## **REVIEW ARTICLE**



# Targeting Inflammatory Pathways in Alzheimer's Disease: A Focus on Natural Products and Phytomedicines

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## Abstract

Studies of the brains of Alzheimer's disease (AD) patients have revealed key neuropathological features, such as the deposition of aggregates of insoluble amyloid- $\beta$  (A $\beta$ ) peptides and neurofibrillary tangles (NFTs). These pathological protein deposits, including A $\beta$  peptides (which form senile plaques) and hyperphosphorylated tau (which aggregates into NFTs), have been assumed to be 'the cause of AD'. A $\beta$  has been extensively targeted to develop an effective disease-modifying therapy, but with limited clinical success. Emerging therapies are also now targeting further pathological processes in AD, including neuroinflammation. This review focuses on the inflammatory and oxidative stress-related changes that occur in AD, and discusses some emerging anti-inflammatory natural products and phytomedicines. Many of the promising compounds are cytokine-suppressive anti-inflammatory drugs (CSAIDs), which target the proinflammatory AP1 and nuclear factor- $\kappa$ B signalling pathways and inhibit the expression of many proinflammatory cytokines, such as interleukin (IL)-1, IL-6, tumour necrosis factor- $\alpha$ , or nitric oxide produced by inducible nitric oxide synthase. However, many of these phytomedicines have not been tested in rigorous clinical trials in AD patients. It is not yet clear if the active compounds reach an effective concentration in the brain (due to limited bioavailability) or if they can slow down AD progression in long-term trials. The authors suggest that it is crucial for both the pharmacological and complementary medicine industries to conduct and fund those studies to significantly advance the field.

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## **Key Points**

Natural phenolic and antioxidant compounds have been demonstrated to have varying mechanisms of action, relating to decreasing oxidative stress, inflammation,  $A\beta$  levels, and overall cognitive deficits.

Despite encouraging animal data, the percentage of positive human trials in Alzheimer's disease is surprisingly low, most likely because the therapeutics are administered too late in the disease process.

The effectiveness of these identified compounds appears enhanced when therapy is initiated earlier in the disease.

Future work is required to evaluate the therapeutic value of these compounds, in monotherapy as well as in combination therapy.

# 1 Introduction

Alzheimer's disease (AD) is a neurodegenerative disorder, and it is predicted that the number of people living with AD in the US alone will grow to 13.8 million in 2050 [1]. The pathology of AD is characterised by synaptic and neuronal loss, the formation of intracellular neurofibrillary tangles (NFTs) and the deposition of amyloid- $\beta$  (A $\beta$ ) plaques in the brain. This is accompanied by chronic neuroinflammation, including microglial activation, as well as extensive oxidative damage [2]. Mitochondrial damage, oxidative stress, and inflammation may be initiating factors, and/or possibly the overproduction or reduced clearance of toxic A $\beta$  peptides and aggregates. Importantly, a destructive cycle begins with this pathology as oxidative stress and inflammation promote Aß production and hyperphosphorylation of tau, and, in turn, these pathologies also increase oxidative stress and inflammation [3]. As a result of the involvement of two proteins-AB and microtubuleassociated protein tau (MAP-tau)-in AD pathology, therapeutic mechanisms to reduce the development of these two main disease pathologies have been studied at length over the past three decades [4]. Unfortunately, such studies have not yet led to a US FDA-approved treatment [5, 6].

Although trials have commenced recruiting 'at risk' presymptomatic individuals, which are highly reliant on the discovery of specific and sensitive biomarkers, the importance of investigating and targeting other contributors to the disease process cannot be understated. This review will focus on neuroinflammation as the major therapeutic target and discuss natural products and phytomedicines as potential treatment strategies for AD. The authors have concentrated on prior published works that have been central or pivotal to the various topics of discussion, using the PubMed, ISI Web of Science, EMBASE and BIOSIS electronic databases.

# 2 Inflammation and the Immune Response in Alzheimer's Disease (AD)

# 2.1 The Role of Microglia and Astrocytes in Chronic Neuroinflammation in AD

Two types of brain cells participate in an inflammatory response—astrocytes and microglia. Microglia and astrocytes are often found to be associated with amyloid plaques, as well as markers of inflammation and oxidant stress [2, 7, 8]. However, the inflammatory response at the site of injury releases growth factors and cytokines, with trophic, mitogenic, chemotactic and angiogenic activities, in addition to reactive oxygen species (ROS) and reactive nitrogen species (RNS) [9].

The overproduction of microglial proinflammatory mediators can be neurotoxic [10, 11], which is why it has been shown that neuroinflammation (which also increases with aging) promotes neurological diseases such as AD, Parkinson's disease and motor neuron disease. As mentioned above, A $\beta$ , alone and in combination with other activators, is able to activate microglia, initiating or aggravating an inflammatory response [12]; in turn, inflammation can increase A $\beta$  production.

Rodent studies have suggested there are two types of activated microglia, namely the M1 and M2 phenotype microglia, with the M1 microglia inducing a proinflammatory response, and the M2 cells having a neuroprotective role-reducing inflammation, phagocytosing AB and reducing A $\beta$ -mediated toxicity [13]. The polarisation and balance of microglia in the CNS is important as it has been shown to influence learning and memory [14]. Microglia are not always harmful; there is evidence that they may reduce AD pathology by contributing to the clearance of  $A\beta$ , through phagocytosis of AB and releasing of enzymes responsible for Aβ degradation. Microglia (most likely M2 microglial cells) also secrete anti-inflammatory cytokines and growth factors, which can be neuroprotective. The normal microglial phagocytosis of damaged cells is also important in maintaining a healthy brain environment; however, damaged cells can become potent inflammatory stimuli, resulting in more tissue damage.

On the other hand, microglia become less efficient in their neurosupportive role as a result of aging. In addition, they can also become overactive (or primed) in response to stimulation, for example, through higher levels of A $\beta$  or its modification, or synergistic interaction with advanced glycation end products (AGEs) [12, 15]. Furthermore, phagocytosis by microglia can also activate the respiratory burst, a rapid release in ROS, such as superoxide radicals and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), from cells [16].

Mice models of aging and familial AD have recently provided further evidence of overstimulation and aging aspects of microglia. For example, studies of AD-model brain slice cultures have demonstrated that the addition of young microglia or their conditioned medium could induce microglial proliferation and reduce amyloid plaque size [17]. Other studies have shown that microglia isolated from aged mouse brains have a gene expression pattern aimed at proinflammatory processes, phagocytosis, and lipid homeostasis. Furthermore, studies conducted using brains from middleaged people have demonstrated that signs of inflammation are already present, through the age-dependent increases in CD68 (associated with phagocytosis) and HLA-DR (associated with antigen presentation) seen in microglia of white matter. Increased microglial activity is also detectable in early-onset AD brain tissue, as well as in late-onset AD cases [18].

Neurofibrillary 'ghost' tangles initially formed from hyperphosphorylation of tau and then exposed to the extracellular environment are also a trigger for neuroinflammation. Furthermore, studies of traumatic brain injury, a well-known precursor to NFT formation in later life, have shown that the neuroinflammation that occurs after injury can persist for years post-injury and that any future injuries tend to provoke overreactive glial responses in later years, most likely increasing tau pathology [19].

Although astrocytes are important in maintaining neuronal health by reacting as part of the normal immune responses of the brain, astrogliosis can also contribute to neuronal inflammation [20]. However, some microglial products such as interleukin (IL)-1β, tumour necrosis factor (TNF)-α, IL-6, and C1q activate astrocytes, which can lead to neuronal dysfunction and death. It was recently shown that microglia can activate a subtype of astrocytes. These 'A1' astrocytes lose their ability to promote neuronal survival, growth, synaptogenesis, and phagocytosis, and are also highly toxic to neurons, although the exact mechanism of toxicity is still unknown [21]. These astrocytes have been detected in several neurodegenerative conditions, including AD, Huntington's disease, Parkinson's disease, multiple sclerosis, and amyotrophic lateral sclerosis, underscoring the central role of chronic microglial and astrocyte activation in neurodegenerative conditions. The A1 astrocytes were shown to be induced specifically by microglial secretion of IL-1 $\alpha$ , TNF $\alpha$  and C1q (a first subcomponent of the C1 complex in complement activation) [21].

# 2.2 The Biology of Oxidative Stress and its Relevance for AD

Oxidative stress results from the imbalance between ROS production and neutralisation. Oxidative stress causes two types of cell death: moderate oxidation can trigger cell apoptosis, and more intense stress (with concurrent ATP depletion) may cause necrosis [2]. The peroxidation of polyunsaturated fatty acid (PUFA) leads to damage to cell membranes, and also generates several aldehyde byproducts, including malondialdehyde and  $C_3-C_{10}$  straight chain aldehydes, as well as  $\alpha$ ,  $\beta$ -unsaturated aldehydes such as 4-hydroxy-2-nominal (HNE) and acrolein. Of these, the  $\alpha$ ,  $\beta$ -unsaturated aldehydes in particular are important effectors of tissue damage. They show high reactivity with cysteine, histidine, and lysine [22].

Free radicals, particularly the hydroxyl radicals, also attack nucleic acids. This leads to strand breaks, crosslinking and base modifications, which may contribute to alterations in protein production and propagate neuron dysfunction and death. Mitochondrial DNA (mtDNA) is more susceptible to free radical-mediated damage than nuclear DNA, as a result of its proximity to the site of ROS production. As Dizdaroglu et al. argue in their review, DNA attack by ROS can lead to the generation of more than 20 oxidized base adducts, with 8-hydroxydeoxyguanosine (8-OHdG) the most prominent because of the relatively low oxidation potential of guanine [23].

These adducts promote DNA–DNA and DNA–protein crosslinking that can limit transcription [24]. Mitochondria are especially vulnerable to oxidative stress as energy production, the production of antioxidant enzymes, and the maintenance of membrane potential are all decreased. This can cause a further increase in ROS, leading to cell death by apoptosis [25, 26].

# 2.3 Advanced Glycation End Products and Carbonyl Stress in AD

AGEs are compounds that form due to the non-enzymatic glycation of amino groups on proteins, lipids, or nucleic acids, by reducing sugars and reactive aldehydes [27, 28]. They are more often formed under hyperglycaemic conditions, and some AGEs are also derived from the diet, particularly from foods fried or roasted at very high temperatures, meat and processed meat products, and full-fat cheese. AGEs have been identified as modifications to many of the long-lived protein deposits in AD, such as plaques, tangles and Hirano bodies. AGEs exhibit toxic effects related to their ability to promote oxidative stress and inflammation [29, 30], following binding to cell surface receptors such as RAGE or crosslinking with other proteins, altering their structure and function [28, 31]. A major AGE receptor is RAGE, which is found throughout the body, including the brain, and levels of RAGE are increased in AD [32]. AGEs binding to RAGE induces the activation of various intracellular cascades, which involve the nuclear factor-kB (NF- $\kappa$ B) pathway and inflammatory mediators such as IL-6, and TNFα, as well as C-reactive protein, all of which are linked to increased inflammation and oxidative stress [33]. RAGE has also been implicated in cardiovascular diseases and type II diabetes, both known risk factors for AD [34]. Recent studies have found many links between AGEs, RAGE, microglial activation, and disrupted Aß metabolism, including the excessive transfer of  $A\beta$  from the blood to the brain via RAGE located on the vascular side of the blood-brain barrier [35].

# 2.4 Involvement of the Complement System in AD

The complement system involves a collection of proteins, including C1–C9, which participate in an amplifying cascade of enzymes, resulting in large numbers of downstream complement factors. The complement system participates in antigen-antibody reactions when outside the brain. However, within the brain, complement protein C1q binds to  $A\beta$ fibrils, which are then phagocytosed by microglia. This may explain the 10- to 80-fold increase in C1q expression levels found in AD brain regions highly affected by AD pathology, such as the entorhinal cortex, hippocampus, and midtemporal gyrus (compared with non-AD brains) [36]. These fibrils may then remain largely undegraded by the microglia, resulting in a 'frustrated phagocytosis' that could lead to increased microglia activation, if the toxic elements of the phagolysosome are released into the cell environment [37]. In vitro fibrillar amyloid activates both the classical and alternative complement cascades [38], which could lead to further degeneration as a result of this possible frustrated phagocytosis. In line with this postulation, chronic treatment with a C5a antagonist, PMX205 (hydrocinnamate-[Om-Pro-D-cyclohexylalanine-Trp-Arg]), decreased AD pathology in two mouse models [39]. C1q has also been shown to bind to NFTs, although possibly to a lesser extent [40].

Studies of cerebral spinal fluid (CSF) in AD patients have shown higher levels of C1q, C3d and C4d in the early stages of AD, and levels show a positive correlation with plaque number from mild to severe cases. Furthermore, the patterns of complement factor expression change over time, indicating a temporal pattern of complement protein expression in relation to A $\beta$  plaque deposition or other AD pathology [41], and possibly providing biomarkers for the disease.

In contrast, the increase in components of the terminal pathway are considered pathogenic. For example, increases in the levels of C5a and its receptor occur in AD and AD-model transgenic mice, and the specific inhibition of C5a reduces amyloid build-up in transgenic AD mice. A recent cell culture study confirmed that C5a increases the damage to primary neurons caused by A $\beta$  fibrils via the C5a receptor, with the conclusion being that C5aR1 antagonists may provide benefit as AD therapeutics [42]. Interestingly, another study has found that a deficiency in the C5a receptor leads to lower body weight, lower plasma triglyceride and non-esterified fatty acid levels in C5a receptors also have a role in fat storage and metabolism [43], abnormalities of which have been linked to AD.

## 2.5 Cytokines and Chemokines in AD

Activation of microglia by A $\beta$  induces the production of inflammatory cytokines, including IL-1 $\beta$  and TNF $\alpha$  via NF- $\kappa$ B pathway activation [44]. Activated microglia also upregulate inducible nitric oxide synthases (iNOS), which cause oxidative stress by generating nitric oxide (NO), leading to peroxynitrite [33].

Increases in IL-1 $\beta$  further aggravate the inflammatory response induction of amyloid precursor protein (APP)

expression. IL-1 may promote the phosphorylation of the tau protein, leading to the formation of NFTs and possibly contributing to neuronal death [45]. Many cytokines and chemokines, including IL-1β, IL-6, TNFa, IL-8, tumour growth factor- $\beta$  (TGF- $\beta$ ) and macrophage inflammatory protein-1 $\alpha$  (MIP-1 $\alpha$ ), are upregulated in AD brains [46]. Animal models of familial AD, such as the Tg2567 mouse model (overexpressing APP with the Swedish mutation), also show enhanced levels of TNF $\alpha$ , IL-1 $\alpha$ , IL-1 $\beta$ , chemoattractant protein-1 and cyclooxygenase (COX)-2 [47]. In addition, it has also been reported that mice overexpressing the mutant human P301 tau protein have an increased immunoreactivity to IL-1 and COX-2 [48]. The production of interleukins and other cytokines and chemokines, for example by interaction of AGEs and Aß amyloid with receptors for AGEs, may also lead to microglial activation, astrogliosis (reactive astrocytes) and further secretion of proinflammatory molecules and amyloid, perpetuating the pathological cascade of AD [49]. Interestingly, activation of microglia and macrophages also increase the production of the AGE precursor methylglyoxal, leading to the formation of proinflammatory AGEs [50, 51].

Genetic studies have also indicated the central role of inflammation in AD. For example, genome-wide association studies have identified several immune system-related genes linked to AD, such as CLU (clusterin), CR1 (complement receptor 1) and TREM2 (triggering receptor expressed on myeloid cells 2) [52]. More recent meta-analyses found other AD linkages to more immune system proteins, including HLA-DRB5-DRB1 (HLA class II histocompatibility antigen, DRB5 beta, allelic variant DRB1) and INPP5D (inositol polyphosphate-5-phosphatase D, linked to cancers of the immune system) [53], as well as MS4A4A (a cell surface protein found on monocytes) [54].

All the above data suggest that the progression of many neurodegenerative diseases, including AD [55], arise from a cycle of self-perpetuating inflammatory neurotoxicity. Stages that may occur include the following.

- A variety of inflammatory triggers lead to the initial microglial activation. Such triggers can be peripheral (e.g. peripheral chronic inflammation or sepsis) or central (e.g. degenerating and dying neurons or amyloid deposits). In one widely investigated mouse model, the Glial Fibrillary Acid Protein (GFAP)-IL6 mouse, the trigger is the cytokine IL-6 [56].
- Stimulated microglia release toxic factors such as TNFα and ROS, which cause damage to neighboring neurons. This process can be inhibited by cytokine-suppressive anti-inflammatory drugs (CSAIDs) [10].
- The release of microglia activators from damaged or dying neurons, such as damage-associated molecular pattern molecules (DAMPs), resulting in subsequent

Consequently, targeting chronic neuroinflammation is a novel disease-modifying treatment approach for many neurodegenerative diseases, including AD [57].

# 3 Consequences of Chronic Neuroinflammation

The amyloid hypothesis of AD suggests that the disease may start with abnormally high levels of extracellular A $\beta$  oligomers (due to excessive production or a reduction in clearance of the peptide), triggering oxidative stress and inflammation [58], an effect that is later enhanced by tau. The inflammatory response increases over time as the disease progresses [59]. In summary, many studies indicate that inflammation plays a role in the process of amyloid plaque formation (and amyloid deposits lead to further inflammation in a vicious cycle). That inhibition of this inflammatory cascade may attenuate amyloidogenic processes and slow down the progression of AD [58].

Inflammation appears to influence the metabolism of APP directly, increasing A $\beta$  production, or affecting the clearance and breakdown of A $\beta$ . In neurons, for example, cytokines upregulate  $\beta$ -secretase (BACE1) expression [60]. In fact, oxidative stress, inflammation, hypoxia and ischaemia are all capable of increasing BACE1 activity [61]. These data are supported by experiments showing increased expression of BACE1 in NT2 cells under oxidative stress and in reactive astrocytes in models of gliosis [61]. The enzyme ADAM17, which is thought to be the regulated APP  $\alpha$ -secretase enzyme that precludes  $A\beta$  production by cleaving APP within the A $\beta$  region, is also involved in the shedding of extracellular domains of at least 40 other transmembrane proteins, including cytokines, growth factors and receptors. However, changes in ADAM17 have been shown to play a role in atherosclerosis, in adipose tissue metabolism, insulin resistance and diabetes, some of which are known risk factors for AD. Furthermore, in inflammation, the proinflammatory activity of TNF $\alpha$  is mostly mediated by type 1 TNF $\alpha$  receptors that undergo cleavage via ADAM17 [62]. ADAM17 and TNFa have been shown to be involved in stress-induced activation of NFkB, a central regulator of inflammation, and responses to free radicals, etc. All the above evidence indicates that ADAM17 may influence APP metabolism on many levels [63].

Astrocytes have been shown to clear  $A\beta$  by endosomal/lysosomal pathways, yet some enzymes involved in  $A\beta$  breakdown, such as insulin-degrading enzyme (IDE) and neprilysin, can only degrade  $A\beta$  monomers. The uptake of  $A\beta$  by astrocytes can also be carried out, by the low-density lipoprotein receptor-related protein (LRP1), scavenger receptor class B member 1 (SCARB1), and the receptor for AGEs (RAGE), yet some receptors such as LRP1 are again only capable of monomer uptake, thus an increasing amount of oligomers and fibrils will eventually reduce the effectiveness of astrocyte-mediated A $\beta$  clearance (for a review, see Batarseh et al. [64]).

This is not an exhaustive list, just examples of the many changes to APP-regulating enzymes that are linked to inflammation, but it might give a valuable insight into the potential targets of the natural products and phytomedicines discussed in the later parts of this review.

# 4 Medications for the Treatment of AD

# 4.1 Current Shortfalls and Limitation of Symptomatic Treatment with Acetylcholinesterase Inhibitors and Memantine

Several medications are currently used to treat AD, however these do not provide a cure or halt the disease but merely provide some temporary improvement [65, 66].

One of the defining hallmarks in the pathogenesis of AD is a deficit in the neurotransmitter acetylcholine [67]. Acetylcholinesterase inhibitors (AChEIs) were therefore developed many years ago to augment acetylcholine-mediated neurotransmission. As a result, the best current treatment for mild to moderate AD is to improve cognitive function by treatment with AChEIs. Examples include donepezil, rivastigmine and galantamine, which are licensed as medications for symptomatic treatment of AD, despite the fact that the benefit of these agents is modest.

Donepezil is now widely used and is administered once daily [68, 69]. Rivastigmine may be a suitable alternative for patients who are unresponsive to donepezil or galantamine [70]. Another AChEI that has shown some promise in AD treatment research is physostigmine [71].

Another target in AD is excitotoxity. Glutamatergic overstimulation may result in neuronal cell death, a phenomenon that involves the NMDA receptor, neuronal calcium overload and has been termed excitotoxicity [72]. Based on these findings, the glutamatergic inhibitor memantine is also used to treat AD, although usually only in the moderate to severe stages of the disease. Memantine blocks NMDA receptors and is sometimes used in conjunction with AChEIs in the moderate AD stages to improve symptoms and slow progression of the disease. However, again, the improvements are temporary, lasting approximately 6–18 months [73].

# 5 Treatment Approaches with Anti-Inflammatory Agents

Disease-modifying treatments (treatments that influence the progress of the disease) are not yet available. The next section will introduce these potential treatments, including natural products and phytomedicines (Fig. 1).

# 5.1 Non-Steroidal Anti-Inflammatory Drugs

The pathology of AD involves an inflammatory pathway, and some epidemiological evidence indicated that the use of non-steroidal anti-inflammatory drugs (NSAIDs) was associated with a reduced risk of AD. However, many studies have been conducted to try to verify this potential utility of NSAIDs, and reviews and meta-analyses concluded that there was no clear evidence of any benefit of NSAIDs in preventing or treating AD [74, 75].

However, NSAIDs are designed as inhibitors of COXs and do not interfere with the expression of proinflammatory cytokines such as  $TNF\alpha$ , or radicals such as NO. These findings illustrate the need to develop novel and safe anti-inflammatory drugs with a broader range of anti-inflammatory effects than conventional NSAIDs. Cytokine-suppressive anti-inflammatory drugs (CSAIDs) have emerged as a new class of anti-inflammatory drugs as they have a broader range of action than NSAIDs in the treatment of chronic neuroinflammation. CSAIDs target the p38 MAPK and NF- $\kappa$ B signalling pathways to inhibit the expression of a variety of proinflammatory cytokines [76].

# 5.2 Anti-Inflammatory Natural Products for the Treatment of AD

Many plant extracts and their active constituents have shown a variety of medicinal pharmacological properties as an antiinflammatory treatment for AD (Fig. 1). A preliminary start to identifying clinically significant compounds for the treatment of AD includes testing them for inhibition of induced NO production in cell lines, such as the gold standard for these assays, the macrophage cell line RAW 264.7. Potency is a very important parameter in this respect as only potent compounds are likely to reach a therapeutically effective concentration in the brain. Table 1 shows the half maximal inhibitory concentration (IC<sub>50</sub>) value of some clinically important natural products that have been studied for their



Cinnamon / Cinnamaldehvde Inhibit tau aggregation in vitro Inhibit Aß aggregation and toxicity Inhibition of TLR4/iNos to reduce oxidative stress - Inhibition of MEK1/2-ERK signalling pathway



#### (-)Epigallocatechin-3-gallate (EGCG) and other Green Tea Polyphenols Decrease Aß brain levels and plaque load

- Promote APP α-secretase (ADAM-10 primary candidate) - Suppress TNF-α, IL-1β, IL-6 and iNOS expression



#### Gingko and Associated Terpenoids and Flavonol Glycosides

- Suppress TNF-α, IL-1, -6 and PGE<sub>2</sub> expression - Increases glucose uptake and utilisation - Decrease RBC aggregation and inhibit platelet-activating factors



## Polygala tenuifolia (yuan zhi)

Inhibit Aß secretion (via BACE1 inhibition)

Decrease AB induced neurotoxicity in vitro

- Suppress TNF- $\alpha$ , IL-1 $\beta$ , iNOS, COX-2 and PGE<sub>2</sub> expression Enhance BDNF levels



## Resveratrol

- Decreased Aβ secretion (via BACE1 inhibition) Increased NEP and proteasome protein levels
- Upregulation of SIRT1 pathways
- Suppress TNF-α, and CRP levels

Fig. 1 Main effects of selected natural products and phytomedicines in Alzheimer's disease (AD) pathogenesis. A summary of compounds discussed in this review, and their main effects on various processes in AD pathogenesis and relevant pathological hallmarks in AD. TLR4 Toll-like receptor 4, *iNOS* inducible nitric oxide synthase,  $A\beta$ amyloid-ß peptide, APP amyloid precursor protein, ADAM a disintegrin and metalloproteinase, MEK mitogen-activated protein kinase,



Curcumin Inhibit Aβ aggregation in vitro and in vivo - Decreases Aβ brain levels and plaque load - Inhibit BACE1 expression - Suppress TNF-α, IL-1, -2, -6, -8, -12 and COX-2 expression

Ginseng and Ginsenosides - Inhibition of AB formation - Stimulation of soluble APP-α formation - Suppress TNF-α, IL-1β, IL-6, iNOS and COX-2 expression



#### Omega-3 (n-3) Essential Fatty Acids - Decreases Aβ accumulation and toxicity - Inhibition of c-Jun N-terminal kinase (JNK), insulin receptor substrate-1 (IRS-1) and tau phosphorylation Increased IDE levels and activity

## Lipoic Acid

- Scavenge reactive oxygen species (ROS) and induce antioxidant protective enzymes - Increases glucose uptake and utilisation

- Increases acetylcholine production

#### Cannabis / Cannabidiol (CBD)

- Reduces Aß production and tau hyperphosphorylation

- Supresses AB-induced increases in GFAP expression
- Suppress Aβ-induced IL-1β and iNOS expression

ERK extracellular signal-regulated kinase, TNF tumour necrosis factor, IL interleukin, PGE prostaglandin, COX cyclooxygenase, RBC red blood cells, NEP neprilysin, BDNF brain-derived neurotrophic factor, BACE \beta-secretase, CRP C-reactive protein, IDE insulindegrading enzyme, GFAP glial fibrillary acidic protein, SIRT1 sirtuin 1

Table 1 Potency (IC<sub>50</sub> value) of selected natural products for inhibition of lipopolysaccharide-induced NO production in macrophages

Compounds	Inhibition of induced NO production in macrophages (IC <sub>50</sub> value, in $\mu$ M)	Chapter [References]
Luteolin	<1	[257]
Epigallocatechin 3-gallate (EGCG)	1	5.2.2 [258]
7- $\beta$ -Hydroxyl-3-acety-11-keto- $\beta$ -boswellic acid	1.5	[259]
Piceatannol	2.5	[260]
Genistein	5	[257]
Curcumin	6	5.2.3 [261]
2-hydroxy cinnamonaldehyde (HCA)	8	[262]
Wogonin	9.5	[263]
Andrographolide	10	[264]
7- $\beta$ 16 $\alpha$ -dihydroxy-3-acety-11-keto- $\beta$ -boswellic acid	10.5	[259]
Silybin (active compound in silymarin)	12	[265]
Resveratrol	12.1	5.2.8 [260]
$7\beta$ ,22- $\beta$ -dihydroxy-11-keto- $\beta$ -boswellic acid	12.5	[259]
Baicalin	15	[263]
Apigenin	15	[265]
3-acetyl-11-keto- $\beta$ -boswellic acid	15.6	[259]
Pinosylvin	16.5	[260]
Pterostilbene	16.7	[260]
Diosmetin	19	[265]
Baicalein	19.4	[263]
Ginsenoside Rh <sub>2</sub>	20	[266]
Cinnamaldehyde	22	[267]
6-gingerol	23.9	[268]
Quercetin	25	[269]
Kaempferol	25	[270]
7-β-hydroxy-11-keto-β-boswellic acid	25.8	[259]
O-methoxycinnamaldehyde	35	[78]
Luteolin 7-glucoside	50	[271]
E-cinnamaldehyde	55	[78]

IC<sub>50</sub> half maximal inhibitory concentration, NO nitric oxide

anti-inflammatory activity in macrophages, and further investigated for the treatment of AD.

## 5.2.1 Cinnamon/Cinnamaldehyde

Cinnamaldehyde (CA) is a flavonoid that is largely responsible for the flavor and aroma in cinnamon. Sri Lankan cinnamon (*C. zeylanicum*) was shown to be one of the most potent anti-inflammatory foods out of 115 Australian foods tested [77]. The most abundant compounds in cinnamon extract were *E*-cinnamaldehyde and *o*-methoxycinnamaldehyde [78].

The neuroprotective effects of CA were shown in ischaemia/reperfusion-induced brain injury, as it reduced the infarction area and neurological deficit score [79].

Cinnamon can also prevent tau aggregation in vitro and can even dissolve tangles isolated from AD brain [80]. The

anti-tangle activity of CA was due to its binding to the two cysteine residues in tau. Furthermore, these compounds protected tau from oxidation caused by  $H_2O_2$ . Epicatechin can also react with lipid peroxidation products such as acrolein [81].

Recent studies of a mouse model of cerebral ischaemia have added further evidence of CA inhibiting inflammation, partly mediated by reduced expression of signal transduction molecules such as toll-like receptor 4 (TLR4) and tumour necrosis receptor-associated factor 6, and by reducing the nuclear translocation of NF- $\kappa$ B, resulting in an attenuated increase in TNF $\alpha$  and IL-1 $\beta$ , amongst others [82]. The inhibition of TLR4/NOX4 signalling is known to reduce oxidative stress/nitrative stress in neuronal damage and apoptosis pathways. A recent study of lipopolysaccharide (LPS)induced cardiac dysfunction in rats found that CA reduced intracellular ROS production and reduced TNF $\alpha$ , IL-1 $\beta$  and IL-6 levels in LPS-stimulated rats by blocking the TLR4, NOX4, MAPK and autophagy signals [83].

CA also reduces inflammation in mice fed a high-fat diet [84], and in other mice and microglial studies involving LPS-induced inflammation, trans-cinnamaldehyde (another anti-inflammatory compound isolated from *Cinnamonum cassia*) was shown to improve synaptic plasticity in the mice. It also reduced NO production by accelerating the degradation of iNOS mRNA (in turn thought to occur due to transcinnamaldehyde inhibiting the MEK1/2–ERK1/2 signalling pathway) and reduced IL-1β release from primary microglia [85].

In summary, cinnamon efficiently inhibits oxidative stress and proinflammatory pathways, tau accumulation, A $\beta$  aggregation, and toxicity in vivo and in vitro models; however, more clinical trials with cinnamon are needed [86].

# 5.2.2 (-)Epigallocatechin-3-Gallate (EGCG) and Other Green Tea Polyphenols

Green tea is derived from the leaves of the Camellia sinensis plant. Green tea polyphenols are potent antioxidants, and scavengers of ROS and RNS in vitro [87]. Many studies have shown that raising the dietary intake of polyphenols can reduce oxidative stress and reduce the risk for oxidative stress-related diseases, including AD, Parkinson's disease, stroke and Huntington's disease [88]. A subclass of green tea polyphenols, called catechins, has been investigated through various studies evaluating their efficacy. Of the four major catechins, (-)epigallocatechin-3-gallate (EGCG) is the major constituent (approximately 60%), followed by (-)-epigallocate-chin (EGC), (-)-epicatechin (EC), and, lastly, (-)-epicatechin-3-gallate (ECG) [87]. EGCG can prevent neuronal cell death caused by  $A\beta$  neurotoxicity in vitro [89]. Another in vitro study demonstrated that EGCG reduced Aß generation in neuronal-like cells and primary neuronal cultures, and also promoted the non-amyloidogenic  $\alpha$ -secretase proteolytic pathway [90]. Furthermore, when 12-monthold Tg2576 mice were administered EGCG 20 mg/kg for 60 days via intraperitoneal injections, decreased brain levels of A<sub>β</sub> and A<sub>β</sub> plaque load were detected. EGCG was also shown to promote protein kinase C (PKC)-dependent activation of the  $\alpha$ -secretase pathway [89, 90].

Studies of green tea have shown that acetylcholinesterase can be inhibited by green (and white) tea, with EGCG being the most potent [91]. Furthermore, recent studies have indicated that polyphenols bind directly to acetylcholinesterase (and butyrylcholinesterase), collectively suggesting that green tea may function similarly to therapies currently available for AD [92]. Furthermore, primary neuronal cultures exposed to glutamate to induce neurotoxicity were found to be protected by green tea polyphenols as they inhibited the glutamate-induced ROS release. The green tea polyphenols improved mitochondrial function in cells and reduced the changes in Bax, caspase-3, and Bcl-2 that were caused by glutamate exposure [93], suggesting polyphenols were able to provide protection via antioxidative and anti-apoptotic pathways. Interestingly, these antioxidative properties are also thought to be the reason behind why green tea polyphenols, together with tai chi, have been found to reduce oxidative stress and reduce signs of osteoporosis in postmenopausal women [94].

Similar results have been obtained in studies of the double transgenic mouse AD model (APP/PS1), where mice were given green tea polyphenols in drinking water along with treadmill exercises for 4 months. The intervention reduced deficits in spatial learning and memory and lowered soluble A $\beta$ 1-40 and A $\beta$ 1-42 levels, along with oxidative stress markers in the brain. The treatment also raised brain-derived neurotrophic factor (BDNF) levels in the brain and activated Akt/GSK-3/CREB signalling [95]. Other AD-model mouse studies have shown that EGCG could prevent LPS-induced memory impairment, as well as the LPS-induced initiation of astrocytes increasing cytokine expression (TNF $\alpha$ , IL-1 $\beta$ , IL-6). Therefore, it may be possible that EGCG can reduce the inflammation associated with AD [96]. Overall, EGCG appears to be the most bioactive polyphenol and has important anti-inflammatory and anti-atherogenic properties, as well as protective effects against neuronal damage and brain edema. Other recent studies have shown that EGCG can suppress TNFa, IL-1β, IL-6, and iNOS, and restore levels of intracellular antioxidants against Aβ-induced and free radical-induced proinflammatory effects in microglia. EGCG could also restore levels of nuclear erythroid-2-related factor 2 (Nrf2; transcription factor that regulates expression of antioxidant proteins) and haem oxygenase-1 (HO-1; an enzyme that degrades haem into CO, biliverdin and free iron, all of which have anti-inflammatory and anti-apoptotic properties) [97]. Additionally, EGCG was also able to reduce Aβ-induced toxicity by reducing ROS-induced NF-κB activation and mitogen-activated protein kinase (MAPK) signalling [97, 98].

Despite the many in vitro and animal studies that have indicated many positive effects of green tea extracts, studies suggest EGCG cannot cross the blood-brain barrier in humans. Thus, the positive effects seen in cell culture, particularly in AD-model mice, may not occur in humans, or at least not to the same extent. It has been suggested that this may be due to differences in dose and time of administration, or possible differences in metabolism between mice and humans (reviewed by Cascella et al. [98]). However, many dietary and preventative studies are currently suggesting that a healthy diet high in antioxidant polyphenols (such as EGCG) combined with exercise and other physical activity can help decrease the risk of AD, at least partly by reducing oxidative stress and chronic inflammation-associated conditions such as obesity, cardiovascular disease, and insulin-resistance and type II diabetes [99]. Therefore, benefits may be obtained systemically that may translate to reduced oxidative stress and inflammation in the brain, and further research, including epidemiological studies and clinical trials of green tea extracts, should be carried out.

## 5.2.3 Curcumin

Curcumin is a component of the roots of the turmeric plant (*Curcuma longa Linn*) [100]. Turmeric is used for flavor, colour and as a food preservative, in addition to being long used to treat a variety of illnesses in traditional Indian medicine [101]. Curcumin has been demonstrated to have both antioxidant and radical scaving activities [102, 104] and is more potent than vitamin E as an antioxidant. It has also been reported to have anti-inflammatory properties [10, 103] and can inhibit the aggregation of A $\beta$  [105]. Curcumin interferes with signalling downstream of the IL-6 receptor, through suppressing STAT3 phosphorylation [106, 107]. Furthermore, curcumin has broad cytokine-suppressive anti-inflammatory action, influencing the expression of COX-2, iNOS, TNF $\alpha$ , and IL-1, -2, -6, -8, and -12.

The effects of curcumin in AD mouse models have been investigated, whereby feeding a curcumin diet (500 ppm) to 17-month old Tg2576 mice for 6 months led to reduced amyloid levels and plaque burden. Curcumin injected peripherally was able to enter the brain and bind to amyloid plaques [105]. The ability of curcumin to bind to pathological amyloid fibrils is thought to be due to its structural similarity to the water-soluble Congo red dye, which also binds strongly to amyloid fibrils. Curcuminoids may also reduce A<sup>β</sup> levels as the components of bisdemethoxycurcumin (BDMC) can reduce BACE1 mRNA and protein levels, while demethoxycurcumin (DMC) can reduce BACE1 mRNA expression [108]. Aged female rats administered curcumin for 12 days showed improved spatial memory (in the Morris water maze test) and again showed signs of reduced oxidative damage [109].

Formulations of curcumin that can cross the blood–brain barrier have been investigated as they are not thought to reach the brain in high concentrations. As a result, highly bioavailable curcumin preparations such as 'Longvida' (Verdure Sciences, Noblesville, IN, USA) have been produced and these can achieve micromolar concentrations in the brain [110]. In humans, 'Longvida' curcumin (400 mg) was shown to significantly improve working memory and mood after 4 weeks of treatment in a randomised, doubleblind, placebo-controlled human trial [111]. Additionally, the pharmacokinetic properties of curcumin (however, only when encapsulated in liposomes or micelles) are favourable for use as a therapeutic.

In a similar study, solid lipid curcumin particles (SLCP) were suggested to have greater permeability and be more neuroprotective than natural curcumin. Inhibition of Aß aggregation, anti-amyloid, and anti-inflammatory responses of curcumin and/or SLCP were tested in mouse models of AD. 5xFAD- and age-matched wild-type mice were given injections of curcumin or SLCP (for 2 or 5 days). A larger decrease in AB plaque loads in the hippocampus was observed with SLPC when compared with natural curcumin. Similarly, relative to natural curcumin, SLCP produced a larger decrease of pyknotic, or tangle-like, neurons in the hippocampus [112]. Another study utilising 5xFAD mice demonstrated that intragastric administration of curcumin 150 or 300 mg/kg/day for 60 days resulted in reduced Aβ pathology through inhibition of BACE1 expression, and an improvement in both spatial learning and memory, when compared with untreated control mice [113].

Interestingly, curcumin's fluorescent activity, preferential binding to  $A\beta$ , and structural similarities with other traditional amyloid-binding dyes collectively make it a promising candidate for labelling and imaging of  $A\beta$  plaques in vivo [114].

However, one point of criticism is that even after considerable research and many clinical trials, no curcumin drug has eventuated, raising doubt regarding the clinical efficacy of curcumin. The comment by Nelson et al. that "Curcumin is best typified, therefore, as a missile that continually blows up on the launch pad, never reaching the atmosphere or its intended target(s). These results have given curcumin the label of pharmacodynamically fierce (hits many targets) yet pharmacokinetically feeble (does not get to its targets)" is characteristic of the skepticism that has developed in the field, and many scientists believe that the commercial success of curcumin is based on insufficient clinical evidence. However, a recent article in the Nature journal states that "a PubMed search under 'curcumin double-blind placebocontrolled clinical trial' yields 49 entries, of which 17 trials show efficacy. In addition, there are 27 other clinical trials and at least 5 animal studies of curcumin that point to therapeutic benefits" [115].

The effect of curcumin in preventing cognitive decline was investigated in a population of community-dwelling older subjects. These individuals were administered either a placebo or Biocurcumax<sup>TM</sup> (a non-liposomal preparation) 1500 mg/day, and subsequent clinical and cognitive tests were performed at baseline and at the 6- and 12-month follow-up assessments. While statistical analysis suggested a significant result in the Montreal Cognitive Assessment (MoCA), which assesses general cognitive function, this was found to be driven by a decrease in MoCA performance function by the placebo group at 6 months, which did not occur in the curcumin-treated group, contributing to the significant result observed. However, this was the only positive outcome of the study as no other differences were observed between the groups for all other clinical and cognitive measures [117].

Overall, the few clinical trials that have been conducted with curcumin have produced mixed results, with weak evidence suggesting the use of curcumin in dementia patients [118]. The majority of clinical trials demonstrated no cognitive benefits (for a review, see Goozee et al. [116]). However, these negative results may be due to two main reasons. First, AD stage at the time of curcumin administration may have been too advanced. Epidemiological data support the concept that curcumin can reduce AD risk if taken/eaten regularly at much younger ages, and clinical studies need to be carried out at preclinical stages of AD, to slow or prevent AD pathogenesis [116]. Second, the use of low-bioavailability curcumin preparations instead of high-bioavailability (liposomal) formulations may have also impacted clinical efficacy in these trials [119, 120]. In order to be clinically effective, the concentration of curcumin in the target tissue needs to be in the low micromolar range, as the IC<sub>50</sub> values from data from the in vitro assays suggest. It would be crucial for the pharmaceutical and complementary medicine industries to prove, at least in an animal model, that their curcumin preparation reaches a therapeutically effective concentration in the brain to support any claims of CNS-related beneficial activities [76, 120–122].

# 5.2.4 Gingko and its Associated Terpenoids and Flavonol Glycosides

Leaf extracts of *Ginkgo biloba* are widely used for AD, vascular dementia, and other aging-related memory disorders. The principal bioactive components of ginkgo include terpenoids (e.g. ginkgolide and bilobalide) and flavonol glycosides (e.g. quercetin and kaempferol) [123].

In preclinical studies, ginkgo has been shown to possess multiple pharmacological properties, including antioxidant and anti-inflammatory effects, anti-anxiety effects via inhibiting corticosteroid production, enhanced glucose utilisation and ATP production, enhanced erythrocyte deformability to improve blood circulation, increased NO production, and decreased platelet aggregation, all of which are potentially beneficial in dementia and other neurodegenerative diseases [2, 123].

The mechanisms of action underlying the anti-neuroinflammatory effects of ginkgo have been well-documented. In LPS-activated primary microglial cells, EGb 761, a standardised ginkgo extract, has been shown to significantly inhibit the proinflammatory mediator prostaglandin E2 in a dose-dependent manner [124]. In addition, EGb 761 significantly downregulated several inflammatory cytokines, including AGE- or LPS-induced NO, TNF $\alpha$ , IL-6 and IL-1 [125]. EGb 761 and its key constituents, quercetin and ginkgolide B, have also been shown to elicit a concentrationdependent inhibition of A $\beta$ (1-42)-induced decrease of cell viability, intracellular ROS, mitochondrial dysfunction and activation of caspase 3, JNK, ERK1/2 and Akt in SH-SY5Y cells [126].

Data from animal studies are consistent with that of the in vitro findings. In an APP-transgenic AD model in mice, treatment with EGb 761 for 5 months significantly reduced the travel time and distance of travel of animals in the Barnes Maze test, attenuated the loss of synaptic structure protein (including PSD-95, Munc 18-1, and SNAP25) and inhibited inflammatory activation of microglia in the brain [127]. The effectiveness of gingko treatment has been demonstrated in numerous clinical trials in both healthy and dementia populations. In healthy young adults, ginkgo has been shown to improve the speed of processing, working memory, and executive function [128].

In a randomised, double-blind, placebo-controlled trial, 216 participants with AD and/or vascular dementia were randomly allocated to receive a 24-week treatment of EGb 761 or placebo. The results demonstrated a significant improvement in attention and memory function in participants who received the Ginkgo biloba treatment [129]. In a more recent study, 24-week treatment with EGb 761 was associated with a significant improvement in cognitive function and neuropsychiatric symptoms in 404 patients diagnosed with dementia or vascular dementia [130].

Ginkgo's beneficial therapeutic effects have been demonstrated in several systematic reviews and meta-analyses that show ginkgo treatment stabilises or slows decline in cognition, function, and behaviour in dementia patients [131–133].

## 5.2.5 Ginseng and Ginsenoside

The root of *Panax ginseng* (ginseng) has been traditionally used for centuries to manage a wide range of disorders, including AD, in many Asian countries. The principal bioactive components of ginseng are ginsenosides, a type of terpenoid dammarane glycoside, and more than 60 different ginsenosides, such as Rb1, Rb2, Rb3, Rc Rd, Re, Rg1, Rg2 and Rg3 have been identified in ginseng root [134]. The mechanisms underlying the anti-AD effects of ginseng are complex, including inhibition of A $\beta$  formation, stimulation of soluble APP- $\alpha$  formation, anti-inflammation, anti-apoptosis, a decrease in oxidation stress, and enhancement of CNS cholinergic function [135–138].

Anti-inflammatory effects of ginsenosides have been shown in numerous in vitro and in vivo studies. Ginsenoside Re and Rg18 reduced LPS-induced proinflammatory mediators such as iNOS, COX2, TNF $\alpha$ , and iNOS through inhibiting phosphorylation of p38MAPK and STAT1 in BV2 microglial cells [139]. Similarly, in a primary mixed culture of astrocytes from postnatal Sprague–Dawley rats, total ginseng saponins significantly inhibited TNF $\alpha$ , IL-1 $\beta$ , iNOS and COX2 [140]. These in vitro results have received support from in vivo studies in animals. Ginsenoside Rb1 significantly reduced LPS-induced upregulation of microglia cell activation characterised by Iba1 protein expression and mRNA expression of TNF $\alpha$ , IL-1 $\beta$  and IL6 in mice [141]. Similar results were observed with ginsenoside Rg1 in an LPS-induced neuroinflammation model in mice [142].

Early evidence exists to support the clinical use of ginseng in AD. In healthy human subjects, ginseng has been shown to modestly improve thinking and working memory [143, 144]. In two small, open-label trials, 12 and 24 weeks of ginseng treatment significantly improved Alzheimer's Disease Assessment Scale-cognitive subscale (ADAS-cog) and Mini-Mental State Examination (MMSE) scores in patients with AD [145, 146]. Combined treatment of ginseng and ginkgo has also been demonstrated to improve cognitive function in humans [147–149].

## 5.2.6 Polygala Tenuifolia (Yuan Zhi)

Polygala tenuifolia Willd (PT) has long been used in traditional Chinese herbal medicine for treating various conditions. It is also frequently used as a component of traditional herbal formulas in other Asian countries such as Korea and Japan.

Several crude and purified extracts of PT, including tenuigenin, tenuifolin, BT-11 and PGS32, have been tested for their anti-AD activities. For example, tenuifolin was shown to inhibit A $\beta$  secretion from transfected cells in vitro [150], most likely through inhibition of BACE1 ( $\beta$ -secretase) [151]. BT-11 was shown to protect against A $\beta$  25-35 peptideinduced neurotoxicity in vitro and in vivo [150]. The PT extract has shown anti-inflammatory activities, including inhibition of the production of inflammatory mediators such as NO, PGE<sub>2</sub>, IL-1 $\beta$  and TNF $\alpha$ , as well as iNOS and COX-2 expressions in LPS-stimulated murine BV2 microglia; the effect is likely to be mediated by inhibiting the NF- $\kappa$ B and TLR signalling pathways [152, 153].

A recent study on tenuifolin also found that it inhibited cellular production of NO and PGE<sub>2</sub>, downregulated the MAPK and NF- $\kappa$ B pathways, but upregulated the Nrf2/ HO-1 pathways [151]. Another PT extract, PGS32, was shown to enhance neurotransmission and induce long-term potentiation (LTP) in the hippocampus, possibly involving MAP kinase activation and an increase in BDNF concentrations [154]. Studies on active components of PT were also reported, including senegenin [155], which was shown to protect against A $\beta$  peptide-induced neurotoxicity in PC-12 cells [155]. Clinical studies showed PT formulations have memory-enhancing effects in elderly humans [156, 157]. Furthermore, a Japanese herbal formula, Kami-untan-to (KUT) improved cognition and brain perfusion of AD patients in a 12-week trial in combination therapy with donepezil [158].

## 5.2.7 Cannabis/Cannabidiol

Cannabidiol (CBD) is one of the major phytocannabinoids expressed in *cannabis sativa* and was first isolated in 1940. CBD has very low toxicity, is rapidly distributed, and is lipophilic, allowing it to easily pass through the blood–brain barrier [159]. The pharmacological profile of CBD includes anticonvulsive, anti-anxiety, and antipsychotic effects, as well as anti-nausea and anti-inflammatory properties [160].

The function of CBD in brain circuits and the endocannabinoid system, in particular, appears complex. It shows very low affinity to the cannabinoid receptors 1 and 2 (CB<sub>1</sub> and CB<sub>2</sub>) and seems to be an inverse agonist at CB<sub>2</sub>. CBD also acts at glutamate receptors, serotonergic receptors (e.g. the human 5-HT<sub>1a</sub> receptors, where it inhibits 5-HT reuptake) and peroxisome proliferator-activated receptors (PPAR)- $\gamma$ , and has allosteric properties at  $\mu$ - and  $\delta$ -opioid receptors at very high concentrations [161].

In the context of AD, it is of interest that CBD possesses antioxidant, anti-inflammatory, and neuroprotective properties, and decreases A $\beta$  production and tau hyperphosphorylation in vitro [161]. In a first in vivo study where mice were inoculated with A $\beta_{42}$  in the hippocampus, CBD dosedependently suppressed A $\beta$ -induced iNOS and IL-1 $\beta$  protein expression, as well as the related NO and IL-1 $\beta$  release. CBD also decreased A $\beta$ -induced glial fibrillary acidic protein (GFAP) mRNA and protein expression (i.e. a marker of activated astrocytes) [162].

In line with this early finding, Martin-Moreno and coworkers found that mice injected intravenously with fibrillar A $\beta$  exhibited deficits in Morris water maze learning, which were reversed post chronic CBD treatment. This beneficial effect of chronic CBD was accompanied by decreased levels of IL-6 [163]. However, most preclinical in vivo research into AD utilises transgenic mouse models for the disease. Indeed, the therapeutic potential of CBD has more recently been evaluated in AD transgenic mice [164].

Karl and colleagues conducted the first two studies evaluating the remedial and preventative properties of CBD in AD transgenic mice. For the remedial study, CBD was administered chronically to male double-transgenic APP<sub>swe</sub>/PS1 $\Delta$ E9 (APPxPS1) mice after the onset of AD-like symptoms (i.e. cognitive deficits and A $\beta$  pathology). CBD treatment reversed deficits in social recognition memory and object recognition deficits in these AD transgenic mice [165]. In the preventative study, APPxPS1 male mice were treated with vehicle or CBD gel pellets on a daily basis using a voluntary oral administration scheme, starting at an age when AD-like pathophysiology is still sparse in these mice (i.e. for 8 months starting at 2.5 months of age). CBD prevented the development of a social recognition deficit in APPxPS1 males. The therapeutic-like effect of CBD was not associated with changes in A $\beta$  levels or oxidation; however, the findings suggested that CBD might modulate cytokine levels, in particular TNF $\alpha$  [166].

The therapeutic potential of CBD for AD in combination treatments with  $\Delta^9$ -tetrahydrocannabinol (THC) has also been assessed. In the first experiment, Sativex® (a mixture of a THC and CBD at a ratio of 1: 1) treatment of parkin-null, human tau overexpressing (PK<sup>-/-</sup>/Tau<sup>VLW</sup>) mice decreased neuroinflammation (e.g. GFAP levels) and iNOS levels in the cerebral cortex and reduced stress-related behaviours typical for PK<sup>-/-</sup>/Tau<sup>VLW</sup> mice [167]. Most relevant to AD, Sativex<sup>®</sup> also reduced cortical and hippocampal A $\beta$ , plaques and phosphorylated tau, and stimulated autophagy. In a second study, APPxPS1 transgenic mice at the early symptomatic phase were treated with botanical extracts high in THC content (and low CBD concentration, i.e. < 0.5%), or high in CBD content (and low THC concentration, i.e. <2.5%). Another group of control and AD transgenic mice was treated with a combination of CBD plus THC [168]. All treatments preserved object recognition memory of AD transgenic mice. The CBD/THC combination AD transgenic group also exhibited improvements in fear-associated learning, reductions in cortical soluble  $A\beta_{42}$  (but not  $A\beta_{40}$ ) levels, as well as changed plaque composition. The combination treatment was also most effective in lowering inflammation and changing neuroinflammatory processes in APPxPS1 mice [168].

Few clinical trials have investigated the effect of cannabis/CBD in dementia patients. Medical cannabis oil (MCO) containing THC was used as an add-on to pharmacotherapy and was evaluated for relieving behavioural and psychological symptoms of dementia (BPSD) in a 4-week trial. Significant reductions in Clinical Global Impression (CGI) severity score and delusions, agitation/aggression, irritability, apathy, sleep, and caregiver distress were recorded [169].

## 5.2.8 Resveratrol

Resveratrol (3,5,4'-trihydroxystilbene) is found in many plant species, including grapes and berries, as well as some medicinal herbs such as *Polygonum cuspidatum*. It is best known as a key ingredient for the health benefits of wine, derived from observations that wine consumption is related to a reduced risk of dementia, which links to wine polyphenols [170–172].

Numerous studies have demonstrated various bioactivities of resveratrol, including antioxidant, anti-inflammatory, improved vascular function, neuroprotective, modulations of insulin sensitivity, and glucose and lipid metabolisms, indicating its potential application in cardiovascular conditions and AD [121, 173–177]. Corpas et al. [178] reported that supplementation of resveratrol 100 mg/kg for 10 months in 3xTg-AD mice resulted in neuroprotection against amyloid and tau pathology, measured through increased levels of neprilysin, reduction of BACE1, increased proteasome protein levels and upregulation of the sirtuin 1 (SIRT1) pathways. Corpas et al. also demonstrated improved cognitive performance in spatial learning and memory tests.

The action of resveratrol against pathological effects in AD involves multiple mechanisms. Resveratrol changes  $A\beta$  synthesis, oxidative stress, inflammation, apoptosis and neurodegeneration as follows:

- 1. Modulation of APP metabolism, decreased APP cleavage, enhanced A $\beta$  peptide clearance and reduced A $\beta$ synthesis and aggregation [179–181].
- 2. Modulation of metabolic homeostasis, such as inhibition of the production of AGEs and regulation of apoptosis proteins and cell signalling pathways [182]. The actions of resveratrol on glucose metabolism and insulin sensitivity may help to correct the relevant abnormalities or reduce the risk factors involved in AD development [183]. Sirtuin signalling plays an important role in the regulation of cell growth and apoptosis and is also linked to AD development [182]. SIRT1 level was lower in patients with AD and mild cognitive impairment, and has also been suggested as an early marker for the detection of AD [184].
- Inhibition of inflammatory mediators and ROS. Resveratrol was reported to inhibit proinflammatory cytokines and inflammatory mediators, such as TNFα, IL-1β, prostacyclin, and prostaglandins [177].

The anti-inflammatory effect of resveratrol has been confirmed in clinical studies. A recent analysis of 17 randomised controlled clinical trials involving 736 subjects revealed that resveratrol significantly reduced TNF $\alpha$  and hs-CRP levels [185]. It has been suggested that resveratrol may improve select measures of cognitive performance and mood in adults, although the current literature is still limited [186]. In summary, these findings support using resveratrol as an adjunct therapy for AD management.

## 5.2.9 Apigenin

Apigenin (4',5,7-trihydroxyflavone) is a natural flavonoid found in many vegetables and fruits, such as chamomile, parsley, celery, apples, peppermint, oregano, rosemary, and grapefruit. Among these, dried fresh parsley (*Petroselinum crispum*) is extremely rich in apigenin, with a content of 4.5 g per 100 g [187]. The systemic bioavailability of apigenin is poor for two main reasons. First, a large portion of ingested apigenin is excreted unabsorbed [188], and, second, its poor availability is also due to its extensive metabolism in the liver (especially phase II), including conjugation reactions that lead to the formation of sulfated and glucuronidated apigenin [189]. Nevertheless, new nanoscience techniques can be used in order to improve its oral bioavailability. For example, the use of a formulation made of carbon nanopowder and apigenin has improved its availability by 275%, compared with unmodified apigenin [190].

Recently, apigenin has raised interest for its strong antioxidant and anti-inflammatory effects. Several in vivo and in vitro studies underline its antioxidant effects through radical scavenging action and its role in the upregulation of antioxidant defenses [191]. For example, apigenin was found to be a potent neuroprotective compound against microglial insult (at low micromolar concentrations) by inhibiting the transcriptional activation of iNOS (producing NO) and TFN $\alpha$ , IL-6 and COX-2 (IC<sub>50</sub>=5  $\mu$ M) [10]. In addition, apigenin significantly decreased the presence of malondialdehyde and several other inflammatory markers, and increased the activity of superoxide dismutase and glutathione (GSH) peroxidase activity [192, 193]. Finally, apigenin has an important role in the upregulation of apoptosis genes. Zhang et al. analysed the expressions of several apoptosis genes in injured rats and found that the rats fed apigenin showed a significant decrease in the expression of some related apoptotic genes compared with the control group [193].

Apigenin is able to enter the brain by passing through the blood-brain barrier, reaching a concentration of 1.2 µM after daily intraperitoneal administration of apigenin 20 mg/kg for 1 week [191]. Furthermore, a variety of studies indicate the CNS effects of apigenin when delivered intraperitoneally or orally [194]. For example, 3 months' treatment of apigenin (40 mg/kg) improved memory deficits in an amyloid-based transgenic mouse model of AD, the APP/PS1 mouse, when tested in the Morris water maze test [194]. Another study, using the Kunming mouse model for age-related decline, demonstrated a role of apigenin in preserving the function and integrity of the blood-brain barrier, which is usually destroyed under pathological conditions [195]. Furthermore, Chen et al. tested the effect of apigenin on aged rats that were administered isoflurane to induce memory impairment. Apigenin was administered at a single dose via intraperitoneal injection and, after treatment, Chen et al. examined the acetylation of histone H3 lysine 9 and H4 lysine 12 in the hippocampus and found that apigenin suppressed isoflurane-induced histone deacetylation [196]. In addition, they upregulated some proinflammatory cytokines, such as IL-2, IL-4 and IL-10, and all were found to be suppressed after apigenin treatment, restoring them to control conditions [196]. On the other hand, a preclinical study conducted on SH-SY5Y human neuroblastoma cells confirmed that apigenin has a central role in the protection of neuron loss, but,

surprisingly, no antioxidant effects were detected. Therefore, this effect is likely due to a direct interaction of this compound with the caspase pathway [197]. Caspase-3 has a key role in apoptosis, and Kang et al. found that apigenin blocked caspase-3, which led the inhibition of apoptosis [197].

Only a few clinical studies on apigenin have been performed in humans in the area of inflammation, oxidative stress and neuroprotection. One clinical study, conducted in a randomised group of volunteers fed a diet high in parsley for 2 weeks, demonstrated an increase in the antioxidant enzymes superoxide dismutase and GSH reductase [198].

## 5.2.10 Other Polyphenolic Antioxidants

Many other food-derived polyphenolic antioxidants have been investigated. For example, the polyphenols from Oriental plums have been shown to improve cognitive function, lower cholesterol levels, and lower the diet-induced overexpression of BACE1,  $A\beta$  and 24-hydroxycholesterol in mice fed a high cholesterol diet [199]. Furthermore, polyphenol stilbenes, which can be found in grapes and berries, have demonstrated positive effects on Nrf2 in oxidative stress [200]. Certain flavonoids, such as scutellarin, daidzein, genistein, and fisetin, are thought to increase neurotrophic factor expression, whereas apigenin and ferulic acid increase CREB phosphorylation (for a review, see Moosavi et al. [201]). Cinnamon extracts and derivatives have also been shown to provide anti-inflammatory and cardioprotective actions [202].

The importance of olive oil in the traditional Mediterranean diet, a diet that epidemiological studies have indicated can lead to good health and longevity, has led to studies of the polyphenols in olive oil and its byproducts. For example, recent studies have found that oleuropein aglycone, or a mix of polyphenols obtained from olive mill waste water, can improve cognitive function in transgenic AD-model mice, as well as reduce A\beta1-42 levels and deposition in certain brain regions [203]. Other studies have shown similar neuroprotective effects, such as reduced oxidative stress, improved cell signalling and reduced A\beta1-42 aggregation, through diets high in olive oil or diets that include olive oil polyphenol supplements (for a review, see Casamenti and Stefani [204]). Further studies of olive oil and olive extracts are needed to show how valuable these products may be in preventing neurodegeneration.

## 5.2.11 Omega-3 (n-3) Essential Fatty Acids

Docosahexaenoic acid (DHA) is an essential omega-3 (n-3) PUFA. DHA is known to be an important n-3 PUFA in the brain, where it accounts for approximately 15% of fatty acids in grey matter [205]. There has been great interest in dietary

DHA supplementation in AD, with the view of helping to protect from neuronal degeneration.

Epidemiological data suggest that low dietary intake of DHA is a candidate risk factor for AD [206], and DHA levels have been shown to be decreased in the AD brain [207]. Florent et al. showed that DHA provided cortical neurons with a higher level of resistance to the toxic effects of soluble A $\beta$  oligomers in vitro [208]. The study by Calon et al. showed that reducing dietary n-3 PUFA in Tg2576 transgenic mice resulted in a loss of postsynaptic proteins and behavioural deficits, while a DHA-enriched diet prevented these effects [209]. Arsenault et al. [210] observed that a high intake of DHA for 8-10 months in 3xTg-AD mice resulted in neuroprotective effects and enhanced cognition compared with control 3xTg-AD mice consuming a diet containing no n-3 essential fatty acids. These researchers also demonstrated that acute exposure to DHA could not elicit the same results that were observed after chronic DHA treatment.

Additional studies have shown that DHA protects neurons from A $\beta$  accumulation and toxicity, and decreases cognitive impairment in AD models [211]. Cole and Frautschy showed that DHA supplementation in 17-month-old Tg2576 transgenic mice markedly decreased A $\beta$  accumulation, oxidative damage and cognitive deficits [212]. Feeding 3xTg-AD mice a high-fat diet with DHA for 4 months reduced phosphorylated JNK, insulin receptor substrate-1 (IRS-1) and tau phosphorylation in the brain, and was also accompanied by cognitive improvement in a Y-maze test [213]. Another study demonstrated that chronic treatment of a derivative of DHA (2-hydroxy DHA) in 5xFAD mice showed improved performance in a radial arm maze test, along with restoration of cell proliferation in the dentate gyrus, but no changes in the presence of A $\beta$  plaques [214].

Several recent studies regarding the influence of apolipoprotein E (APOE) alleles on omega-3 fatty acids have been carried out. For example, APOE ɛ4 mice, compared with mice carrying other APOE alleles, suffered greater cognitive impairments and anxiety, as well as a greater omega-3 fatty acid depletion in organs and tissues, when fed a diet deficient in omega-3 fatty acids, yet these levels could be restored by switching to a diet rich in omega-3 fatty acids [215]. This suggests that long-term omega-3 fatty acid supplementation in middle-aged to elderly people should be encouraged, especially in APOE ɛ4 carriers. However, a study of macrophages from patients with mild cognitive impairment who have taken fish-derived omega-3 fatty acid supplements found that the expression of cytoprotective genes increased, whereas proapoptotic gene expression decreased. A $\beta$  clearance by macrophage phagocytosis was improved, and the MMSE scores of the patients also improved, although these positive changes were only found in APOE  $\varepsilon 3/\varepsilon 3$  patients. The other subgroup, APOE  $\varepsilon 3/\varepsilon 4$  patients, had a high variability in responses [216]. This may reflect other vulnerabilities to AD pathogenesis caused by  $\epsilon$ 4 alleles that cannot be overcome with n-3 fatty acid supplements. In support of this, other differences seen in APOE  $\epsilon$ 4 carriers have been detected. For example,  $\epsilon$ 4 carriers who developed mild cognitive impairment/AD had high arachidonic acid/DHA ratios in blood phospholipids, compared with cognitively normal  $\epsilon$ 4 carriers and non- $\epsilon$ 4 carriers [217]. There are also many studies that have shown APOE  $\epsilon$ 4 is less efficient than other APOE forms in other aspects of lipid metabolism, receptor binding and A $\beta$  clearance [218], and these differences may also influence the potential benefits of DHA supplementation.

A recent placebo-controlled 3-year clinical trial of n-3 fatty acid supplementation ( $\pm$  a multidomain lifestyle intervention) did not identify any benefits from the treatments [219]; however, the cohort included people over 70 years of age who already had memory complaints, and it may be that intervention at this age (and at this stage of developing AD) is too late. A review of DHA supplementation with respect to AD pathogenesis stage supports this concept, indicating that high-dose DHA supplementation in APOE  $\epsilon$ 4 carriers before the onset of AD dementia may decrease the incidence of AD, but does not appear to have any benefits once dementia is established [220].

Other studies on the distribution of unsaturated fatty acids in AD brain have shown alterations in the metabolism of unsaturated fatty acids, indicating both global metabolic perturbations in AD and changes related to specific features of AD pathology [221]. Another mechanism whereby n-3 fatty acids may influence AD pathogenesis is by affecting IDE, a major A $\beta$ -degrading enzyme in the brain. N-3 eicosapentaenoic acid (EPA) has been shown to increase IDE enzyme activity and gene expression [222]. DHA has also been shown to directly stimulate IDE enzyme activity, resulting in enhanced A $\beta$  degradation in the extracellular space [222].

In summary, many studies indicate that dietary supplementation with DHA, or improving the diet to increase the n-3: n-6 fatty acid ratio, a ratio that would ideally be around 1: 4 (but Western diets typically cause this ratio to be from 1:8 to 1:20), may decrease A $\beta$  accumulation, inflammation, and oxidative stress, and consequently reduce the risk of AD or slow its pathogenesis. However, studies suggest that such supplementation or dietary change needs to be lifelong, or at least started around mid-life, to be effective in reducing AD risk.

## 5.2.12 α-Lipoic Acid

Studies suggest that lipoic acid (LA) has a multitude of diverse pharmacologic and antioxidant properties [223]. The R-form (RLA) of LA is the naturally occurring stereoisomer,

but commercially available preparations of LA often contain racemic mixtures of RLA and S-LA (SLA).

LA targets a variety of pathophysiological mechanisms relevant for AD.

- Increases neuronal acetylcholine levels (the neurotransmitter most deficient in AD brains) by activation of neuronal choline acetyltransferase (ChAT) [224].
- 2. Chelates copper and iron and other transition metals, and subsequently inhibits the Fenton reaction, in which  $H_2O_2$  is converted to hydroxyl radicals [225].
- 3. Scavenges ROS (most importantly the highly toxic hydroxyl radical) [226].
- 4. Neutralises and ameliorates the pathophysiological effects of reactive carbonyl compounds and AGEs, as well as lipid peroxidation products [227].
- Induces enzymes involved in GSH synthesis and other antioxidant protective enzymes, possibly through activation of the transcription factor Nrf-2 [175, 228].
- 6. Leads to a higher activity of glyoxalase I (by increasing GSH, a cofactor of glyoxalase I), thereby decreasing the concentration of methylglyoxal. This is important for AD as glyoxalase I activity is reduced in AD brains [229, 230].
- 7. Normalises levels of reduced GSH in AGE-challenged neuronal cells [231].
- 8. Downregulates redox-sensitive inflammatory signals.
- 9. Increases glucose uptake and utilisation [232].

The metal chelating abilities of LA are particularly important as  $A\beta$  binds to metal ions in the brain (copper, iron, and zinc), which induces the  $A\beta$  peptide to precipitate and form plaques. Since amyloid aggregates are noncovalently crosslinked by AGEs, as well as by metals (iron and copper) [233, 234], it was hypothesised that LA blocks aggregate formation or dissolves already-formed amyloid deposits. Indeed, Fonte et al. dissolved  $A\beta$  aggregates with metal ion chelators, and also demonstrated that LA enhanced the extraction of  $A\beta$  from mouse brains in a transgenic mouse model of familial AD [235].

Furthermore, the combination of A $\beta$  with redox-active copper or iron ions might be involved in the production of H<sub>2</sub>O<sub>2</sub> from molecular oxygen [236], which subsequently produces neurotoxic hydroxyl radicals by the Fenton or Haber–Weiss reactions. To test the effect of LA on metal levels in vitro, the effect of an RLA diet on brain iron levels and antioxidant status was investigated in aged rats [237]. Indeed, iron levels in the brain of LA-fed older animals were lower when compared with controls, and were similar to levels seen in younger rats [237].

As mentioned earlier, AD is characterised by chronic activation of microglia and astrocytes, particularly around senile plaques, producing free radicals and secreting proinflammatory cytokines [15, 27, 238]. ROS are involved in these proinflammatory signalling processes through a process known as 'redox-sensitive signal transduction', and are able to regulate the level of effector kinases that can influence the expression of various proinflammatory molecules such as IL-1 $\beta$ , IL-6, and iNOS [239]. LA targets these pathways through eliminating free radicals (which act as second messengers in these pathways), and thereby inhibits proinflammatory signal transduction pathways, overall attenuating the creation of more free radicals and more toxic cytokines [125].

Cell membranes contain unsaturated fatty acids such as linoleic acid and arachidonic acid, which can, through chemical and cell-mediated oxidation, be converted into lipid peroxidation products such as 4-hydroxynonenal (HNE) and acrolein [227]. These lipid peroxidation products not only crosslink Aß and tau [240, 241] but also inhibit the activity of the mitochondrial enzymes pyruvate dehydrogenase (PDH) and  $\alpha$ -ketoglutarate dehydrogenase (KGDH) through binding LA, a component of these two enzyme complexes. Increased levels of these lipid peroxidation products (found in AD brains) may therefore be partially responsible for the mitochondrial dysfunction and decreased ATP production observed in AD brains, which contribute to further neurodegeneration [242]. In several rodent models, dietary supplementation with LA resulted in a decrease in lipid peroxidation, and also prevented decay in LA-dependent mitochondria enzymes, reducing both the extent of oxidative damage and the proinflammatory state in the brain [243-245].

Recent studies have suggested new mechanisms whereby LA influences AD pathogenesis. For example, cell culture studies have found that an increase in BACE1 activity can diminish glucose oxidation by inhibiting key mitochondrial decarboxylation reactions, which in turn diminishes substrate delivery to the mitochondria. ALA (or  $\beta$ -hydroxybutyrate) was found to alleviate this effect of BACE1 [246]. Furthermore, studies of high-fat diet-fed rats showed that LA could reduce the diet-induced damage to neuronal insulin signalling and cognitive deficits in the rats [247]. Hippocampus expression levels of the vesicular glutamate transporter (VGlut1), required for the release of glutamate, were also reduced by the high-fat diet. LA was found to reverse this change, leading the authors to suggest LA might reduce the glutamatergic deficit seen in AD. Similarly, mice fed a high-fat diet developed insulin resistance, lower brain glucose uptake, lower levels of glucose transporters, changes to glucose metabolism, and, ultimately, synaptic loss. LA treatment was again found to prevent many of these metabolic changes and preserve synaptic plasticity [248]. This was attributed to the insulin-like effect of LA [248], which the researchers had previously demonstrated in ADmodel transgenic mice, where LA was found to prevent the transgene-associated decrease in glucose uptake, to reduce the decrease in IRS activation and mediate greater downstream Akt signalling [249]. All of these positive effects of LA suggest LA supplements or foods high in LA (for example, broccoli, spinach, red meat, tomatoes) should be encouraged. Innovative drugs based on the effects of LA are being developed [250].

No randomised, placebo-controlled trials have tested LA for the prevention or treatment of AD, although two openlabel studies reported that LA did benefit patients with AD [251, 252].

# **6** Future Directions

Future work is still required to further evaluate the value of these compounds and foods in clinical trials, as well as the efficacy of using combinations of these compounds for the prevention and treatment of AD. It might also be prudent to investigate plant-derived extracts in search of more potent and safer compounds, including those with traditional or ethnobotanical evidence [253–256]. Combination treatments may reduce cognitive deficits (memory and learning), oxidative stress, neuroinflammation, A $\beta$  levels, A $\beta$  plaque load, and tau hyperphosphorylation and aggregation, thereby providing an important insight into possible complementary medicine preventative treatment strategies for AD.

# 7 Conclusions

Due to the critical roles of amyloid, tau, inflammation, and oxidative stress in AD pathogenesis and progression, identifying therapeutic agents that target multiple pathological features is a rational approach that requires further exploration. Natural compounds and phytomedicines may represent such agents, as discussed in this review, as they have antioxidant, anti-inflammatory, anti-amyloidogenic and neuroprotective effects. A summary of their comparative in vitro potency in a standard anti-inflammatory test is shown in Table 1. Phytonutrients in spices, fruits and vegetables may represent the ideal candidates as they attenuate plaque and tangle formation; decrease neuroinflammation, oxidative and carbonyl stress; and are likely to be safe for long-term treatments at the presymptomatic/clinical stages of the disease (Fig. 1).

The authors believe that after having determined the effective brain concentration of the active compounds in a suitable rodent model, the pharmaceutical and complementary medicine industry should initiate sufficiently powered, long-term, double-blind, placebo-controlled clinical trials with an effective dose (using high bioavailability preparations) to further determine the therapeutic value of these agents in AD.

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