#### **REVIEW ARTICLE**



# Targeting IL-23 for IBD: Rationale and Progress to Date

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#### Abstract

Inflammatory bowel disease, including Crohn's disease and ulcerative colitis, comprises multiple complex immune-mediated disorders. Early diagnosis and prompt disease control may prevent long-term complications and hospitalization. The therapeutic options have expanded in the last two decades, with the development of biologics and small molecules targeting specific pathways implicated in inflammatory bowel disease pathogenesis. The interleukin (IL)-23/Th-17 axis is one such example. Targeting IL-12/23 is effective for the treatment of both moderate-to-severe Crohn's disease and ulcerative colitis, and ustekinumab (an IL-12/23p40 antagonist) is approved for both indications. In patients with psoriasis, improved clinical outcomes were observed with agents that more selectively targeted IL-23 (IL-23p19 antagonists) compared with those that target both IL-12 and IL-23. Many specific IL-23p19 antagonists are currently being investigated in Crohn's disease and ulcerative colitis, and risankizumab has been recently approved for moderate-to-severely active Crohn's disease. In this review, we summarize the mechanisms of action and the evidence from clinical trials supporting the efficacy and safety of IL-23p19 antagonists for the treatment of inflammatory bowel disease.

# 1 Introduction

Inflammatory bowel disease (IBD) is a complex immunemediated disease with diverse manifestations. Crohn's disease (CD) and ulcerative colitis (UC) are subcategories of IBD that are phenotypically different yet share similar pathogenesis and management algorithms. Long-term medical therapy to modulate dysregulated immune responses and surgery are frequently required, resulting in a substantial burden to patients [1, 2] and the healthcare system [3, 4]. Approximately 7 million people globally have IBD, and there has been a substantial increase in IBD prevalence since

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the 1990s, particularly in recently industrialized countries [5, 6].

Despite the availability of effective pharmacotherapy, clinical remission rates during maintenance therapy for IBD generally do not exceed 50% [7, 8]. Since their introduction over 20 years ago, biologics targeting tumor necrosis factor (TNF) have transformed IBD care and are mainstays of therapy in this class. However, approximately one-third of patients will experience a primary nonresponse to induction therapy with TNF antagonists, and half of patients with an initial response may lose response over time [9-11]. Evolution of immunological mechanisms in response to biologic therapy has been documented, and can lead to therapeutic resistance [12]. This is an important clinical problem that highlights the need for new therapies. In the last two decades, additional biologics and small molecules with novel mechanisms of action, including those targeting the interleukin (IL)-12/23 cytokines, have been developed.

A strong association between the development of CD and IL-23 receptor (IL-23R) gene polymorphisms on chromosome 1p31 was first described in 2006 in a pivotal genome wide association (GWAS) study [13]. This study also identified rare IL-23R gene variants with reduced IL-23 expression that were protective for the development of CD, thus underscoring the importance of IL-23 in the pathogenesis of IBD. IL-23 is a key activator of pathogenic Th17 cells that

#### **Key Points**

Targeting interleukin-23 (IL-23) has been shown to be associated with significant therapeutic benefits in clinical trials of patients with inflammatory bowel disease.

Ustekinumab (an IL-12/23 antagonist) is approved for the treatment of moderate-to-severe Crohn's disease and ulcerative colitis, and risankizumab (a selective IL-23p19 antagonist) is approved for the treatment of moderate-to-severe Crohn's disease.

Additional agents selectively targeting IL-23p19 are currently in clinical development.

is implicated in many chronic autoimmune conditions, such as psoriasis and IBD. Ustekinumab, a monoclonal antibody targeting the p40 subunit common to both IL-12 and IL-23 (IL-12/23p40, discussed in greater detail below), was the first agent in this class approved for treatment of CD and UC [14, 15]. Antibodies selectively targeting a subunit unique to IL-23 (IL-23p19) have also been developed and approved for the treatment of psoriasis (risankizumab, guselkumab, tildrakizumab), psoriatic arthritis (risankizumab and guselkumab), and most recently for the treatment of CD (risankizumab). Additional agents targeting IL-23p19 (e.g., mirikizumab, brazikumab, and guselkumab) are currently in phase 3 of clinical development for the treatment of both CD and UC. Recently, application for marketing authorization of mirikizumab for the treatment of adults with moderate-to-severe UC has been submitted to regulatory authorities. Although there are no completed head-to-head studies in IBD that compare the two classes of IL-23 antagonists, agents that selectively target IL-23p19 were associated with substantially greater efficacy for the treatment of psoriasis compared with IL-12/23p40 blockers [16]. In this review, we summarize the role of IL-23 in the immunopathogenesis of IBD as well as data from placebo-controlled trials on the efficacy and safety of IL-23p19 antagonists in CD and UC (Table 1).

# 2 Role of the IL-23 Axis in the Immunopathogenesis of IBD

#### 2.1 Structure and Physiological Function of IL-12 and IL-23 and Their Receptors

IL-12 and IL-23 are structurally similar, heterodimeric IL-12 family cytokines with diverse yet overlapping functions. IL-12 was first identified in 1989 as a soluble factor that stimulated natural killer cells and was later found to play

a key role in innate immunity against bacterial pathogens [29]. Structurally, IL-12 consists of two disulfide-bound subunits, p35 and p40, that transmit signals via binding to the IL-12 receptor (IL-12R)<sup>β1</sup> and IL-12R<sup>β2</sup> subunits, respectively [30]. IL-12 is secreted predominantly by antigen presenting cells, dendritic cells, and macrophages (Fig. 1) [31-33]. Interactions between p40 and p19, a protein originally described in the early 2000s as having no intrinsic cytokine activity, was subsequently shown to stimulate memory T-cell proliferation and activation of the signal transducer and activator of transcription 4 (STAT4)/Janus kinase 2 (JAK2) pathway. Consequently, this led to designating it as IL-23 [34]. The IL-23 receptor is also a heterodimeric protein consisting of IL-12R<sub>β</sub>1 and IL-23R subunits. The IL-12R<sup>β</sup>1 subunit is common to both the IL-12R and IL-23R, whereas the IL-23R subunit binds specifically to the p19 subunit of IL-23. Macrophages and dendritic cells are the major sources of IL-23 [35].

Dimerization of the IL-12R upon binding of IL-12 leads to activation of the tyrosine kinases (TYK) JAK2 and TYK2, which in turn phosphorylate STAT4. Once phosphorylated, cytoplasmic STAT4 homodimerizes and translocates to the nucleus, where it promotes transcription of target genes [36]. IL-12 production occurs in response to microbial products such as lipopolysaccharide that signal through the Toll-like receptor-4. IL-12 stimulates Th1 CD4 cells and NK cells through activation of the key transcription factor T-bet, which results in the production of interferon- $\gamma$  (IFN- $\gamma$ ) [37]. IFN- $\gamma$  in turn enhances antigen presentation by inducing the expression of major histocompatibility complex molecules and activates cells to produce cytolytic molecules, such as perforin and granzyme that are important for clearance of intracellular bacteria. Accordingly, IL-12 acts as a bridge between the innate and adaptive immune systems and plays a crucial role in mucosal defense. This concept is supported by the increased susceptibility to infections such as Salmonella and mycobacterium observed in patients with genetic deficiency of IL-12 [38].

IL-23 mediates T-cell activation and antibacterial responses at the mucosal level [39] and is crucial for T-cell-dependent immune responses orchestrated by Th17-mediated proliferation and stimulation of memory T cells [34] Similar to IL-12, receptor-binding of IL-23 activates JAK2 and TYK2; however, signaling occurs predominantly through STAT3 [40]. Phosphorylated STAT3 activates ROR $\gamma$ t, a master transcription factor responsible for transcription of IL-17 genes in Th17 CD4+ T cells [41]. This process results in production of IL-17, IL-17F, TNF- $\alpha$ , IL-6, granulocyte monocyte colony stimulating factor, and IL-22. While IL-23 cannot induce the differentiation of Th17 cells, as naïve T cells lack IL-23 receptors, it is a crucial factor for maintenance of Th17 cell proliferation [42]. IL-6 and TGF- $\beta$  are the key cytokines that drive Th17 differentiation through activation of ROR $\gamma$ t in naïve T-cells [43].

Table 1 Study c	haracteristics of phase 2 and	d 3 trials of therapies	targeting	g interleuk	in-23 for the	e treatment of Crohn's disea	ase and ulcerative colitis		
Study ID	Eligibility criteria	Number of partici- pants in primary analysis	Trial phase	Induc- tion/ mainte- nance	Prior biologic exposure (%)	Primary outcome	Other clinical and endo- scopic outcomes	Serious adverse events (%)	Serious infections (%)
Crohn's disease									
Feagan 2017 [17]	CDAI of 220–450, with mucosal ulcers in the ileum or colon, or both, and a CDEIS of at least 7 ( $\geq$ 4 for patients with isolated ileitis)	Total = 121 Risankizumab 200 mg: 41 600 mg: 41 Placebo: 39	0	Induc- tion	92%	Clinical remission <sup>a</sup> in the pooled risanki- zumab dose groups at week 12: $31\%$ ( $p = 0.048$ ) Placebo: $12\%$	Clinical response <sup>b</sup> in the pooled risankizumab groups at week 12: 39% (p = 0.027) Placebo: 21% Endoscopic remission <sup>c</sup> in the pooled risankizumab groups at week 12: 17% (p = 0.001) Placebo: 3%	200 mg: 22% 600 mg: 7% Placebo: 31%	A
Sands 2017 [18]	CDAI of 220-450	Total = 121 Brazikumab 700 mg: 60 Placebo: 61	2a	Induc- tion	100%	Clinical response <sup>b</sup> at week 8 700 mg: $49.2\%$ (p = 0.01) Placebo: $26.7\%$	Clinical remission <sup>a</sup> at week 8 700 mg: 27.1% ( $p = 0.01$ ) Placebo: 15%	700 mg: 8.5 Placebo: 8.3	NA
Sands 2022 [19] (SERENITY)	SF $\geq$ 4 and/or AP $\geq$ 2 at baseline and a SES-CD $\geq$ 7 for subjects with ileal-colonic, or $\geq$ 4 for subjects with isolated ileal disease	Total = 191 Mirikizumab 200 mg = 31 600 mg = 32 1000 mg = 64 Placebo = 64	6	linduc- tion	62.8%	Endoscopic response <sup>d</sup> at week 12 200 mg: 25.8% ( $p = 0.079$ ) 600 mg: 37.5% ( $p = 0.003$ ) 1000 mg: 43.8% ( $p < 0.001$ ) Placebo: 10.9%	CDAI remission <sup>a</sup> at week 12: 200 mg: 16.1% ( $p = 0.406$ ) 600 mg: 40.6% ( $p < 0.001$ ) 1000 mg: 26.6% ( $p = 0.023$ ) Placebo: 9.4% Endoscopic remission <sup>e</sup> at week 12: 200 mg: 6.5% ( $p = 0.241$ ) 600 mg: 15.6% ( $p = 0.032$ ) 1000 mg: 20.3% ( $p = 0.009$ ) Placebo: 1.6%	200 mg: 0% 600 mg: 9.4% 1000 mg: 3.1% Placebo: 10.9%	NA

Table 1 (continu	led)								
Study ID	Eligibility criteria	Number of partici- pants in primary analysis	Trial phase	Induc- tion/ mainte- nance	Prior biologic exposure (%)	Primary outcome	Other clinical and endo- scopic outcomes	Serious adverse events (%)	Serious infections (%)
Sandborn 2022 [20, 21] (GALAXI-1)	CDAI of 220–450 and either mean daily SF > 3 or mean daily AP > 1 and SES-CD $\ge 3$ with a score for ulcera- tion $\ge 1$	Total = $309$ Guselkumab: 200  mg = 61 600  mg = 63 1200  mg = 61 Placebo = 61 Ustekinumab = 63	7	Induc- tion	63.8%	Change from baseline in CDAI score at week 12 200 mg: $-160.4$ 600 mg: $-138.9$ 1200 mg: $-144.9$ Placebo: $-36.2$ ( $p < 0.05$ for all com- parisons with placebo) Ustekinumab: $-135.9$	Clinical remission <sup>a</sup> at week 12: 200 mg: 57.4% 600 mg: 55.6% 1200 mg: 45.9% ( $p < 0.05$ for all doses com- pared with placebo) Placebo: 16.4% Ustekinumab: 46% Endoscopic remission <sup>f</sup> at week 12 200 mg: 16.0% ( $p = 0.064$ ) 600 mg: 16.0% ( $p = 0.041$ ) Placebo: 3.9% Ustekinumab: 14.3%	200 mg: 4.1% 600 mg: 5.5% 1200 mg: 1.4% Ustekinumab: 5.6%	200 mg: 12.3% 600 mg: 17.8% 1200 mg: 15.1% Placebo: 21.4% Ustekinumab: 12.7%
D'Haens 2022 [22] (ADVANCE)	CDAI of 220–450, aver- age daily SF $\geq$ 4 or average daily AP $\geq$ 2; or both SF $\geq$ 4 and AP $\geq$ 2), and SES-CD $\geq$ 6, or $\geq$ 4 for isolated ileal disease	Total = 850 Risankizumab: 600 mg: 336 1200 mg: 339 Placebo: 175	ŝ	Induc- tion	28%	Co-primary of clinical remission and endo- scopic response at week 12 CDAI remission <sup>a</sup> ; 600 mg: 45.2% ( $p < 0.001$ ) 1200 mg: 41.6% ( $p < 0.001$ ) Placebo: 25.2% SF/AP remission <sup>6</sup> ; 600 mg: 43.5% ( $p < 0.001$ ) 1200 mg: 41.0% ( $p < 0.001$ ) Placebo: 21.7% Endoscopic response <sup>h</sup> at week 12: 600 mg: 40.3% ( $p < 0.001$ ) 1200 mg: 32.2% ( $p < 0.001$ ) 1200 mg: 32.2% ( $p < 0.001$ ) 1200 mg: 32.2%	Endoscopic remission <sup>1</sup> at week 12: $600 \text{ mg: } 24\% \ (p < 0.0001)$ $1200 \text{ mg: } 24\% \ (p < 0.0001)$ Placebo: $9\%$	600 mg: 7% 1200 mg: 4% Placebo: 15%	600 mg: 1% 1200 mg: 1% Placebo: 4%

(contin	ued)								
	Eligibility criteria	Number of partici- pants in primary analysis	Trial phase	Induc- tion/ mainte- nance	Prior biologic exposure (%)	Primary outcome	Other clinical and endo- scopic outcomes	Serious adverse events (%)	Serious infections (%)
11-	CDAI of 220–450, aver- age daily SF $\geq$ 4 or average daily AP $\geq$ 2; or both SF $\geq$ 4 and AP $\geq$ 2), and SES-CD $\geq$ 6, or $\geq$ 4 for isolated ileal disease	Total = 569 Risankizumab: 600 mg: 191 1200 mg: 191 Placebo: 187	<i>რ</i>	Induc- tion	100%	Co-primary of clinical remission and endo- scopic response at week 12 CDA1 remission <sup>a</sup> : (600  mg:  42.5% $(p \le 0.001)$ 1200 mg: $40.3\%$ $(p \le 0.001)$ Placebo: 19.8% $(p \le 0.001)$ Placebo: 19.3% $(p \le 0.001)$ Placebo: 19.3% $(p \le 0.001)$ Placebo: 19.3% $(p \le 0.001)$ Placebo: 11.2% $(p \le 0.001)$ Placebo: 11.2%	Endoscopic remission <sup>i</sup> at week 12: 600  mg:  19% (p < 0.0001) 1200  mg:  20% (p < 0.0001) Placebo: $4\%$	600 mg: 5% 1200 mg: 4% Placebo: 13%	600 mg: < 1% 1200 mg: 1% Placebo: 2%

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Study ID	Eligibility criteria	Number of partici- pants in primary analysis	Trial phase	Induc- tion/ mainte- nance	Prior biologic exposure (%)	Primary outcome	Other clinical and endo- scopic outcomes	Serious adverse events (%)	Serious infections (%)
Ferrante 2022 [23] (FOR- TIFY)	Patients with clini- cal response to IV risankizumab induction therapy at week 12 or at week 24 of induc- tion period two in ADVANCE or MOTI- VATE studies	Total = 462 Risankizumab: 180 mg: 157 360 mg: 141 Placebo: 164	σ	Mainte- nance	73.2%	Co-primary of clinical remission and endo- scopic response at Week 52 CDAI remission <sup>4</sup> : 180 mg: 55% ( $p < 0.01$ ) 360 mg: 52% ( $p < 0.01$ ) Placebo: 41% 5F/AP remission <sup>6</sup> : 180 mg: 46% ( $p = ns$ ) 360 mg: 52% ( $p < 0.01$ ) Placebo: 40% ( $p < 0.001$ ) 860 mg: 47% ( $p < 0.001$ ) 360 mg: 47% ( $p < 0.001$ ) 180 mg: 47% ( $p < 0.001$ ) 180 mg: 47%	Endoscopic remission <sup>1</sup> at week 52: 180 mg: 30% ( $p < 0.0001$ ) 360 mg: 39% ( $p < 0.0001$ ) Placebo: 13%	180 mg: 12% 360 mg: 13% Placebo: 13%	180 mg: 3% 360 mg: 4% Placebo: 4%
Ulcerative coliti: Sandborn 2022 [24]	Patients with Mayo score $6-12$ with a centrally read baseline endoscopy subscore $\geq 2$	Total = 249 Mirikizumab: 50 mg: 63 200 mg: 61 600 mg: 61 Placebo: 63	0	Induc- tion	61%	Clinical remission at week 12 50 mg: 15.9% (p = 0.066) (p = 0.004) (p = 0.041) (p = 0.142)	Endoscopic remission <sup>k</sup> at week 12 50 mg: $3.2\%$ ( $p = 0.56$ ) 200 mg: $3.2\%$ ( $p = 0.55$ ) 600 mg: $1.6\%$ ( $p = 0.99$ ) Placebo: $1.6\%$	50 mg: 0% 200 mg: 3.2% 600 mg: 5% Placebo: 3.2%	NA
D'Haens 2022 [25] (LUCENT 1)	Modified Mayo score 4–9 points and cen- trally read Mayo endo- scopic subscore ≥ 2	Total = 1162 Mirikizumab: 300 mg: 868 Placebo: 294	$\mathfrak{c}$	Induc- tion	42.9%	Clinical remission <sup>1</sup> at week 12 (p = 0.0006) Placebo: 13.3%	Endoscopic remission <sup>m</sup> (excluding friability) at week 12 300 mg: $36.3\%$ ( $p < 0.00001$ compared with placebo) Placebo: 21.1%	300 mg: 1.6% Placebo: 7.2%	300 mg: 0.7% Placebo: 0.6%
NCT03524092 [26] (LUCENT 2)	Patients with clinical response in LUCENT-1 trial	Total = 581 Mirikizumab 200 mg: 389 Placebo: 192	ε	Mainte- nance	NA	Clinical remission <sup>1</sup> at week 52 200 mg: 49.9% Placebo: 25.1%	Endoscopic remission <sup>m</sup> 200 mg: 58.6% Placebo: 29.1%	200 mg: 3.3% (13/389) Placebo: 7.8% (15/192)	NA

 Table 1 (continued)

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Table 1 (contir	nued)								
Study ID	Eligibility criteria	Number of partici- pants in primary analysis	Trial phase	Induc- tion/ mainte- nance	Prior biologic exposure (%)	Primary outcome	Other clinical and endo- scopic outcomes	Serious adverse events (%)	Serious infections (%)
Dignass 2022 [27] (QUA- SAR)	Modified Mayo Clinic score (MMS) $5-9$ with RB subscore $\geq 1$ and a centrally read endos- copy subscore $\geq 2$	Total = 313 Guselkumab: 200 mg: 101 400 mg: 107 Placebo: 105	2b	Induc- tion	47%	Clinical response <sup>n</sup> at week 12 200 mg: 61.4% (p < 0.001 compared with placebo) 400 mg: 60.7% (p < 0.001 compared with placebo) Placebo: 27.6%	Endoscopic improvement <sup>m</sup> with no friability on endoscopy at week 12 200 mg: $30.7\%$ ( $p < 0.05$ compared with placebo) 400 mg: $30.8\%$ ( $p < 0.001$ compared with placebo) Placebo: 12.4%		
Sands 2022 [28] (VEGA)	Mayo score 6–12 with a centrally read baseline endoscopy subscore ≥ 2	Total = 224 Guselkumab = 71 Golimumab = 72 Guselkumab + golimumab = 71	2a	Induc- tion	%0	Clinical response <sup>1</sup> at week 12 Golimumab and guselkumab combina- tion: 83.1% ( $p = 0.003$ compared with golimumab alone and p = 0.215 compared with guselkumab alone) Golimumab alone: 61.1% Guselkumab alone: 74.6%	Endoscopic improvement <sup>m</sup> with no friability present on endoscopy at week 12 Golimumab and guselkumab combination: 49.3% ( $p = 0.003$ compared with golimumab alone and p = 0.016 compared with guselkumab alone: 25.0% Guselkumab alone: 29.6%	Guselkumab = 3% Golimumab = 1% Guselkumab + golimumab = 1%	Guselkumab = 0% Golimumab = 0% Guselkumab + goli- mumab = 1%

availnot pain; CD, Crohn's disease; CDAI, Crohn's Disease Activity Index; CDEIS, Crohn's Disease Endoscopic Index of Severity; MMS, Modified Mayo Clinic Score; NA, able; RB, rectal bleeding; SES-CD, Simple Endoscopic Score for Crohn's Disease; SF, stool frequency; UC, ulcerative colitis 3ES-CD score of < 4 for ileocolonic disease or < 2 for isolated ileal disease and no subscore > 1<sup>2</sup>Average daily SF  $\leq$  2.8 and average daily AP score  $\leq$  1, not worse than baseline for both  $^{2}\geq$  100 decrease in CDAI score from baseline or CDAI < 150 CDEIS score of  $\leq 4$  or  $\leq 2$  for patients with isolated ileitis <sup>1</sup>50% reduction from baseline in SES-CD score 0 VI  $^{1}$ CDAI score < 150 SES-CD score AP, abdominal

IL-23 receptors are also expressed by other IL-23 target cells including NK cells, NKT cells, gamma delta T cells, innate lymphoid cells, and Th17 T cells.

# 2.2 Role of IL-12 and IL-23 in the Pathogenesis of IBD

Although IL-12 and IL-23 are constitutively expressed in the healthy human intestine (particularly in the terminal ileum) [44] increased levels of both cytokines are observed in the presence of intestinal infections and in inflammatory states [44-46]. Early experiments demonstrated that blockade of IL-12 with either IL-12p40 neutralizing antibodies or disruption of the IL-12p40 gene (IL-12B) protected against experimentally induced autoimmune and inflammatory conditions [47-50]. In subsequent experiments, mice with IL-23p19 and IL-12p40 deficiency were protected against development of both experimental autoimmune encephalomyelitis and colitis, whereas mice with IL-12p35 deficiency were not; suggesting that IL-23, rather than IL-12, was fundamental in driving chronic inflammation [49, 51–53]. The functional role of IL-23 in intestinal inflammation is complex and includes mediation of both innate and T-cell-mediated responses. Elevated local concentrations of both IL-23 and IL-17 in the intestinal tissue of animal colitis models support their involvement in inflammation [51, 54]. Many single nucleotide polymorphisms in the IL-23 pathway conferring susceptibility to IBD have been identified in GWAS in the past 2 decades, and further support the importance of IL-23 and IL-17 in the pathogenesis of IBD [55]. IL-23 may also play a role in maintenance of intestinal epithelial integrity and promotion of mucosal healing via stimulation of IL-17 and IL-22 production. A study by Maxwell et al. demonstrated a differential role of IL-17 and IL-23 in intestinal inflammation. Impaired mucosal barrier function was observed with inhibition of IL-17 in a multidrug resistance-1a-ablated (Abcb1a-/-) mouse model of colitis [56]. This may partially explain why treatment with the IL-17 antagonists secukinumab and brodalumab led to worsening CD, rather than improvement [57, 58]. Finally, additional evidence suggests a pleiotropic effect of IL-23 via induction of IL-22 expression by innate lymphoid cells (ILC), a cytokine crucial for STAT3 activation in intestinal epithelial cells that has been associated with mucosal healing [56, 59, 60].

# 3 Efficacy of Biologics Targeting IL-23 in Moderate-to-Severe Crohn's Disease

# 3.1 Brazikumab

Defined as  $\geq 30\%$  decrease from baseline in the full Mayo score and a decrease of  $\geq 3$  points with either a decrease in rectal bleeding score of  $\geq 1$  point or a rectal bleeding score of 0 or 1

The rease from baseline in MMS by  $\ge 30\%$  and  $\ge 2$  points, with either a  $\ge 1$  point decrease from baseline RB subscore or a RB subscore of 0 or 1.

Mayo subscore of 0 for rectal bleeding, 0 or 1 (with 1-point decrease from baseline) for stool frequency, and 0 or 1 for centrally read endoscopy

SES-CD  $\leq 4$  and at least a 2-point reduction versus baseline and no subscore greater than 1

<sup>1</sup>Decrease in SES-CD of > 50% from baseline

<sup>k</sup>Mayo endoscopic subscore of 0

SF subscore =  $0 \text{ or } 1 \text{ with } \ge 1 \text{ point decrease from baseline and RB subscore} = 0 and endoscopic subscore = <math>0 \text{ or } 1 \text{ excluding friability}$ 

"It should be noted that "endoscopic remission" was defined as an endoscopic subscore of MMS = 0 or 1 excluding friability

The safety and efficacy of brazikumab (MEDI2070), a monoclonal antibody targeting IL-23p19, was evaluated in

a phase 2, double-blind, randomized controlled trial (RCT) [18]. The primary endpoint was clinical response (100 point decrease in the CDAI score or CDAI < 150) at week 8. A statistically significant proportion of patients treated with brazikumab achieved a clinical response at week 8 compared with patients treated with placebo (49.2% versus 26.7%, p = 0.01); however, this response was not sustained at week 12 (37.3 versus 28.6, p = 0.29). Although a higher proportion of patients treated with brazikumab achieved clinical remission (CDAI < 150) at week 8 compared with those treated with placebo, the difference between the groups was not statistically significant (Table 1). At week 24, 53.8% (28/52) of patients who continued to receive open-label brazikumab following induction treatment with brazikumab achieved a clinical response compared with 57.7% (30/52) of patients who were treated with placebo during the induction phase. In this study, higher concentrations of IL-22 at baseline were associated with a greater likelihood of achieving response to treatment with brazikumab. A phase 2b study of brazikumab (NCT02574637) was terminated by the sponsor after recruiting 29 patients for reasons unrelated to safety and efficacy.

#### 3.2 Mirikizumab

In the phase 2, double-blind, SERENITY trial, 191 patients with moderate-to-severe CD were randomized (2:1:1:2) to intravenous (IV) treatment with placebo, 200, 600, or 1000 mg mirikizumab q4w [19]. The primary outcome was endoscopic response (50% reduction in the SES-CD from baseline) at week 12. Patients who received mirikizumab and achieved  $\geq$  1 point improvement in the SES-CD at week 12 were re-randomized to continue their initial intravenous (IV) induction dose or receive 300 mg mirikizumab subcutaneous (SC) q4w. All patients in the maintenance phase received both IV and SC dosing between weeks 12 and 52 in a double-dummy design to maintain blinding. At week 12, the primary outcome (endoscopic response) and important secondary outcomes such as endoscopic remission (SES-CD < 4 for ileocolonic disease, SES-CD < 2 for isolated ileal disease, and no SES-CD subscore > 1), patientreported outcome (PRO)-defined remission (average daily  $AP \le 1$  and average daily  $SF \le 2.5$ ), and clinical remission (CDAI < 150) were statistically superior to placebo for the two highest doses of mirikizumab (600 and 1000 mg) but not for the 200 mg dose (Table 1). Although a consistent dose-response relationship was observed for endoscopic outcomes (response and remission), higher rates for clinical outcomes (PRO remission and CDAI remission) were observed with the 600 mg dose relative to those observed with the 1000 mg dose. In the maintenance phase, endoscopic response rates were 58.5% (24/41) and 58.7% (27/46) and endoscopic remission rates were 19.5% (8/41) and 32.6%

(15/46) at week 52 in the IV maintenance and SC maintenance cohorts, respectively. No consistent dose-response relationship was observed for outcomes at week 52. A substudy that assessed histological outcomes demonstrated superior histological response [absence of neutrophils in the lamina propria, epithelial damage, erosions, and ulceration; or a  $\geq$  50% decrease in the Robarts Histopathology Index (RHI) score; or Global Histologic Disease Activity Score (GHAS)] rates with all doses of mirikizumab compared with placebo (200 mg: 53.6%, p < 0.1; 600 mg: 50%, p < 0.05; 1000 mg: 66%, p < 0.01; and placebo: 29.2%) at week 12 [61]. However, only the 1000 mg mirikizumab dose was statistically superior to placebo in inducing histological remission [GHAS score epithelial damage: normal; infiltration of polymorphonuclear cells in lamina propria: normal; polymorphonuclear cells in epithelium: absent; and erosions or ulcerations: no; or RHI  $\leq$  3 and sum of RHI items 2–4 (lamina propria neutrophils, neutrophils in epithelium, and erosions or ulcerations) equal to 0]. At week 52, 51.8% and 22.3% of patients with active histological disease at study entry achieved histological response and remission, respectively. With the exception of two patients, all patients in histological remission were also in deep histological remission (GHAS or RHI score = 0) [61].

#### 3.3 Guselkumab

GALAXI-1 was a phase 2, double-blind, RCT that evaluated the efficacy and safety of guselkumab in 309 adult patients with moderate-to-severe CD [20]. Patients were randomized to treatment with IV guselkumab (200 mg, 600 mg, 1200 mg) or placebo (1:1:1:1) q4w. Patients were also randomized to IV treatment with 6 mg/kg ustekinumab at week 0 followed by SC treatment with 90 mg ustekinumab at week 8 as a reference arm. Approximately 50% of patients had a prior inadequate response or intolerance to biologics prior to enrollment. The primary endpoint was change in CDAI score from baseline at week 12. Statistically significant decreases in the CDAI score from baseline were observed in all guselkumab treatment groups compared with placebo (Table 1), although no apparent dose-response relationship was observed for this endpoint. The proportion of patients who achieved important secondary endpoints was also statistically greater in the pooled guselkumab treatment groups compared with placebo, and included the outcomes of clinical remission (CDAI < 150, 53% versus 16.4%; p < 0.05), endoscopic response (50% decrease in SES-CD from baseline or SES-CD  $\leq 2, 35.7\%$  versus 11.5%, p < 0.05), clinical response (100-point reduction from baseline in CDAI score or CDAI score < 150, 65.9% versus 24.6%; *p* < 0.05), PRO-2 remission (AP  $\leq$  1 and SF  $\leq$  3 and no worsening from baseline, 42.7% versus 16.4%; p < 0.05), and clinical biomarker response ( $\geq 50\%$  reduction from baseline in



◄Fig. 1 A Production of IL-12 and IL-23 and receptor binding. Interleukin (IL)-23 and IL-12 are heterodimeric cytokines produced by macrophages, neutrophils, and dendritic cells in response to microbial and nonmicrobial stimuli, and which share a common subunit. The common IL-12/23p40 subunit combines with either IL-12p35 or IL-23p19 to form IL-12 and IL-23, respectively. Binding of IL-12 and IL-23 to their respective receptors on target cells, induces a chain of events leading to a number of downstream effects. The IL-23 receptor comprises IL-12RB1 and IL-23R chains whereas the IL-12 receptor comprises IL-12R\beta1 and IL-12R\beta2 chains. IL-23 receptor binding leads to phosphorylation of Janus kinase-2 (JAK-2) and tyrosine kinase-2 (TYK-2) and subsequent phosphorylation of (predominantly) signal transducer and activator of transcription (STAT)-3. Similarly, IL-12 receptor binding activates STAT4. Phosphorylation of STATs leads to their dimerization and subsequent translocation to the nucleus where they regulate gene transcription. B Downstream cellular effect and cytokine expression. IL-23 stimulates a variety of cells including TH17 T cells, type 3 innate lymphoid cells (ILC3), gamma delta T cells, and natural killer (NK) T cells to produce IL-17 cytokines, IL-22, interferon gamma (IFN-y), and granulocyte monocyte-colony stimulating factor (GM-CSF). IL-12 stimulates naïve CD4+ T cells and induces their differentiation towards the T helper 1 (Th1) phenotype and subsequent production of IFN-γ. IL-12 induces IFNy and tumor necrosis factor (TNF) release by CD8<sup>+</sup> T cells, NK cells, and type 1 ILC.

C-reactive protein (CRP) or fecal calprotectin concentration, 47% versus 6.6%; p < 0.05). In the subgroup of patients with inadequate response or intolerance to prior biologic therapy, 47.5% in the combined guselkumab dose group and 10.0% in the placebo group achieved clinical remission at week 12. Patients with a response at week 12 were transitioned to open-label SC dosing (placebo responders to placebo SC q4w; guselkumab 200 mg IV to 100 mg SC q8w; 600 mg IV to 200 mg SC q4w; 1200 mg IV to 200 mg SC q4w; and ustekinumab to 90 mg SC q8w) for a total of 48 weeks. No apparent dose-response was observed; clinical remission rates at week 48 ranged from 57.4% to 73.0% among patients treated with guselkumab, and clinical response rates ranged from 67.2% to 84.1% [62]. Phase 3 clinical trials evaluating the efficacy of guselkumab in patients with moderate-tosevere CD [GRAVITI (NCT05197049)] and perianal CD [FUZION CD (NCT05347095)] are currently ongoing.

#### 3.4 Risankizumab

In a phase 2, double-blind RCT, 121 adult patients with moderate-to-severe CD were randomized in a 1:1:1 ratio to receive treatment with IV risankizumab (200 mg or 600 mg) or placebo at weeks 0, 4, and 8 [17]. A total of 93% (113/121) of patients had previous exposure to at least one anti-TNF agent at baseline of the study. The proportion of patients achieving the primary outcome of clinical remission (CDAI < 150) at week 12 was significantly higher in the combined risankizumab treatment groups compared with the placebo group (Table 1). The observed differences in clinical remission rates between patients treated with

risankizumab and placebo were higher with the 600 mg dose (36.6%,  $\Delta = 20.9\%$ , p = 0.02) than the 200 mg dose (24.4%,  $\Delta = 9.0\%$ , p = 0.31). For important secondary outcomes including clinical response (CDAI < 150 or a reduction in the CDAI score  $\geq 100$  points from baseline), endoscopic response (> 50% reduction in CDEIS score from baseline), and endoscopic remission (CDEIS  $\leq 4$  or CDEIS  $\leq 2$  for patients with initial isolated ileitis) at week 12, risankizumab 600 mg was statistically superior to placebo, whereas risankizumab 200 mg was superior to placebo only in achieving endoscopic remission.

The phase 3 induction (ADVANCE and MOTIVATE) and maintenance (FORTIFY) trials provided additional evidence supporting the efficacy of risankizumab for the treatment of moderate-to-severe CD [22, 23]. These studies were the first to include both a clinical outcome and an endoscopic outcome based upon central assessment as co-primary endpoints. The ADVANCE trial (n = 931) included patients who had failed conventional or biological therapy, whereas the MOTIVATE trial (n = 618) included only patients who had failed biologic therapy. [22] Eligible patients were randomized (2:2:1 in ADVANCE, 1:1:1 in MOTIVATE) to induction treatment with 600 mg or 1200 mg IV risankizumab, or placebo. The co-primary endpoint was clinical remission (CDAI < 150 or average daily SF subscore < 2and average daily AP subscore  $\leq 1$  and both not worse than baseline) and endoscopic response (> 50% decrease in SES-CD from baseline) at week 12. A total of 30% and 53% of patients in the ADVANCE and MOTIVATE trials, respectively, had failed two or more biologics, and approximately 20% of patients in both trials had previously received ustekinumab. A statistically significant proportion of patients in both risankizumab arms achieved the co-primary endpoint of clinical remission and endoscopic response at week 12 in both the ADVANCE and MOTIVATE trials. A numerically greater proportion of biologic-naïve patients achieved clinical remission compared with patients with prior exposure to biologics. Patients who achieved clinical response  $(\geq 30\%$  decrease in average daily SF subscore and/or  $\geq 30\%$ decrease in average daily AP subscore, both not worse than baseline) at week 12 were re-randomized (1:1:1) to maintenance treatment with SC risankizumab (180 mg or 360 mg q8w) or placebo in the FORTIFY trial [23]. The co-primary outcomes were clinical remission and endoscopic response (as defined above). Patients randomized to treatment with risankizumab achieved statistically superior rates of clinical remission and endoscopic response at week 52 (Table 1). Similarly, a significantly higher proportion of patients treated with 180 and 360 mg risankizumab achieved important secondary endpoints such as clinical remission, endoscopic remission, and deep remission at week 52 compared with placebo. Patients who did not achieve clinical response at week 12 in the ADVANCE and MOTIVATE trials were

also eligible to receive an additional 12 weeks of risankizumab therapy (180 mg or 360 mg SC) in the FORTIFY trial. Of the patients who received the 180 and 360 mg dose, 53.3% (16/30) and 66.7% (22/33) achieved clinical remission, respectively [63].

# 4 Efficacy of Biologics Targeting IL-23 in Moderate-to-Severe Ulcerative Colitis

# 4.1 Mirikizumab

A phase 2 study assessed the efficacy and safety of mirikizumab in patients with moderate-to-severe UC. A total of 249 patients were randomized 1:1:1:1 to IV treatment with 50 mg exposure-based (EB) dosing, 200 mg EB dosing, 600 mg fixed dosing of mirikizumab, or placebo at weeks 0, 4, and 8 in a phase 2 study [24]. Patients who achieved a clinical response (a decrease in the 9-point Mayo Clinic score, including  $\geq 2$  points and  $\geq 35\%$  from baseline, with either  $a \ge 1$  point decrease in the RB subscore or a RB subscore 0 or 1) to mirikizumab at week 12 were re-randomized to SC maintenance treatment with 200 mg mirikizumab every 4 or 12 weeks, while placebo responders received treatment with SC placebo q4w. In the first phase of the study, only the 200 mg mirikizumab dose was statistically superior to placebo for induction of clinical remission (22.6% versus 4.8%, p < 0.01) at week 12. However, all mirikizumab doses were statistically superior to placebo for induction of clinical response at week 12. The 50 and 200 mg mirikizumab doses were also statistically superior to placebo for induction of endoscopic response at week 12. Among patients who were naïve to treatment with biologics, only mirikizumab 200 mg was superior to placebo for induction of clinical remission and response, whereas both the 50 and 200 mg doses were superior to placebo for induction of endoscopic improvement. Mirikizumab was not statistically superior to placebo for induction of clinical remission and endoscopic improvement at any dose in patients with prior exposure to biologics, although the 200 and 600 mg doses were statistically superior to placebo for induction of clinical response. At week 52, 53.7% (22/47) and 39.7% (17/46) of patients who responded to mirikizumab at week 12 and were treated with 200 mg mirikizumab every 4 and 12 weeks, respectively, achieved clinical remission [64]. Treatment of patients who failed to respond to initial IV induction doses of mirikizumab at week 12 with an additional 12 weeks of IV mirikizumab at doses of 600 or 1000 mg (after a protocol amendment) resulted in a clinical response in 50.0%(10/20) of patients who received treatment with an additional 12 weeks of 600 mg mirikizumab, and 43.8% (28/64) of those who received treatment with 1000 mg mirikizumab. Among patients who responded to extended dosing, 68.8%

maintained a clinical response for up to 52 weeks with SC maintenance mirikizumab 200 mg q4w.

A subsequent phase 3 induction study (LUCENT 1) randomized 1281 adult patients with moderate-to-severe UC who had inadequate response, loss of response, or intolerance to corticosteroids, immunosuppressants, biologic therapies, or tofacitinib in a 3:1 ratio to treatment with 300 mg IV mirikizumab or placebo at 0, 4, and 8 weeks [25]. This study was designed as a single induction trial with a low alpha error of 0.00125. The primary outcome was clinical remission (as defined above) at week 12. A significantly greater proportion of patients treated with mirikizumab achieved clinical remission at week 12 compared with placebo (24.2% versus 13.3%; p < 0.001). Moreover, a significantly higher proportion of patients receiving mirikizumab achieved clinical response at week 12 compared with placebo (63.5% versus 42.2%; p < 0.00001). Patients who were biologic naïve (70.1% versus 50.3%; p < 0.001) were more likely to achieve clinical response than those who received prior biologic therapy (54.6% versus 29.7%; p < 0.001) when compared with placebo [65]. All key secondary endpoints, including the average reduction in bowel urgency severity, were significantly superior in the mirikizumab treatment group compared with placebo (p < 0.001), as was the reduction in CRP concentration from baseline (p < 0.001) at week 12. A significantly greater proportion of patients achieved histological remission (Geboes score  $\leq 2B.0, 29.3\%$  versus 15.6%; p < 0.001) and histological improvement (Geboes score  $\le 3.1, 39.2\%$  versus 20.7%; p < 0.001) in the mirikizumab treatment group compared with placebo at week 12 [66].

Patients who demonstrated a clinical response to treatment with mirikizumab at week 12 (n = 544) in the LUCENT-1 induction trial were re-randomized in a 2:1 ratio to double-blind SC maintenance treatment with either 200 mg mirikizumab (n = 365) or placebo (n = 179) q4w up to week 52 in the LUCENT-2 trial (NCT03524092). At week 52, 49.9% of patients randomized to treatment with mirikizumab achieved the primary endpoint of clinical remission compared with 25.1% of patients treated with placebo (p < 0.001) [26]. At week 52, a statistically greater proportion of patients receiving mirikizumab maintenance treatment achieved SF remission (SF = 0, or SF = 1 with  $a \ge 1$  point decrease from induction baseline) (75.1% versus 44.7%, p < 0.001) and RB remission (RB = 0) (79.7%) versus 49.7%, p < 0.001) compared with placebo [67]. Histological remission and improvement at week 52 occurred in 54.8% and 48.5% of patients treated with mirikizumab, respectively, compared with 24.6% and 25.7% of patients treated with placebo (p < 0.001 for both comparisons with placebo) [66].

#### 4.2 Guselkumab

The phase 2b randomized, double-blind, placebo-controlled OUASAR study was conducted to evaluate guselkumab as an induction treatment for 313 patients with moderate-to-severe UC [27]. Patients were randomized (1:1:1) to treatment with IV guselkumab (200 or 400 mg) or placebo at weeks 0, 4, and 8. The primary outcome was clinical response (decrease from baseline in MMS by  $\geq 30\%$  and  $\geq 2$  points, with either  $a \ge 1$  point decrease from baseline in the RB subscore or a RB subscore = 0 or 1) at week 12. A significantly higher proportion of patients treated with guselkumab achieved clinical response compared with patients treated with placebo at week 12 (Table 1). Statistically higher proportions of patients treated with guselkumab (any dose) also achieved key secondary outcome measures including clinical remission, symptomatic remission, endoscopic improvement, and histo-endoscopic mucosal improvement compared with patients treated with placebo. No consistent dose-response relationship was observed for any outcome. A total of 47.3% (148/313) of patients had prior inadequate response or intolerance to biologics/tofacitinib, and approximately half of these patients had prior inadequate response or intolerance to two or more classes of advanced therapies. Clinical response at week 12 in these patients was achieved by a higher proportion of patients treated with guselkumab compared with placebo (50.5% versus 25.5%) [68]. Greater reductions in CRP and fecal calprotectin concentrations at week 12 were also observed in guselkumab treated patients compared with patients treated with placebo, and these reductions were observed as early as week 4.

In a phase 2a, proof of concept trial (VEGA), 214 patients with moderate-to-severe UC who failed conventional therapy and were naïve to anti-TNF, anti-IL-12/23p40 antagonist, and IL-23p19 antagonist treatment (prior exposure to vedolizumab and tofacitinib were permitted) were randomized in 1:1:1 ratio to treatment with a combination of guselkumab and golimumab (guselkumab 200 mg IV and SC golimumab 200 mg at week 0, SC golimumab 100 mg at weeks 2, 6, and 10, and IV guselkumab 200 mg at weeks 4 and 8, followed by SC guselkumab 100 mg q8w until week 32) or monotherapy with either guselkumab (200 mg at weeks 0, 4, and 8, followed by SC 100 mg q8w weeks until week 32) or golimumab (200 mg SC at week 0, followed by 100 mg SC at week 2 and q4w thereafter until week 34) [28]. The primary endpoint was clinical response (> 30% decrease from baseline in the full Mayo Clinic score and a decrease of  $\geq 3$  points with either a decrease in RB subscore  $\geq 1$  point or a RB subscore 0 or 1) at week 12. A higher number of patients in the combination therapy group (61%, 43/71) and guselkumab monotherapy group (66%, 47/71) had endoscopically severe disease compared with the golimumab monotherapy group (51, 37/72). At week 12, a greater proportion of patients treated with combination therapy achieved clinical response (83%) at week 12 compared with patients treated with guselkumab (75%, p = 0.21) or golimumab (61%, p = 0.003). Similarly, a greater proportion of patients treated with combination therapy achieved clinical remission (full Mayo Clinic score  $\leq 2$  with no individual subscore > 1) at week 12 (37%) compared with patients treated with either guselkumab (21%, p = 0.04) or golimumab (22%, p = 0.05) monotherapy. At week 38, 69% of patients in the combination therapy group had clinical response compared with 58% of patients in the golimumab monotherapy group and 72% of patients in the guselkumab monotherapy group. Clinical remission at week 38 was achieved in a greater proportion of patients treated with combination induction therapy followed by guselkumab maintenance therapy [44% (31/71)] compared with patients who were treated with guselkumab [31% (22/71), p = 0.109]or golimumab [22% (16/72), p = 0.006]. The proportion of patients who achieved key secondary endpoints including endoscopic improvement, histological remission, composite histological remission, and endoscopic improvement were higher in the combination therapy group than either monotherapy group at both week 12 and 38.

# 5 Safety of Biologics Targeting IL-23

The roles of IL-12 and IL-23 in mucosal defense have been well characterized in animal models, and IL-12 deficiency has been shown to be associated with increased risk of infections in animals as well as human beings [69, 70, 71]. Despite these observations, treatment with the IL-12/23p40 antagonist ustekinumab has not been associated with increased risk of infections. Moreover, long-term follow-up data from clinical trials of IBD have not identified any negative safety signals with ustekinumab therapy [72, 73]. This is supported by animal studies where IL-23 knockout mice have been shown to be immune competent compared with IL-12 knockout mice [74].

# 5.1 Safety of Anti-IL23p19 Agents from Psoriasis Clinical Trials

Unlike IL-17 antagonists, which have been associated with worsening of CD disease activity, IL-23 antagonists have been shown to be safe and effective for the treatment of CD. This may be explained by the role of IL-17 in maintaining intestinal mucosal barrier integrity by promoting expression of occludin, a cellular tight junction protein. Although IL-23 is a key cytokine in the production of IL-17 from Th17 cells, blocking IL-23 does not affect IL-17 due to IL-23-independent production of IL-17 from  $\gamma\delta$ -T cells [75]. Long-term data are lacking; however, evidence from phase 2 and 3 clinical trials of IL-23p19 antagonists for the treatment of IBD have not demonstrated a significant increase in the rates of serious

adverse events compared with placebo with these agents, as described in earlier paragraphs. Safety data from psoriasis and psoriatic arthritis trials are also reassuring. For example, short- and long-term treatment with risankizumab in patients with moderate-to-severe psoriasis was associated with an overall favorable safety profile [76-78]. In a recent meta-analysis of risankizumab safety data from clinical trials in moderate-to-severe plaque psoriasis with 7927 patientyears (PY) of follow-up, the rates of serious adverse events, serious infections, nonmelanoma skin cancers (NMSC), malignant tumors excluding NMSC, and adjudicated major adverse cardiovascular events (MACE) were 7.8, 1.2, 0.7, 0.5, and 0.3 per 100 PY, respectively, with no important identified risks [79]. Additionally, a pooled analysis of safety data based on 5 years of continuous treatment with guselkumab in the VOYAGE 1 and 2 moderate-to-severe psoriasis trials reported adverse and serious adverse events rates of 149/100 PY and 5.01/100 PY, respectively [80]. The rates of serious infections (0.85/100 PY), NMSC (0.34/100 PY), malignancies other than NMSC (0.45/100 PY), and major adverse cardiovascular events (0.29/100 PY) were also low.

# 5.2 Safety of Anti-IL23p19 Agents from IBD Clinical Trials

Although large phase 3 trials with long-term follow-up are needed to confirm favorable safety profiles, phase 2 trials including patients with CD and UC, have not identified increased rates of adverse events with mirikizumab, guselkumab, and brazikumab treatment compared with placebo. In a long-term, open-label phase 2 extension study of risankizumab with a median of 33 months of therapy (167.0 PY), the rate of serious adverse events was 24.6 events/100 PY, the majority of which were gastrointestinal in nature and the rates of serious infections, opportunistic infections, and fungal infections were 4.2, 1.8, and 6.6 events per 100 PY, respectively [81]. No deaths, malignancies, adjudicated major adverse cardiovascular events, latent/active tuberculosis, or herpes zoster were reported in this study.

Similar rates of adverse events were observed with risankizumab and placebo treatment in the phase 3 ADVANCE and MOTIVATE induction studies [22]. Three deaths occurred in these studies: one patient treated with 1200 mg risankizumab in MOTIVATE, and two patients treated with placebo in ADVANCE. The cause of death for the patient treated with risankizumab in the MOTIVATE study was not considered drug related. The serious adverse event rate in the phase 3 risankizumab induction trials was higher in patients treated with placebo, likely due to worsening of CD. Three serious infections were reported in risankizumab-treated patients in the MOTIVATE study (*Escherichia coli* gastroenteritis, viral pharyngitis, and sepsis), and five serious infections were reported in the ADVANCE study (appendicitis, leptospirosis, lower respiratory tract infection, pneumonia, and urinary tract infection). None of the serious infections were considered by the investigator as related to risankizumab, and no serious infection led to treatment discontinuation. One patient treated with risankizumab in the ADVANCE study with a history of tuberculosis developed active tuberculosis after 8 weeks of therapy. No adjudicated MACE, adjudicated extended MACE, or adjudicated anaphylactic reactions were reported in any treatment group in either study. In the FORTIFY risankizumab maintenance study, similar rates of adverse events were reported at week 52 in both the placebo and SC risankizumab treatment groups [23]. The most commonly reported adverse events included worsening CD, nasopharyngitis, arthralgias, headache, nausea, diarrhea, abdominal pain, and anemia. The incidence of infectious adverse events was lower in both risankizumab treatment groups compared with the placebo group (180 mg risankizumab, 51.4/100 PY; 360 mg risankizumab, 57.7/100 PY; placebo, 76.0/100 PY). The incidence of serious infections was similar across treatment groups. Two herpes zoster, two opportunistic, and one oral fungal infection (oral candidiasis) were reported in the 180 mg risankizumab group. One intestinal Aeromonas infection was reported in the 360 mg risankizumab group. All events were mild to moderate in severity and resolved without discontinuation of the study drug. A single case of malignancy (breast cancer) was reported in a patient treated with 360 mg risankizumab, although this event was considered unrelated to the study drug by the investigator.

# 6 Positioning of Newer IL-23p19 Antagonists in IBD Management Algorithms

Therapeutic options for IBD have greatly expanded in the last two decades with the discovery of new molecules targeting different immune pathways. Nevertheless, decision-making is increasingly difficult given the availability of multiple pharmacological agents and the paucity of direct comparisons. Risankizumab is currently the only IL-23p19 inhibitor approved for the treatment of moderate-to-severe CD, although other IL-23p19 antagonists are in phase 3 clinical development trials and are also likely to gain regulatory approval in the future. Appropriate positioning of these agents in the IBD therapeutic algorithm is crucial to achieve optimal outcomes, and the choice of agent will depend on many factors, such as disease characteristics, affordability, and patient preference. In this section we are going to provide a comprehensive overview of existing direct and indirect data on comparative efficacy of drugs targeting IL-23 and other biologics, which might help in positioning of these agents.

# 6.1 Comparative Efficacy of Drugs Targeting IL-23 Compared with Drugs Targeting TNF

Data from phase 2 and 3 studies suggest that targeting IL-23 is safe and effective for the treatment of both CD and UC. In the absence of well-designed head-tohead trials, efficacy comparisons among agents are difficult. Comparative studies in psoriasis showed that agents targeting IL-23p19 were superior to the TNF antagonist adalimumab. In the phase 3 VOYAGE 1 and 2 RCTs comparing guselkumab and adalimumab, guselkumab was associated with higher PASI90 scores (90% or greater reduction in the baseline Psoriasis Area and Severity Index; VOYAGE 1: 76.3% versus 47.9% at week 48, p < 0.001; and VOYAGE 2: 75.2% versus 54.8% at week 24, p < 0.001) [82, 83]. In a head-to-head trial of risankizumab and adalimumab for the treatment of plaque psoriasis (IMMvent), 72% of patients treated with risankizumab achieved a PASI90 score compared with 47% of patients treated with adalimumab at week 16 [84]. There is a paucity of data comparing the efficacy of IL-23p19 antagonists and TNF antagonists in IBD. Similar endoscopic and clinical outcomes were observed in patients with moderate-to-severe UC who were treated with guselkumab (anti-IL-23p19) or golimumab (anti-TNF) monotherapy arms in the VEGA trial [28]. The SEAVUE trial compared ustekinumab (anti-IL-12/23p40) and adalimumab (anti-TNF) for treatment of patients with moderate-to-severe CD naïve to biologic therapy [85]. Although a statistically significant treatment difference was not observed for efficacy outcomes, a numerically higher proportion of infections were reported with adalimumab treatment (41% versus 34%). However, the rates of serious infections were similar between the adalimumab and ustekinumab treatment groups (2% versus 3%) [85].

Therapies targeting IL-23p19 have several potential advantages over TNF antagonists. IL-23p19 blockers are associated with negligible rates of neutralizing antibody formation [86]. Moreover, there does not appear to be a clear dose-response relationship for the agents studied to date, and therapeutic drug monitoring is therefore not likely to be required. TNF antagonists have been associated with increased risk of opportunistic infections and lymphoma in patients with IBD, especially when combined with immunosuppressives [87, 88]. The limited available data have not supported an increased risk of serious infections and malignancy with IL-23p19 antagonists. Long-term follow-up data from registries and real-world evidence are needed to support these preliminary observations. TNF antagonists are the preferred agents for treatment of special patient populations, including those with perianal fistula and extraintestinal manifestations, as well as for pregnant patients. Additional data on the safety and efficacy of IL-23p19 antagonists in these populations are required.

#### 6.2 IL-12/23p40 versus IL-23p19 Agents

Earlier animal models suggested that IL-23, as opposed to IL-12, is an essential driver of inflammation and it was observed that IL-12p35 deficient IL-10 knockout mice but not IL-23p19 deficient IL-10 knockout mice could develop spontaneous colitis [51]. It is yet to be determined in headto-head IBD trials whether selectively blocking IL-23 is more beneficial than blocking both IL-12 and IL-23. In psoriasis, a phase 3 head-to-head comparison of risankizumab and ustekinumab for the treatment of moderate-to-severe plaque psoriasis demonstrated the superiority of risankizumab [16]. Although there is insufficient evidence to support the superiority of risankizumab over ustekinumab for the treatment of CD, the phase 2 guselkumab GALAXI-1 study included a relatively small ustekinumab treatment arm. Although there was no statistical comparison, the proportion of patients achieving various outcomes at week 12 was similar between the pooled guselkumab treatment groups and patients treated with ustekinumab (clinical remission: 53.0% versus 46.0%; PRO2 remission: 42.7% versus 39.7%; endoscopic response: 35.7% versus 28.6%; and clinical-biomarker response: 47.0% versus 46.0%) [20]. Similar rates of clinical and PRO2 remission at week 48 of the GALAXI-1 study were also recently reported for guselkumab and ustekinumab [62]. Risankizumab has been directly compared with ustekinumab for the treatment of moderate-to-severe plaque psoriasis. The ongoing phase 3, randomized, head-to-head SEQUENCE trial (NCT04524611) comparing risankizumab and ustekinumab in patients with moderate-to-severe CD who have failed prior treatment with a TNF antagonist (primary endpoints: clinical remission (CDAI < 150) at week 24, endoscopic remission (SES-CD  $\leq$  4 and at least a 2-point reduction from baseline and no subscore > 1) at week 48, adverse events up to 220 weeks) will provide more definitive data regarding the comparative efficacy of targeting IL-23p19 and IL-12/23p40.

#### 6.3 Drugs Targeting IL-23 as First- and Second-Line Agents

#### 6.3.1 Crohn's Disease

As described earlier, data from phase 3 trials of risankizumab and phase 2 trials of mirikizumab and guselkumab demonstrate that these agents are effective and safe for inducing and maintaining clinical and endoscopic remission in bio-naïve and bio-experienced patients with moderate-to-severe CD. A subgroup analysis of the ADVANCE, MOTIVATE, and FORTIFY trials found that risankizumab was effective regardless of the number of prior failed biologics [89]. In a recently updated network meta-analysis by Barberio et al. that pooled data from 25 CD induction trials including more than 8700 patients, infliximab 5 mg/ kg was ranked highest for induction of clinical remission compared with other agents. However, analyses based upon biologic exposure ranked risankizumab (600 mg) highest for induction of clinical remission in patients both naïve and with prior exposure to biologics [90]. It should be noted that comparing outcomes across IBD trials is challenging as the definitions of endoscopic and clinical outcomes vary among studies. Furthermore, eligibility criteria for clinical trials involving patients with IBD have significantly evolved over the years, with more recent trials enrolling more refractory patients. For example, approximately 40% of patients enrolled in the risankizumab phase 3 trials had previously failed two or more biologics [22, 23]. Therefore, anti-IL23p19 agents may be considered as first-line therapy or second-line therapy when previous biologic treatment has failed.

#### 6.3.2 Ulcerative Colitis

Phase 3 trial data on the efficacy and safety of anti-IL-23p19 agents in UC are limited. Available evidence suggests that anti-IL-23p19 agents are safe and effective in both biologic-naïve and biologic-experienced patients with UC. In the VEGA trial, there was no significant difference between guselkumab and golimumab in anti-TNF-naïve individuals with UC. Therefore, anti-IL-23p19 agents may be considered as first-line or second-line therapy. However, it is premature to make a firm recommendation given the lack of data.

# 7 Conclusions

Recent scientific discoveries have revealed additional biologic pathways and molecules important to the immunopathogenesis of IBD with potential utility for drug development, including IL-23. Targeting IL-23 was associated with significant therapeutic benefits in clinical trials involving patients with IBD and has led to the approval of ustekinumab (an IL-12/23 antagonist) for the treatment of both CD and UC, and risankizumab (a selective IL-23p19 antagonist), for the treatment of moderate-to-severe CD. Additional agents selectively targeting IL-23p19 are also in clinical development for the treatment of IBD. The availability of multiple classes of pharmacotherapies with different mechanisms of actions may confound therapeutic decisionmaking, and appropriate positioning of these agents in treatment algorithms for IBD will only be possible based on data from well-designed head-to-head trials. These algorithms

may be expected to further evolve with the development of newer drugs including oral IL-23 agents and oral small molecules targeting TYKs.

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#### Declarations

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Conflict of interest SKV is an employee of Alimentiv Inc. LMS has received consulting fees from Alimentiv Inc. JH has received speaker's fees from Abbvie, Janssen, and Takeda, and consulting fees from Alimentiv Inc. CM has received consulting fees from AbbVie, Alimentiv, Amgen, AVIR Pharma Inc, BioJAMP, Bristol Myers Squibb, Celltrion, Ferring, Fresenius Kabi, Janssen, McKesson, Mylan, Pendopharm, Pfizer, Prometheus Biosciences Inc., Roche, Sanofi, Takeda, Tillotts Pharma; speaker's fees from AbbVie, Amgen, AVIR Pharma Inc, Alimentiv, Bristol Myers Squibb, Ferring, Fresenius Kabi, Janssen, Organon, Pendopharm, Pfizer, Takeda; royalties from Springer Publishing; research support from Ferring, Pfizer. VJ has received consulting/advisory board fees from AbbVie, Alimentiv Inc (formerly Robarts Clinical Trials), Arena pharmaceuticals, Asieris, Bristol Myers Squibb, Celltrion, Eli Lilly, Ferring, Fresenius Kabi, Galapagos, GlaxoSmithKline, Genetech, Gilead, Janssen, Merck, Mylan, Pandion, Pendopharm, Pfizer, Reistone Biopharma, Roche, Sandoz, Takeda, Topivert; and speaker's fees from Abbvie, Ferring, Janssen Pfizer Shire, and Takeda. BGF has received grant/research support from Millennium Pharmaceuticals, Merck, Tillotts Pharma AG, AbbVie, Novartis Pharmaceuticals, Centocor Inc., Elan/Biogen, UCB Pharma, Bristol-Myers Squibb, Genentech, ActoGenix, and Wyeth Pharmaceuticals Inc.; consulting fees from Millennium Pharmaceuticals, Merck, Centocor Inc., Elan/Biogen, Janssen-Ortho, Teva Pharmaceuticals, Bristol-Myers Squibb, Celgene, UCB Pharma, AbbVie, Astra Zeneca, Serono, Genentech, Tillotts Pharma AG, Unity Pharmaceuticals, Albireo Pharma, Given Imaging Inc., Salix Pharmaceuticals, Novonordisk, GSK, Actogenix, Prometheus Therapeutics and Diagnostics, Athersys, Axcan, Gilead, Pfizer, Shire, Wyeth, Zealand Pharma, Zyngenia, Gi-Care Pharma Inc., and Sigmoid Pharma; and speakers bureaux fees from UCB, AbbVie, and J&J/Janssen. Alimentiv Inc. is an academic gastrointestinal contract research organization (CRO), operating under the Alimentiv Health Trust. Alimentiv Inc. provides comprehensive clinical trial services, precision medicine offerings, and centralized imaging solutions for endoscopy, histopathology, and other imaging modalities. The beneficiaries of the Alimentiv Health Trust are the employees of the enterprises it holds. LMS, JH, CM, VJ, and BGF are consultants to Alimentiv Inc.; they do not hold equity positions or shares in Alimentiv Inc. JH, CM, VJ, and BGF have a primary academic appointment.

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**Authors contributions** VJ and BGF supervised the work. All authors drafted and critically advised the manuscript for important intellectual content, and approved the final submitted version of the work.

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