ORIGINAL RESEARCH ARTICLE

Assessment of the Influence of Inflammation and *FCGR3A* Genotype on Infliximab Pharmacokinetics and Time to Relapse in Patients with Crohn's Disease

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Abstract

Background and Objectives Infliximab is a monoclonal anti-tumor necrosis factor- α (anti-TNF α) antibody that profoundly modified the treatment of Crohn's disease (CD). The polymorphism of Fc fragment of IgG, low affinity IIIa, receptor (CD16a) [*FCGR3A*] influences the biological response to infliximab in patients with CD. Our aim was to study its influence on infliximab pharmacokinetics and risk of relapse after infliximab discontinuation. *Methods* In 111 CD patients in remission, infliximab was discontinued and its concentrations were measured for 30 months or until relapse. Infliximab pharmacokinetics were described using monocompartmental population modeling. *Results* The elimination rate of infliximab increased with C-reactive protein (CRP) [p = 0.00018] and was 16 % higher in *FCGR3A*-158V/V patients than in F carriers (p = 0.0028). Risk of relapse was higher in patients with baseline CRP \geq 5 mg/L than in those with a lower value (p = 0.0000029). In addition, there was a first-order interaction between CRP and the *FCGR3A* genotype; in patients with high CRP, risk of relapse was higher for V/V patients than for F carriers (hazard ratio 4.80 and 2.84 for V/V and F carriers, respectively; p = 0.013).

Conclusion Both increased inflammation and *FCGR3A*-158V/V genotype are associated with increased infliximab elimination and risk of relapse after infliximab discontinuation in patients with CD.

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Key Points

FCGR3A-158V/F polymorphism was known to influence the variability of the effect and concentration-effect relationship of therapeutic antibodies, with homozygous V/V patients being more sensitive to treatment than F carriers. In this study, we found faster infliximab elimination in *FCGR3A*-158V/V patients with Crohn's disease (CD) than in F carriers.

FCGR3A-158V/F was also known to influence infliximab efficacy in patients with CD; infliximab treatment is more effective in V/V patients than in F-carrier patients. In this study we found that this polymorphism enhanced the risk of relapse due to inflammation compared with other genotypes when infliximab treatment was discontinued.

Infliximab may therefore be more effective but more 'drug consuming' in V/V patients than in F-carrier patients with CD, leading V/V patients to a faster underexposure to infliximab and relapse than F-carrier patients.

1 Introduction

Infliximab is a chimeric immunoglobulin G1 (IgG1) monoclonal antibody targeting tumour necrosis factor- α (TNF α). This biopharmaceutical has profoundly modified the treatment of inflammatory diseases, notably rheumatoid arthritis (RA), ankylosing spondylitis (AS), ulcerative colitis (UC) and Crohn's disease (CD).

Infliximab pharmacokinetics show a large interindividual variability in patients with RA [1], AS [2, 3], CD [4–6], and UC [7]. In patients with chronic inflammatory bowel disease (IBD), infliximab pharmacokinetics were studied using population pharmacokinetic modeling in three studies [5–7]; however, they were based on scarce data and the terminal elimination phase could not be studied properly.

The pharmacokinetic variability of infliximab is relevant because the rate of clinical response increases with its concentrations in CD [4, 8, 9], as well as in patients with RA [1, 10–12]. In addition, response to infliximab may be influenced by Fc fragment of IgG, low affinity IIIa, receptor (CD16a) [*FCGR3A*]-158V/F polymorphism. The *FCGR3A* gene, which encodes Fc γ RIIIA, a receptor binding the Fc portion of IgG, is expressed on macrophages and natural killer (NK) cells. It presents a single nucleotide polymorphism which generates two Fc γ RIIIA allotypes, with either a valine (V) or phenylalanine (F) at position 158. In vitro, human IgG have a higher affinity for FcyRIIIA-158V than for FcyRIIIA-158F receptors [13]. In vivo, the influence of FCGR3A polymorphism was first described in patients with follicular non-Hodgkin lymphoma treated with rituximab monotherapy, Cartron et al. [14] showed that V/V patients had a higher objective response rate and longer progressionfree survival than F carriers, a result that was confirmed by Weng et al. [15] in a larger number of patients. This better clinical response of FCGR3A-158V/V patients compared with F carriers was reported for two other anticancer therapeutic antibodies-trastuzumab in breast cancer [16] and cetuximab in colorectal cancer [17]. In kidney transplant patients, FCGR3A polymorphism influences the relationship between the dose of anti-lymphocyte globulins and lymphocyte depletion, the V allele being associated with a higher sensitivity to the therapeutic antibody than the F allele [18, 19]. These antibodies were shown to induce cytolysis at least partly by antibody-dependent cellular cytotoxicity (ADCC); after binding of the Fab portion of the antibody to its targetantigen, the Fc portion of the antibody can bind to FcyRIIIA expressed at the surface of effector cells, leading to lysis of the target cell. An in vitro model of ADCC suggested that this genotype influenced the concentration-effect of rituximab. In this study, the concentration leading to 50 % of maximal lysis induced by rituximab (EC_{50}) was about fourfold lower for FCGR3A-158V/V than for F/F donors [20]. However, to date, the influence of FCGR3A polymorphism on the pharmacokinetics of therapeutic antibodies was never investigated.

In patients with CD treated with infliximab, a better biological response was observed in V/V patients than in F carriers, with C-reactive protein (CRP) levels during treatment being lower in V/V patients [21–23]. Some in vitro [24, 25] and in vivo [23] studies suggested that infliximab is able to kill mucosal mononuclear cells through ADCC. Therefore, the fact that the *FCGR3A* genotype influences inflammatory response could be explained by infliximab-mediated ADCC.

The present work used data from a previously published study [26], which was designed to identify the individual factors predicting the risk of relapse following infliximab discontinuation in patients with CD. Based on multivariate lifespan analysis, risk factors for relapse were high levels of leukocyte counts, previous surgical resection, low hemoglobin level, high CRP level, fecal calprotectin, and male sex. However, in this study, neither the pharmacokinetics of infliximab nor the influence of *FCGR3A* polymorphism on infliximab pharmacokinetics or time to relapse was investigated. The present work was aimed at describing the elimination phase of infliximab in patients with CD, and at investigating the influence of *FCGR3A* polymorphism on infliximab pharmacokinetics and risk of relapse in patients with CD discontinuing their treatment.

2 Methods

2.1 Study Design

The present work is an ancillary study of a previously published study (NCT00571337) [26]. This prospective, multicenter cohort study, conducted in 20 centers in France and Belgium between March 2006 and December 2009, was designed to assess the risk of relapse in CD patients in remission following infliximab discontinuation. The study protocol and documents were approved by the Ethics Committee of the Saint-Louis Hospital in Paris on 26 May 2005. The Investigational Review Board at each of the participating centers approved the protocol. All patients provided written informed consent before screening, including for FCGR3A genotyping. Patients included in this study had (i) to be treated with infliximab and an antimetabolite agent (azathioprine, mercaptopurine, or methotrexate); (ii) to present a prospective Crohn's Disease Activity Index (CDAI) ≤ 150 ; (iii) to have been stable for at least 3 months and had to have been in corticosteroidfree remission over the past 6 months before inclusion; and (iv) to have received at least 1 year of scheduled infliximab injections, and at least two infusions of infliximab had to have been administered during the past 6 months. Before relapse, follow-up visits were performed at 14 days and every 2 months after the last infliximab infusion up to 30 months, or until relapse, study withdrawal or closing date (31 December 2009). Blood samples and clinical data were collected at baseline and at each scheduled visit, or at the time of relapse. A total of 115 patients were analyzed in the previously included study. In the present study, we analyzed patients for whom FCGR3A genotyping was available, i.e. 111 patients.

2.2 Clinical Data

The CDAI was evaluated at each scheduled visit or at the time of relapse. A relapse was defined by a CDAI above 250 points or between 150 points and 250 points, with a 70-point increase from baseline over 2 consecutive weeks.

2.3 Biological Analyses

Hemoglobin, hematocrit, white blood cell (WBC) count, CRP and platelet count were measured by routine procedures. Blood samples were also collected just before the last infliximab infusion for central measurement of highsensitivity CRP (hsCRP), infliximab trough concentrations and antibodies toward infliximab (ATI) detection. Genotyping of *FCGR3A* was performed as previously described [27]. ATI were analyzed using a double-antigen enzymelinked immunosorbent assay based on their capture by infliximab-coated microplates and their detection by peroxidase-conjugated infliximab. Anti-infliximab antibodies were therefore inconclusive when the serum infliximab level was >1 mg/L, negative when the concentration was <0.07 mg/L and the serum infliximab level was <1 mg/L, and positive when the concentration of antiinfliximab antibodies was >0.07 mg/L.

2.4 Infliximab Concentrations

Concentrations of infliximab were measured using a validated enzyme-linked immunosorbent assay [28]. Briefly, recombinant human TNF α was coated on the solid phase and recognized by infliximab, and the therapeutic antibody was detected by an anti-human IgG Fc γ -specific antibody conjugated to horseradish peroxidase. The limit of detection was 0.014 mg/L, and the lower [LLOQ] and upper limits of quantification [ULOQ] (between-assay accuracy, CV %) were 0.04 mg/L (9.8 %) and 4.5 mg/L (5.3 %), respectively. Sera exceeding the ULOQ were diluted 1:10 or 1:100.

2.5 Missing Data

Baseline hsCRP values were missing in five patients and were replaced by corresponding baseline non-hypersensitive CRP measurements. Pre-inclusion infliximab trough concentrations were missing in six patients (two for whom blood samples were not available, and four who were sampled after infliximab infusion), and their values were replaced by model predictions. ATI were not tested as a covariate because their presence was confirmed in only two patients. Pre-inclusion fecal calprotectin levels and erythrocyte sedimentation rates (ESRs) were missing in 27 (24 %) and 40 (35 %) patients, respectively, and were not analyzed in this study.

2.6 Pharmacokinetic Analysis

2.6.1 Software

Pharmacokinetic data were analyzed by a population approach using the non-linear mixed-effects program MONOLIX 4.2.2 software, which combines the stochastic expectation-maximization (SAEM) algorithm and a Markov Chain Monte Carlo procedure for likelihood maximization [29]. To ensure the best possible convergence, 600 K1 and 200 K2 (where K1 and K2 refer to the SAEM procedure of MONOLIX, known as 'iteration kernels') and simulated annealing were used to impose the convergence of the SAEM algorithm toward the global maximum of the likelihood. Fisher information matrix was computed using stochastic approximation. The objective function, which is the -2Ln likelihood (-2LL), was computed using importance sampling.

2.6.2 Data Below the Quantitation Limit

Because 25.6 % of infliximab concentrations were below the quantitation limit (BQL) (0.04 mg/L), BQL concentrations were interval-censored as being superior to 0 and inferior to 0.04 mg/L. Indeed, censoring BQL data was shown to be the best strategy to provide unbiased parameter estimates in the presence of data containing BQL concentrations [30].

2.6.3 Structural Model Design

Infliximab concentrations were described using compartmental pharmacokinetic models. One and two mamilliary models with first-order distribution constants were tested. Linear and non-linear (Michaelis–Menten) eliminations were also tested. Structural models were compared using Akaike's information criterion (AIC), defined as AIC = OFV + 2 × p, where OFV is the value of the objective function and p is the number of model parameters to estimate. The OFV was $-2 \times \text{ln-likelihood}$ (-2LL). The model with the lowest AIC was selected.

2.6.4 Interindividual Models

The interindividual variability of pharmacokinetic parameters was described using an exponential model: for each parameter, interindividual variance was removed if it could not be properly estimated.

2.6.5 Error Model

Additive, proportional and mixed additive–proportional models were tested. For example, the combined additive–proportional model was implemented as follows: $Y_{\text{O,ij}} = Y_{\text{P,ij}}.(1 + \varepsilon_{\text{prop,ij}}) + \varepsilon_{\text{add,ij}}$ where $Y_{\text{O,ij}}$ and $Y_{\text{P,ij}}$ are observed and predicted *j*th measurements for the *i*th patient, respectively, and $\varepsilon_{\text{prop,ij}}$ and $\varepsilon_{\text{add,ij}}$ are proportional and additive errors, with mean 0 and variances σ_{prop}^2 and σ_{add}^2 , respectively.

2.6.6 Covariates

Covariates for which more than 10 % of values were missing were not assessed in this study. The influence of a binary covariate (CAT) on θ_{TV} was implemented as $\ln(\theta_{\text{TV}}) = \ln(\theta_{\text{CAT}=0}) + \beta_{\text{CAT}=1}$, where $\theta_{\text{CAT}=0}$ is the value of θ for an arbitrary reference category, and $\beta_{\text{CAT}=1}$ is the value of θ_{TV} for the other category. Continuous covariates (COV) were centered on their median, as follows: $\theta_i = \theta_0 \times (COV/med(COV))^{\beta cov}$, where θ_0 is the value of θ for a median subject, β_{COV} quantifies the influence of COV on θ , and med(COV) is the median value of COV in the population.

2.6.7 Model Comparison and Covariate Selection

Interindividual, residual and covariate models were compared using OFV and AIC. From pairs of nested models, the one with the lowest OFV was selected. This was assessed by a likelihood ratio test (LRT) in which the difference in OFV between two models (Δ OFV) is assumed to follow a Chi-square distribution with one degree of freedom. The influence of patient characteristics (covariates) was assessed in two steps:

- Univariate step: The influence of each factor on pharmacokinetic parameters associated with interindividual variability was tested. Covariates were separately included into the base model. Covariates showing a significant influence (p < 0.1) were included in the model (full model).
- *Multivariate step*: A backward stepwise elimination was performed: the covariates of the full model were removed one by one. Covariates whose removal resulted in a statistically significant increase in the OFV (p < 0.01) were retained in the model.

2.6.8 Model Goodness-of-Fit and Evaluation

The goodness-of-fit was assessed for each model by plotting population-predicted (PRED) and individually predicted (IPRED) concentrations versus observed concentrations (DV) and IPRED and DV versus time, and by evaluating the residuals by graphical inspection of population (PWRES) and individual-weighted residual distributions (IWRES), and normalized prediction distribution errors (NPDEs) [31].

2.7 Time-to-Relapse Analysis

Survival analysis was performed considering confirmed relapses as events. Time to relapse was calculated as the delay between the day of infliximab last dose and the day of relapse after infliximab discontinuation. Data censoring has been described previously [26]. If a patient had not relapsed, time progression was censored at closing date. Follow-up was censored in patients with ignored suspicion of relapse, unconfirmed relapse, or two successive missed visits.

The hazard ratios (HRs) were estimated by multivariate Cox proportional hazards regression model. Base model was the multivariable Cox model described previously [26], where factors related to time to relapse were corticosteroid use between 12 and 6 months before baseline (CORT), previous surgical resection (RESEC), male sex (SX), baseline hemoglobin level (HB) \leq 145 g/L, leukocyte count (LC) >6.10⁹ L⁻¹, and Crohn's Disease Endoscopic Index of Severity (CDEIS) >0 [26].

In addition, the influence of baseline hsCRP \geq 5 mg/L, infliximab trough concentration and *FCGR3A*-158V/V genotype, as well as first-order interaction between these three factors, were tested in the multivariate model. The influence of factors was tested using Wald's test. Time-to-relapse curves were estimated using the Kaplan–Meier method. Analysis of time-to-relapse data was made using R 3.0.1 (R Foundation for Statistical Computing, Vienna, Austria).

3 Results

3.1 Patients

The present work used data from a previously published study (NCT00571337) [26]. In the present study, of the 111 eligible patients, 50 relapses were confirmed (Table 1). Because their doses and dosing schedule had remained stable for at least 6 months, these patients were assumed to be at steady state of their infliximab concentrations.

3.2 Pharmacokinetic Analysis

A total of 546 serum samples were available for pharmacokinetic analysis, 150 (25.6 %) being BQL. The best description of infliximab concentrations was obtained using a one-compartment model with volume of distribution (V_d) and first-order elimination rate constant (k_e) . Because early attempts showed that V_d was strongly correlated with body weight ($R^2 > 90$ %), V_d was estimated in liters per kilogram of body weight, and interindividual variance for $V_{\rm d}$ (ω^2_{Vd}) was fixed to 0. Parameters describing a second compartment or a non-linear elimination were not identifiable. The best error model was proportional. Plots of predicted versus observed concentrations showed that the model agreed well with the data (Fig. 1). The PWRES, IWRES and NPDE plots showed that there was no obvious model mis-specification (Fig. 1). The pharmacokinetic parameters were estimated with good accuracy (Table 2). Typical values for $V_{\rm d}$ and $k_{\rm e}$ were 0.087 L/kg and 0.049 day^{-1} , respectively. For a median-weighted (67 kg) patient, $V_{\rm d}$ was therefore 6.1 L.

Since ω_{Vd}^2 was fixed to 0, no covariate was tested on V_d . The influence of the following covariates was tested on k_e using the difference of objective function value (ΔOFV , see Materials and Methods section) between two models: Table 1 Summary of patient characteristics

Characteristics	Value	
Number of patients	111	
Sex, women $[n (\%)]$	47 (42)	
Age, years	31 (25–39)	
Body weight, kg	67 (57–75)	
Surgery [<i>n</i> (%)]	23 (21)	
Corticosteroid treatment $[n (\%)]$	7 (6)	
Hemoglobin, g/dL	13.5 (12.8–14.4)	
Infliximab, mg/L	3.6 (1.7-7.5)	
CDAI	36 (17-60)	
CDEIS	0.7 (0.0-3.0)	
ESR, mm	11 (10–14)	
CRP, mg/L	2.5 (1.6-4.6)	
HsCRP, mg/L	2.2 (0.8-4.8)	
AST, UI	20 (18-24)	
ALT, UI	18 (12–27)	
ATI [n (%)]	2 (2)	
Calprotectine, µg/g	51 (30-302)	
FCGR3A (VV–VF–FF) $[n]$	18-50-43	
Events [<i>n</i> (%)]	50 (45)	

Data are expressed as median (interquartile range) unless specified otherwise

CDAI Crohn's Disease Activity Index, CDEIS Crohn's Disease Endoscopic Index of Severity, ESR erythrocyte sedimentation rate, CRP C-reactive protein, hsCRP high-sensitivity C-reactive protein, AST aspartate aminotransferase, ALT alanine aminotransferase, ATI antibodies toward infliximab

Table 2 Estimated pharmacokinetic parameters

Parameter (unit)	Estimate	RSE (%)
V _d (L/kg)	0.087	4
$k_{\rm e} ({\rm day}^{-1})$	0.049	2
FCGR3A-158V/V on k_e	0.15	33
hsCRP on k_e	0.055	27
ω_{Vd} (%)	_	_
ω_{Ke} (%)	37	7
$\sigma_{ m prop}$ (%)	0.41	4

RSE (%) was obtained as follows: RSE = (estimate/standard error) \times 100

RSE relative standard error, V_d volume of distribution, k_e first-order elimination rate, ω interindividual standard deviation, σ residual standard error, *prop* proportional error, *hsCRP* high-sensitivity C-reactive protein

- COV at inclusion: age, body weight (WT), hemoglobin levels, WBC counts, aspartate aminotransferase (AST) and alanine aminotransferase (ALT), pre-inclusion and hsCRP concentrations.
- discrete covariates: sex (SX) and the FCGR3A genotype (V/V vs. F carriers).



Fig. 1 *Upper:* observed versus population model-predicted (**a**), observed versus individual model-predicted (**b**), and visual predictive checks (**c**) for infliximab concentrations. *Full circles* are infliximab concentration, *solid line* is median prediction and *gray surface* is 5–95 % confidence interval. *Lower:* population (**d**) and individual

The univariate step indicated that k_e was influenced by sex, body weight, hsCRP and the *FCGR3A* genotype. In the final model, k_e increased with hsCRP (Δ OFV = 13.6; p = 0.00018), and was higher in patients with the *FCGR3A*-158V/V genotype than in F carriers (Δ OFV = 8.9; p = 0.0028) [Table 2; Fig. 2]. Elimination half-lives ($t_{1/2}$) of V/V and F-carrier patients were 12.2 and 14.1 days, respectively. In typical F carriers with preinclusion hsCRP values of 2 and 10 mg/L, $t_{1/2}$ was 14.2 and 13.0 days, respectively.

3.3 Time-to-Relapse Analysis

Median time to relapse was 16 months. Base model was the multivariable Cox model previously described [26]. In addition to this model, we tested the influence of infliximab trough concentrations and the *FCGR3A* genotype. Baseline infliximab trough concentration and *FCGR3A*-158V/F genotype were not associated with time to relapse. Baseline hsCRP was associated with time to relapse, with patients with hsCRP \geq 5 mg/L having a higher risk of relapse than those with a lower value [HR associated with hsCRP \geq 5 mg/L (HR_{CRP \geq 5}) = 2.84 (1.84–4.41), p = 0.0000029

(e) residuals vs. population and individual concentration estimations, respectively, and normalized prediction distribution errors vs. Gaussian (f). *PRED* population predicted concentrations, *IPRED* individually predicted concentrations, *DV* observed concentrations, *IWRES* individual weighted residuals, *WRES* weighted residuals

(Table 3)]. In addition, a significant first-order interaction between hsCRP ≥ 5 and the *FCGR3A* genotype was observed regarding time to relapse (p = 0.013): HR_{CRP ≥ 5} was higher for V/V patients than for F carriers (HR_{CRP ≥ 5} = 4.80 and 2.84 for V/V and F carriers, respectively; Table 3). For F carriers, median time to relapse was 6.8 and 26.7 months for CRP ≥ 5 and <5 mg/ L, respectively, and for V/V patients, median time to relapse was 4.9 and was not reached (>33 months) for hsCRP ≥ 5 and <5 mg/L, respectively (Fig. 3).

4 Discussion

This is the first study showing an influence of *FCGR3A*-158V/F polymorphism on the pharmacokinetics of a therapeutic antibody. In our study, infliximab concentrations were satisfactorily described by a one-compartment model, and pharmacokinetic parameters were reliably estimated. In addition, to our knowledge this is the first study where several infliximab concentrations were available to describe the terminal elimination phase of this monoclonal antibody. The observed increase of V_d of infliximab with





Fig. 2 Distributions of typical parameters (*upper*) and random effects (*lower*) vs. covariates hsCRP (*left*) and *FCGR3A* genotype (*right*). The *boxes* represent the 25th, 50th and 95th percentiles, and the *whiskers*

body weight is in agreement with previous studies in other conditions [2, 3, 6, 7].

We observed an increase in infliximab elimination rate with pretreatment CRP concentrations (Table 2; Fig. 2). The effect of CRP levels was primarily shown to influence infliximab concentrations [10, 12], with infliximab trough concentrations at steady-state being inversely correlated with pre-inclusion CRP in patients with RA. We then showed that, in patients with RA, CRP concentrations actually influenced infliximab elimination [19]. Such an influence was never reported in patients with CD. The relationship between pre-inclusion CRP levels and infliximab elimination rate constant may be explained by two mechanisms. First, it can be explained by target-mediated drug disposition (TMDD), a mechanism frequently reported for monoclonal antibodies [32, 33]. Production of TNFa leads to increased CRP concentration, therefore serum CRP may be considered as an indirect marker of TNF α concentration [34–36]. Consequently, in patients

represent the 5th and 95th percentiles. *hsCRP* high-sensitivity C-reactive protein

with substantial inflammation, the relationship between CRP and infliximab elimination rate constant may be explained by an increase in infliximab target-mediated elimination rate constant related to antigenic burden (TNF α levels; Fig. 4), with infliximab being 'consumed' by TNF α . It can also be explained by the infliximab concentration-effect relationship. Indeed, because the patients were at steady state, this influence could also be explained by the fact that higher exposure to infliximab led to lower TNF α and therefore CRP concentrations.

In addition, we observed a higher infliximab elimination rate constant in *FCGR3A*-158V/V patients than in F carriers (0.057 and 0.049 day⁻¹, respectively; Table 2). The *FCGR3A* polymorphism was first found to influence the clinical response to therapeutic antibodies acting, at least in part, by ADCC. The higher affinity of the Fc γ RIIIA V allele than the F allele for the Fc portion of IgGs results in higher NK cell recruitment in V/V patients than in F carriers [13]. This may explain (i) the higher potency of rituximab in inducing ADCC

 Table 3 Hazard ratios for factors measured at inclusion associated with time to relapse

Covariate	HR (95 % CI)	<i>p</i> -value
CORT	2.5 (1.5-4.2)	0.00031
RESEC	0.5 (0.3-0.8)	0.0051
SX (man)	2.1 (1.5-2.9)	0.000016
HB >145 g/L	0.4 (0.3–0.7)	0.00034
$LC > 6.10^9 L^{-1}$	1.6 (1.2–2.2)	0.0045
CDEIS >0	1.4 (1.0-2.0)	0.045
FCGR3A-158V/V	1.3 (0.8–2.0)	0.24
hsCRP \geq 5 mg/L	2.8 (1.8-4.4)	0.0000029
FCGR3A-158V/V: hsCRP	1.7 (1.1–2.6)	0.013

The influence of the first six covariates was found in Louis et al. [26], and the influence of hsCRP \geq 5 mg/L was confirmed in this study. In addition, a first-order interaction between the *FCGR3A* genotype and hsCRP was found; HR associated with hsCRP \geq 5 mg/L was higher in V/V patients than in F carriers (4.8 and 2.8, respectively)

HR hazard ratio, *CI* confidence interval, *CORT* corticosteroid use between 12 and 6 months before baseline, *RESEC* previous surgical resection, *SX* sex, *HB* baseline hemoglobin level, *LC* leukocyte count, *CDEIS* Crohn's Disease Endoscopic Index of Severity, *hsCRP* highsensitivity C-reactive protein

with NK cells from V/V than from F/F donors [20], and (ii) the better clinical response of patients with non-Hodgkin lymphoma treated with rituximab [14, 15] and cancer patients treated with other antibodies acting partly by ADCC [16, 17]. The influence of the *FCGR3A* genotype on infliximab pharmacokinetics may be explained by TMDD (Fig. 4). Indeed, in addition to targeting circulating TNF α , infliximab may opsonize TNF α -expressing cells and recruit cytotoxic (notably NK) cells, this recruitment leading to the lysis of target cells by ADCC [24, 25]. In TMDD models [32, 33], the elimination of drug-target complexes is usually described using a first-order k_e (usually noted as k_{int} , for 'internalization'), which assumes an elimination rate proportional to the amount of antibody-



target complex. Increasing k_{int} would result in an increased antibody target-mediated elimination and therefore in antibody 'total' elimination. Assuming that target-cell ADCC may also be described using a first-order rate (k_{ADCC} ; Fig. 4), the higher infliximab elimination rate in V/V patients than in F-carrier patients may be explained by an increase in NK recruitment and therefore increased k_{ADCC} in V/V patients. Thus, infliximab-induced ADCC may be more potent but also more 'infliximab consuming' in V/V patients than in F-carrier patients.

Even if non-linear elimination was never reported for infliximab, an increase in infliximab clearance (CL) for decreasing doses was reported [37], which suggests an influence of antigenic target, and therefore TMDD kinetics. However, since infliximab elimination was linear, TMDD models could not be assessed. Under the quasi-steady-state equilibrium hypothesis, the non-linear elimination term is written as: $R_{\rm T} \times k_{\rm int} \times C/(K_{\rm D} + C)$, where C is infliximab concentration, $R_{\rm T}$ is the total amount of antigen target, and $K_{\rm D}$ is the dissociation rate constant. This term may be rewritten using a Michaelis-Menten term as follows: $V_{\rm m} \times C/(K_{\rm m} + C)$, with $V_{\rm m} = R_{\rm T} \times k_{\rm int}$, where $V_{\rm m}$ is the maximum elimination rate and $K_{\rm m}$ is the Michaelis constant. For decreasing infliximab concentrations, the influence of this term on elimination increases. Using this model, both CRP and FCGR3A should influence $V_{\rm m}$ and/or $K_{\rm m}$. However, $V_{\rm m}$ and $K_{\rm m}$ cannot be indentified for linear pharmacokinetics; the influence of CRP and *FCGR3A* may be deported on k_e . However, the influence of these covariates on k_e is an approximation of their influence on $V_{\rm m}$ and/or $K_{\rm m}$ because $k_{\rm e}$ is a first-order parameter, unlike $V_{\rm m}$ and $K_{\rm m}$.

In the analysis of time-to-relapse data, we confirmed that high (\geq 5 mg/L) baseline hsCRP levels were associated with an increased risk of relapse (HR 2.5). This may be related to increased infliximab consumption in patients with high inflammation; these patients may therefore be





Fig. 4 Putative target-mediated drug disposition of infliximab in Crohn's disease. *Upper:* interaction between infliximab and serum TNF. *Lower:* interaction between infliximab and TNF expressed at the membrane of inflammatory cells. Because of the higher affinity of the V allotype of Fc γ RIIIA for the Fc portion of IgGs, the k_{ADCC} may be higher in V/V patients than in F carriers, resulting in an increased target-mediated

infliximab elimination. *IFX* infliximab, *TNF* tumor necrosis factor- α , *IFX*-*TNF* infliximab-TNF complex, *NK* natural killer cells, k_{ADCC} infliximabinduced antibody-dependent cell cytotoxicity elimination rate constant, *IgG* immunoglobulin G, k_{deg} elimination rate constant of infliximabcirculating CRP complexes, k_e elimination rate constant of unbound infliximab, *In*(*t*) infliximab influsion

underexposed sooner than patients with low inflammation, leading to a shorter time to relapse.

In addition, we found a significant first-order interaction between FCGR3A and CRP levels, even if no significant influence of FCGR3A on risk of relapse was observed. Indeed, for patients with an hsCRP level >5 mg/L, the risk of relapse was higher for V/V patients than for F carriers (measured by the term of interaction, i.e. $RR_{V/V-F} = 1.7$), whereas this difference was not significant for patients with an hsCRP level <5 mg/L. Therefore, the FCGR3A-158V/V genotype appears to enhance the risk of relapse due to inflammation compared with other genotypes. This appears paradoxical since, in CD, infliximab treatment is more effective [21–23] in V/V patients than in F-carrier patients. However, this finding is consistent with the influence of the genotype in infliximab pharmacokinetics. FCGR3A Patients with high inflammatory disease may present higher antigenic burden than other patients. This implies that infliximab treatment may be more effective but more 'drug consuming' in V/V patients than in F-carrier patients, leading V/V patients to a faster underexposure to infliximab and relapse than F-carrier patients. In other terms, V/V patients may respond better to infliximab treatment than F carriers, but infliximab withdrawal may lead to an increased risk of relapse in V/V, especially in patients with high inflammatory activity. In addition to underexposure to infliximab, this increased risk of relapse might be due to the turnover of TNF α -expressing cells, i.e. neutrophils, monocytes, lymphocytes [38-40]. The turnover of leukocytes, including neutrophils, was previously described using transit Friberg models, where precursor cell (firstorder) proliferation is regulated due to mature cells,

decreasing mature cell amount and resulting in an increase of precursor proliferation and vice versa [41–43]. Assuming this regulation pattern for TNF α -expressing cell regulation, an increased k_{ADCC} in V/V patients compared with F carriers may result in an increased precursor cell proliferation and therefore a shorter delay for TNF α -expressing cell recovery. However, this result should be confirmed in other studies and for other cytolytic therapeutic antibodies acting by ADCC.

Even if our study provided the first descriptions of the elimination phase of infliximab and the influence of the *FCGR3A* genotype on monoclonal antibody pharmacokinetics in a fair number of patients, it has several limitations.

First, the absence of blood samples between baseline and the 14th day after infliximab infusion. Indeed, 14 days after infliximab infusion, the distribution phase was over for all patients (Fig. 1). Therefore, the data may have provided insufficient information to identify the parameters of a peripheral pharmacokinetic compartment. Since infliximab pharmacokinetics were described using two-compartment models in most studies [2, 3, 5–7], our results are difficult to compare with those of other studies. However, the estimated $t_{\frac{1}{2}}$ of infliximab was 14.1 days, which is consistent with these studies. In addition, $k_{\rm e}$ had to be used as a primary pharmacokinetic parameter instead of CL. Indeed, a typical estimate of CL was associated with rather important shrinkage (67 %) and a large correlation between V_d and CL estimates (89 %). Of note, the elimination rate constant (k_e) is not equal to the elimination rate constant (k_{10}) , but equal to the elimination slope (β) which is estimated using a twocompartment model. Therefore, it is not possible to determine precisely which parameters (between elimination and transfer rate constants) are influenced by the *FCGR3A* genotype.

- Second, a strong correlation (R = 0.96 %; data not shown) of body weight with V_d led to an interindividual variance of V_d that was not identifiable and therefore for which no covariate could be tested.
- Third, the presence of ATI was previously reported to increase infliximab CL [2, 3, 5–7, 19]. In this study, the number of patients with ATI (two patients) was too low to analyze the effect of ATI on the infliximab elimination rate constant. Indeed, since ATI + patients are more likely to discontinue treatment [44], these patients may be underrepresented in the present study, in which only patients in long-term sustained remission were included. In addition, because ATI results were nonconclusive for infliximab concentrations >1 mg/L, false negative results may have occured, which may have participed to an underestimation of the porportion of immunized patients. Because the risk of developing ATI may be increased in patients with low concentrations of infliximab, which may have led to an underestimation of the number of patients with ATI and to a bias in the association of the FCGR3A genotype and hsCRP concentration with infliximab elimination. Indeed, hsCRP > 5 mg/L and the V/V genotype were associated with increased infliximab elimination, while it was suggested that low infliximab concentrations increased the risk of developing ATI [44].
- Fourth, unfortunately a full TMDD model (Fig. 4) could not be tested because neither circulating TNFα levels nor cell-expressing TNFα counts were available.
- Finally, infliximab trough concentration before infusion was not associated with time to relapse. One explanation may be that patients from this study were treated for a mean of 2.2 years, and all were in remission; therefore, each patient may have had an infliximab trough concentration already adapted to his/her inflammatory activity.

5 Clinical Implications

This study showed for the first time that inflammation and *FCGR3A* polymorphism influence both infliximab pharmacokinetics and time to relapse in patients with CD; V/V patients treated with infliximab may respond better than F carriers, but infliximab withdrawal in these patients led to decreased infliximab concentrations and an increased risk

of relapse, especially in the presence of high inflammatory activity. It might therefore be useful to account for *FCGR3A* polymorphism in the decision of infliximab withdrawal in these patients.

6 Conclusions

Our study is the first to show that *FCGR3A* polymorphism influences not only the efficacy but also the pharmacokinetics of infliximab. This influence could be due to an alteration of infliximab mediated by inflammatory cells expressing TNF α at their surface. This phenomenon may apply to other therapeutic antibodies acting partly by ADCC (e.g. rituximab, cetuximab, and trastuzumab). Nevertheless, this result needs to be confirmed for the other cytolytic antibodies and should be more precisely quantified using pharmacokinetic–pharmacodynamic studies where target-mediated CL of antibodies can be included in the model.

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Authors' responsibility David Ternant, the corresponding author, confirms full access to all data in the study, and final responsibility.

Authors' contributions David Ternant, Zahir Berkane, Laurence Picon, Valérie Gouilleux-Gruart, Jean-Frédéric Colombel, Matthieu Allez, Edouard Louis, and Gilles Paintaud wrote the manuscript; Edouard Louis and Gilles Paintaud designed the research; David Ternant, Zahir Berkane, and Gilles Paintaud analyzed the data; and Valérie Gouilleux-Gruart performed the analytical part.

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