## SWALLOWING DISORDERS (RE MARTIN, SECTION EDITOR)

# Dysphagia Management in Acute and Sub-acute Stroke

Alicia Vose · Jodi Nonnenmacher · Michele L. Singer · Marlís González-Fernández

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**Abstract** Swallowing dysfunction is common after stroke. More than 50 % of the 665,000 stroke survivors will experience dysphagia acutely of which approximately 80,000 will experience persistent dysphagia at 6 months. The physiologic impairments that result in post-stroke dysphagia are varied. This review focuses primarily on well-established dysphagia treatments in the context of the physiologic impairments they treat. Traditional dysphagia therapies including volume and texture modifications, strategies such as chin tuck, head tilt, head turn, effortful swallow, supraglottic swallow, super-supraglottic swallow, Mendelsohn maneuver and exercises such as the Shaker exercise and Masako (tongue hold) maneuver are discussed. Other more recent treatment interventions are discussed in the context of the evidence available.

Keywords Stroke · Dysphagia · Swallowing · Deglutition · Treatment

#### Introduction

swallowing dysfunction (dysphagia) acutely [1]. Fortunately, the majority of them will recover swallowing

A. Vose · J. Nonnenmacher · M. L. Singer · M. González-Fernández Department of Physical Medicine and Rehabilitation, Johns Hopkins University School of Medicine, Baltimore, USA

M. González-Fernández (⊠) Physical Medicine and Rehabilitation, Johns Hopkins University School of Medicine, 600 North Wolfe St. Phipps 186, Baltimore, MD 21287, USA

e-mail: mgonzal5@jhmi.edu

More than 50 % of stroke survivors will experience

function within 7 days [2]. Approximately 11–13 % will continue to have dysphagia at 6 months [3]. This represents 80,000 of the 665,000 new stroke survivors each year in the US [4]. Dysphagia is not only a risk factor for malnutrition, dehydration, and pneumonia after stroke, but also has a profound impact on stroke survivors discharge location; 60 % of non-dysphagic patients are discharged home after a stroke versus only 21 % of patients with dysphagia [5].

Early treatment of dysphagia aims to reduce secondary complications such as dehydration, malnutrition, and pneumonia and allow for spontaneous recovery of swallowing function. For those with dysphagia persisting beyond the acute phase, it is crucial to continue treatment that, in addition to reducing secondary complications, targets the physiologic deficits caused by the stroke with the goal of improving swallowing function or compensating for lost function.

# Dysphagia Diagnosis

Stroke patients should be screened for dysphagia followed by formal evaluation for those failing screening evaluation. Controversy exists as to the best method to screen or assess dysphagia after a stroke. Multiple screening protocols have been proposed (See Ref. [6•] for a summary). Formal evaluation primarily relies on bedside evaluations performed by speech language pathologists but may also include instrumental assessment using videofluoroscopy (VFSS) or videoendoscopy (FEES). The presence of dysphonia, dysarthria, abnormal gag reflex, abnormal voluntary cough, voice change with swallowing, and cough with swallowing has been described as suggestive of increased aspiration risk [7, 8]. The challenge in screening or



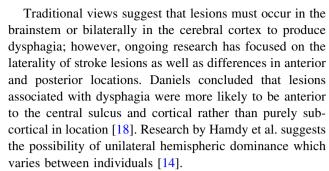
assessing swallowing dysfunction after stroke is that a large proportion of stroke patients with dysphagia will aspirate silently, i.e., will not demonstrate signs of airway invasion during feeding [9]. Thus, some experts in this area suggest that instrumental assessment is necessary to detect silent aspiration. Another goal of instrumental assessment is to identify the physiologic impairments resulting is swallowing dysfunction to allow for targeted interventions.

## Stroke Location and Physiologic Deficits

Normal control of the swallow involves multiple areas of the brain: brain stem, thalamus, basal ganglia, limbic system, cerebellum, and motor and sensory cortices among others [10, 11]. If any of these areas are damaged by stroke, serious complications, including dysphagia, can occur. A report by Daniels et al. suggests that lesions disrupting cortical–subcortical connectivity are more likely to increase the risk of aspiration in stroke patients as compared to isolated cortical or subcortical lesions, and that intra-hemispheric locations appear to be more critical than hemisphere or lesion size in predicting dysphagia severity and risk of aspiration [10].

Timing of the swallowing phases, swallowing initiation, and airway protection is regulated by sensory input to the swallowing central pattern generator (CPG) in the brain stem [12–14]. Brainstem strokes, especially lateral medullary strokes, often result in severe, global dysphagia which results in aspiration [13, 15]. Damage to this area can result in weakness or paralysis of the ipsilateral pharynx, larynx, and soft palate which negatively impacts timing and coordination of the pharyngeal swallow and upper esophageal sphincter (UES) control [13, 15]. Lateral medullary strokes may also cause ataxia and reduced temperature sensation [16].

Dysphagia related to dysfunction of supratentorial structures is the most common type seen in neurological disease. In stroke, the size of the unaffected swallowing cortical area predicts dysphagia symptoms [17]. The cerebral cortex is involved in the regulation and execution of the motor response and of sensorimotor control that may result in complex deficits of movement in the absence of weakness [18]. Motor regulation, execution, and sensorimotor control have also been associated with the primary motor, motor supplementary, and primary somatosensory cortices [19–21]. Disruptions of cortical motor input result in impairment of the voluntary initiation of deglutition [22, 23]. The internal capsule is important for the relay of information from the brain stem to the cortex. Strokes in the area of the internal capsule may result in acute disconnection between the cortical swallowing centers and the CPG [13, 21, 24].



Multiple studies have described longer duration of pharyngeal transit and increased pharyngeal retention in patients with right hemisphere damage resulting in higher risk of laryngeal penetration and aspiration when compared to patients with damage in the left hemisphere [10, 25]. Patients with right hemisphere dysfunction may have a greater need for non-oral nutrition due to significant pharyngeal dysmotility with all consistencies [10]. Hamdy et al. stated that the pharyngeal phase of swallowing is the most important clinical determinant of aspiration in stroke populations [17]. Studies by Robbins and Levine [26] and Steinhagen et al. suggest that left hemispheric damaged patients show oral motility dysfunction with reduced coordination of lingual musculature [27]. This results in poor bolus organization, delayed oral transit, and lateral sulci retention of the bolus [16].

Several studies have identified the insular cortex as one of the most common sites of involvement when dysphagia occurs as a result of stroke. It has been suggested that it may be responsible for the organization of complex behaviors related to the face and mouth [27, 28]. Insular infarction can result in prolonged dysphagia and cause sympathetic hyperactivity [27, 29, 30]. However, focal lesions in this region are uncommon because of the area's vascular supply [10]. While other cortical areas have been implicated in swallowing, including the anterior cingulate, orbitofrontal cortex, and temporopolar cortex, additional research is needed to determine the functional impact of lesions in these areas on swallowing [21, 31, 32].

# Traditional Dysphagia Therapy

The selection of any strategy or treatment option for patients with dysphagia should be based on the clinician's experience, the patient's desires and the best available evidence from published literature. After critical review of the current literature supporting therapeutics used to treat swallowing disorders, the most current and best available evidence for each technique and exercise is summarized in the section below. Therapeutic techniques were divided into those used as compensatory strategies, exercises, and those used as both compensatory strategies and/or exercises. Decisions about



therapeutic techniques implemented should be based on identification of impaired physiology seen during instrumental evaluation (i.e., VFSS and/or FEES).

Compensatory swallowing strategies are often used by clinicians where the goal is not to change swallowing physiology but instead the goal is to prevent symptoms of dysphagia in order to maintain safety and ensure adequate nutrition and hydration. These techniques are by definition compensatory and do not result in long-term physiologic changes. These include volume and texture modifications as well as strategies such as chin tuck, head tilt, head turn, and chin tuck and head turn.

Swallowing exercises are often used to treat dysphagia with the goal of altering swallowing physiology and promoting long-term changes. Exercises are expected to impact swallowing mechanics and impact bolus flow. Some maneuvers may serve as a compensatory strategy and also function as rehabilitative exercises such as the effortful swallow, supraglottic swallow, super-supraglottic swallow, and the Mendelsohn maneuver. Other exercises may be used which are not compensatory and are meant to solely improve swallowing physiology such as the Shaker exercise and Masako (tongue hold) maneuver.

A combination of compensatory strategies and rehabilitative strategies may be implemented to both manage dysphagia symptoms and improve swallowing physiology, depending on the physiologic impairment identified during a FEES and/or VFSS. For patients with dysphagia secondary to stroke, regardless of stroke etiology, the goal is to identify and treat the physiologic impairments. By relating treatment to physiology, the goal is to improve outcomes and alleviate dysphagia symptoms. Described below are treatments used to manage dysphagia, including their purpose, instructions and impact on swallowing physiology. Table 1 summarizes traditional treatment techniques and the physiologic impairments they target. The effectiveness of each of these interventions vary, thus implementation during VFSS or FEES will allow clinicians to determine which interventions will be safe and effective in minimizing risks, optimizing nutrition and hydration, and treating the underlying physiologic deficits.

# Compensatory Techniques

## Chin Tuck (Head Flexion)

The chin tuck (head flexion) has been a technique used for patients who have decreased airway protection associated with delayed swallow initiation and/or reduced tongue base retraction. Patients are instructed to "bring their chin to their chest" and maintain this posture throughout the duration of the swallow [33]. Physiologic changes of the chin tuck observed in fluoroscopy as compared to normal

swallows with head in neutral position include expansion of vallecular recesses, approximation of tongue base toward pharyngeal wall, narrowing entrance to the laryngeal vestibule, reduction in distance between hyoid and larynx, and increased duration of swallowing apnea during the swallow [33, 34].

#### Head Rotation (Head Turn)

Head rotation is a compensatory strategy used for patients with unilateral pharyngeal and/or laryngeal weakness as well as reduced UES opening. Patients may be instructed to "turn your head to the side as if you are looking over your shoulder." Head rotation toward the side of impairment effectively redirects the bolus to the side of the pharynx opposite the rotation (the stronger side) [35]. Head rotation is also a beneficial technique which drops UES pressure on the side opposite to the head turn thus allowing for increased extension and duration of UES opening. For patients with reduced laryngeal closure, head rotation narrows the laryngeal entrance and increases vocal fold closure by applying extrinsic pressure which may be beneficial for patients with reduced laryngeal adduction in the case of unilateral vocal fold impairment [35–37].

#### Head Tilt

The head tilt is used for patients with unilateral oral weakness. Patients are instructed to "tilt your head like you're trying to touch your ear to your shoulder." This technique is beneficial as it directs the bolus to the stronger side of the oral cavity [38].

# Bolus Viscosity, Texture, and Volume Modifications

Increasing the volume and/or viscosity for liquids is another technique used to reduce dysphagia symptoms for some patients. Thickening liquids may be used for patients who have poor oral control of thin liquids and/or demonstrate reduced airway protection. Physiologically, studies have shown that with increasing bolus viscosity there is an increase in lingual-palatal contact pressure, pharyngeal pressure and UES relaxation, and slowing of bolus transit [39–42]. Alternatively, increasing bolus volume increases bolus transit time as exemplified by sustained laryngeal elevation and hyoid excursion. Additionally, during larger volume swallows there is increased laryngeal closure duration, increased duration of UES opening as well as decreased duration of tongue base contact to posterior pharyngeal wall [43-45]. In addition to altering liquid viscosity, some patients may benefit from texture-modified foods. Aside from the social and personal reasons patients may alter their food texture; patients may benefit from



Table 1 Traditional dysphagia treatment interventions and the physiologic impairments they target

	clearance (upright)												
E	Esophageal												
	Tongue base retraction												
	PE segment opening												
	Pharyngeal contraction												
al	Pharyngeal stripping wave												
arynge	Larungeal Vestibular closure			**									
Ph	Epiglottic Inversion												
	Anterior Hyo- laryngeal excursion												
2	Laryngeal elevation												
	Soft Palate elevation												
	Initiation of pharyngeal swallow												
	Oral residue												
ral	Bolus Transport and lingual motion												
0	Bolus Preparation and Mastication												
	Hold Position and Tongue Control												
	Lip Closure												
	Interventions	Compensatory	Chin Tuck	Head Rotation	Head Tilt	Compensatory and exercise	Supraglottic	Super- supraglottic	Effortful Swallow	Mendelsohn	Exercises	Fongue Hold Masako)	Shaker Exercises
	Oral Pharyngeal Es	Hold Position and Bolus Preparation and Bolus Preparation and Mastication and Ingustration of Initiation of Position of Paryngeal swallow Initiation of Pharyngeal Epiglottic Inversion Dharyngeal Soft Palate elevation Anterior Hyorlaryngeal Epiglottic Inversion Epiglottic Inversion Pharyngeal Soft Palate elevation Pharyngeal Contraction Pharyngeal Contraction Pharyngeal Contraction Pharyngeal Contraction Contrac	Lip Closure  Hold Position and Bolus Preparation and Mastication Bolus Transport and lingual motion  Oral residue  Initiation of pharyngeal swallow Soft Palate elevation Larungeal Isryngeal ekvention Pharyngeal Anterior Hyo- laryngeal ekvention Pharyngeal Actilopling wave Pharyngeal contraction Pharyngeal Tongue base retraction  Tongue base retraction  Tongue base retraction	Lip Closure  Hold Position and Tongue Control  Bolus Preparation and Mastication  Bolus Transport and lingual motion  Oral residue  Joral residue  Soft Palate elevation  Laryngeal excursion  Laryngeal excursion  Laryngeal excursion  Pharyngeal  Larungeal  Larungeal  Pharyngeal  Contraction  Tongue base  Tongue base	Hold Position and Tongue Control  Bolus Preparation and Mastication and Mastication of and lingual motion  Oral residue  Oral residue  Oral residue  Soft Palate elevation  Laryngeal elevation  Laryngeal elevation  Pharyngeal  Soft Palate elevation  Laryngeal elevation  Soft Palate elevation  Laryngeal elevation  Pharyngeal  Tongue base  Tongue base  Tongue base  Tebraction  Tongue base  Tebraction  Tongue base  Tebraction  Tongue base	Lip Closure  Hold Position and Tongue Control Bolus Preparation and Mastication Bolus Transport and lingual motion Oral residue Drayngeal swallow Soft Palate elevation Laryngeal excursion Britaning and Laryngeal Anterior Hyo- laryngeal Anterior Hyo- laryngeal Anterior Hyo- Soft Palate elevation Laryngeal Anterior Hyo- Istryngeal Anterior Hyo- Soft Palate elevation Anterior Hyo- Istryngeal excursion Anterior Hyo- Istryngeal Anterior Pharyngeal Anterior Tongue base	Lip Closure  Hold Position and Tongue Control  Bolus Preparation and Mastication and Mastication Bolus Transport and lingual motion Oral residue Initiation of pharyngeal swallow Soft Palate elevation Coft Palate elevation Laryngeal excursion Brayngeal excursion Anterior Hyo- laryngeal excursion Pharyngeal Contraction  Tongue base Teorgue base	Lip Closure  Hold Position and Tongue Control  Bolus Preparation  Bolus Preparation  Bolus Preparation  Bolus Preparation  Bolus Transport  and lingual motion  Initiation of pharyngeal swallow  Soft Palate elevation  Laryngeal elevation  Anterior Hyo- laryngeal elevation  Anterior Hyo- laryngeal elevation  Pharyngeal  Pharyngeal  Pharyngeal  Tongue base  Tongue base	Hold Position and Tongue Control  Bolus Preparation  Bolus Preparation  Bolus Preparation  Bolus Preparation  Bolus Transport  and lingual motion  Oral residue  Initiation of  Soft Palate elevation  Laryngeal excursion  Brighottic Inversion  Pharyngeal  Pharyngeal  Contraction  Tongue base  Tongue base  Tongue base  Tongue base  Tongue base  Tongue base  Tetraction  Esophageal	Ortic crise of the control of the co	Orticitic of tick of the station of tick of ti	So I Swallow of titic first to the control of the control of titic	Sohn sohn sohn sohn sohn sohn sohn sohn s

Es esophageal

\* As part of the MBSImP by Martin-Harris et al. [83]. Pharyngeal residue was not included in the table above as it is not considered part of the overall impairment score

\*\* Unilateral



texture alterations in the setting of poor dentition, reduced lingual function, and/or increased aspiration risk.

## Compensatory and Exercise

#### Supraglottic Swallow

The supraglottic swallow is used for patients who demonstrate reduced airway protection during the swallow. Instructions provided are to: "First, inhale deep, then hold your breath, continue to hold your breath and swallow, immediately after you swallow (before you inhale), cough then immediately swallow again" [46]. The physiologic benefits of this strategy include increased airway closure by increasing arytenoid approximation and true vocal fold closure as well is increasing UES opening during the swallow. Additionally, the airway is protected earlier in the swallow and hyolaryngeal excursion is prolonged which may be beneficial for patients with delayed swallow initiation [46–49].

## Super-Supraglottic Swallow

Similar to the supraglottic swallow, the super-supraglottic swallow is also used for patients with reduced airway closure; however, the difference with the super-supraglottic is patients are instructed to implement an effortful breath hold, "take a breath and hold it tightly while bearing down; continue to hold your breath and bear down as you swallow; immediately after your swallow (before you inhale) cough then immediately swallow hard again (before you inhale)" [46]. With the supraglottic swallow, the breath hold requires no increased effort or bearing down. Physiologically with this technique, the patient has earlier tongue base movement, higher hyoid position at swallow onset, increased hyoid movement as well as longer bolus transit time, tongue base and pharyngeal wall contact, and airway closure [46, 48, 49]. Both the supraglottic swallow and the supra-supraglottic swallow maneuvers may result in Valsalva. A study by Chaudhuri et al. demonstrated that using these maneuvers in stroke patients resulted in arrhythmias that occurred within a treatment session, subsided within minutes of session end, and that did not occur during other activities [50]. Thus, clinicians should be mindful of using these maneuvers in stroke patients especially in those with coexisting heart disease.

## Effortful Swallow

The effortful swallow is used for patients who present with clinically significant residue in the valleculae and/or pyriform sinuses as well as for patients who may have decreased airway closure. Instructions are to "squeeze your throat muscles as hard as you can while swallowing" [51]. Physiologically, the effortful swallow increases hyolaryngeal excursion, duration of hyoid elevation and UES opening, laryngeal closure, lingual pressures, peristaltic amplitudes in the distal esophagus, and pressure and duration of tongue base retraction [39, 45, 47, 52–54].

#### Mendelsohn Maneuver

The Mendelsohn maneuver is a technique used for patients with decreased hyolaryngeal excursion and/or decreased duration of UES opening. Prior to instructions, it is suggested that patients should first feel laryngeal elevation by palpation of thyroid cartilage during swallows. Instructions are then given to: "Swallow and when you feel your thyroid cartilage elevate hold it there for several seconds before finishing the swallow" [51]. This technique increases extent and duration of hyolaryngeal excursion, UES opening, pharyngeal peak contractions, bolus transit time and duration, and pressure of tongue base contact [45, 51, 55–57].

#### Exercises

#### Tongue Hold

The tongue hold is used for reduced tongue base, and pharyngeal wall contact. Instructions are provided to, "hold the anterior tongue (slightly posterior to the tongue tip) between the teeth while swallowing" [58]. Physiologically, the exercise increases anterior bulging of the posterior pharyngeal wall [58–60].

## Shaker Exercise

The Shaker Exercise is used for patients who have decreased UES opening and weakness of the suprahyoid muscles. Instructions are to: "lie in the supine position; complete 3 head lifts sustained for 1 min each; 1 min rest period between each head lift; then complete 30 consecutive head lifts holding for 2 s each" [61]. The suggested frequency is three times each day for 6 consecutive weeks. Physiologically, the exercise increases anterior hyolaryngeal excursion, UES opening, strengthens suprahyoid muscles, and enhances thyrohyoid shortening [61–65].

## **Other Interventions**

In addition to traditional dysphagia management as discussed above, there are alternate treatment modalities for individuals suffering from dysphagia following a stroke. Some of the most salient ones are reviewed below.



#### Neuromuscular Electrical Stimulation (NMES)

Neuromuscular electrical stimulation (NMES) is a treatment where electrodes are placed on the anterior neck and an electrical current evokes a muscle contraction. NMES treatment is typically used as an adjunct modality concurrently while the patient swallows and/or performs a traditional exercise. There are currently two commercially available NMES devices, VitalStim, which was approved by the US Food and Drug Administration in 2002, and eSwallow, which was introduced and approved in 2011.

There are mixed views and research findings on incorporating NMES into dysphagia management practices. In a study by Xia et al. [66], 120 post-stroke patients who exhibited dysphagia were randomly assigned to receive either traditional swallowing treatment, solely NMES, or NMES in conjunction with traditional swallowing management [66]. The researchers found that the individuals who received NMES along with traditional treatment made significantly greater improvements in all four-outcome measures as opposed to the group who received traditional treatment alone or the group who received NMES alone. Another study by Kushner et al. examined the effect of NMES use with feeding tube dependent patients following an acute stroke, during inpatient rehabilitation [67]. The study compared the efficacy of NMES in addition to traditional dysphagia therapy (including progressive resistance training) with that of traditional dysphagia therapy and progressive resistance training alone. The researchers concluded that the addition of NMES was significantly more effective than traditional dysphagia management alone in reducing feeding tube dependent dysphagia in patients following an acute stroke. Other research confirms that use of thermal-tactile stimulation in conjunction with NMES is better for patients with dysphagia following a stroke as compared to thermal-tactile stimulation alone [68].

Conversely, other researchers have questioned the use of NMES for the treatment of dysphagia. Some researchers conclude NMES can actually decrease swallow function. In a study of swallowing physiology by Ludlow et al. hyoid movement and use of NMES was measured in patients with severe and chronic dysphagia [69]. When NMES was applied at the maximum level tolerated, hyoid depression occurred at rest in some of the patients in the study. Due to hyolaryngeal depression during NMES, it may be beneficial if a patient can overcome the resistance. However, the inability to swallow against the resistive lowering of the hyolaryngeal mechanism places severely dysphagic patients at increased risk for penetration and/or aspiration [70]. Surface NMES activates all tissues that can be stimulated by its electrical current and thus lacks specificity. Ultimately NMES related to dysphagia management must not be viewed as "one size fits all." Clinicians must therefore continue to follow the research to determine the most appropriate and effective use of this treatment modality for patients with dysphagia based on the individual's unique variables [71•]. Additional research is currently being conducted to advance NMES for improved dysphagia treatment opportunities.

#### Oral Stimulation and Other Interventions

In addition to NMES, many clinicians use oral exercises (to include tactile-thermal stimulation, lingual, and labial strengthening) as a treatment modality for stroke patients with dysphagia. The application of oral sensory stimulation for treatment of dysphagia is somewhat controversial; however, there are data that suggest it may increase corticobulbar excitability, which has been associated with swallowing recovery after a stroke [72]. One study assessed the effects of stimulation to the faucial pillars in 16 hemispheric stroke patients with a diagnosis of dysphagia. The patients' swallowing was assessed before, and 60 min after 0.2 Hz electrical or sham stimulation. Various swallowing measures were assessed by videofluoroscopy to include laryngeal closure and pharyngeal transit time. Initiation of laryngeal closure was delayed in both groups prior to intervention. However, the researchers found that compared to baseline, there was no functional change in swallowing physiology among the two subject groups.

Ice massage is also discussed and compared to tactilethermal stimulation in the literature as a prefeeding technique to facilitate dry swallows as well as for initiating the pharyngeal swallow trigger [73]. With this technique, massage with an ice stick is applied to the throat, base of the anterior faucial arches, base of tongue, and the posterior pharyngeal wall for 10 s with rubbing and light compression. Ice massage shortened the latency for triggering the swallow after the command and the massage in itself had an immediate effect on triggering a swallow response even in patients who could not swallow voluntarily. The authors of this research propose that this technique is more advantageous than tactile-thermal stimulation as it can be conducted simply and inexpensively with a frozen water impregnated cotton tipped stick and it can be conducted without the need for a voluntary swallow following stimulation. The effectiveness of ice massage was found to be more significant in subjects with supranuclear lesions than in those with nuclear lesions. Ice massage could activate a damaged supranuclear tract of swallowing and/or a normal nuclear and subnuclear tract.

Additionally, lip muscle training has been studied in stroke patients with dysphagia. Hagg and Anniko conducted a retrospective study of 30 stroke patients. The researchers used an oral screen, which was found to improve lip force as well as swallowing capacity in patients



who exhibited oropharyngeal dysphagia [74]. These results were without reference to duration of prior treatment of dysphagia and/or the presence or absence of central facial paresis. Treatment results were stated to be attributable to sensory motor stimulation and the plasticity of the central nervous system as opposed to isolated training of the lip muscles.

Lingual exercises are another modality discussed in the literature. Robbins et al. initially examined the effects of lingual exercise on swallow recovery following stroke using the Iowa Oral Performance Instrument (IOPI) [75]. Ten stroke patients participated in an 8-week isometric lingual exercise program using the IOPI, by which an air filled bulb is compressed between the tongue and hard palate. Results revealed all ten individuals improved isometric and swallowing pressures as well as a reduction in airway invasion of thin liquids as measured via videofluoroscopic swallow study.

In addition to the IOPI, there is another product available that similarly addresses isometric lingual strength, referred to commercially as SwallowStrong (formerly MOST). As part of I-PRO (isometric progressive resistance oropharyngeal) therapy, this device uses a touch screen tablet that connects to a custom mouthpiece. The interface uses real time monitoring to assess lingual strength and create an individualized program for the patient.

There is strong evidence toward the effectiveness of tongue strengthening devices for the measurement of tongue strength and endurance. These devices may be beneficial as an evaluation tool and training device for speech pathologists that evaluate and treat patients with diminished lingual strength and endurance that contributes to dysphagia symptoms [76•]. Surface electromyography (sEMG) biofeedback is another treatment modality for dysphagia. Crary et al. discussed a retrospective analysis of 25 patients status post stroke who received a systematic program which was supplemented with sEMG. Ninety-two percent of the subjects experienced an increase in functional oral intake [77]. The use of sEMG appears to be a viable adjunct to traditional therapy measures for patients following stroke as patients who experience physiologic based dysphagia are more apt to benefit from a treatment modality that facilitates motor learning of swallowing movements.

Transcranial magnetic stimulation (TMS) as well as transcranial direct current [78•] stimulation (tDCS) are also discussed in the literature, and are used with increasing frequency in patients with post-stroke dysphagia. TMS is a method which has been used to explore the corticomotor physiology of many motor tasks, to include swallowing. TMS-induced motor evoked potentials have been documented to change the excitability of cortical projections to swallowing muscles. The link between swallowing physiology and cortical excitability remains unclear [78•].

Yang et al. studied sixteen post-stroke dysphagic patients as measured via videofluoroscopic swallowing studies (VFSS) [79]. The patients were assigned randomly to a sham group or to receive tDCS to the pharyngeal motor cortex of the affected hemisphere during the course of 30 min of traditional swallowing therapy for a 10-day time frame. The effect of tDCS on dysphagia was measured with the functional dysphagia scale (FDS) based on results of VFSS at baseline, immediately following treatment, and 3 months following intervention. Three months following intervention, the group who received tDCS experienced greater improvement on the FDS, opposed to the sham group. Comparisons were made after controlling for factors such as age, National Institutes of Health Stroke Scale Score (NIHSS), lesion size, baseline FDS score, and stroke onset time. A systematic review by Adeyemo et al. studied pre- and post-motor outcomes of individuals who have had dysphagia following a stroke and received repetitive TMS and/or tDCS treatments [80•]. Although most of the reviewed studies did not provide outcomes for long-term results, post treatment data revealed consistent functional improvements in the stroke population. Humbert et al. studied the pathophysiology of delayed swallow initiation in individuals with neurogenic dysphagia, and its relationship with of the primary sensory motor region of cortex [81]. Preliminary findings revealed that transient disruption of the primary sensory-motor cortex can delay swallowing onset. These findings are significant as they provide insight regarding cortical control and involvement with swallowing initiation.

Other factors identified in the literature associated with progression of dysphagia management are oral hygiene, nutrition, and the patients' awareness of their swallowing disability [73].

# Oral Hygiene

A stringent oral hygiene program is essential for patients at risk of aspiration given that the mouth is the most common location of bacteria. In addition to traditional interventions for dysphagia, all patients should include a comprehensive oral hygiene program to their therapy routine. Multiple studies have demonstrated a preventive effect of oral hygiene on pneumonia and other respiratory tract infections [82•].

## Conclusion

Treatment of dysphagia after stroke should focus on the underlying physiologic deficits identified during formal swallowing evaluation. Traditional therapies focus on prevention of secondary complications early after stroke



and continue into the sub-acute stage to improve affected swallowing control and mechanics. Novel therapies are promising, but research needs to continue with the goal to determine the best candidates, optimal dose, and frequency of such treatments.

## **Compliance with Ethics Guidelines**

**Conflict of Interest** A Vose, J Nonnenmacher, ML Singer, and M González-Fernández all declare no conflicts of interest.

**Human and Animal Rights and Informed Consent** This article does not contain any studies with human or animal subjects performed by any of the authors.

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