## **TECHNICAL ARTICLE**



# **A Harmony Search‑Based Wrapper‑Filter Feature Selection Approach for Microstructural Image Classifcation**

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Received: 29 August 2020 / Accepted: 18 December 2020 / Published online: 21 January 2021 © The Minerals, Metals & Materials Society 2021

## **Abstract**

Besides chemical composition, microstructure plays a key role to control the properties of engineering materials. A strong correlation exists between microstructure and many mechanical and physical properties of a metal. It has the utmost importance to understand the microstructure and distinguish the microstructure accurately for the appropriate selection of engineering materials in product fabrication. Computer vision and machine learning play a major role to extract the feature and predict the most probable class of a 7-class microstructural image with a high degree of accuracy. Features contain information about the image, and the classifcation function is defned in terms of features. Feature selection plays an important role in the classifcation problem to improve the classifcation accuracy and also to reduce the computational time by eliminating redundant or non-infuential features. The current research aims at classifying microstructure image datasets by an improved wrapper-flter based feature selection method using texture-based feature descriptor. Before applying the feature selection method, a feature descriptor, called rotational local tetra pattern (RLTrP), is applied to extract the features from the input images. Then, an ensemble of three flter methods is developed by considering the union of the top-n features selected by Chi-square, Fisher score, and Gini impurity-based flter methods. The objective of this ensemble is to combine all possible important features selected by three flter methods which will be used to create an initial population of the wrapper-based meta-heuristic feature selection algorithm called, harmony search (HS). The novelty of this HS method lies in the objective function, which is defined as a function of Pearson correlation coefficient and mutual information to calculate the fitness value. The proposed method not only optimizes features with reduced dimension but also improves the performance of classifcation accuracy of the 7-class microstructural images. Moreover, the proposed HS model has also been compared with some standard optimization algorithms like whale optimization algorithm, particle swarm optimization, and Grey wolf optimization on the present dataset, and in every case, the HS method ensures better agreement between feature selection and classifcation accuracy than the other methods.

**Keywords** Wrapper method · Filter method · Ensemble · Microstructure · Feature selection · Harmony search

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# **Introduction**

Understanding the microstructure of metal is the major concern of material science. The variation of microstructure arises due to irregularly shaped crystals, grain size, the orientation of grain, phase distribution, etc., during thermomechanical processing of materials. The variation of microstructure strongly infuences many mechanical and physical properties of materials like hardness, strength, ductility, tensile strength, elongation, magnetic properties, etc. [\[1](#page-17-0)]. Microstructure characterization with machine learning techniques is an important concept which helps to discover the material properties in material engineering. Many researchers attempt to extract the features from microstructural images using numerous feature descriptors to solve diferent types of microstructural classifcation problems.

The microstructural images of metal can be obtained using light optical microscopy (LOM) or scanning electron microscopy (SEM) after proper processing through a method known as etching using a suitable chemical reagent. LOM is a very common available quantifcation technique for the steel micrograph, and the mixture of color appearances can be used to identify the complex phases. SEM generates images of a specimen by scanning the surface using a focused beam of high-energy electrons. Naturally, SEM does not produce color images, and it is usually represented as a grayscale image. SEM has many advantages over LOM due to its higher resolution, stronger magnifcation, better depth of feld, and ability to the analysis of chemical and structural properties. Due to stronger contrast, SEM is useful to investigate the phase structure and particle. But in LOM many contrasts, color diferences and more distinct views are found which make it an aesthetic approach to metallography. Besides higher resolution and magnifcation in SEM, electron back-scatter difraction (EBSD) is a powerful method to analyze grain morphology, texture evolution during deformation and phase transformations during heating and cooling. EBSD is a robust technique in materials characterization. However, due to some limitations in the EBSD method [[2\]](#page-17-1) microscope images are preferable for microstructure classifcation. Individually, the EBSD method is not selfsufficient for effective microstructure classification. Britz et al. [\[3](#page-17-2)] in their research work have shown that through the correlation of EBSD and LOM, a characterization of the diferent microstructures is possible.

The microstructure images generated from different sources have variation in contrast, brightness, and color or gray-level intensities. Image texture can be defned as a visual pattern of repeated pixels that has some amount of variability in element appearance and relative position of adjacent elements. The analysis based on image texture has been successfully applied in diferent felds of engineering and medical applications [[4–](#page-17-3)[8\]](#page-17-4). Various successful attempts have been made by many researchers in microstructural analysis using textural image analysis.

For cast iron, gray-level co-occurrence matrix (GLCM) and local binary pattern (LBP) feature descriptors are used by Gajalakshmi et al. [\[9](#page-17-5)] to classify the 3-class microstructural images using the support vector machine (SVM). For steels, Webel et al. [[10](#page-17-6)] have analyzed the microstructures using Haralick image texture features, calculated from the stepwise rotation of images to make them rotation variant. They have applied this method to distinguish microstructural images as pearlite, martensitic, and bainite using four texture features obtained from GLCM which are contrast, correlation, homogeneity, and energy. Kitahara et al. [[11\]](#page-17-7) have applied a transfer learning pipeline and unsupervised learning method to successfully classify two datasets of microstructural images of surface defects in steel. Gola et al. [[12\]](#page-17-8) have used rapid miner as a data mining platform with the support vector machine (SVM) to classify the images into three classes namely martensite, pearlite, and bainite. They have proved that the combination of the data mining process and microstructural parameters could be used in the classifcation of two-phase steels. Successful usage of texture-based features in the past has motivated us to explore the same by classifying the microstructural images.

## **Related Work**

The major challenges to implement the feature engineeringbased machine learning algorithms are to handle the high dimensionality of the feature set and to enhance the efficiency of the learning models. The feature selection (FS) method proves to be an efective way to overcome this challenge. The main objective of FS is to reduce the dimension of the features by eliminating redundant or irrelevant features and to improve the prediction performance and achieve cost-efective performance. FS can be treated as a critical preprocessing step before the classifcation task to remove those features that carry no signifcant role to increase the classifcation performance. FS is a challenging task with a larger search space. As the number of features increases, the complexity is heightened due to feature interaction.

Depending on the utilized training data, learning methods, evaluation criterion, search strategies, and type of output, FS methods can be classifed into various categories [[13\]](#page-18-0). FS methods can be divided into supervised, unsupervised, and semi-supervised models based on utilized training data. Based on learning methods, these are divided into flter  $[14]$  $[14]$ , wrapper  $[15]$  $[15]$ , and embedded methods  $[16]$  $[16]$ . Two main factors that infuence the FS process are the search techniques and evaluation criteria. Search techniques examine the entire search space to fnd the optimal feature subsets and evaluation criteria evaluate the importance of feature to accompany the search process.

DeCost et al. [[17](#page-18-4)] have applied visual features as a generic microstructural signature to classify 7-class microstructure images (brass/bronze, ductile cast iron, gray cast iron, hypoeutectoid steel, malleable cast iron, superalloy, and annealing twin) using a SVM model as a classifer. The proposed algorithm has shown to be efective with a fvefold cross-validation accuracy of 83%. Chowdhury et al. [[18\]](#page-18-5) have applied several feature extraction methods based on texture and shape statistics and also use the pretrained convolutional neural network (CNN) to compute feature vector on the microstructural dataset for 2-class classifcation problem. These feature vectors are processed with six diferent techniques that include principle component analysis (PCA), ANOVA F-statistic (ANOVA), Fisher score, Chi-square, and Gini index to reduce the dimension of the feature vector.

Gola et al. [[19\]](#page-18-6) have proposed to use three different types of parameters, namely morphological, textural, and substructural to determine the features for reliable classifcation of microstructural images. In total, they have computed 75 parameters and they have chosen genetic algorithm (GA) as a FS method to reduce the dimension of the parameters. The potential limitation of their research work is that they have attempted to reduce the dimension of parameters maintaining the classifcation accuracy, so no improvement of accuracy after parameter selection has been observed.

A variety of search techniques have been applied by the researchers to fnd the best feature subset from a high-dimensional feature set [\[13\]](#page-18-0). These searching methods include complete search, greedy search, heuristic search, and random search. However, the problem lies in the fact that they consume too much time to fnd the best possible solution and many times getting trapped in local optima. A trade-of is required between heuristic and meta-heuristic methods to find an efficient global search technique to improve the quality of FS problems. Most of the meta-heuristic algorithms have taken inspiration from diferent sources such as GA which is biologically inspired, particle swarm optimization which is swarm-based, and harmony search (HS) which is physics-based. Meta-heuristic works in an iterative manner along with the subordinate heuristics. It is a guided random search technique. It is not starting from a single point rather it starts from multiple points and performs random search and tries to explore the entire search space. It includes the method to avoid getting trapped into local optima and uses search experience intelligently to guide further solutions.

HS is a physics-based meta-heuristic method that provides a problem-independent optimal solution by iteratively examining the entire search space. The HS algorithm is a population-based meta-heuristic algorithm, and it is inspired by the improvisation process of music players. HS algorithm simulates the concept of searching for better harmony in the musical processed. The motivation behind the selection of the HS algorithm in the present work is that it has shown impressive performance in FS in diferent domains [\[20\]](#page-18-7).

Das et al. [\[21\]](#page-18-8) have proposed an algorithm based on HS to reduce the feature set in handwritten Bangla word recognition problem. The HS-based wrapper FS method is capable to reduce the dimension of feature vector from 65 to 48 features, and the proposed algorithm has shown to be efective to enhance the classifcation accuracy when compared to some standard evolutionary algorithms like GA and PSO.

Gholami et al. [[22](#page-18-9)] have proposed a FS method-based HS algorithm with some modifcation to the improvisation step. The algorithm is evaluated using the datasets of 18 benchmark problems from the UC Irvine Machine Learning Repository and demonstrates its ability to reduce the dataset compare with other FS methods. Zainuddin et al. [[23](#page-18-10)] have applied the HS algorithm for FS for epileptic seizure detection and prediction using UCI benchmark datasets, and they have used wavelet neural networks as a classifer. Moayedikia et al. [\[24](#page-18-11)] have proposed a technique named SYMON which uses HS algorithm and symmetrical uncertainty to compute the optimal feature subset for highdimensional imbalanced datasets on especially the microarray datasets. Nekkaa1 and Boughaci [[25\]](#page-18-12) have proposed a hybrid model comprising of HS algorithm and stochastic local search for FS using UCI benchmark datasets

Dash [[26\]](#page-18-13) uses a two-stage FS method for high-dimensional microarray dataset. This method includes a technique that combines HS algorithm and Pareto optimization approach. Adaptive HS algorithm-based gene selection technique is applied in the frst stage to generate the top 100 features and in the second stage multi-criterion, and Pareto optimal solution is applied to fnd out the optimal feature subset. The statistical analysis report shows the superiority of this model as compared to other considered approaches.

Ramos et al. [[27\]](#page-18-14) have used HS algorithm to select a discriminant subset of features with the optimum-path forest classifer for identifying non-technical losses in power distribution systems. Huang et al. [\[28](#page-18-15)] have used a self-adaptive HS (SAHS) algorithm with SVM classifer to locate the optimal feature subsets for music genre classifcation. HS algorithm as FS has also been applied for accurate classifcation of speech emotion using the dataset from the Berlin German emotion database (EMODB) and Chinese Elderly emotion database (EESDB) [\[29](#page-18-16)]. Saha et al. [[30](#page-18-17)] have used an HS algorithm based on cosine similarity and minimalredundancy maximal relevance (mRMR) for FS in facial emotion recognition problems with improvements in overall classifcation accuracy.

# **Motivation and Contributions**

In data mining and machine learning, the features extracted by some means often come up with a huge number of features and among them some are extraneous and correlated features. In this context, the main objective of FS is to fnd out a set of uncorrelated features with reduced dimensionality to be used for the classifcation process under consideration. However, discovering an optimal subset of features that results in maximization of classifcation accuracy is still a less explored area in the domain of microstructural image classifcation. This motivates us to propose a FS method applied to texture-based features extracted from microstructural images.

Regarding the feature vector considered here for classifcation, it is to be noted that there are a few reasons why the proposed method performs better. There are many texture-based feature descriptors found in the literature; among them local binary pattern (LBP) is one of the most popular ones which has been used in varied domains over the years. However, in the basic LBP, to capture local information around a pixel in an image, it only considers the 8 neighboring pixels. On the other hand, local tetra pattern (LTrP), an extension of the basic LBP, does this by considering two layers of the neighboring pixels. That is why LTrP method and its variants can extract better texture information of the input image which, in turn, helps in classifying the input images belonging to diferent classes. However, for achieving better classifcation using this texture-based feature vector through a FS method, we need the most unique and relevant features from the input feature vector. The FS method does that job by fnding the most relevant features out of the high-dimensional input feature vector. Our present work attempts to address these issues. In a nutshell, the main contributions of our work include:

- To the best of our knowledge, this is the frst study that explores texture-based rotation variant LTrP (RLTrP) in the microstructural image classifcation.
- An unsupervised FS technique based on the HS algorithm is introduced to fnd an optimal subset of informative features for improving microstructural image classifcation.
- The initial population of the HS algorithm is created by forming an ensemble of three flter methods, namely Chisquare, Fisher score, and Gini impurity.
- Instead of using a classifer, PCC and MI values are used to estimate the ftness value which boosts up our proposed FS method.
- Experimental results demonstrate that the proposed wrapper-flter method outperforms other standard metaheuristic-based FS methods
- Impressive results are obtained in classifying 7-class microstructural images with signifcantly less number of features.

The remaining section of this paper is presented as follows: ["Preliminaries"](#page-3-0) section presents a brief overview of the concepts of the method used in the work. The proposed model is described in ["Proposed Model](#page-11-0)" section. A brief description of the HS algorithm and the characteristics of the proposed FS method with objective function for improvement are also presented in ["Proposed Model](#page-11-0)" section. The results and discussion are presented in ["Results and Discus](#page-13-0)[sion](#page-13-0)" section. The research study of the literature is concluded in ["Conclusion](#page-16-0)" with the outline of future work.

## <span id="page-3-0"></span>**Preliminaries**

We describe five different feature descriptors in ["Feature](#page-3-1)" [descriptors](#page-3-1)" section, the three flter methods used in our work in "[Dimensionality Reduction"](#page-6-0) section. The PCC and MI used to defne the objective function in the HS algorithm are described in ["Objective Functions](#page-10-0)" section.

## <span id="page-3-1"></span>**Feature Descriptors**

Local variation in intensity is characterized as texture. Image textures are complex visual patterns characterized by shape, size, color, and intensity in an image or selected region of an image. The textures are determined based on the coarseness, fneness, regularity, smoothness, etc. Multiple feature descriptors use the image texture to compute the features of an image.

#### **Gray‑Level Co‑occurrence Matrices (GLCM)**

Haralick [[31](#page-18-18)] proposed a set of 2D square matrices known as GLCM, a matrix that counts the directional diferences between intensities of the neighboring pixels in the image. It is also termed as co-occurrence distribution. From the GLCM, the texture measures are computed and these texture measures imply the variation of intensity at the pixel of interest. Haralick features are computed employing GLCM, which are second-order statistical measures. The co-occurrence matrix with dimension  $X_K \times X_K$  as follows:

$$
\begin{bmatrix}\ns(1,1) & s(1,2) & s(1,3) & s(1,X_K) \\
s(2,1) & s(2,2) & s(2,3) & s(2,X_K) \\
\vdots & \vdots & \ddots & \vdots \\
s(X_K,1) & \cdots & \cdots & s(X_K,X_K)\n\end{bmatrix}
$$

 $X_K$  implies the number of gray levels in the image. GLCM can be computed at any angle and at any offset. Each element [i, j] of this co-occurrence matrix is determined by the sum of the number of times with value i is adjacent to a pixel with value j.

#### **Scale Invariant Feature Transform (SIFT)**

Lowe [[32\]](#page-18-19) created an excellent algorithm known as SIFT to extract invariant features from images. This algorithm is attractive for fnding interest points in an image. The prime characteristics of this algorithm are that the features are invariant to image scale and rotation, and it contributes a potent matching across a range of afne distortion, the addition of noise, and variation in illumination. The procedure to generate the set of image features is a multi-step pipeline process that involves scale-space extrema detection, keypoint localization, orientation assignment, and keypoint descriptor.

In the frst stage, a scale space is created using an approximation based on diference of Gaussian (DoG) technique. DoG images are formed using the Gaussian blur operator at each octave of the scale space. The interest points that are invariant to scale and orientation are searched over all scales and image locations. In the keypoint localization step, each pixel in the DoG image is compared to its neighboring pixels. Keypoints are selected based on the pixel that is local maximums or minimums. All the low-contrast points are excluded from the fnal set of keypoints. In the next step, one or more orientations are assigned based upon gradient histogram technique to each keypoint to make it rotation invariant. Peaks in the histogram are considered as the dominant orientations. In the fnal step, the computation of the feature descriptor for each keypoint is performed. The resulting feature vector consists of 128 orientation histograms.

#### **Oriented FAST and Rotated BRIEF (ORB)**

ORB [[33](#page-18-20)] is built as a combination of FAST and BRIEF techniques as a very fast binary descriptor for feature extraction. ORB produces an optimized mix-and-match result of FAST and rotated BRIEF. Both FAST and BRIEF are wellknown feature descriptors because of their good performance and low cost. Multi-scale feature-based scale pyramid of the image is used in the ORB algorithm. At each scale of the image, pyramid FAST and rotated BRIEF are applied. Once ORB has created a pyramid, FAST feature detection algorithm uses the corner detection mechanism to detect the keypoints. For detecting intensity change, the corner orientation is followed by intensity centroids using Rosin's method [\[34](#page-18-21)]. The FAST, Harris corner measures, and Rosin method are used at each scale of an image pyramid.

To search the top-n points with the strongest FAST responses, Harris corner measures are applied on the keypoints at each level. The center of gravity of an image is computed with moments to improve the rotation invariance property of this method. For correspondence search, ORB uses multi-probe locally sensitive hashing (MP-LSH), which searches for matches in neighboring buckets when a match fails.

#### **Local Binary Patterns (LBP)**

Ojala et al. in their paper [[35\]](#page-18-22) have frst introduced a texture-based feature operator called LBP. It is quite efective in encapsulating texture information without any costly computation.

The original description can be found in [[35\]](#page-18-22). Let there are  $N_s$  gray pixels surrounding a center pixel at  $(X_{cen}, Y_{cen})$  having a radius of  $R_{LBP}$  unit. Therefore, *l*th pixel position can be easily calculated from Eq. ([1](#page-4-0)).

$$
(X_t, Y_t) = \left(X_{cen} + R_{LBP} \cos\left(\frac{2\pi l}{N_s}\right), Y_{cen} - R_{LBP} \sin\left(\frac{2\pi l}{N_s}\right)\right)
$$

$$
\left[1 \in \{0, 1, \dots, N_s - 1\}\right]
$$

$$
(1)
$$

<span id="page-4-0"></span>For center pixel and all  $N_s$  surrounding pixels, the grayscale intensity can be represented as  $I_c, I_0, I_1, \ldots, I_{N-1}$ , respectively. According to [\[36](#page-18-23)], we can defne a binary pattern for every possible center pixel with the help of its all  $N_s$ neighboring pixels' grayscale intensity. We can defne the binary pattern as follows:

$$
Bin_{Feature} = \left\{ F_1(I_0, I_c), F_1(I_1, I_c), \dots F_1(I_{N_p-1}, I_c) \right\}
$$
 (2)

where

<span id="page-4-1"></span>
$$
F_1(x) = \begin{cases} 1, & \text{if } x \ge 0 \\ 0, & \text{if } x < 0 \end{cases}
$$
 (3)

We can obtain the decimal equivalent of the binary pattern in the following way

$$
LBP_{function(N_s, R_{LBP})}(I_c, I_1, \dots, I_{N_s-1}) = \sum_{k=0}^{N_s-1} F_1(I_k - I_c) 2^k
$$
\n(4)

For  $R_{LBP} = 1$ ,  $N_s$  becomes 8. Therefore, for  $R_{LBP} = 1$  and $N_s = 8$ . *Bin<sub>Feature</sub>* becomes an 8-bit binary pattern, or its decimal equivalent ranges from 0 to 255. Generally, the histogram containing the frequency of occurrence of all these decimal values is used as a feature.

#### **Implementation of LBP**

For implementation purpose, we take a value of  $R_{LBP} = 1$ and  $N_s = 8$ , so we get an image segment of  $3 \times 3$  to compute a 8-bit binary pattern for each pixel considering all its 8 neighbors. Consider the following example given in Fig. [1,](#page-5-0) where value of  $I_c = 83$  i.e., the grayscale intensity; all 8 neighbors' grayscale values are also shown in Fig. [1](#page-5-0). For each neighboring pixel, a value of 0 or 1 is assigned depending on Eq. [3](#page-4-1). Ultimately, for each pixel considering its all 8 neighbors, we end up with an 8-bit binary code, which in turn is converted into its decimal value. This 3x3 grayscale image segment gives us the binary pattern 11100011, and this binary pattern gives a decimal value of 227.

#### <span id="page-4-2"></span>**Rotational Variant LBP**

The binary pattern obtained from LBP often contains unnecessary details. Therefore, often rotational variant LBP (RLBP) is used to get rid of the unnecessary information.



<span id="page-5-0"></span>**Fig. 1** Illustration of LBP calculation process for a 3 x 3 gray image window, where  $N_s = 8$ , and  $R_{LBP} = 1$ . **a** Image segment with actual grayscale intensity values, **b** image segment with grayscale values being replaced with binary value. The binary pattern is generated according to clockwise notation shown in the diagram. The binary pattern=11,110,001. Here, 1 represents neighboring pixel intensity is greater than center pixel value, 0 vice versa

expensive and it is immune to diferent lighting efects due to the use of the  $F_1$  for binary pattern generation. But there are few drawbacks, as it uses the frst layer of surrounding pixels to generate the binary pattern; hence, it has a huge chance of missing out key features of center pixel locality. It can be overcome by using a rather deeper layer of surrounding pixels to generate features. Murala et al. proposed LTrP as texture-based operators in [[36](#page-18-23)] to overcome the limitations of LBP. They have adopted the idea of diferent local patterns such as LBP [\[35](#page-18-22)], local ternary pattern (LTP) [\[37](#page-18-24)], and LDP [[38\]](#page-18-25) to defne LTrP. The description of the feature is as follows:

Given Im, an image segment, we take the frst order derivative along 0° and 90°. We

denote first-order derivative by,  $\text{Im}_{\alpha}^{1}(I_c)|\alpha = 0^{\circ}, 90^{\circ}.$ 

$$
\text{Im}_{(0^{\circ})}^{1} = I_{(0^{\circ})} - I_{c}
$$
 (6)

$$
\text{Im}_{90^{\circ}}^{1} = I_{90^{\circ}} - I_{c} \tag{7}
$$

Here  $I_{0}$ <sup>o</sup> and  $I_{90}$ <sup>o</sup> are actually the grayscale intensity

$$
RotLBP_{(N_s-1)}(I_c, I_1, \dots, I_{N_s-1}) = \min\{Rotate(Bin_{feature}, i | 0 \le i \le N_s - 1)\}\
$$
\n(5)

For  $R_{LBP} = 1$  *and*  $N_s = 8$ , total possible unique values that can be obtained are 36.

In [[35\]](#page-18-22), RLBP is obtained by rotating the binary pattern to

The binary pattern obtained in Fig. [1](#page-5-0) can be left-rotated with continuation to form the minimum possible decimal value.

 $(11,100,011)_2$  = 227  $(00,111,110)_2$  = 062  $(11,000,111)_{2}$  = 199  $(01,111,100)_{2}$  = 124  $(10,001,111)_2$ =143  $(01,111,100)_2$ =248  $(00,011,111)_2$ =031 (11,110,001)<sub>2</sub>=241 Min Binary Pattern:  $(00, 011, 111)_{2} = 031$ 

#### **Local Tetra Pattern (LTrP)**

obtain the least decimal value.

There are various reasons for which LBP has been widely used as a texture-based feature. It is computationally less of the pixels aligned with the center pixel at 0° and 90° respectively.

From the value of derivatives, the direction of the center pixel can be considered by the following function:

<span id="page-5-1"></span>*Direction*<sup>1</sup>(I<sub>c</sub>) =   
\n
$$
\begin{cases}\n1, \text{ if } I_0^1 \ge 0, I_{90}^1 \ge 0 \\
2, \text{ if } I_0^1 < 0, I_{90}^1 \ge 0 \\
3, \text{ if } I_0^1 < 0, I_{90}^1 < 0 \\
4, \text{ if } I_0^1 \ge 0, I_{90}^1 < 0\n\end{cases}
$$
\n(8)

<span id="page-5-3"></span><span id="page-5-2"></span>As there are two possible  $I_0$ <sup>o</sup> pixels for a center pixel, and same for  $I_{90°}$ , for each center pixel, we can have four distinct directions considering all possible combinations. These directions are used for encoding the image segment into a tetra pattern, by defning second-order LTrP, in the following way:

$$
LTrP^{2}(I_{c}) =
$$
\n
$$
\begin{Bmatrix}\nF_{2}(Direction^{1}(I_{c}), Direction^{1}(I_{0})), F_{2}(Direction^{1}(I_{c}), Direction^{1}(I_{1})), \dots, \dots, \\
F_{2}(Direction^{1}(I_{c}), Direction^{1}(I_{N_{s}-1}))\n\end{Bmatrix} (9)
$$

$$
F_2(Direction^1(I_c), Direction^1(I_l)) = \begin{cases} 0, & \text{if Direction}^1(I_c) = Direction^1(I_l) \\ Direction^1(I_l), & \text{else} \end{cases}
$$
(10)

Therefore, we get an 8-bit tetra pattern  $LTrP^2(I_c)$ ; this tetra pattern can in turn generate three 8-bit binary patterns. We can get the three binary patterns in the following way.

Let  $Direction<sup>1</sup>(G<sub>c</sub>) = 4$ ,

$$
BinLTrP_{Direction} = F_3(LTrP^2(I_c)[l][l=0,1,\ldots,N_s-1)|_{Direction=1,2,3}
$$
\n(11)

$$
F_3(x)|_{Direction=\mu} = \begin{cases} 1, & \text{if } x = \mu \\ 0, & \text{if } x \neq \mu \end{cases}
$$
 (12)

As each center pixel can have four unique directions,  $4 \times 3 = 12$  binary patterns can be attained from Eq. ([11](#page-6-1)). Murala et al. in [[36\]](#page-18-23) also suggested 13 binary patterns based on the magnitude of the local diference operator.

$$
M_{I_l} = \sqrt{\text{Im}_{0^\circ}^1 (I_l)^2 + \text{Im}_{90^\circ}^1 (\text{Im}_l)^2}
$$
 (13)

Therefore, thirteenth binary pattern can be defned as follows:

$$
Bin_{13} = \{ F_1 \big( M_l - M_{l_c} \big) | l = 0, 1, \dots, 7 \}
$$
 (14)

#### **Rotational Variant Local Tetra Pattern: (RLTrP)**

The method of ["Rotational Variant LBP"](#page-4-2) section can be incorporated into each of the thirteen binary patterns of LTrP to obtain RLTrP.

#### **Implementation of Proposed Feature Descriptor**

For feature extraction, we have used the grayscale image; therefore, all the input color images are converted into grayscale image before feature extraction algorithm takes place. Main characteristic of LTrP feature extraction procedure is that, unlike LBP, we do not generate the tetra patterns solely based on grayscale intensity; rather, we take the direction of a pixel, with respect to the horizontal and



vertical neighboring pixels. The direction is given by Eq. [8.](#page-5-1) Figure [2](#page-6-2) describes all four directions possible from Eq. [8.](#page-5-1) For all other 8 neighboring pixels, we have calculated their corresponding directions with respect to the center pixel. Hence, for generating tetra pattern for each pixel, we take a  $5 \times 5$  window. We have taken one example where center pixel direction is assumed to be 1.

<span id="page-6-3"></span><span id="page-6-1"></span>In Fig. [3,](#page-7-0) red block indicates the center pixel and the blue boxes indicate the neighboring pixel of concern. All the directions of the neighboring pixels can be calculated using Eq. [8](#page-5-1). The directions are  $4, 3, 1, 3, 1, 2, 3$ , and 1 from top left to right and bottom left to right. The tetra pattern can be generated using Eqs. [9](#page-5-2) and [10](#page-5-3) using these directions.

Tetra pattern: 4 3 0 3 0 2 3 0

<span id="page-6-4"></span>This tetra pattern is used to generate 3 binary patterns using Eqs. [11](#page-6-1) and [12](#page-6-3).

Binary pattern 1: 1 0 0 0 0 0 0 0 l Dir = 4 Binary pattern 2:  $0 1 0 1 0 0 1 0 1 0$   $\text{Dir} = 3$ 

Binary pattern 3:  $00000100$  | Dir = 2

<span id="page-6-5"></span>This process will repeat for all other three possible directions of the center pixel in total giving 12 binary patterns. Thirteenth pattern is generated using magnitude of diference for any one sequence using Eqs. [13](#page-6-4) and [14](#page-6-5).

The magnitude difference of center pixel is :  $(8-4)^2 + (9-4)^2 = 6$ 

The corresponding magnitudes are, respectively,  $\sqrt{(5-7)^2 + (9-7)^2} = 2.8$ ,  $\sqrt{(3-9)^2 + (1-9)^2} = 10$ ,<br> $\sqrt{(9-1)^2 + (5-1)^2} = 8.0$ ,  $\sqrt{(1-8)^2 + (2-8)^2} = 9.2$  $\sqrt{(9-1)^2 + (5-1)^2} = 8.9$ ,  $\sqrt{(1-8)^2 + (2-8)^2}$  $\sqrt{(9-1)^2 + (5-1)^2} = 8.9$ ,  $\sqrt{(1-8)^2 + (2-8)^2} = 9.2$ ,<br>  $\sqrt{(8-2)^2 + (9-2)^2} = 9.2$ ,  $\sqrt{(4-3)^2 + (2-3)^2} = 1.4$ ,<br>  $\sqrt{(3-8)^2 + (3-8)^2} = 7$ ,  $\sqrt{(7-3)^2 + (4-3)^2} = 6$  $\sqrt{(3-8)^2 + (3-8)^2} = 7, \sqrt{(7-3)^2 + (4-3)^2} = 6.$ 

Therefore, binary pattern generated by these magnitudes is : 1 1 1 1 0 1 0

Rotational variant is used for all these binary patterns to generate the least decimal values which are used to calculate the histogram for the given image, which are fed to a machine learning model.

In Fig. [4](#page-8-0), we have generated 13 LTrP rotational variant images. For each image, we have replaced the actual pixel intensity with the rotational variant decimal value of LTrP code for a particular center pixel.

In Fig. [5](#page-9-0), the proposed feature extraction method is explained visually. At the frst step, each image is converted into a grayscale image, which is then transformed into the 13 LTrP images. Each image is then used to generate frequency density histogram of the pixel values in the range of 0–255. The rotational variant is used to generate fnal features.

#### <span id="page-6-0"></span>**Dimensionality Reduction**

<span id="page-6-2"></span>The above-mentioned feature descriptors are used to classify the microstructure images. More number of features generally imply better information and better discriminative **Fig. 2** Visualizing all four directions generated from Eq. [8](#page-5-1) capability. However, due to the presence of some irrelevant,





5

 $\overline{2}$ 

 $\overline{Q}$ 

 $\mathbf{1}$ 

 $\mathbf{1}$ 

 $\overline{8}$ 

 $\overline{2}$ 

 $\overline{2}$ 

4 3

7 9

3

8

 $\overline{2}$ 3

6

 $\overline{2}$ 

3

 $\mathbf{1}$ 



 $\overline{2}$ 

6

 $\overline{c}$ 

 $\overline{3}$ 

 $\mathbf{1}$ 

5

7

3

8

 $\overline{2}$ 

3

 $\overline{Q}$ 

 $\overline{4}$ 

3

3

9

1

8

 $\overline{2}$ 

 $\overline{2}$ 

 $\mathbf{1}$ 

5

 $\overline{2}$ 

9

 $\mathbf{1}$ 







$\overline{2}$	5	3	9		
6		9		5	
$\overline{2}$			8	$\overline{\mathbf{c}}$	
$\overline{\mathbf{3}}$	8	3	$\overline{2}$	9	
	$\overline{2}$	3	$\overline{2}$		

<span id="page-7-0"></span>**Fig. 3** Illustration of tetra pattern generation. Here, red block is used to indicate the center pixel and blue block is used to indicate neighboring pixel

noisy, and redundant features, the performance of the classifer is usually degraded. Therefore, to maintain or improve the classifer accuracy it becomes necessary to apply FS techniques to avoid the curse of dimensionality. In stage 1, an ensemble of flter methods is developed by considering the union of the top-ranked features of Chi-square, Fisher score, and Gini impurity. A brief description of these flters is given below.

## **Chi‑Square**

Chi-square  $(\chi^2)$  [[39\]](#page-18-26) is very useful in FS which tests the statistically signifcant relationship or contingency between the features. The Chi-square statistical test of independence is a method appropriate for testing independence between two categorical variables. The null hypothesis of this statistical test implies that there is no diference between the categorical variables. The computation of  $\chi^2$  value is straightforward and is given by

$$
\chi^2 = \sum_{i=1}^r \sum_{j=1}^c \frac{\left(f_{ij} - e_{ij}\right)^2}{e_{ij}}
$$
(15)

where r denotes the number of distinct values in the features,  $c$  is the number of distinct values in of the classes.  $f_{ii}$ is the observed value, and  $e_{ii}$  is the expected value. A higher value of  $\chi^2$  indicates that there is no relationship between the features and features with the best Chi-square scores are selected as informative features

## **Fisher Score**

Fisher score [\[40\]](#page-18-27) is one of the most acceptable similaritybased supervised flter methods. It selects each feature independently based on their score under the Fisher criterion. Fisher score is the ratio of class variance to within-class variance to evaluate feature importance. Fisher score of i<sup>th</sup> feature is computed as



<span id="page-8-0"></span>**Fig. 4** Original sample color micrograph of one gray cast iron, its grayscale image and all 13 images generated by our proposed feature extraction method



(b) Feature extraction from transformed images

<span id="page-9-0"></span>**Fig. 5 a**–**b**. Pictorial representation of the proposed feature extraction method

$$
F_i = \frac{\sum_{j=1}^{c} n_j * (u_j - u)^2}{\sum_{j=1}^{c} n_j * \sigma_j^2}
$$
 (16)

where  $u_j$  and  $\sigma_j$  represent the mean and standard deviation of j<sup>th</sup> class, respectively, corresponding to the i<sup>th</sup> feature, and *u* represents the mean of all classes. After evaluation of the Fisher score of each independent feature, the top-n ranked features with the largest score are selected as a suboptimal subset of features.

#### **Gini Index**

Gini impurity or Gini index is a popular metric that is used in CART (classifcation and regression tree) and decision tree algorithm for FS [\[41](#page-18-28)]. It is an assessment of the possibility of incorrect classifcation of a randomly chosen element from the dataset conforming to the distribution of labels in the subset. It is computationally efficient and takes a shorter time for execution. Mathematically, Gini impurity for a set of items with n classes can be computed as

$$
G(s) = \sum_{i=1}^{n} p_i * (1 - p_i)
$$
 (17)

where  $p_i$  be the probability of choosing a sample of a certain classifcation labeled with i. Gini impurity lower bounded by 0 implies that this an ideal split that corresponds to all the members of the set attached to the same class, i.e. the class is perfectly separated. The opposite is true when members of the set are randomly distributed across diferent classes.

#### <span id="page-10-0"></span>**Objective Function**

For FS purpose, an agent which is kept in the memory is treated as a harmony. The accuracy of the proposed HS method is dependent on the objective function which is determined by the computation using PCC and MI. A brief description of these two methods is given below.

#### **Pearson Correlation Coefficient (PCC)**

In statistics, PCC measures the linear correlation between variables X and Y. It has a value between  $-1$  and  $+1$ . PCC is often represented as  $r_{xy}$ . We can obtain the value of  $r_{xy}$  by the following formula:

$$
r_{xy} = \frac{\Sigma_{i=1}^{n} (x_i - \bar{x}) (y_i - \bar{y})}{\sqrt{\Sigma_{i=1}^{n} (x_i - \bar{x})^2} \sqrt{\Sigma_{i=1}^{n} (y_i - \bar{y})^2}}
$$
(18)

where  $r_{xy}$  is the PCC value,  $x_i$  and  $y_i$  represent i<sup>th</sup> sample in X and Y, respectively, and n is the total number of samples.

For the FS problem, the features are defned as:

$$
\text{Feature} = \begin{bmatrix} x_1^1 & x_2^1 & \dots & x_N^1 \\ x_1^2 & x_2^2 & \dots & x_{N_{Feature}}^2 \\ \vdots & \vdots & & \vdots \\ x_1^{N_{instance}} & x_2^{N_{instance}} & x_{N_{feature}}^{N_{instance}} \end{bmatrix} \tag{19}
$$

where  $N_{instance}$  is total number of instances in the feature set.

$$
\text{Class} = \begin{bmatrix} y^1 \\ y^2 \\ \vdots \\ y^{N_{\text{instance}}}\end{bmatrix} \tag{20}
$$

We define,

$$
\text{PCC}_{feature} = \left[ r_{xy}^1 \ r_{xy}^2 \ \dots \ r_{xy}^{N_{Feature}} \right] \tag{21}
$$

where  $r_{xy}^i$  is PCC value calculated from Feature(:, k)and Class,  $k \in \{1, 2, ..., N_{instance}\}.$ 

Hence,

$$
pcc(h_i) = \frac{\sum_{k=1}^{N_{Feature}} PCC_{feature}(k) * h_i^k}{\sum_{k=1}^{N_{Feature}} h_i^k}
$$
(22)

#### **Mutual Information (MI)**

MI is a measure between two (possibly multi-dimensional) random variables X and Y that quantifes the amount of information obtained about one random variable, through the other random variable. MI is given by

$$
I(X; Y) = \sum_{x \in X, y \in Y} P_{xy}(x, y) \log \frac{P_{xy}(x, y)}{P_x(x)P_y(y)}
$$
(23)

where  $P_{xy}(x, y)$  is the joint probability density function of X and Y and  $P_x(x)$  and  $P_y(y)$  are the marginal density functions. MI determines how similar the joint distribution  $P_{xy}(x, y)$  is to the products of the factored marginal distributions. If X and Y are completely unrelated, then  $P_{xy}(x, y)$  would equal  $P_r(x)P_v(y)$ , and this integral would be zero. We define.

 $MI_{feature} = \left[ I(Feature(:, 1); Class) I(Feature(:, 1); Class) ... I(Feature(:, 1); Class) \right]$ 

Hence,

$$
mi(h_i) = \frac{\sum_{k=1}^{N_{Feature}} \mathbf{MI}_{feature}(k) * h_i^k}{\sum_{k=1}^{N_{Feature}} h_i^k}
$$
(24)

## **Classifer**

Three diferent types of classifers, namely SVM, random forest (RF), and K-nearest neighbor (KNN), are used to determine the classifcation accuracy of the optimal feature subsets obtained by the proposed HA algorithm-based FS process. It is to be noted that the proposed one is an unsupervised FS method, so it has not used any classifer for performance evaluation of the feature subsets. We evaluate the optimal feature subset using SVM classifer where the nonlinearity is introduced by the polynomial kernel for transforming data points to a higher-dimensional feature space.

KNN is another classifer (nonparametric algorithm) used in this paper. It is also called a lazy learner algorithm or an instance-based classifer because in the training method it does not learn from the training set immediately; instead, it saves the training examples. At the time of classifcation, it finds the K training examples  $(x_1, y_1), (x_2, y_2), \ldots, (x_k, y_k)$ that are closed to the test example x and predicts the most frequent class among those  $y_i$ 's that is much similar to the new data.

RF is an ensemble of tree-based supervised learning algorithms used in this work. RF is constructed from decision trees on randomly selected data samples. The intention of the RF is to minimize the variance of the decision trees and produce better results in the fnal class of prediction by means of voting among decision trees. The number of trees used in the forest for the present work is 500.

# <span id="page-11-0"></span>**Proposed Model**

Multiple feature descriptors, namely basic LBP, RLTrP, GLCM, ORB, and SIFT, are used to extract the features from the microstructural images. The features estimated from these fve diferent feature descriptors are individually trained with SVM, RF, and KNN classifers to obtain the classifcation accuracy, and the results are compared to judge best among the five feature descriptors that produce the highest classifcation accuracy by the majority of the classifers. Finally, this feature set has opted for FS using the proposed wrapper-flter-based HA algorithm-based FS method.

From the literature survey about FS methods, it can be observed that the general trend of the researchers in different areas is to use the wrapper-flter combination as it ensures a good trade-off between both filter and wrapper methods. Going by this trend, the proposed work introduces a wrapper-flter FS method for the classifcation of microstructure images. Initially, it forms an ensemble of three flter methods for fnding informative features and setting up as an input to the wrapper method for further improvement in terms of dimension reduction and enhancement of classifcation accuracy. As a wrapper method, a meta-heuristic method called the HS algorithm is applied to get the minimal subset of features with maximal classifcation accuracy.

#### **Feature Selection Using Filter Method**

A wide variety of flter methods may be used for ranking of features. However, each of the methods has not shown equally efficient results for a definite feature set. To make stage 1 more efficient and robust, a combination of three flter methods belonging to distinct categories, namely Chisquare (statistics-based), Fisher score (entropy-based), and Gini impurity (similarity-based), is used. The *union* operation is performed for combining the top-ranked features of each of the three flter methods, thereby forming the ensemble of flter methods to obtain important features. The main purpose of this ensemble is to retain the important features that may get missed by any individual flter method.

The approach that followed in this research work is schematically represented in Fig. [6.](#page-12-0)

#### **Feature Selection Using Harmony Search**

Geem and Kim et al. [[42\]](#page-18-29) introduced a meta-heuristic optimization algorithm called HS algorithm. This method took inspiration from musical harmony, a way to determine the merit of musical performance. Musical harmony is a combination of sounds considered pleasing for an aesthetic point of view. Music performance seeks a great state (best possible harmony) determined by aesthetic estimation, while optimizing problem seeks the best state, determined by an objective function.

As described in [[42](#page-18-29)], the HS algorithm has the following steps:

Step 1: initialize the problem and its parameters.

For FS purposes, we determine an agent as a harmony, which is to be stored in HM. A chromosome is a vector consists of 1 s and 0 s, of length  $N_{Feature}$ . It is to be noted that 1 at any index represents the selection of the corresponding feature at that index, and 0 represents reverse. Population is the collection of individual features and here each individual is a harmony. Population size is number of harmony present in the population. The ftness of the agent is determined by the objective function described in ["Objective function](#page-10-0)" section.

Step 2: Initialize HM.

HM is designed as follows,



<span id="page-12-0"></span>**Fig. 6** Block diagram of the proposed wrapper-flter-based feature selection method used for classifying microstructural images

$$
HM = \begin{bmatrix} h_1 \\ h_2 \\ \vdots \\ h_{N_{harmony}} \end{bmatrix} \quad [N_{harmony} \text{ is total number of harmony in HM}]
$$

A harmony can be described as follows,

$$
h_i = \left\{ h_i^1 \ h_i^2 \ \dots \ h_i^{N_{feature}} \right\} \tag{26}
$$

Initially, the HM is flled with random harmonies and the HM is sorted according to the scores of the harmonies generated by the objective function.

Step 3: Improvise a new harmony from HM.

In the improvising procedure, a new harmony is generated by selecting the value of a random harmony from the HM, for each of the  $j \in \{1, 2, \ldots, N_{Feature}\}$  and constructing the harmony in the following way:

$$
h_{improvised} = \left[ h_{rand_1}^1 h_{rand_2}^2 \dots h_{rand_{Neature}}^{N_{Feature}} \right]
$$
 (27)

where *rand<sub>j</sub>* is the index of the randomly chosen harmony from HM for  $j \in \{1, 2, \ldots, N_{Feature}\}$ .

Step 4: Update HM

The ftness value is calculated using the objective function for  $h_{improvised}$ . If  $h_{improvised}$  overperforms than  $h_{N_{harmonic}}$ (which is the least performing harmony), then  $h_{N_{harmonic}}$  is replaced with *himprovised*. HM is kept sorted according to the ftness value calculated by the objective function.

Step 5: Termination

Until the stopping criterion is met for this process, the method continues from **Step 3.**

Objective Function:

The objective function is defned as follows,

$$
F_{objective}(h_i) = pcc(h_i) * mi(h_i)
$$
\n(28)

As described in (28),  $F_{objective}(h_i)$  consists of two parts,  $pcc(h_i)$ &*mi*( $h_i$ ).

## <span id="page-13-0"></span>**Results and Discussion**

For the implementation of the proposed method, MATLAB 2018b programming platform has been used. The hardware used for experimentation are Intel® 3rd Generation Core™ i7 3570 processor with 16 GB RAM. Source code of the feature extraction and FS methods can be found at the GitHub links provided in [[43](#page-18-30)] and [\[44](#page-18-31)].

## **Description of the Dataset**

The micrographs are collected from the publicly available Cambridge Dissemination of IT for the Promotion of Materials Science (DoITPoMS) micrograph library [[45](#page-18-32)]. Some sample images used in the classifcation are shown in Fig. [7.](#page-14-0) The image dataset consists of micrographs from seven diferent categories, namely *annealing twin, brass/bronze, ductile cast iron, gray cast iron, malleable cast iron, nickel-based superalloy,* and *white cast iron*. Each of the original images is cropped into 16 number of square images of size 128x128 pixel. To avoid confict in the feature extraction process, the cropped images with scale bars are manually excluded from the dataset. Finally, a total of 1201 number of cropped are used in the classifcation process. The details of the micrograph are listed in Table [1.](#page-15-0) No fltering process or noise cancelation process is applied to the image dataset for the preprocessing of the image.

This section demonstrates the results of classifying the 7-class microstructural images using the proposed wrapperflter-based FS method where texture-based features are given as input. Initially, the features of images are extracted using fve diferent feature descriptors (LBP, RLTrP, GLCM, ORB, and SIFT) and the classifcation task is performed by three diferent classifers such as SVM, RF, and KNN. After comparing the test accuracy, the best feature descriptor is selected for the wrapper-flter FS process. In this classifcation task, 80% of the image samples are randomly chosen as training data and the rest 20% as testing data. To fnd out the most informative features in stage 1, an ensemble of the top-ranked features from the three flter methods (Chisquare, Fisher, and Gini impurity) is used which is created by forming a union of top-n % features. The objective of this union operation is to combine the best n-features and to avoid feeding less informative features for FS at stage 2 using the HS algorithm. The success of the HS algorithm in microstructural classifcation is shown in Table [4.](#page-16-1)

The performance comparison of classifcation models for five feature descriptors is presented in Table [2](#page-15-1). The results obtained by comparing feature descriptor and classifer combinations indicate that RLTrP has achieved the highest classifcation accuracy of 93.57%, 88.11%, and 87.35% using SVM, KNN, and RF classifers, respectively, among others with 468 features. The SVM has yielded the maximum classifcation accuracy among the three classifers. Figure [8](#page-15-2) shows the graphical variation of the accuracies obtained using three classifiers with the features extracted with RLTrP. The other three feature descriptors, namely LBP, SIFT, and GLCM, have also shown promising accuracy with these three classifers.

To fnd the optimum number of signifcant features in the flter method, an approach is taken by determining test accuracies for diferent percentages of top-ranked features obtained by the ensembles of the three flter ranking methods. The variation of test accuracies with these features obtained from the union of the three ranking methods is listed in Table [3](#page-15-3) and depicted in Fig. [9.](#page-16-2) It is clear from Fig. [9](#page-16-2) that the best optimum result is obtained when 50% of the ranked features are considered. This reduced feature set with 399 number of features is selected as an input to the HS algorithm in stage 2 for performing further FS. The objective of the flter method is to select the important features, thereby guiding the search process performed in the wrapper method. The flter method in the frst phase is capable of reducing the dimension of features by 15%.

#### **Results of HS Algorithm**

In this section, the results of HS algorithm classifying the microstructural images are reported. Results presented in Table [4](#page-16-1) demonstrate that the HS algorithm yields the best results (highest accuracy) with a lower number of features and SVM (polynomial). It achieves the highest test accuracy of 97.19%. In stage 2 the performance of the HS algorithm is compared with the other standard optimization algorithms that include whale optimization algorithm (WOA) [\[46](#page-18-33)], particle swarm optimization (PSO) [\[47](#page-18-34)], and Grey wolf

<span id="page-14-0"></span>

 $(g)$ 

# of micrographs	$#$ of cropped images
8	113
20	280
16	254
8	118
7	98
8	128
15	210
82	1201

<span id="page-15-0"></span>**Table 1** Details of the micrograph dataset

optimization (GWO) [\[48](#page-18-35)]. The performance comparison is listed in Table [4.](#page-16-1) It is worth mentioning that the HS algorithm has been consistent to outperform the state-of-the-art FS methods considered here for comparison both in terms of accuracy and percentage of feature reduction, and the HS algorithm is capable to reduce the features by 82%.

Parameter tuning is an important part of any FS method. Two important parameters of our method are population size and number of iterations. We have performed some experiments to set the optimal values of these parameters. Figure [10a](#page-16-3) shows that the accuracy starts off with  $95.41\%$ when the number of iterations is 300 and then increases the stair-case manner and reaches 97.19% at iteration 750 and fnally it becomes stagnant and achieves maximum accuracy of 97.96% at iteration 1400. The changes in accuracy with population size as shown in Fig. 10(b) depict that the classifcation accuracy has reached 95.2% with population size 20 and increased linearly until reached a maximum accuracy of 97.96%. The maximum accuracy achieves when the population size is 30, and with the increase of population from 30 it has been observed that there is a sharp fall in the accuracy and it becomes stagnant around 95% accuracy. Figure [11](#page-17-9) depicts the graphical representation of test accuracy using our proposed model and also comparison with the other recent optimization algorithms.



<span id="page-15-2"></span>**Fig. 8** Variation of fvefold CV test accuracies for each feature descriptor and classifer without feature selection

<span id="page-15-3"></span>



The confusion matrix presented in Fig. [12](#page-17-10) represents the classifcation performance of each class predicted by SVM after wrapper-flter feature selection. From this fgure, it can be said that the proposed model is competent

<span id="page-15-1"></span>**Table 2** Five diferent feature descriptors and corresponding classifcation accuracies (in %) without FS using diferent classifers

Feature descriptor # of features SVM				<b>KNN</b>		RF	
		Test accuracy $(\%)$	Accuracy on fivefold CV $(\%)$	Test accuracy $(\%)$	Accuracy on fivefold CV $(\%)$	Test accuracy $(\%)$	Accuracy on fivefold $CV(%)$
LBP	256	94.64	91.73	85.71	85.61	89.54	87.24
<b>RLTrP</b>	468	95.15	93.57	85.46	88.11	91.84	87.35
<b>GLCM</b>	22	91.33	89.34	83.42	81.73	90.56	85.10
<b>ORB</b>	200	81.04	703.3	59.89	54.89	74.73	66.10
<b>SIFT</b>	100	89.95	84.92	84.92	83.28	88.36	84.44



<span id="page-16-2"></span>**Fig. 9** Variation of fvefold CV classifcation accuracies (in %) for diferent percentages of top-ranked features (extracted using RLTrP) obtained from ensemble of the three flter methods in stage 1

to classify every image of the test dataset of four classes (brass, gray cast iron, malleable cast iron, and white cast iron) but it misclassifes only one image of annealing twins, three images of ductile cast iron and seven images of nickelbased superalloy.

# <span id="page-16-0"></span>**Conclusion**

The proposed work demonstrates the usefulness of the wrapper-flter-based FS method using the HS algorithm in classifying the microstructural images aided with some flter methods where texture-based features extracted from the said images are fed as input. By using the proposed wrapperflter FS method for microstructure classifcation, it has been observed as follows:

• Classifcation results for the 7-class microstructural data (annealing twin, brass/bronze, ductile cast iron, gray cast iron, malleable cast iron, nickel-based superalloy, and

<span id="page-16-1"></span>**Table 4** Comparison of results obtained using the proposed FS model in stage 2 along with some standard optimization algorithms for classifcation of microstructural images



Bold values highlight the best result in all cases



<span id="page-16-3"></span>**Fig. 10 a** Variation of accuracies with the number of iterations in the HS algorithm using the SVM classifer. **b** Variation of accuracies with population size in HS algorithm using the SVM classifer



<span id="page-17-9"></span>**Fig. 11** Comparison of the proposed FS method with some state-ofthe-art FS methods for classifying microstructural images



<span id="page-17-10"></span>**Fig. 12** Confusion matrix obtained by proposed FS model using SVM classifer showing performance of the microstructural classifcation after wrapper-flter feature selection

white cast iron) exhibit a high accuracy using texturebased feature descriptor RLTrP with SVM classifer after applying our proposed wrapper-flter feature reduction model.

- The high classification accuracy exemplifies that the texture-based RLTrP feature descriptor is highly favorable for microstructure classifcation.
- Our proposed meta-heuristic-based HS algorithm applied at stage 2 for FS is also compared with some state-of-theart algorithms (WOA, GWO, and PSO) which confrms that our proposed method outperforms the others in terms of both classifcation accuracy and number of selected features.
- Classifcation results for the 7-class show that after stage 1 (flter method) maximum classifcation accuracy is achieved by 93.67% and minimizes the number of features by 14.7%. At the fnal stage, the proposed model is capable to achieve the highest classifcation accuracy of 97.19% and minimizes the number of features by 82%.
- In the future, we can think of some other competent filter methods at stage 1 of this FS method. Besides, we can add some local search methods to enhance the exploitation capability of the HS algorithm.
- We can explore some other texture-based features also or we can combine some texture-based features which can give complementary information about the input data.
- Another future scope would to apply this method to other microstructural datasets.

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