ORIGINAL RESEARCH



# Visual Outcomes and Patient Satisfaction After Bilateral Refractive Lens Exchange with a Trifocal Intraocular Lens in Patients with Presbyopia

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Received: February 24, 2023 / Accepted: March 28, 2023 / Published online: April 13, 2023  $\ensuremath{\mathbb{C}}$  The Author(s) 2023

## ABSTRACT

*Introduction*: Refractive lens exchange (RLE) patients represent a unique population with a different baseline and set of expectations compared to their cataract counterparts. Visual outcomes and patient satisfaction following RLE with bilateral implantation of a trifocal intraocular lens (IOL) were assessed in a cohort of patients with presbyopia and without cataract.

**Supplementary Information** The online version contains supplementary material available at https://doi.org/10.1007/s40123-023-00708-x.

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Arizona College of Osteopathic Medicine, Midwestern University, Glendale, AZ 85308, USA *Methods*: Charts of patients with presbyopia who underwent femtosecond laser-assisted RLE with bilateral implantation of the PanOptix trifocal IOL (toric and non-toric) at a singlesurgeon, private practice center from September 2019 to August 2020 were retrospectively reviewed. Eyes with prior keratorefractive surgery, amblyopia, or underlying pathology were excluded. Primary endpoints included corrected and uncorrected visual acuity at distance (CDVA and UDVA), intermediate (DCIVA and UIVA), and near (DCNVA and UNVA) at

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C. K. Yim (🖾) 1450 San Pablo Street, #4400, Los Angeles, CA 90033, USA e-mail: Cindi.yim@med.usc.edu 6 months. Secondary endpoints included residual refractive error, patient-reported satisfaction, spectacle independence, and visual disturbance profile as assessed by a validated questionnaire at 6 months.

Results: Seventy-eight eyes of 39 patients (mean age  $56 \pm 6$  years; 79% female) were included. Most eyes were hyperopic (mean SE 2.35  $\pm$  1.81). Postoperatively, 100% and 92% of eves were within  $\pm 1.00 \text{ D}$  and  $\pm 0.50 \text{ D}$  of emmetropia, respectively. One hundred percent, 97%, and 97% of patients achieved UDVA, UNVA, and UIVA of logMAR 0.1 or better. Starbursts were the most frequent (67%) and bothersome (41%) visual disturbance cited, but nearly half (41%) of patients rated them as absent or "not bothersome at all." Overall, 77% of patients reported achieving complete spectacle independence with 87% and 90% of patients stating they were satisfied with their vision and would recommend the same procedure to others, respectively.

*Conclusions*: RLE with bilateral implantation of the PanOptix IOL is a safe and effective procedure with good patient satisfaction. Because of their relatively clear native lenses and visually demanding needs compared to their cataract counterparts, surgeons should take extra precautions to counsel RLE patients on the limitations of trifocal technology.

**Keywords:** PanOptix; Presbyopia; Refractive lens exchange; Trifocal

## **Key Summary Points**

#### Why carry out this study?

The AcrySof IQ PanOptix (Alcon Fort Worth, TX, USA) is the first and only trifocal IOL approved by the US Food and Drug Administration, and allows for increased spectacle independence at distance, intermediate, and near.

Research has shown safe and effective outcomes for PanOptix implantation in mostly cataract and mixed cataract + RLE cohorts, but studies evaluating noncataractous, RLE-only populations are limited.

RLE patients represent a unique subset with a different baseline and often higher set of expectations compared to their cataract counterparts and warrant further study.

#### What was learned from this study?

Bilateral RLE with the PanOptix trifocal IOL results in good unaided vision at distance, intermediate, and near with good patient satisfaction and an acceptable visual disturbance profile.

Because of their visually demanding needs and relatively clear native lenses compared to their cataract counterparts, surgeons should take extra precautions to counsel RLE patients on the limitations of trifocal technology, including the likelihood of photic phenomena and possible need for spectacles postoperatively.

# **INTRODUCTION**

Presbyopia is the natural and irreversible loss of the eye's accommodation due to aging and is the most common cause of decreased near vision in adults older than age 40 [1]. Presbyopia has been found to have a negative impact on quality of life in adults because of the limitations it poses on near vision activities [1]. As the global population's life expectancy continues to rise, the proportion of individuals older than 40 and presbyopic will continue to grow and is predicted to be 1.8 billion by 2050 [1].

Current treatments for presbyopia are divided between optical correction, with spectacles or contact lenses, and refractive surgery. The keratorefractive surgical techniques to correct presbyopia including monovision laser in situ keratomileusis (LASIK) and corneal inlays [3-5] treat the symptoms but not the underlying cause of presbyopia, specifically the crystalline lens dysfunction. More recently, refractive lens exchange (RLE) which consists of replacing a non-cataractous lens with a presbyopia-correcting intraocular lens (IOL) has gained increasing popularity [2–4]. However, multifocal IOLs with only two focal points at distance and near do not provide optimal intermediate vision [5]. Moreover, the increased range of vision is not without adverse effects, such as increased photic phenomena or reduced contrast sensitivity [6-8].

To improve intermediate vision without impairing distance and near visual acuity, trifocal IOLs were designed to provide three useful focal distances: near, intermediate, and far [9-11]. The AcrySof IQ PanOptix (Alcon Fort Worth, TX, USA) is the first and only trifocal IOL to be approved by the US Food and Drug Administration (FDA), and allows for increased spectacle independence at distance, intermediate (60 cm), and near (40 cm). At a pupil diameter of 3.0 mm, approximately 40% of the light energy is directed to distance, with approximately 25% at near and 22% at intermediate. When the pupil dilates to 6.0 mm, the light energy at distance is increased to 70% with 10% each at near and intermediate [12]. Studies have shown safe and effective outcomes for PanOptix implantation amongst cataract and mixed cataract + RLE study populations, but similar studies evaluating outcomes in non-cataractous, RLE-only cohorts are limited. Because of their visually demanding needs and relatively clear native lenses, RLE patients may experience notable differences in their satisfaction, spectacle independence, and photic phenomena levels compared to their cataract counterparts. To our knowledge, this is the first significant case series describing the outcomes of RLE with bilateral PanOptix IOL implantation specifically in patients without cataract in the USA, where it is considered an "off-label" procedure.

## METHODS

This was a retrospective, non-interventional case series (i.e., chart review) performed at a singlesurgeon, private practice center. The charts of all patients who underwent femtosecond laser-assisted RLE with bilateral implantation of the Acrysof IO PanOptix trifocal IOL (Alcon Fort Worth, TX, USA) between September 1, 2019 and August 31, 2020 were reviewed. Inclusion criteria were patients with presbyopia, as defined by distance corrected near vision (DCNVA) of  $0.3 \log MAR (20/40)$  or worse, with healthy eyes and clinically insignificant intraocular lens and media opacity with best-corrected visual acuity of  $0.0 \log MAR (20/20)$  or better. Eyes with prior keratorefractive surgery, amblyopia, or any underlying ocular pathology including significant ocular surface disease and abnormal corneal higher order aberrations were excluded.

The study was conducted in accordance with the principles of the Declaration of Helsinki and was approved by the ethical committee of the Salus Institutional Review Board.

All patients provided written informed consent after explanation of the "off label" nature and possible consequences of the procedure. Patients then underwent a comprehensive preoperative ophthalmic examination including an anterior segment slit lamp exam with fluorescein corneal staining and assessment of the tear breakup time (TBUT) as well as a posterior dilated fundus exam. Additional testing included preoperative uncorrected distance visual acuity (UDVA), corrected distance visual acuity (CDVA), uncorrected near visual acuity (UNVA), and DCNVA as well as manifest refraction, optical biometry (Carl Zeiss IOL Master 500, Germany), corneal tomography (Oculus Pentacam Oculus Optikgerate GmbH, Wetzlar, Germany), endothelial cell count (Konan SP-9000, Hyogo, Japan), and optical coherence tomography of the macula and optic nerve (Optovue). IOL calculations were performed with the Barrett II Universal formula (Holladay IOL consultant, Houston, TX, USA and www.apacrs.org/ barrett\_universal2). The *A* constant of 119.1 was used. Targeted postoperative refraction was plano for both eyes. All patients received extensive counseling on the risks, benefits, and alternatives of undergoing RLE with a trifocal IOL.

Femtosecond laser-assisted RLE surgery was performed using the LensAR (LensAR Inc, Winter Park, FL, USA) to complete a 5.0-mm capsulotomy, lens fragmentation, arcuate incisions for mild corneal astigmatism correction (a toric IOL was used for greater than 0.75 D against-therule and 1.00 D with-the-rule astigmatism), and a 2.75-mm clear corneal incision. All cases were performed under topical anesthesia and oral sedation with MKO melt (midazolam, ketamine, ondansetron compound) (Melt Pharmaceuticals, TN, USA). Phacoemulsification was completed with the Centurion (Alcon Laboratories, Fort Worth, TX, USA). Postoperatively, all patients received a combined antibiotic, NSAID, and steroid drop four times a day on a tapering schedule for 4 weeks. Patients returned for follow-up at 1 day, 1 week, 4 weeks, 3 months, and 6 months or more visits as needed.

At the 6-months follow-up visit, the UDVA, binocular uncorrected monocular intermediate visual acuity (UIVA) at 66 cm, and binocular UNVA at 40 cm were collected along with the monocular CDVA and binocular DCIVA and DCNVA. A careful manifest refraction and assessment of spherical equivalent were completed. Validated patient questionnaires assessing visual disturbances within the past 7 days (QUVID) along with spectacle independence, quality of vison under dim and bright lighting, and patient satisfaction (IOL-SAT) were administered to emmetropic  $(-0.25 \le SE \le 0.75 \text{ and } cyl \le 0.75)$  patients at the 6-months visit [12]. Patients with significant residual refractive error or posterior capsular opacity at any time during the follow-up period were treated with keratorefractive surgery and YAG laser capsulotomy, respectively, prior to questionnaire administration.

Statistical analyses were performed using MATLAB (Natick, Massachusetts: The

MathWorks Inc.). Visual acuity was converted to logarithm of the minimum angle of resolution (logMAR) and compared using a two-tailed Student *t* test, with significance corresponding to p < 0.05.

# RESULTS

A total of 78 eyes of 39 patients were included in the analysis. The mean age was  $56 \pm 6$  years, and 79% (30) of patients were female. Most patients were hyperopic with mean preoperative spherical equivalent + 2.35  $\pm$  1.81 diopters (D) and sphere ranging from -5.00 to 8.00 D; only six patients (15%) were myopic preoperatively. Mean preoperative cylinder was  $0.75 \pm 1.79$  D with refractive astigmatism ranging from 0.00 to 5.50 D. Patient demographics and preoperative monocular UDVA and binocular UNVA are demonstrated in Table 1. UIVA data was unavailable from the chart review. Mean follow-up time was 8.9 months (range 5.8–16.0 months).

Table 1	Preoperative	patient	characteristics
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Patient characteristics $(n = 78)$	8 eyes of 39 patients)
Mean age (years)	$56 \pm 6.0$
Gender	
Male	8 (21%)
Female	31 (79%)
Preoperative visual acuity	
Mean monocular UDVA (logMAR)	$0.69 \pm 0.45$ (Snellen 20/100)
Mean binocular UNVA (logMAR)	$0.66 \pm 0.36$ (Snellen 20/80)
Preoperative manifest refraction	n
Mean spherical equivalent (D)	2.35 ± 1.81

UIVA (uncorrected intermediate visual acuity) was not available from chart review because it is not routinely collected at the preoperative visit

UDVA uncorrected distance visual acuity, UNVA uncorrected near visual acuity





#### **Best-Corrected Visual Acuity**

The postoperative CDVA, DCIVA, and DCNVA at 6 months are summarized in Fig. 1a.

The mean postoperative monocular CDVA was 0.00 logMAR (20/20). Ninety-nine percent (38) and 100% (39) of patients achieved monocular CDVA of 0.00 logMAR (20/20) and

At near, the mean postoperative binocular DCNVA was 0.02 logMAR (approximately 20/20), with 90% (35), 97% (37), and 100% (39) of patients achieving binocular DCNVA of 0.00 logMAR (20/20), 0.10 logMAR (20/25), and 0.20 logMAR (20/30) or better, respectively.

At intermediate, the mean postoperative binocular DCIVA was 0.03 logMAR (approximately 20/20), with 69% (27), 97% (37), and 100% (39) of patients achieving binocular DCIVA of 0.00 logMAR (20/20), 0.10 logMAR (20/25), and 0.20 logMAR (20/30) or better, respectively.

## **Uncorrected Visual Acuity**

Table 2 and Fig. 1b summarize the improvement in UDVA and UNVA at 6 months after surgery.

The mean postoperative monocular UDVA was 0.02 logMAR (approximately 20/20), with 81% (32), 97% (37), and 99% (38) of patients achieving monocular UDVA of 0.00 logMAR (20/20), 0.10 logMAR (20/25), and 0.20 logMAR (20/30) or better, respectively.

Binocularly, this improved to 97% (37) and 100% (39) of patients achieving UDVA of 0.00 logMAR (20/20) and 0.10 logMAR (20/25) or better, respectively.

The mean postoperative binocular UNVA was 0.04 logMAR (approximately 20/20), with

Table 2	Pre-	and	postoperative	uncorrected	visual	acuity
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**Fig. 2** Patient-reported **a** frequency, **b** severity, **c** bother-► someness of visual disturbances within the last 7 days at 6 months postoperatively

87% (34), 97% (37), and 97% (37) of patients achieving binocular UNVA of 0.00 logMAR (20/20), 0.10 logMAR (20/25), and 0.20 logMAR (20/30) or better, respectively.

The mean postoperative binocular UIVA was 0.04 logMAR (approximately 20/20), with 64% (25), 97% (37), and 97% (37) of patients achieving binocular UIVA of 0.00 logMAR (20/20), 0.10 logMAR (20/25), and 0.20 logMAR (20/30) or better, respectively.

### **Refractive Outcomes**

Table 2 shows a statistically significant improvement in reduction of sphere, cylinder, and spherical equivalent at 6 months post-RLE surgery. The mean postoperative spherical equivalent was  $0.23 \pm 0.19$  D. Overall, 100% (78) and 92% (72) of eyes were within  $\pm 1.00$  D and  $\pm 0.50$  D of emmetropia, respectively. Three (4%) eyes underwent LASIK enhancements before their final exams for small residual refractive errors.

## **Patient-Reported Outcomes**

## Visual Disturbances (QUVID Questionnaire)

The frequency of patient-reported visual disturbances within the past 7 days of survey administration at their 6-month postoperative

	Preoperative (mean ± SD)	Postoperative (mean ± SD)	p value
Sphere	$1.79 \pm 2.23$	$0.21\pm0.33$	< 0.0001
Cylinder	$-0.75 \pm 1.79$	$-0.29 \pm 0.29$	0.0321
Spherical equivalent	$2.35 \pm 1.81$	$0.23 \pm 0.19$	< 0.0001
UDVA (logMAR)	$0.69 \pm 0.45$	$0.00\pm0.04$	< 0.0001
UNVA (logMAR)	$0.66 \pm 0.36$	$0.06 \pm 0.17$	< 0.0001

UIVA (uncorrected intermediate visual acuity) was not available from chart review because it is not routinely measured in the preoperative visit

UDVA uncorrected distance visual acuity, UNVA uncorrected near visual acuity







visit is summarized in Fig. 2a. The most commonly reported visual disturbances were starbursts (67%, N = 26) followed by glare (62%, N = 24), haloes (51%, N = 20), blurred vision (36%, N = 14), hazy vision (23%, N = 9), and a "dark area" (i.e., negative dysphotopsia; 13%, N = 5). There were no complaints about double vision. For positive dysphotopsias, the greatest reported frequency for haloes and glare was "never" (49%, N = 19 and 38%, N = 15, respectively), while the most commonly reported frequency for starbursts was "always" (41%, N = 16) followed by "never" (33%, N = 13). Still, over one-third of patients reported "never" experiencing any positive dysphotopsias at all.

The severity of patient-reported visual disturbances is summarized in Fig. 2b. Of those patients who experienced starbursts, haloes, and glare, the majority rated those symptoms to be moderate and severe. More patients rated starbursts (59%, N = 23) as "moderate" or "severe" compared to haloes (44%, N = 17) and glare (41%, N = 16) (p < 0.05). However, when patients were asked to rate the "bothersomeness" of their dysphotopsias, the greatest proportion of patients reported "none" or "not bothered at all" for starbursts (41%, N = 16), haloes (51%, N = 20), and glare (44%, N = 17) (Fig. 2c). Starbursts were cited as most bothersome with 41% (16) of patients reporting that they were "bothered quite a bit" or "very much" compared to haloes (28%, N = 11) and glare (29%, N = 11) (p < 0.05).

# Spectacle Independence (IOLSAT Questionnaire)

At the 6 months postoperative visit, most patients (77%, N = 30) reported "never" needing glasses to see at any distance in the past 7 days. Only 15% (6) of patients stated that they needed glasses to see "sometimes" and 3% (1) "rarely" (Fig. 3a). Overall, 82% (32), 90% (35), and 87% (34) of patients reported never needing glasses to see at distance, intermediate, and near, respectively, in general lighting (Fig. 3b). To gauge their preoperative expectation levels for spectacle independence, patients were asked how often they were expecting to need glasses after surgery; 77% reported "never."



Patients Requiring Eyeglasses in General Lighting at 6 Months



**Fig. 3** Spectacle independence: Patients requiring eyeglasses to see **a** at any distance and **b** at distance, intermediate, and near in general lighting within the last 7 days at 6 months postoperatively

#### **Quality of Vision (IOLSAT Questionnaire)**

In bright lighting, most patients described their vision as "good" or "very good" at distance (66%, N = 25), intermediate (77%, N = 30), and near (70%, N = 27). Fewer patients reported "very good" quality of vision in dim lighting, but most patients still described their vision as "good" or "very good" at distance (67%, N = 26), intermediate (82%, N = 32), and near (72%, N = 28) (Supplementary Material).

#### Patient Satisfaction (IOLSAT Questionnaire)

Overall, 87% (34) of patients reported being "satisfied" or "very satisfied" with their vision following RLE. Thirteen percent (5) of patients

50

45

40

Percentage of Patient Responses 72 75 72 15

10

5

0

b



 Patient Satisfaction with Vision following RLE Surgery at 6 Months

Fig. 4 a Patient satisfaction with vision following RLE surgery at 6 months postoperatively. **b** Likelihood of patient having the same surgery again and recommending the same surgery to others

Patient Responses

Recommend to friends or family?

Do it all over again?

reported being "neither satisfied nor dissatisfied," and no patients reported being "dissatisfied" or "very dissatisfied" (Fig. 4a). The most common reasons cited for unhappiness included nighttime visual disturbances, inability to see fine print up-close, and symptoms related to ocular surface disease. When asked if they would have the same lens implanted again,

Study (year)	N patients (eyes)	Follow- up (months)	Indication	UDVA	BCDVA	UIVA	BCIVA	UNVA	BCNVA	Survey
Present study	27 (54)	9	RLE	0.00 土 0.04	$-0.01 \pm 0.06$	0.04 ± 0.07	0.03 ± 0.05	0.04 ± 0.07	$0.02 \pm 0.04$	Validated surveys (IOLSAT and QUVID) from Alcon
Bissen- Miyajima 2020	68 (135)	9	Cataract	$-0.104 \pm 0.095$	$-$ 0.197 $\pm$ 0.076	At $60 \text{ cm} - 0.065 \pm 0.111$	At $60 \text{ cm} - 0.112 \pm 0.111$	$-0.042 \pm 0.105$	$-0.073 \pm 0.111$	Self-developed
Kohnen 2020	145 (290)	12	Cataract	$0.02 \pm 0.11$	$-0.04 \pm 0.11$		At 60 cm $0.04 \pm 0.12$ At 80 cm $0.08 \pm 0.14$	$0.07 \pm 0.11$		None
Carreño 2020	250 (500) 100 (200) toric	-	Cataract/ RLE	- 0.08	I	0.03	I	- 0.04	I	None
Alfonso 2020*	40 (80)	9		$0.02 \pm 0.88$	$0.004 \pm 1$	I	I	$0.076 \pm 0.92$	$0.071 \pm 0.88$	None
Lawless 2017	33 (66)	4-9 weeks	Cataract/ RLE	$0.01 \pm 0.01$	I	$0.30 \pm 0.14$	ı	$0.11 \pm 0.04$	I	None
Nicula 2020	64 (128)	12	RLE	$-$ 0.007 $\pm$ 0.136	$-$ 0.042 $\pm$ 0.077	$-$ 0.002 $\pm$ 0.141	$-$ 0.039 $\pm$ 0.083	$-0.003 \pm 0.138$	$-0.041 \pm 0.0080$	Self-developed survey
Alió et al. 2018	26 (52)	6	Cataract	$0.07 \pm 0.10$	$0.01 \pm 0.04$	$0.12 \pm 0.13$	$0.12 \pm 0.12$	$0.16 \pm 0.09$	$0.08 \pm 0.06$	None
Kohnen 2017	27 (54)	ς,	Cataract/ RLE	$0.00 \pm 0.094$	$-0.07 \pm 0.076$	At 60 cm $0.00 \pm 0.11$ At 80 cm $0.09 \pm 0.107$	At 60 cm 0.01 $\pm$ 0.124 At 80 cm 0.10 $\pm$ 0.126	$0.01 \pm 0.087$	$0.03 \pm 0.113$	Validated quality of vision questionnaire
García-Pérez 2017	58 (116)	-	Cataract	0.03 ± 0.046	I	$0.12 \pm 0.143$	1	At 33 cm 0.02 ± 0.099	I	Amended Carquest 9-SF (validated in Spanish population); only asked about haloes
Donmez 2020	69 (138)		Cataract/ RLE	$0.02 \pm 0.05$	I	$0.06 \pm 0.07$	I	$0.05 \pm 0.07$	I	VFQ-14

Table 3 (	continued									
Study (year)	N patients (eyes)	Follow- up (months)	Indication	UDVA	BCDVA	UIVA	BCIVA	UNVA	BCNVA	Survey
Kim 2020	44 (88)	9	Cataract	$0.03 \pm 0.1$	$-0.05 \pm 0.07$	$-0.03 \pm 0.11$	1	$0.03 \pm 0.12$	I	Self-developed; only asked about haloes
Ramamurthy 2021	67 (134)	ς,	Cataract	$0.011 \pm 0.1083$	$-0.052 \pm 0.0933$	$0.055 \pm 0.1024$	$0.039 \pm 0.1149$	$0.092 \pm 0.1273$	$0.061 \pm 0.1090$	Validated surveys (SILVER and APPLES) from Alcon
All VA are the *Converted fro	e mean binocul: m Snellen deci	ar VA mal								

85% (33) of patients responded affirmatively with 90% (35) of patients willing to recommend the same surgery to friends or family (Fig. 4b).

#### **Adverse Events**

No intraoperative complications were seen. One eye lost 1 line of CDVA at their final visit due to presumed tear film disturbance. All eyes gained statistically significant lines of UCVA, UIVA, and UNVA (p < 0.01). A total of 18 eyes (23%) developed significant posterior capsular opacities during the follow-up period and were successfully treated with a Nd:YAG laser capsulotomy procedure. Three (4%) eyes underwent uncomplicated LASIK enhancements for small residual refractive errors. No IOL exchanges were required.

## DISCUSSION

RLE with implantation of presbyopia-correcting IOLs is being increasingly performed in patients seeking greater spectacle independence. In addition to objective visual and refractive outcomes following RLE, this study also assessed patient-reported outcomes including spectacle independence, visual disturbances, and patient satisfaction using detailed validated questionnaires from the original PanOptix FDA studies. To our knowledge, this is the first study in the USA to evaluate outcomes of PanOptix IOL implantation in RLE-only patients (no patients with cataract included). This is an important distinction because compared to patients with cataract, RLE patients are likely to have higher expectations and greater sensitivity to postoperative visual disturbances, which warrants further characterization in this unique population. Only one other study has analyzed the visual outcomes of bilateral PanOptix implantation in RLE patients alone, but spectacle independence and visual disturbances were not addressed in detail [19]. Overall in our study, we found RLE with PanOptix implantation to be a safe and effective procedure in our patients mostly with hyperopia, presbyopia, and without cataract, similar to prior studies evaluating PanOptix IOL outcomes in cataract and mixed cataract + RLE

study cohorts (Table 3) [13, 15–24]. However, a few key differences based on a literature review will be highlighted below.

#### **Refractive and Visual Outcomes**

Refractive predictability was excellent in our study as 92% of patients fell within  $\pm$  0.50 D of emmetropia. The PanOptix trifocal IOL delivered great vision at all three focal points as 100%, 97%, and 97% of patients achieved UDVA, UIVA, and UNVA of 0.1 logMAR (20/25) or better. Femtosecond laser arcuate incisions were used to treat low levels of astigmatism in this study, which has been shown to yield more precise refractive outcomes especially with presbyopia-correcting IOLs [25]. With correction, all patients achieved CDVA, DCIVA, and DCNVA of 0.20 logMAR (20/30) or better with 0.01 logMAR, 0.03 logMAR, mean and 0.02 logMAR, respectively. These results are comparable to what prior bilateral PanOptix studies have reported with binocular CDVA ranging from - 0.1 to 0.03 logMAR, DCIVA from - 0.11 to 0.12 logMAR, and DCNVA from - 0.07 to 0.08 logMAR [13, 14].

#### Spectacle Independence

The rate of complete spectacle independence reported in the literature for combined cataract and RLE PanOptix studies range from 75% to 100%, with a recent large meta-analysis reporting a complete spectacle independence rate of 90% in patients with cataract [13, 26, 27]. Our study's rate of complete spectacle independence was 77% and falls in the lower end of this range. This is likely because our study focused solely on RLE patients, who tend to be younger (mean age 56 years old in this study) and are likely to have more visually demanding needs across all distances for their day-to-day work especially at near (e.g., jeweler, electrician, etc.) compared to relatively older patients with cataract, many of whom are retired. A high level of spectacle independence is the main driving factor for why RLE patients seek surgery in the first place, unlike patients with cataract whose primary motivation is usually to seek improved visual quality from cataract removal with spectacle independence as a secondary gain. This selection bias inherent to RLE patients can make it more difficult for the surgeon to meet those patients' expectations in regards to spectacle independence compared to their cataract counterparts. Still, 100%, 97%, and 97% of the patients in our study demonstrated uncorrected Snellen visual acuity of 20/25 or better at distance, intermediate, and near, respectively, suggesting good overall unaided vision across all distances.

Another reason for the disconnect between the excellent uncorrected visual acuities and the lower-than-expected degree of spectacle independence reported by patients in our study may be secondary to the decreased contrast sensitivity inherent to the PanOptix trifocal and all diffractive multifocal IOLs, which can significantly impact visual function under dim lighting conditions commonly encountered in the "real world." Thus, while our study demonstrated excellent uncorrected visual acuity at all working distances in a controlled, high-contrast clinical setting, it is not representative of our RLE patients' true quality of vision and level of function in real-life settings. This decreased contrast sensitivity is partly reflected by the small proportion of patients in our study who reported experiencing "hazy vision." Indeed, the patients in our study reported better quality of vision under bright lighting compared to dim lighting, but the majority of patients still reported good or very good quality of vision across all distances, with the highest level of spectacle independence (90%) achieved at the intermediate range similar to previous studies [27].

#### Visual Disturbances

Photic phenomena are common with diffractive IOLs and can significantly impact patients' visual quality, function, and satisfaction. We used the same validated questionnaires from the original PanOptix FDA studies [12] to perform a detailed assessment of the visual disturbance profile of RLE patients in our study. More than half of our patients reported experiencing some frequency of starbursts, haloes, and/or

glare. In contrast, several PanOptix studies previously reported lower rates of dysphotopsias. For example, Nicula et al. cited a positive dysphotopsia rate of only 18.8% in their RLE patients, but their self-developed survey was limited and queried only about postoperative "halos and glittering" [19]. Another PanOptix study described only 1 out of 55 patients who reported "disturbing haloes," but again their questionnaire was non-standardized, and their population included both RLE patients and patients with cataract [28]. In general, it is difficult to compare the frequency of reported visual disturbances amongst different PanOptix studies in the literature because of the variety of non-standardized questionnaires used. It is also possible that RLE patients may be more sensitive to postoperative dysphotopsias because of their relatively normal baseline visual function compared to patients with cataract, which may skew RLE-only studies towards a higher incidence of photic phenomena compared to prior studies that included patients with cataract in their analyses.

Overall, studies have mostly reported on a wide range of halos frequency (15-95%) after PanOptix IOL implantation with limited or absent information on other types of positive dysphotopias including glare and starbursts [14, 18, 21, 29]. Interestingly, starbursts were the most common and bothersome visual disturbance type reported by more than half of the patients in our study. Still, the greatest proportion of patients in our study rated each visual disturbance as absent or "not bothersome at all," and overall patient satisfaction remained high. Because of the wide variation of visual disturbance outcomes seen amongst patients and inability to predict which patients will be most affected, it is important to counsel all patients on the possibility of photic phenomena after implantation of a presbyopiacorrecting IOL, especially in RLE patients who may be more susceptible.

# Other Trifocal IOLs and Patient Satisfaction

Trifocal IOLs have been available in the global market since 2010. The AT Lisa and FineVision

IOL are not available in the USA but have similarly been implanted with good refractive outcomes and patient satisfaction in nonpresbyopes and presbyopic hyperopes and emmetropes abroad [30, 31]. These studies further highlight the utility of trifocal IOLs in addressing the needs of patients without cataract. A major difference between the AT Lisa and FineVision trifocal IOLs and the PanOptix is that the intermediate focus distance is farther at 80 cm in the former two compared to 60 cm with the PanOptix [12]. The few existing studies that have directly compared the PanOptix with other trifocal IOLs have found favorable visual and refractive outcomes in all [1]. Spectacle independence has also been shown to be higher with the PanOptix trifocal than with bifocal or extended depth of focus (EDOF) IOLs [32-34]. Synergy, a newer mixed EDOF and multifocal IOL, has been shown to deliver a high level of spectacle independence at near, intermediate, and distance. There is even some evidence showing that the Synergy may deliver better near visual acuity and mesopic contrast sensitivity compared to the PanOptix, which may improve patient satisfaction amongst myopes and patients who desire stronger near vision [35]. Few myopes were included in this study, but one patients with baseline myopia did report lower satisfaction due to the inability to see fine print up close postoperatively, while all other myopes reported good or very good satisfaction with the PanOptix IOL. The visual disturbance profile inherent to all trifocal and multifocal IOLs remains a limitation for widespread use of any one IOL for RLE, and further head-to-head studies are needed.

Overall, the RLE patients in this study reported a good level of patient satisfaction (87%) with bilateral PanOptix implantation. Prior PanOptix studies have reported satisfaction rates as high as 97% [22], but again, these cohorts included patients with cataract in their analyses, who are typically easier to please. RLE patients, on the other hand, tend to have higher expectations in regards to their vision and spectacle independence. Indeed, the vast majority (77%) of patients in our study reported expecting to "never" have to use glasses for any distance postoperatively despite thorough preoperative counseling that occasional reading glasses would likely be needed for every patient. In addition to the periodic need for glasses to see fine print up-close, the most common reasons cited for lower satisfaction in this study were due to positive dysphotopsias and symptoms related to ocular surface disease. This highlights the critical importance of screening out frequent nighttime (or early morning) drivers, counseling on the high likelihood of visual disturbances, and optimizing the ocular surface before and after RLE surgery.

### Limitations

Limitations of this study include the relatively small sample size, retrospective nature, and that all surgeries were performed by a single surgeon, thus potentially limiting the applicability of these results to a different population. There was no head-to-head comparison of RLE patients versus patients with cataract, which could have provided additional valuable information. Moreover, no formal testing of contrast sensitivity was performed, but subjective visual quality under dim and bright lighting conditions was assessed. Strengths of this study include the use of validated questionnaires with detailed assessment of visual disturbance profiles and quality of vision under different lighting conditions, minimum 6-month duration of follow-up, and the specific inclusion of RLE patients alone.

# CONCLUSION

This study provides valuable insights into a unique population of patients with a different baseline and potentially higher set of expectations from those undergoing cataract extraction. While several studies have demonstrated excellent outcomes for PanOptix IOL implantation in mainly cataract or mixed cataract + RLE cohorts, this study highlights its utility specifically as a refractive procedure for RLE patients. Our results show the PanOptix IOL to be a safe and effective option for RLE patients seeking spectacle independence with good patient satisfaction and an acceptable visual disturbance profile. Compared to their cataract counterparts, surgeons should take greater precautions to set realistic expectations with RLE patients and counsel them on the limitations of trifocal technology, including the possibility of photic phenomena and potential need for spectacles postoperatively.

## ACKNOWLEDGEMENTS

*Funding.* This study was supported by an investigator-initiated trial research grant issued by Alcon (Fort Worth, TX, USA), which was used to fund study activities as well as the journal's Rapid Service Fee. Alcon did not materially participate in the design, data collection, analysis, interpretation, or writing of any study-related activities.

*Author Contributions.* Dagny C. Zhu oversaw and provided supervision over the study conception and design. Material preparation, data collection and analysis were performed by Cindi K. Yim, Amisha Dave, Alyx Strawn, Jeffrey Chan, Irene Zhou, and Dagny C. Zhu. The first draft of the manuscript was written by Cindi K. Yim and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

*Prior Presentation.* Data from this manuscript was presented at the American Society of Cataract and Refractive Surgery Annual Meeting in Las Vegas, USA, July 2021.

**Disclosures.** Dagny C. Zhu, MD is a consultant for Alcon, Inc. Alcon, Inc has provided research grant support for this investigator-initial trial including funding for the journal's Rapid Service Fee, but did not materially participate in the design, data collection, analysis, interpretation, or writing of any study-related activities. Cindi K. Yim, Amisha Dave, Alyx Strawn, Jeffrey Chan, and Irene Zhou have no competing interests.

*Compliance with Ethics Guidelines.* The study was conducted in accordance with the

principles of the Declaration of Helsinki and was approved by the ethical committee of the Salus Institutional Review Board. All patients provided written informed consent after explanation of the nature and possible consequences of the procedure.

**Data Availability.** All data generated or analyzed during this study are included in this published article/as supplementary information files.

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