathbf{O}_{1 \times 5} \ \mathbf{F}_{12}]^{T},
$$

where *M* is mass matrix, $\mathbf{C} = \begin{bmatrix} \mathbf{C}_{11} & \mathbf{C}_{12} \\ \mathbf{C}_{21} & \mathbf{C}_{22} \end{bmatrix}$ is damping matrix, $\mathbf{K} = \begin{bmatrix} \mathbf{K}_{11} & \mathbf{K}_{12} \\ \mathbf{K}_{21} & \mathbf{K}_{22} \end{bmatrix}$ is stiffness matrix, $\mathbf{F} = \begin{bmatrix} \mathbf{O}_{1 \times 5} & \mathbf{F}_{12} \end{bmatrix}^T$ is external force matrix of lift car, $O_{1\times 5}$ is zero matrix, $I_{12\times 12}$ is identity matrix, and $e_i(t)$ $(i = 1, 2, ..., 6)$ is displacement excitation of "*T*" guide rail. ${\bf F}_{12} = [-k_{c1}e_1(t) k_{c2}e_2(t) - k_{c3}e_3(t) k_{c4}e_4(t) - k_{c5}e_5(t) 6 - k_{c6}e_6(t)$ $k_{c7}e_1(t)$ $k_{c8}e_2(t)$ − $k_{c9}e_3(t)$ $k_{c10}e_4(t)$ − $k_{c11}e_5(t)$ − $k_{c12}e_6(t)$]^T

2.2 The contact stifness between the guide roller and the rail

As shown in Fig. [2](#page-3-0), it is the structure diagram of guide roller, a guide roller contains three rollers, and the outer ring of roller is made of rubber. The guide roller replaces the sliding friction with the rolling friction to reduce the friction loss, so it is usually installed on the elevator with a running speed of more than 2 m/s [[19\]](#page-13-12). The connection between guide roller and frame can be regarded as spring-damping structure, because the stifness of rail is much greater than that of the rubber, so the rail is regarded as a rigid rail.

Furthermore, compared with the normal contact force, the creep force in the contact area is very small, so the efect of creep force is ignored in this paper. And the single guide roller-rail contact model constructed is shown in Fig. [3,](#page-4-0) where

 k_s and c_{gf} are the equivalent stiffness and damping of connecting structure between guide roller and frame; F_1 is the total load on each roller; k_c is the contact stiffness between guide roller and rail; $e(z)$ is the surface roughness of guide rail.

To ensure that the guide roller and rail are in contact at all times during the operation of the elevator, a preload *Fpre* is usually applied during installation. Under the action of preload, the rubber will have some elastic deformation $\delta_0(F_{pre})$. In addition to the preload, the super high-speed elevator will be subjected to a large aerodynamic force (moment) in the horizontal direction during its operation [[20\]](#page-13-13). And with the increase in the speed of the elevator, the horizontal aerodynamic force (moment) of the elevator will

Fig. 2 The structure diagram of guide roller

Fig. 3 The single guide roller-rail contact model

increase substantially, because of this the rubber deformation changes during the motion, and lead to the change of the guide roller-rail contact stifness. Therefore, in the dynamic model construction of the super high-speed elevator, considering the time-varying characteristics of the contact stifness between the rolling guide shoe and the guide rail, under the aerodynamic load, is more reasonable.

According to Hertz contact theory [[21](#page-13-14)], under the action of normal loads such as preload and aerodynamic force, the contact between guide roller and rail can be regarded as two cylinders with parallel axes, the section radius of one cylinder (roller) is the roller radius R_g , and the another (rail) is ∞. The radial width of the contact area is,

$$
a = \sqrt{\frac{4PR_g}{\pi E^*}}\tag{2}
$$

The approximate analytical expression [\[22](#page-13-15)] of the elastic deformation $\delta(F)$ of roller is,

$$
\delta = \frac{P}{\pi E^*} \left[1 + \ln \left(\frac{\pi E^* l^3}{F R_g} \right) \right] \tag{3}
$$

where *P* is the load distribution on roller, $P = F/l$, *F* is the total load on roller, l is the axial width of roller; E^* is the plane strain modulus of elasticity, $\frac{1}{E^*} = \frac{1 - v_1^2}{E_1} + \frac{1 - v_2^2}{E_2}$, v_1 and v_2 are the Poisson's ratio of roller rubber and rail, E_1 and E_2 are the elastic modulus of roller rubber and rail. Because the elastic model of the rail is much larger than the elastic modulus of the rubber on the outer ring of the roller, the infuence of the guide rail is ignored, namely, $E^* = \frac{E_1}{1 - v_1^2}$

According to Eq. (3), the contact stifness between guide roller and rail is,

$$
k_c(t) = \frac{F(t)}{\delta} = (\pi E^* l) / \left[\ln \left(\frac{\pi E^* l^3}{F(t) R_g} \right) + 1 \right]
$$
 (4)

2.3 The irregularity excitation of rail

According to previous study [\[23–](#page-13-16)[25](#page-13-17)], in this paper, the Gaussian white noise is employed to simulate the excitation of rail, its mean value is $\mu_{g} = 0$, and standard deviation is $\sigma_{\varrho} = 0.6$ mm. Because the actual contact between the guide roller and the rail is face contact, not point contact, so the Savitzky–Golay flter is used to flter the rail excitation [\[26](#page-13-18)], as shown in Fig. [4](#page-4-1).

Fig. 4 The rail excitation after fltering

Fig. 5 Simplifed geometric model of elevator experimental tower

3 Numerical calculation of aerodynamic load

3.1 Computational model and operating conditions

The hoistway section and geometric details used in the simulations are shown in Fig. [5.](#page-5-0) It is obtained by simplifying an elevator experimental tower, which is equipped with a 7-m/s super high-speed elevator. Considering that the actual elevator shape modeling will make the calculation results not representative, so, in this paper, some small parts in the elevator system, such as guide shoes, safety gear, were ignored. In the calculation model built in this paper, the height of hoistway in elevator tower is 27 m, and the section size of hoistway is $2.55 \text{ m} \times 2.5 \text{ m}$. The height of car is 3 m, and the section size is $1.9 \text{ m} \times 2.0 \text{ m}$, the car is placed symmetrically in *Y*-direction, and the distance between the door of car and the

wall of hoistway is 0.13 m. When the elevator is in the initial position, the distance between its centroid and the nearer end of the hoistway is 10.5 m.

The running speed curve of the super high-speed elevator is shown in Fig. [6](#page-6-0). It can be divided into three phase: $t \le 7s$, the elevator is in variable acceleration phase; $7s < t \leq 9s$, the elevator runs at a constant speed, 6.3 m/s; $9s < t \le 22s$, the elevator is in variable deceleration phase.

3.2 Simulation details

The simulation of this study used the ANSYS-FLUENT® CFD software. As for the boundary conditions, the upperend face of hoistway is set as velocity inlet, and the setting of velocity is defned by user-defned function (UDF), which is written according to the actual running speed of the super

Fig. 6 The running speed curve of the super high-speed elevator

Table 1 The detail parameters of diferent mesh

	Coarse	Medium Fine	
Total cells/in millions	1.29	1.80	2.36
The thickness of first prism layer/m 0.00306 0.00153			0.00153
The growth ratio	1.2.	1.15	1.1
Number of prism layers	10	13	15

high-speed elevator. The lower-end face of hoistway is set as zero pressure outlet. The hoistway wall was set as a nonslip moving wall with the same velocity as the inlet. The elevator car wall was set as a nonslip stationary wall.

As for the setting of solver, the standard $k - \varepsilon$ model [\[27–](#page-13-19)[29](#page-13-20)] is used, the SIMPLE (Semi-Implicit Method for Pres-sure-Linked Equations) [[30,](#page-13-21) [31\]](#page-13-22) is used to solve the pressure and velocity coupling equation, and the second-order upwind scheme is used to solve the momentum equation. The time step $\Delta t = 1 \times 10^{-2}$ s, which is less than 1.8×10^{-2} s [\[32](#page-13-23)].

3.3 Data processing

For the convenience of data analysis and comparison [[33](#page-13-24), [34\]](#page-13-25), the aerodynamic forces and the aerodynamic moments can be defned as follows:

$$
\begin{cases}\nF_{hx} = \frac{1}{2} C_{hx} \rho v^2 A \ F_{hy} = \frac{1}{2} C_{hy} \rho v^2 A \\
M_{pm} = \frac{1}{2} C_{pm} \rho v^2 A l \ M_{ym} = \frac{1}{2} C_{ym} \rho v^2 A l \ M_{rm} = \frac{1}{2} C_{rm} \rho v^2 A l\n\end{cases}
$$
\n(5)

where F_{hx} , F_{hy} , M_{pm} , M_{ym} , and M_{rm} denote the horizontal force in *X*-direction, the horizontal force in *Y*-direction,

Fig. 7 The mesh around car with diferent mesh densities

Fig. 8 The aerodynamic coefficients in *X*-direction under three mesh densities

the pitching moment, the yawing moment, and the rolling moment, respectively; C_{hx} , C_{hy} , C_{ym} , C_{ym} , and C_{rm} denote the coefficient of horizontal force in *X*-direction, the coefficient of horizontal force in *Y*-direction, the pitching moment coefficient, the yawing moment coefficient, and the rolling moment coefficient, respectively; ρ is the air density, $\rho = 1.225 \text{ kg/m}^3$; *v* is the operation speed of elevator, $v = 7$ m/s; A is the cross-sectional area of elevator car, $A = 3.8 \,\text{m}^2$; *l* is the reference length, $l = 2.525 \,\text{m}$.

3.4 Mesh convergence analysis

In order to ensure that the selected mesh meets the calculation requirements, in this paper, three diferent densities, "coarse," "medium," "fne," are used to determine the most suitable mesh density, and all meshes are hexahedral. Besides, the boundary layer is set to ensure the correctness of the velocity gradient near the car wall and obtain more accurate aerodynamic load data on the elevator car. In this convergence analysis, the minimum thickness of the frst boundary layer is 1.53 mm, the maximum number of boundary layer is 15, and the total number of meshes is $1.29 \sim 2.36 \times 10^6$. The detail parameters of different density meshes are shown in Table [1](#page-6-1), and the mesh division of elevator and the boundary layer under diferent density mesh are shown in Fig. [7](#page-6-2).

The simulation results show that, except for the horizontal force in *X*-direction F_{hx} , all aerodynamic loads are small [[20](#page-13-13)], so only F_{hx} is considered.

Figure [8](#page-7-0) and Table [2](#page-7-1) are the numerical simulation results of three sets of diferent density meshes. It can be seen that the maximum error of the mean value, maximum value, and root-mean-squares (RMSs) between the "medium" and the "fne" is 1.59%, while the maximum error of the mean value, maximum value, and RMSs between the "coarse" and the "medium" is 2.17%. Therefore, the aerodynamic load data are gradually converging from "coarse" to "fne" with the increase in mesh density; therefore, the aerodynamic load data calculated under "fne" density are selected in this paper.

Table 2 The mean value, the maximum value, and the RMSs of aerodynamic coefficients in *X*-direction under diferent mesh densities

Fig. 9 The change of guider roller-rail contact force on each roller

Fig. 10 Variation of the guide roller-rail contact stifness under aerodynamic load

4 Results and discussion

In this paper, a 7-m/s super high-speed elevator is selected as an example, to analyze the infuence rule of the timevarying characteristics of the contact stifness of guide roller and rail, under the aerodynamic action. The detailed structural parameters of the selected super high-speed elevator are shown in Table [3](#page-7-2).

In this paper, MATLAB is used to solve the change rule of guide roller-rail contact force and contact stifness under the action of aerodynamic load, and Newmark-β method is used to solve the dynamic response of elevator

vibration acceleration considering the infuence of aerodynamic load and no aerodynamic load.

4.1 Guide roller‑rail contact force

In the super high-speed elevator system, the guide rollerrail contact force is mainly composed of two parts, namely the preload of guide shoe and the aerodynamic load. Figure [8](#page-7-0) shows the relation between the contact force of each roller and the time. It can be seen from Fig. [9](#page-8-0) that the influence of other aerodynamic forces is ignored. Except the rollers 2/5 $(f_{c2} = f_{c5} = F_{pre} = 40 \text{ N})$ and rollers 8/11 $(f_{c8} = f_{c11} = -F_{pre} = -40 \text{ N})$, keep the original contact force unchanged, the wheel rail contact force changes at all other rollers, and the change trend is the same as that of the aerodynamic force in *X*-direction. When the elevator speed reaches the maximum, each contact force also reaches its own extreme value. Due to the aerodynamic force in the positive direction of *X*, the rollers 3/6/7/10 reach the maximum value of the absolute value of the wheel rail contact force. Relatively, the rollers 1/4/9/12 also reach their minimum value.

4.2 Guide roller‑rail contact stifness

Although the force direction is opposite, the force on rollers 1/4 and rollers 9/12 is the same. Therefore, the change law of contact stifness on these four rollers is the same. Similarly, the stifness change of roller 3/6/7/10 is the same. The blue lines in Fig. [10](#page-8-1) show the guide roller-rail contact stifness at the rollers 2/5/8/11. Because the unexpected aerodynamic loads in the *X*-direction are ignored, there is no change in the contact stifness at the four rollers. In addition, it can be **Table 4** Comparison of means, maximums, and RMSs of time domain signals

Fig. 11 *X*-direction vibration acceleration under fxed contact stifness and time-varying conditions (time domain)

Fig. 12 *X*-direction vibration amplitude under fxed contact stifness and time-varying conditions (frequency domain)

Fig. 13 *Y*-direction vibration acceleration under fxed contact stifness and time-varying conditions (time domain)

Fig. 14 *Y*-direction vibration amplitude under fxed contact stifness and time-varying conditions (frequency domain)

Fig. 15 Angular acceleration of vibration around *Y*-axis under fxed contact stifness and time-varying conditions (time domain)

Fig. 16 Angular amplitude of vibration around *Y*-axis under fxed contact stifness and time-varying conditions (frequency domain)

seen from the fgure that the contact stifness fuctuates in the range of $1.277 \sim 1.404 \times 10^5$ N • m under the action of aerodynamic force. Compared with that without considering the aerodynamic force, the contact stifness at 3/6/7/10 of the roller increases by 5.2%, while that at 2/5/8/11 increases by 4.23%, which is quite signifcant. According to the previous research, with the increase in the elevator speed, the aerodynamic load of the elevator will increase sharply, and the elevator operation condition simulated in this paper is relatively ideal. In the actual installation process, the elevator will inevitably have some position deviation, and the car surface is not completely fat, which will increase the aerodynamic load of the elevator in all directions, resulting in a more signifcant change in contact stifness. Therefore,

Fig. 17 Angular acceleration of vibration around *Z*-axis under fxed contact stifness and time-varying conditions (time domain)

Fig. 18 Angular amplitude of vibration around *Z*-axis under fxed contact stifness and time-varying conditions (frequency domain)

it is necessary to consider the infuence of the time-varying characteristics of the upper wheel rail contact stifness in the dynamic modeling of ultra-high-speed elevator.

4.3 Dynamic response analysis of super high‑speed elevator

This section mainly discusses the infuence of the fxed wheel rail contact stifness and time-varying conditions on the *X-* and *Y*-direction vibration characteristics (including vibration acceleration (time domain) and vibration amplitude (frequency domain)) of the super high-speed elevator under aerodynamic load. The linear scale of vibration amplitude in low-frequency domain (0–5 Hz) was transformed

into log10 scale. This is shown in Figs. [12](#page-9-0), [14,](#page-9-1) [16,](#page-10-0) and [18,](#page-10-1) the embedded fgure of Figs. [12,](#page-9-0) [14](#page-9-1), [16,](#page-10-0) and [18.](#page-10-1) Additionally, the typical digital characteristics of the vibration acceleration in the time domain (RMS, mean, and maximum) were compared and analyzed, as shown in Table [4.](#page-9-2)

Figures [11,](#page-9-3) [12,](#page-9-0) [13](#page-9-4), and [14](#page-9-1) show the vibration acceleration of the elevator (time domain) and the vibration amplitude (frequency domain) in *X-* and *Y*-directions. It can be seen from the fgures that the horizontal vibration acceleration and the vibration amplitude of the elevator increase after considering the aerodynamic force, that is, after the change of contact stifness.

Figures [15,](#page-10-2) [16](#page-10-0), [17](#page-10-3), and [18](#page-10-1) show the vibration angular acceleration (time domain) and the angular amplitude of vibration (frequency domain) signals of the elevator around the *Y*-axis and *Z*-axis. Since the aerodynamic load except for the aerodynamic force in *X*-direction is ignored, the contact stifness in *Y*-direction has no change, which is not compared here. It can be seen from the fgure that the angular acceleration and the angular amplitude of vibration increase after considering the time-varying efect of contact stifness.

It can be found from Table [4](#page-9-2) that the change of contact stifness has a signifcant impact on elevator dynamics. In addition to the angular acceleration around *Z*-axis, the most signifcant change is the maximum value of each response. Considering the contact stiffness of a_{cx} , a_{cy} , and α _v after considering aerodynamic force, it increases 16.97%, 8.04%, and 15.13%, respectively. Among the four accelerations studied, the angular acceleration around *Y*-axis is the most signifcant one. This is because, among the aerodynamic loads on the elevator, the aerodynamic load in the *X*-direction is the most signifcant, so the stifness change of the rollers in the *X*-direction is the biggest, which results in the vibration acceleration in the *X*-direction and the angular acceleration around the *Y*-axis of the elevator. To sum up, it is necessary to consider the infuence of contact stifness change on elevator vibration when the elevator is running at a speed of 7 m/s.

including time-varying contact stifness is proposed, and the infuence of time-varying contact stifness on the dynamic response of elevator is further analyzed. This not only improves the existing dynamic model of super high-speed elevator, but also has a certain guiding signifcance for the selection of roller rubber stifness. The main conclusions of this chapter are as follows:

- (1) When the elevator is running at super high speed, the aerodynamic load on the elevator has obvious infuence on the guide roller-rail contact force in the horizontal direction, and the aerodynamic force in the *X*-direction has the most significant effect;
- (2) Under the action of aerodynamic force, the guide roller-rail contact stifness fuctuates in the range of 1.277 ~ 1.404 × $10⁵N$ • m. Compared with the effect of aerodynamic force, the contact stiffness at rollers 3/6/7/10 increases by 5.2%, while that of rollers 2/5/8/11 increases by 4.23%;
- (3) In addition to the angular acceleration around *Z*-axis, the most signifcant change is the maximum value of each response. Considering the contact stifness after aerodynamic effect, a_{cx} , a_{cy} , and α _v increase by 16.97%, 8.04%, and 15.13%, respectively, and the infuence of aerodynamic load on the angular acceleration around *Y*-axis and vibration acceleration in *X*-direction is the most obvious.

Appendix

According to the D'Alembert's principle, the 17 degree-offreedom dynamic model was constructed and deduced as follows:

$$
X = [x_{cf}, y_{cf}, \theta_x, \theta_y, \theta_z, x_1, y_2, x_3, x_4, y_5, x_6, x_7, y_8, x_9, x_{10}, y_{11}, x_{12}]^T
$$
\n(A1)

$$
M = diag(m_{cf}, m_{cf}, J_x, J_y, J_z, m_g, m_g)
$$
(A2)

5 Conclusion

In this paper, the infuence of aerodynamic load on the guide roller-rail contact stifness is considered. A 17 degree-offreedom dynamic model of elevator horizontal vibration

$$
T = \frac{1}{2} m_{cf} \left(\dot{x}_{cf}^2 + \dot{y}_{cf}^2 \right) + \frac{1}{2} J_x \dot{\theta}_x^2 + \frac{1}{2} J_y \dot{\theta}_y^2 + \frac{1}{2} J_z \dot{\theta}_z^2 + \frac{1}{2} m_g
$$

$$
\left(\dot{x}_1^2 + \dot{y}_2^2 + \dot{x}_3^2 + \dot{x}_4^2 + \dot{y}_5^2 + \dot{x}_6^2 + \dot{x}_{10}^2 + \dot{y}_{11}^2 + \dot{x}_{12}^2 \right)
$$

(A3)

$$
V = \frac{1}{2}k_{c1}[x_{1} - e_{1}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} + \theta_{y}h_{1} + \theta_{z}w_{g} - x_{1})^{2} + \frac{1}{2}k_{c2}[y_{2} - e_{2}(t)]^{2}
$$

+
$$
\frac{1}{2}k_{s}(y_{cf} + \theta_{x}h_{1} - y_{2})^{2} + \frac{1}{2}k_{c3}[x_{3} - e_{3}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} + \theta_{y}h_{1} + \theta_{z}w_{g} - x_{3})^{2}
$$

+
$$
\frac{1}{2}k_{c4}[x_{4} - e_{4}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} + \theta_{y}h_{1} - \theta_{z}w_{g} - x_{4})^{2} + \frac{1}{2}k_{c5}[y_{5} - e_{5}(t)]^{2}
$$

+
$$
\frac{1}{2}k_{s}(y_{cf} + \theta_{x}h_{1} - y_{5})^{2} + \frac{1}{2}k_{c6}[x_{6} - e_{6}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} + \theta_{y}h_{1} - \theta_{z}w_{g} - x_{6})^{2}
$$

+
$$
\frac{1}{2}k_{c7}[x_{7} - e_{1}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} - \theta_{y}h_{2} + \theta_{z}w_{g} - x_{7})^{2} + \frac{1}{2}k_{c8}[y_{8} - e_{2}(t)]^{2}
$$

+
$$
\frac{1}{2}k_{s}(y_{cf} - \theta_{x}h_{2} - y_{8})^{2} + \frac{1}{2}k_{c9}[y_{9} - e_{3}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} - \theta_{y}h_{2} + \theta_{z}w_{g} - x_{9})^{2}
$$

+
$$
\frac{1}{2}k_{c10}[y_{10} - e_{4}(t)]^{2} + \frac{1}{2}k_{s}(x_{cf} - \theta_{y}h_{2} - \theta_{z}w_{g} - x_{10})^{2} + \frac{1}{2}k_{c11}[y_{11} - e_{5}(t)]^{
$$

$$
\Psi_{d} = \frac{1}{2} c_{gf} (\dot{x}_{cf} + \dot{\theta}_{y} h_{1} + \dot{\theta}_{z} w_{g} - \dot{x}_{1})^{2} + \frac{1}{2} c_{gf} (\dot{y}_{cf} + \dot{\theta}_{x} h_{1} - \dot{y}_{2})^{2}
$$
\n
$$
+ \frac{1}{2} c_{gf} (\dot{x}_{cf} + \dot{\theta}_{y} h_{1} + \dot{\theta}_{z} w_{g} - \dot{x}_{3})^{2} + \frac{1}{2} c_{gf} (\dot{x}_{cf} + \dot{\theta}_{y} h_{1} - \dot{\theta}_{z} w_{g} - \dot{x}_{4})^{2}
$$
\n
$$
+ \frac{1}{2} c_{gf} (\dot{y}_{cf} + \dot{\theta}_{x} h_{1} - \dot{y}_{5})^{2} + \frac{1}{2} c_{gf} (\dot{x}_{cf} + \dot{\theta}_{y} h_{1} - \dot{\theta}_{z} w_{g} - \dot{x}_{6})^{2}
$$
\n
$$
+ \frac{1}{2} c_{gf} (\dot{x}_{cf} - \dot{\theta}_{y} h_{2} + \dot{\theta}_{z} w_{g} - \dot{x}_{7})^{2} + \frac{1}{2} c_{gf} (\dot{y}_{cf} - \dot{\theta}_{x} h_{2} - \dot{y}_{8})^{2}
$$
\n
$$
+ \frac{1}{2} c_{gf} (\dot{x}_{cf} - \dot{\theta}_{y} h_{2} + \dot{\theta}_{z} w_{g} - \dot{x}_{9})^{2} + \frac{1}{2} c_{gf} (\dot{x}_{cf} - \dot{\theta}_{y} h_{2} - \dot{\theta}_{z} w_{g} - \dot{x}_{10})^{2}
$$
\n
$$
+ \frac{1}{2} c_{gf} (\dot{y}_{cf} - \dot{\theta}_{x} h_{2} - \dot{y}_{11})^{2} + \frac{1}{2} c_{gf} (\dot{x}_{cf} - \dot{\theta}_{y} h_{2} - \dot{\theta}_{z} w_{g} - \dot{x}_{12})^{2}
$$
\n(A5)

It is decided by $L = T - V$.

The solution of vibration response under the force (*Fair* ∶ *The aerodynamic force* (*moment*) *Fpre* ∶ *A preload*) of X and *Y*-direction of car:

$$
\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial T}{\partial \dot{x}_{cf}} \right) + \frac{\partial V}{\partial x_{cf}} + \frac{\partial \Psi_d}{\partial \dot{x}_{cf}} = F_x \tag{A6}
$$

$$
\frac{\mathrm{d}}{\mathrm{d}t}\left(\frac{\partial T}{\partial \dot{y}_{cf}}\right) + \frac{\partial V}{\partial y_{cf}} + \frac{\partial \Psi_d}{\partial \dot{y}_{cf}} = F_y \tag{A7}
$$

$$
\frac{\mathrm{d}}{\mathrm{d}t}\left(\frac{\partial T}{\partial \dot{\theta}_x}\right) + \frac{\partial V}{\partial \theta_x} + \frac{\partial \Psi_d}{\partial \dot{\theta}_x} = M_x \tag{A8}
$$

$$
\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial T}{\partial \dot{\theta}_y} \right) + \frac{\partial V}{\partial \theta_y} + \frac{\partial \Psi_d}{\partial \dot{\theta}_y} = M_y \tag{A9}
$$

According to the research content of this paper, M_z has little infuence on the calculation results and can be ignored.

$$
\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial T}{\partial \dot{\theta}_z} \right) + \frac{\partial V}{\partial \theta_z} + \frac{\partial \Psi_d}{\partial \dot{\theta}_z} = 0 \tag{A10}
$$

The actual contact force between each roller and guide rail under pneumatic action is solved:

$$
\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial T}{\partial \dot{x}_i} \right) + \frac{\partial V}{\partial x_i} + \frac{\partial \Psi_d}{\partial \dot{x}_i} = f_{pre} \quad i = (1, 3, 4, 6, 7, 9, 10, 12)
$$
\n(A11)

$$
\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial T}{\partial \dot{y}_i} \right) + \frac{\partial V}{\partial y_i} + \frac{\partial \Psi_d}{\partial \dot{y}_i} = f_{pre} \quad i = (2, 5, 8, 11) \tag{A12}
$$

Declarations

Conflict of interest The authors declare that they have no conficts of interest or competing interests.

References

- 1. Fu WJ (2007) Research on car lateral vibration suspensions for super high-speed elevators. PhD thesis, Shanghai Jiao Tong University, China
- 2. Bao JH (2014) Research on car lateral vibration suspensions for super high-speed elevators. PhD thesis, Shanghai Jiao Tong University, China
- 3. Li LJ, Li XF, Zhang GX, Li Z (2002) Horizontal vibration model of elevator car. Hoisting Conveying Mach 5:3–5
- 4. Feng YH, Zhang JW (2007) The Modeling and simulation of horizontal vibrations for high-speed elevator. J Shanghai Jiaotong Univ (Chin Ed) 41(4):557–560
- 5. Song CT (2014) Research of elevator horizontal vibration active control with voice coil motor. PhD thesis, Shanghai Jiao Tong University, China
- 6. Du XQ, Mei DQ, Chen ZC (2007) Wavelet denoising of the horizontal vibration signal for identifcation of the guide rail irregularity in elevator. Key Eng Mater 353–358(Pt4):2794–2797
- 7. Xia BH, Shi X (2012) Horizontal vibrations of high-speed elevator with guide rail excitation. Jiangsu Mach Build Autom 41(5):161–165
- 8. Zhang SH, Zhang RJ, He Q, Cong DS (2018) The analysis of the structural parameters on dynamic characteristics of the guide rail–guide shoe–car coupling system. Arch Appl Mech 88(11):2071–2080
- 9. Cao SX, Zhang RJ, Zhang SH, Qiao S, Cong DS, Dong MX (2019) Roller–rail parameters on the transverse vibration characteristics of super-high-speed elevators. Trans Can Soc Mech Eng 43(4):535–543
- 10. Mao GF (2015) Key technologies on lateral defection of cableguided mine elevator. PhD thesis, China University of Mining and Technology, China
- 11. Liu J, Zhang RJ, He Q, Zhang Q (2019) Study on horizontal vibration characteristics of high-speed elevator with airfow pressure disturbance and guiding system excitation. Mech Ind 20(3):305
- 12. Wang SL (2018) Study and application on multi-factor coupling horizontal vibration analysis and vibration reduction technology of high-speed elevator guide system. Master thesis, Zhejiang University, China
- 13. Zhang Q, Yang Yh, Zhang SH et al (2018) Analysis of vibration response of high speed elevator guideway considering wheel-rail coupling. J Shandong Jianzhu Univ 33(1):18–24
- 14. Mei DQ (2009) Vibration analysis of high-speed traction elevator based on guide roller-rail contact model. J Mech Eng 045(005):264–270
- 15. Wang W, Qian J, Zhang AL (2018) Modeling and simulation of coupled vibration of car-roller-rail for an elevator. Chinese quarterly of mechanics.
- 16. Zhu M, Zhang P, Zhu CM, Jin C (2013) Seismic response of elevator and rail coupled system. Earthq Eng Eng Vib 04:185–190
- 17. Zhang RJ, Wang C, Zhang Q (2018) Response analysis of the composite random vibration of a high-speed elevator considering the nonlinearity of guide shoe. J Braz Soc Mech Sci Eng 40(4):190
- 18. Zhang H, Zhang RJ, Liu LX (2021) Variable universe fuzzy control of high-speed elevator horizontal vibration based on frefy algorithm and backpropagation fuzzy neural network. IEEE Access 9:57020–57032
- 19. Cao SX (2020) Study on active control of high-speed elevator car system horizontal vibration. Master thesis, Shandong Jianzhu Universit, China
- 20. Yang Z, Zhang Q, Zhang RJ, Zhang LZ (2019) Transverse vibration response of a super high-speed elevator under air disturbance. Int J Struct Stab Dyn 19(9):1950103
- 21. Johnson KL (1985) Contact mechanics. The Press Syndicate of the University of Cambridge, Cambridge
- 22. Puttock MJ, Thwaite EG (1969) Elastic compression of spheres and cylinders at point and line contact. Commonwealth Scientifc and Industrial Research Organization, Melbourne
- 23. Xu HT, Xu H, Zhou PF (2016) Data analysis based on the stochastic process of elevator guide rail irregularity degree. Ind Technol Innov 3(2):218–221
- 24. Wang HL (2016) The analysis of statistical error characteristics of the surface roughness signal. Shanghai Measur Test 43(5):18–22
- 25. Liu MX, Zhang RJ, Zhang Q, Liu LX (2021) Analysis of the gas-solid coupling horizontal vibration response and aerodynamic characteristics of a high-speed elevator. Mech Based Des Struct Mech
- 26. Cai TJ, Tang H (2011) Review of least square ftting principle of Savitzky-Golay smoothing flter. Digital Commun 1:65–70+84
- 27. Muñoz-Paniagua J, Garcia J, Crespo A (2014) Genetically aerodynamic optimization of the nose shape of a high-speed train entering a tunnel. J Wind Eng Ind Aerodyn 130:48–61
- 28. Choi JK, Kim KH (2014) Efects of nose shape and tunnel crosssectional area on aerodynamic drag of train traveling in tunnels. Tunn Undergr Space Technol 41:62–73
- 29. Liu F, Yao S, Zhang J, Zhang YB (2016) Efect of increased linings on micropressure waves in a high-speed railway tunnel. Tunn Undergr Space Technol 52:62–70
- 30. Yang WC, Deng E, Lei MF, Zhang PP (2018) Flow structure and aerodynamic behavior evolution during train entering tunnel with entrance in crosswind. J Wind Eng Ind Aerodyn 175:229–243
- 31. Niu JQ, Zhang L, Yuan YP (2018) Numerical analysis of aerodynamic characteristics of high-speed train with diferent train nose lengths. Int J Heat Mass Transf 127:188–199
- 32. Chu C, Chien SY, Wang CY, Wu TR (2014) Numerical simulation of two trains intersecting in a tunnel. Tunn Undergr Space Technol 42:161–174
- 33. Yang W, Deng E, Lei M, Zhang P, Yin R (2018) Flow structure and aerodynamic behavior evolution during train entering tunnel with entrance in crosswind. J Wind Eng Ind Aerodyn 175:229–243
- 34. Zeng XH, Lai J, Wu H (2018) Hunting stability of high-speed railway vehicles under steady aerodynamic loads. Int J Struct Stab Dyn 18(07):1850093

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.