

# Role of Transesophageal Echocardiography in General Anesthesia

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## Abstract

*Purpose of the Review* Transesophageal echocardiography (TEE), a relatively non-invasive method, is an ideal intraoperative monitoring tool. In this review, we evaluated all potential applications of TEE in general anesthesia.

*Recent Findings* The uses of intraoperative TEE have expanded over the years. TEE's main application is in the intraoperative assessment and monitoring of the cardiac function. Its impact in clinical decision making has been demonstrated in non-cardiac surgery in the operating room and as a rescue in case of hemodynamic instability and cardiac arrest. TEE may also provide more complete quantitative hemodynamic monitoring of intracardiac pressures as the pulmonary artery catheter. Newer intraoperative TEE application includes imaging of extra-cardiac structures such as the kidney, the intrahepatic inferior vena cava, the lungs, the pleura space, and the epidural space. Several scanning protocols have been proposed ranging from a focused to a comprehensive cardiac assessment. Current guidelines for intraoperative use of TEE include basic and advanced TEE exam.

*Summary* Intraoperative TEE is a very powerful tool in the hands of the TEE trained anesthesiologist. It has a positive

safety profile but requires a significant initial manpower and financial investment. While less expensive equipment has become available, specific training is necessary and requires a significant time commitment.

**Keywords** Transesophageal echocardiography · Perioperative transesophageal echocardiography · Anesthesia · Focus · Cardiac ultrasound

## Introduction

Transesophageal echocardiography (TEE) is an ideal intraoperative monitoring tool. It does not interfere with most surgical procedures, and the probe can be easily inserted without the need for sterile technique in the great majority of anesthetized intubated patients.

Given the proximity of the esophagus to the heart and great vessels, TEE provides superior imaging of most cardiac structures when compared to transthoracic echocardiography (TTE) [1•, 2]. In the last 20 years, TEE has become a standard of care in the hands of cardiac anesthesiologists for the intraoperative management of cardiac surgical patients and to guide minimally invasive and percutaneous cardiac interventions [1•, 3, 4•].

In the operating room, TEE provides precise quantitative assessment of standard hemodynamic parameters such as cardiac output, intra cardiac, and pulmonary pressures, becoming a viable alternative to pulmonary artery catheters [5•]. The ability to assess cardiac and extra-cardiac flows, biventricular function, and structural anatomy makes TEE potentially useful in guiding intraoperative management in any major surgery [2, 5•].

The ability to visualize and guide intravascular wires and catheters, as well as other extra-cardiac imaging applications further add to the potential applications of TEE at each stage of general anesthesia (Fig. 1).

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The original version of this article was revised: The original version of this article unfortunately contained a mistake. The reference citation “[4•]” under the sections “Central Venous Pressure”, “Pulmonary Artery Pressures”, and “Pulmonary Capillary Wedge Pressure” should be reference number “[5•].”

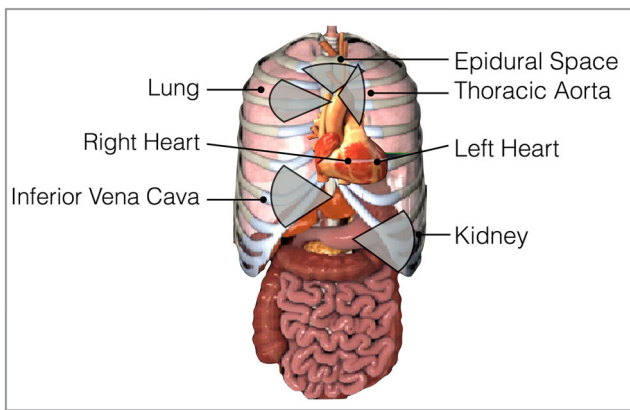
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**Fig. 1** Summary of intraoperative TEE applications. “Modified from [www.pie.med.utoronto.ca/POCUS](http://www.pie.med.utoronto.ca/POCUS)”

Although TEE is mainly used outside of the cardiac operating rooms as a monitoring tool, it becomes a powerful diagnostic tool in emergency situations in guiding treatment of undifferentiated shock and cardiac arrest in the perioperative setting [6•, 7•].

The clinical impact of intraoperative TEE on clinical decision making and management, in the assessment of myocardial function, volume status, and response to therapy, has led to its increasing use in the non-cardiac surgical population [4•, 7•, 8•].

The high cost of the equipment, need for specific training, and required probe maintenance and infection control remain the main limitations to the wider use of TEE outside the cardiac operating room. The introduction of single use, miniaturized probes, and availability of TEE probes for point of care ultrasound (POCUS) systems that have become more widely available for line insertion or regional anesthesia has offset some of the above limitations [2, 9].

### General Indication for Intraoperative TEE

Basic and FOCUS TEE have mostly been used in non-cardiac surgery to identify hypovolemia, decreased left or right systolic function, wall motion abnormalities, and PE [4•, 6•, 10]. Severe unexplained hemodynamic instability and hypoxia are the two main indication for the use of TEE in non-cardiac surgery [11•]. Despite the recent increase in the use of TEE in non-cardiac surgery, current data on its impact is largely limited to small retrospective or observational trials, often more than a decade old [2].

The American Society of Anesthesiologist (ASA) and the American Society of Echocardiography (ASE) practice guidelines recommend the use of TEE in the event that unexplained life-threatening circulatory instability persists despite appropriate therapy, or based on the integration of the patient’s condition, the risk of the surgical procedure and specific

circumstances that might result in severe hemodynamic, pulmonary, or neurologic compromise [6•]. The European guidelines are limited to TEE in intraoperative monitoring during cardiac surgery or peri-interventional imaging and do not specifically refer to the use of TEE in non-cardiac cases, deferring instead to the American Society of Echocardiography (ASE) guidelines [11•, 12•, 13, 14].

Sudden intraoperative hemodynamic instability is thus a category I indication; however, TEE may not be always readily available in all non-cardiac operating rooms due to lack of trained personnel and equipment [8•]. A risk score for more precise indications would be helpful to allocate resources to the patients who would likely benefit from it.

Indications for specific clinical scenarios in non-cardiac surgery are limited and are organized by classes of recommendation (A—supportive; B—suggestive; C—equivocal; D—insufficient) and level of evidence (1—multiple randomized controlled trials and meta-analysis; 2—multiple randomized controlled trials alone not supported by meta-analysis; 3—single randomized controlled trial). The ASE recommends TEE for trauma (D1); prosthetic valve thrombus (B2); kidney (B3), liver (B2), and lung (B2) transplantation; major vascular surgery (B2); orthopedic/spinal surgery (B2); and neurosurgery/sitting position (B2) [8•].

### Scanning Protocols

Standard adult TEE probes are omni-plane and allow clockwise plane rotation of the ultrasound plane from 0 to 180° by 1° increments [13]. Standard TEE views are obtained at different depths: upper esophageal (UE), mid-esophageal (ME), and transgastric (TG) levels, and at different omni-plane angles. Anteflexion, retroflexion, sideward flexion, left-right rotation, and advancement and withdrawal of the probe are complementary maneuvers used to optimize the image [13].

The latest generation of TEE probes, known as matrix array, allows simultaneous imaging of multiple 2D planes (at different omni-plane angles) as well as real-time 3D imaging.

Disposable probes (hTEE) consist of a 16-French catheter that can be inserted orally or nasally and left in place for up to 72 h [9]. The transducer is mono-plane and does not allow Doppler assessment.

A complete TEE exams include a standard set of views (11 to 28) at different levels in the esophagus and stomach. A comprehensive TEE examination is aimed at a complete assessment of heart structures and function and requires acquisition of 28 views. They include 2D images of all four cardiac valves, chambers, and great vessels and assessment of all cardiac valves with color and spectral Doppler. Specific 3D views are also required [1•, 6•, 13].

A basic TEE examination is aimed at intraoperative monitoring in non-cardiac surgery and requires 11 of the standard

28 views with limited assessment of valvular function and pathology [6••].

“FOCUS” TEE exam has been described in emergency medicine as an alternative to focused cardiac ultrasound for the assessment of shock and includes four standard 2D views and no Doppler. [10, 15, 16] A limited four-view protocol without Doppler has also been used for hTEE [9].

### Safety and Contraindications

Complications from TEE in intubated patients under general anesthesia are mostly related to traumatic mechanical damage at insertion and during probe manipulation. The overall safety profile is acceptable, but severe and life-threatening complications have been reported contributing to an overall morbidity ranging from 0.2 to 1.2% [17].

The most common complications are minor oropharyngeal injuries (incidence between 0.1 and 13%) such as lip trauma, hoarseness, sore throat, dysphagia (with no consistent independent correlation), and dental injury [17].

Esophageal perforation is the most feared and life-threatening complication. It occurs with an incidence between 0.03 and 0.09% [18]. Elderly female patients appear to be at increased risk.

Absolute contraindications are perforated viscus, esophageal stricture, esophageal tumor, esophageal perforation or laceration, esophageal diverticulum, and active upper GI bleed [1••].

Relative contraindications are history of radiation to neck and mediastinum, history of GI surgery, recent upper GI bleed, Barrett’s esophagus, history of dysphagia, restriction of neck mobility (severe cervical arthritis, atlantoaxial joint disease), symptomatic hiatal hernia, esophageal varices, coagulopathy, thrombocytopenia, active esophagitis, and active peptic ulcer disease [1••].

In order to guarantee infection prevention and control, a thorough cleaning of the probes is required and includes standard mechanical cleaning, 20 to 30 min of 2% glutaraldehyde solution bath, a final rinse, and thorough drying [19]. Given the toxicity of glutaraldehyde, institutions have opted for more expensive closed, automated TEE probe-cleaning systems, or UV light disinfection.

### Vascular Access Guidance

Surface ultrasound to help confirm proper positioning of a guidewire within the internal jugular vein does not allow complete visualization of the guidewire advancing into the superior vena cava (SVC) and cannot exclude the possibility of carotid artery puncture [20•]. TEE can be used to verify, in real time, correct guidewire positioning during central venous

cannulation by using the mid-esophageal bicaval view, prior to introducing the dilator or catheter [20•].

### Assessment of Fluid Status

Volume status can be evaluated by directly assessing the right and left chamber or vessel size or by dynamic evaluation of blood flow.

LV end-diastolic area in the TG mid-papillary SAX view is the single recommended measurement to assess volume responsiveness (increase in cardiac index of at least 11% induced by volume expansion) and appears to provide a better index of LV preload in patients with normal LV function, when compared to a pulmonary artery catheter [6••].

Using the ME bicaval view, both size and collapsibility of the inferior vena cava (IVC) can also be evaluated for estimation of CVP to predict response to fluid management [8••]. IVC inspiratory collapse occurs in hypovolemic spontaneous breathing patients, but may not occur in mechanically ventilated patients where we observe inspiratory IVC distension. Respiratory variation of the superior vena cava (distensibility >36%), accurately predicts fluid responsiveness in patients during controlled mechanical ventilation [8••, 21•]. Another way to predict fluid responsiveness during controlled mechanical ventilation is to measure the variation of the peak aortic blood flow velocity during the respiratory cycle in the deep TG five-chamber view with pulsed Doppler as it is directly related to stroke volume variation [21•]. Variation greater than 12% in the peak aortic outflow velocity accurately predicts fluid responsiveness [22]. This measurement is not reliable in patients with arrhythmia, right ventricular dilation or dysfunction, an open chest, or those ventilated with low tidal volumes (<8 ml/kg) [23, 24].

### Global and Regional Ventricular Function

Basic echocardiography can assess LV and RV global systolic function by qualitative, visual estimation. This is an accepted quick method to estimate ventricular function in patients with severe hemodynamic disturbances and unknown ventricular function [6••].

The TG mid-papillary SAX view provides visualization of 6-mid-papillary segments of the LV and all three coronary territories. For this reason, it is the most commonly used view for rapid assessment of LV function. Current ASE guidelines suggest that even for basic TEE echocardiography, this view should be combined with the ME four-chamber, ME two-chamber, and ME LAX views for more comprehensive evaluation and monitoring of global and regional LV function [6••].

TEE allows direct estimation of RV size and function. RV size can be qualitatively assessed in comparison to that of the

LV (normally 2/3 or less). Right ventricular hypertrophy and right atrial dilation will indicate chronic RV pressure or volume overload. Flattening of the interventricular septum in the TG mid-papillary SAX view indicates RV pressure (during systole) or volume (during diastole) overload. In surgical cases with high risk of RV dysfunction, in particular in non-thoracic cases where the RV cannot be inspected, the ASE recommends basic RV PTE monitoring [6••, 8••]. Right ventricular function can be quantified by fractional area change (FAC) of the RV cavity in the ME four-chamber view, or by measuring the distance traveled by the tricuspid valve annulus in systole (TAPSE), in the TG RV inflow view, or using anatomical M-Mode in the ME four-chamber view [25••].

## Intraoperative Hemodynamic Monitoring

### Pressures

Most left and right heart hemodynamic measurements (Table 1) derived from TEE imaging and Doppler assessment have been extensively studied [2, 26••].

### Central Venous Pressure

Right ventricular (RV) preload (central venous pressure (CVP)) can be estimated by TEE with the analysis of the trans-tricuspid pulsed-wave Doppler inflow profile at the level of the ME four-chamber view, from the acceleration rate of RV filling. The early diastolic wave (E) acceleration rate, in centimeter per second squared, estimates CVP based on the formula  $CVP = -1.263 + (0.01116 \times E \text{ acceleration rate})$  [5•].

### Pulmonary Artery Pressures

Pulmonary artery pressures (PAP) can be estimated at the ME modified bicaval tricuspid (TV) view by continuous-wave Doppler assessment of the tricuspid regurgitant jet (TRV), using the following formula  $PAP = (TRV^2 \times 4) + CVP$  (mmHg), based on the modified Bernoulli equation, where TRV is the maximal velocity of a tricuspid regurgitation jet [5•].

### Pulmonary Capillary Wedge Pressure

The pulmonary capillary wedge pressure (PCWP), as an indirect measure of LV preload can be estimated by TEE using the ME four-chamber view with pulsed-wave Doppler interrogation of the mitral inflow to assess the peak early velocity (E), and tissue Doppler interrogation of the lateral mitral annulus to assess the early diastolic annular wave (e'). PCWP can be estimated from the following formula  $PCWP = 1.24 \times (E/e') + 1.9$  (mmHg) [5•].

## Pulmonary Vascular Resistance

Pulmonary vascular resistance (PVR) is estimated based on TRV, and the right ventricular outflow tract velocity time integral ( $VTI_{RVOT}$ ) is measured in the TG RV basal view, using pulsed-wave Doppler at the proximal level of the pulmonary valve. PVR can then be estimated with the following formula  $PVR = [(5.19 \times TRV^2 / VTI_{RVOT} - 0.4)] \times 80$  ( $\text{dyn} \times \text{s} \times \text{cm}^{-5}$ ) [27].

## Cardiac Output

The best way to calculate the SV by TEE is multiplying the left or right ventricle outflow tract (LVOT or RVOT) VTI, which is the sum of instantaneous systolic velocities through the LVOT or RVOT over time in cm (stroke distance), by the cross-sectional area (CSA) of the LVOT or RVOT, which is Pi times radius squared ( $r^2$ ) in square centimeter. Some authors have recommended the use the Simpson method of the disks instead, but this technique is more cumbersome, requires clear endocardial border delineation and also relies on geometric assumptions [5•, 8••].

LVOT VTI can be calculated by pulse-wave Doppler at the level of the LVOT, between 2 and 5 mm below the aortic valve, from the deep TG five-chamber view. In the same manner, RVOT VTI is calculated from the ME ascending aorta SAX view or the UE aortic arch SAX view, between 2 and 5 mm below the pulmonic valve.

CSA of the LVOT is calculated from the LVOT diameter ( $d$ ), ( $r = d/2$ ), from the ME AV LAX view. CSA calculation though is based on the geometrical assumption of the LVOT being cylindrical. CSA of the RVOT is calculated from the ME RV inflow-outflow view.

CO can be then calculated based on the following formula  $CO = SV \times HR$ ;  $SV = CSA (\text{cm}^2) \times VTI (\text{cm})$ ;  $CSA_{LVOT} = \pi \times r^2$ , where  $r = d/2$ .

For more experienced echocardiographers, real-time 3D images of the LV allow dynamic semi-automated reconstruction of the LV cavity, providing a faster automated measurement of the SV, independent of any geometrical assumptions [2]. This method is more reproducible and faster than the Simpson method of the disks. Newer technology allows detection of ventricular chambers form a 3D block of the entire heart and automatic measurement of 3D volumes throughout the cardiac chambers. Despite its potential applications, this is currently not available for TEE acquired 3D datasets [28].

## Systemic Vascular Resistance

SVR can be calculated based on the following formula  $SVR = [(MAP - CVP) / CO] \times 80$  ( $\text{dyn} \times \text{s} \times \text{cm}^{-5}$ ), where MAP refers to mean arterial pressure and pressures are measured in millimeter of mercury, and CO in liter per min.

**Table 1** TEE applications during general anesthesia

Structure	Indications	Level of evidence
Heart	Right heart size and function	Observational studies
	Left heart size and function	Observational studies
	Gross valvular abnormalities	Observational studies
	Intracardiac pressures	Observational studies
	Intracardiac clots	Case reports
	Assess anastomoses during lung transplant	Case reports
Lung	Consolidation	Case reports
	Pleural effusion	Case reports
Aorta	Dilatation and dissection	Observational studies
	Guide to thoracic stent placement	Observational case-control studies
Superior vena cava	Identify wires and central venous cannulas	Case reports
Inferior vena cava	Cannulas positioning for ECMO	Case reports
	Assessment for thrombus	Case reports
Epidural space	Assessment of positioning of epidural catheters	Observational study
Kidney	Assessment of renal flow	Case reports

**Diastolic Function**

LV diastolic dysfunction is a sensitive sign of myocardial dysfunction and has been associated with poor outcome after non-cardiac and cardiac surgery [29, 30]. A simplified approach is based on the measurement of mitral annulus tissue Doppler e' velocity and mitral valve inflow early velocity E over e' ratio. A E/e' > 8 has been associated with an increase in

postoperative cardiovascular events, pulmonary congestion, arrhythmias, and longer ICU and hospital stays [29] (Table 2).

**Heart Rhythm**

When the electrocardiogram (ECG) tracing is not available due to significant artifacts or other technical problems,

**Table 2** Hemodynamic calculation SVR

Parameter	View	Measure	Calculation
CVP	ME 4C	Tricuspid valve inflow PW E wave	$-1.263 + (0.01116 \times \text{E acceleration rate})$
PAP	Modified bicaval	Tricuspid valve regurgitant jet peak flow	$4 \times \text{TRV}^2 + \text{CVP}$
PCWP	ME 4C	Mitral valve inflow PW E wave and mitral annulus tissue Doppler e' wave	$1.24 \times (E/e') + 1.9 \text{ (mmHg)}$
PVR	ME 4C TG RV basal view	Tricuspid valve regurgitant jet peak flow; RVOT PW velocity time integral	$[(5.19 \times \text{TRV}^2 / \text{VTI}_{\text{RVOT}} - 0.4) \times 80 \text{ (dyn} \times \text{s} \times \text{cm}^{-5})]$
LVSV	ME LAX DTG	LVOT diameter, LVOT PW velocity time integral	$\pi (\text{LVOT}_d)^2 \times \text{LVOT}_{\text{VTI}}$
RVSV	Ao arch SAX ME RV in-out	RVOT diameter, RVOT PW velocity time integral	$\pi (\text{RVOT}_d)^2 \times \text{RVOT}_{\text{VTI}}$
SVR			$[(\text{MAP} - \text{CVP}) / \text{CO}] \times 80$

CVP central venous pressure, ME mid-esophageal, 4C four-chamber, PW pulsed-wave Doppler, PAP pulmonary artery pressure, TRV tricuspid regurgitant jet peak velocity, PCWP pulmonary capillary wedge pressure, PVR pulmonary vascular resistances, RVOT right ventricular outflow tract, LVSV left ventricular stroke volume, ME LAX long axis, DTG deep transgastric, LVOT left ventricular outflow tract, LVOTd left ventricular outflow tract diameter, LVOT<sub>VTI</sub> left ventricular outflow tract velocity time integral, RVSV right ventricular stroke volume, Ao Arch SAX, aortic arch short axis, RV in-out right ventricular inflow-outflow, RVOTd right ventricular outflow tract diameter, RVOT<sub>VTI</sub> right ventricular outflow tract velocity time integral, SVR systemic vascular resistances

Doppler interrogation of the transmitral flow has been described as an alternative and reliable method to confirm or rule-out arrhythmias [31].

### Rule-out Gross Valvular Lesions

Basic TEE provides the ability to perform a qualitative assessment of valvular regurgitation and/or stenosis of the aortic, mitral, tricuspid, and pulmonic valves based on valve morphology [6••]. Jet area or vena contracta width by Color Doppler allows differentiation of mild from moderate or severe regurgitation. The assessment of severe valvular lesions, eccentric jets, and prosthetic valves should be left to advanced TEE examination [1••, 6••].

### Perioperative Pulmonary Embolism

TEE is not the gold standard for the diagnosis of pulmonary embolism (PE). In unstable patients, not suitable for contrast CT scan, TEE allows identification large clots in the proximal pulmonary arteries as well as indirect signs of PE such as RV dilatation. The identification of the thrombus is not always possible, as it might have migrated too distally or into the left pulmonary artery, which is not visible to TEE. Differentiation of acute vs. chronic RV dilatation requires advanced skills; however, a normal RV size and function is unlikely to be present with a hemodynamically significant PE [6••].

### Assessment of Extra-cardiac Structures

#### Lung Ultrasonography

TEE has been used to image the lungs and pleura during surgical procedures and in the evaluation of acute respiratory deterioration in critically ill patients, where transthoracic ultrasonography is either suboptimal or not available [32••].

Just as in surface lung ultrasound, lung assessment with TEE is based on accurate images of true lung tissues and interpretation of artifacts; TEE may be used to assess four main lung diagnosis: pleural effusions, lung consolidation, alveolar-interstitial syndrome, and pneumothorax, as well as for monitoring of extravascular lung water (EVLW) to guide fluid/diuretic therapy [32••, 33••].

Pleural effusions can be detected with high sensitivity and specificity. Effusions as small as 125 ml can be easily visualized, especially on the left side due to the aortic acoustic window (descending aorta SAX view). Right-sided effusions and pathologies can also be

visualized but with less sensitivity due to the acoustic shadow created by the vertebral bodies [32••]. A semi-quantitative evaluation of pleural effusion can be done manually, tracing the cross-sectional area of the effusion (CSA<sub>max</sub>) in the transverse plan. This quantifies the effusion as small (<400 mL, CSA <20 cm<sup>2</sup>), moderate (400–1200 mL; 20–40 cm<sup>2</sup>), or severe (>1200 mL; >40 cm<sup>2</sup>) [32••].

The lung consolidation due to pneumonia, atelectasis, pulmonary contusion, neoplasm, or pulmonary infarction are characterized on lung ultrasound by increased density of B-lines and by absence of alveolar air producing a tissue-like echotexture. B-lines are hyperechoic vertical linear artifacts generated in the pleural interface or subpleural tissue which move in tandem with the parenchymal pleura [32••]. In the most severe cases of consolidation and atelectasis, the lung can take on a sonographic texture closely resembling that of the liver.

Pulmonary edema or fibrosis resulting in interstitial thickening, increase in hydrostatic capillary pressure, (as in left ventricular failure), or in capillary permeability (as in ARDS) will all result into multiple vertical B-lines, whose number seems to be proportional to EVLW [32••]. The pattern and the location will suggest a global or localized process.

It is highly unlikely that TEE would be able to detect the typical ultrasonographic signs of a pneumothorax (absence of lung sliding, B-lines, and lung pulse); however, in case of tension pneumothorax collapse of the right atrium or diastolic obstruction of the right ventricular outflow tract, may be noticed [32••].

#### Renal Perfusion

Early acute kidney injury may be detected by ultrasound assessment of renal artery flow, with high sensitivity and specificity [34]. From the SAX view of the descending aorta, advancing the probe 4–6 cm with the aorta in view, the origin of the left renal artery can be found and followed. Right rotation of the probe by approximately 90° will move the posteriorly directed beam to the left, allowing visualization of the left kidney. Color-flow Doppler (CFD) at a Nyquist limit of 15–20 cm/s can then be used to interrogate blood flow in the left renal vessels. Two indices can be calculated on the main renal artery by pulsed-wave Doppler: resistive index (RI; [peak systolic velocity–minimum diastolic velocity]/peak systolic velocity; normal range 0.64–0.70) and the pulsatility index (PI; [peak systolic velocity–minimum diastolic velocity]/mean velocity; normal range 0.93–1.25). Increased resistance to flow distal to the point of measurement causes an increase in the pulse pressure relative to the peak and mean velocities, yielding higher PI and RI values. In one study, RI values >0.79 measured postoperatively predicted onset of AKI, with values >0.83 predicting the need for dialysis [34]. Other causes for

elevated PI and RI include vasoconstriction, bradycardia, hypo- or hypertension, chronic renal failure, hydronephrosis, renal vein or artery thrombosis/stenosis and significant aortic regurgitation [34].

### Imaging of Epidural Space

Transesophageal echocardiography has been used to identify spinal canal structures and epidural catheter position in adult patients [35].

To visualize the spinal canal structures, the probe is advanced to obtain a SAX view of the descending thoracic aorta, an additional rotation of 10–20° allows the visualization of the vertebral bodies. Gentle withdrawal of the probe allows scanning through the gelatinous intervertebral disks to obtain a window to the spinal canal [35].

This technique has been used to locate the position of epidural catheters.

### Rescue TEE in Pre or Cardiac Arrest

TEE can be performed in course of CPR in intubated patients, without interfering with chest compressions and defibrillation. The high feasibility (98%) combined with the ability to image continuously with quality that is superior to TTE and documented impact on diagnostic and therapeutic decisions (65–80% in published retrospective reviews) make TEE an essential tool in emergency situations [10]. The most common indications of TEE as a rescue tool among intubated patients are cardiac arrest, post arrest management, and undifferentiated hypotension [10]. Basic TEE has demonstrated the ability to identify common causes of hemodynamic instability and cardiac arrest such as massive pulmonary embolism, cardiac tamponade, severe hypovolemia, and ventricular failure, and to guide appropriate therapeutic interventions [2].

### Specific Surgical Scenarios

#### Liver Transplant

During liver transplantation, patients invariably undergo major hemodynamic derangement possibly leading to tissue hypoperfusion. Despite the high incidence of esophageal varices in liver transplant patients, TEE has been found to be relatively safe. For patients at higher risk of hemodynamic compromise, TEE should not be viewed as absolutely contraindicated and risk-benefit balance should be carefully assessed [36, 37]. TEE has the ability to provide real-time information on cardiac function, contractility, and volume status at all stages of liver transplantation and to identify the etiology of hemodynamic compromise [8•, 36]. Common liver transplantation

complications detected by TEE include development of pulmonary hypertension, intracardiac thrombosis, pulmonary embolism, myocardial ischemia, cardiac tamponade, IVC compression or obstruction, acute right heart failure, and systolic anterior motion of the anterior MV leaflet [8•]. For these reasons, TEE is a standard of care in many centers for intraoperative hemodynamic monitoring of liver transplant patients.

#### Kidney Transplant

The recommendations from the ASE for the use of TEE in patients undergoing kidney transplantation are limited to patients with coexisting cardiovascular disease [8•]. TEE is recommended to evaluate LV and RV systolic and diastolic function in these patients [8•].

#### Lung Transplant

TEE is recommended in lung transplantation to identify different causes of hypotension and hypoxia [2]. During off pump and after lung transplantation, TEE assessment of right ventricular function allows pre-emptive initiation of inotropic support and inhaled pulmonary vasodilators [8•, 38]. TEE also allows intraoperative assessment of surgical anastomoses [39].

Identification of intracardiac shunts is also critical in the differential diagnosis of hypoxia. TEE is also recommended for similar reasons for elective pulmonary endarterectomy [2, 40].

#### Vascular Surgery

Dynamic changes during clamping of major vessels such as aorta or vena cava in major vascular surgery cases often lead to end-organ hypoperfusion, systolic and diastolic dysfunction, or myocardial ischemia. TEE is more sensitive than PA catheters in detecting changes in different hemodynamic parameters such as CO, LV ejection fraction, LV end-diastolic dimension, and regional wall motion abnormalities (in the transgastric SAX view) [8•, 40]. Resection of renal cell carcinomas (RCC) with tumor thrombus invasion into the inferior vena cava (IVC) is another case where TEE is of critical importance for the perioperative management, providing real-time surveillance of the proximal extension of the thrombus and surgical guidance in both the intra-abdominal and supra-diaphragmatic resection, guiding need for cardiopulmonary bypass, IVC snaring, and complete removal of the thrombus [41]. TEE provides high quality real-time imaging of the descending thoracic aorta. It is more accurate than angiography in detecting minor aortic injury and entry point of aortic

dissection and can possibly detect end-organ flow [42–44]. TEE is used to guide endovascular stent placement and is more sensitive than angiography in detecting endo-leaks when contrast is used [45–47].

### Orthopedic Surgery

Intraoperative TEE can be used to monitor cement and fat pulmonary microemboli and intracardiac shunting during hip arthroplasty, spinal surgery, and knee arthroplasty; however, its routine use is not supported by current guidelines given the relatively low incidence of these complications [11••]. TEE remains a rescue option in case of hemodynamic collapse [8••]. Right ventricle dysfunction due to an acute increase in pulmonary vascular resistance can be assessed by 2D TEE using TAPSE or FAC and by Doppler, using pulmonic valve VTI and peak tricuspid regurgitant jet velocity measurements. Intracardiac shunting through a patent foramen ovale can be detected by color-flow Doppler [8••].

### Neurosurgery

TEE is recommended as a monitoring tool during craniotomies in the sitting position [11••]. Due to the common occurrence of venous air embolism during these procedures, basic TEE provides real-time visual surveillance and quantification of air embolism [2, 6••, 8••]. Screening for a patent foramen ovale (a relatively common finding), by evaluation of the interatrial septum using color-flow Doppler and agitated saline contrast, is instrumental in decreasing the risk of paradoxical air embolism [8••]. Changes secondary to venous air embolic load can also be monitored using Doppler to assess the right-sided pulmonary pressures and 2D to assess the right ventricular function [48]. Extreme precautions are needed to prevent the known complications of TEE during the sitting positions, such as posterior tongue edema or necrosis [17].

### Extracorporeal Membrane Oxygenation

Although there are currently no guidelines with respect to the optimal monitoring of patients on extracorporeal membrane oxygenators (ECMO), TEE plays a crucial role in every step of ECMO support. It allows confirmation of the underlying diagnosis, supporting the choice between veno-venous (VV) and veno-arterial (VA) ECMO. It provides guidance at the time of cannulation and also detects cannula dislodgement or obstruction, provides guidance during the weaning phase in detecting and quantifying myocardial recovery [49].

### Training and Certification

Competent performance of intraoperative TEE requires a combination of cognitive, perceptual, and motor skills including understanding of echocardiographic technology, knowledge of anatomy and pathophysiology, image acquisition and optimization, artifact recognition, and image interpretation [1••]. Several pathways for certification in intraoperative TEE have been suggested in North America and Europe [6••].

All require a component of supervised training, a portfolio of studies personally performed, a written exam, or in some cases, the completion of a full year of a specific anesthesia fellowship [2]. Given the time commitment required and the fact that certification is not required in all jurisdictions, formal training, maintenance of certification, and quality assurance for all anesthesiologists performing TEE remains a significant challenge in many centers.

### Conclusion

TEE is a powerful intraoperative imaging modality with potentially critical clinical impact in the management of surgical patients. While a detailed TEE examination is required for comprehensive assessment of cardiac structure and function, basic exam is recommended for hemodynamic monitoring and is adequate for most non-cardiac surgical scenarios. TEE provides guidance during vascular cannulation, quantitative hemodynamic monitoring, as well as diagnosis in most acute cases of hemodynamic instability and hypoxemia. Furthermore, it is useful in the detection of intracardiac thrombi and air emboli and in the assessment of surgical anastomoses in lung transplantation.

Newer technologies may bring the use of 3D TEE to less expert users and allow accurate automated quantitative chamber quantification.

The decreasing cost of equipment, the introduction of simplified scanning protocols, and the introduction of mandatory training in point of care ultrasound in postgraduate curricula will likely contribute to spread the use of this tool for intraoperative monitoring during non-cardiac surgery.

### Compliance with Ethical Standards

**Conflict of Interest** Jacobo Moreno Garijo, Azad Mashari, and Massimiliano Meineri declare that they have no conflicts of interest.

**Human and Animal Rights and Informed Consent** This article does not contain any studies with human or animal subjects performed by any of the authors.



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