REVIEW



The Emerging Role of Topical Ocular Drugs to Target the Posterior Eye

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ABSTRACT

The prevalence of chronic fundus diseases is increasing with the aging of the general population. The treatment of these intraocular diseases relies on invasive drug delivery because of the globular structure and multiple barriers of the eye. Frequent intraocular injections bring heavy burdens to the medical care system and patients. The use of topical drugs to treat retinal diseases has always been an attractive solution. The fast development of new materials and technologies brings the possibility to develop innovative topical formulations. This article reviews anatomical and physiological barriers of the eye which affect the bioavailability of topical drugs. In addition, we summarize innovative topical formulations which enhance the permeability of drugs through the ocular surface and/or extend the drug retention time in the eye. This article also reviews the differences of eyes between different laboratory animals to address the translational challenges of preclinical models. The fast development of in vitro eye models may provide more tools to increase the clinical translationality of topical formulations for intraocular diseases. Clinical successes of topical formulations rely on continuous and collaborative efforts between different disciplines.

Keywords: Comparative anatomy of the eye; Ocular fundus diseases; In vitro eye model; Nanoparticles; Ocular barriers; Ocular drug delivery; Topical formulation; In vivo eye models

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Key Summary Points

Increasing life expectancy is accompanied by more age-related and chronic posterior eye diseases, which require long-term intervention.

Topical eye drugs must overcome various cellular and liquid barriers to effectively enter the retina from the ocular surface.

Novel topical drugs with innovative formulations have shown encouraging results in improving their permeability and retention time. These formulations include nanospheres, nanocapsules, nanomicelles, nanovesicles, dendrimers, in situ gels, and microneedles.

The rapid and successful clinical translation of novel topical drugs relies on a thorough understanding of the significance and limitations of preclinical models. The emerging in vitro models may provide some valuable information beyond animal models.

DIGITAL FEATURES

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INTRODUCTION

With the improvement of the quality of life and average life expectancy, ophthalmologists are facing more challenging diseases caused by internal factors, such as age-related degenerative diseases, metabolic diseases, and systemic immune diseases [1]. Current effective drugs for intraocular diseases largely require invasive drug delivery. Frequent intraocular operations bring heavy burdens on the medical care system

and increase the risk of intraocular hemorrhage or infection [2, 3]. Patients may also be discouraged by the frequent treatment regimen and have compromised compliance for the long-term therapy [4]. Thus, the development of less invasive ocular formulations has become a great need for patients with chronic and vision-threatening fundus diseases. Moreover, more drugs with neuroprotection functions may have beneficial effects on ocular disorders if they can be effectively delivered to the fundus [5].

Topical drugs, which are the most widely applied ocular formulations, have been recently explored as possible tools for the treatment of fundus diseases [6]. However, as a result of the structural and physiological barriers of the eyeball, this convenient drug administration method generally can not effectively deliver drug molecules to the back of the eye. There have been extensive explorations to enhance the delivery efficiency of topical formulations [7–9]. Currently, only a few topical formulations to target the posterior segment are in clinical development, including a dexamethasone in cyclodextrin microparticle formulation for the treatment of diabetic macular edema (DME) (phase II/III) [10], difluprednate emulsion for the treatment of DME (off-label use) [11], Aganirsen emulsion for the treatment of central retinal ischemic vein occlusion (phase II/III) [12], and OCS-01 in a soluble nanoparticle formulation for the treatment of DME (phase III) [13, 14]. However, in recent years, the emerging science of new materials has brought possibilities for generating many novel topical drug formulations, which receive increasing attention in preclinical studies.

This review summarizes the features of ocular barriers that prevent topical drugs from entering and staying in the eye and the innovative approaches that overcome these barriers to deliver drug molecules to intraocular targets. In addition, some key parameters of laboratory animal and human eyes are reviewed, as they are factors that can influence the preclinical to clinical translation of novel formulations. The purpose of this article is to share ophthalmology knowledge, promote multidisciplinary cooperation, accelerate the clinical translation

of noninvasive ocular drug delivery, and ultimately bring benefits to patients with chronic fundus diseases

OCULAR BARRIERS THAT LIMIT THE BIOAVAILABILITY OF TOPICAL DRUGS

Drugs applied topically to the ocular surface can theoretically reach the intraocular tissues via three pathways: (1) penetrate through the cornea, aqueous humor, and vitreous body; (2) penetrate through conjunctiva and sclera to reach the choroid, and then reach the aqueous, vitreous, or retina; (3) enter the systemic circulation and reach the retina via retinal vasculatures. The first two routes play dominant roles in the intraocular distribution of topical drugs [15, 16]. In reality, however, most of the drug molecules cannot effectively reach the fundus through these routes. Multiple static and

dynamic barriers restrict the penetration and retention of drugs (Fig. 1).

Running Tear Film Constantly Washes Away Drugs from the Ocular Surface

The front surface of the eye is the tear film. This liquid surface looks like a slow-moving "waterfall", which continuously moves downwards, and drains into the nasolacrimal duct and the nasal cavity [17]. The film has a unique threelayered structure. The surface lipid layer produced by the meibomian gland can prevent the evaporation of the liquid. The water in the middle serves as a lubricant and the inner mucous layer secreted mainly by the conjunctival and corneal epithelium can keep the tear film closely attached to the ocular surface. The tear film, as the first encountered barrier of topical drugs, plays a predominant role in the dilution and excretion of drugs. The turnover rate of tear film under normal conditions is approximately 15%/min in humans [18].

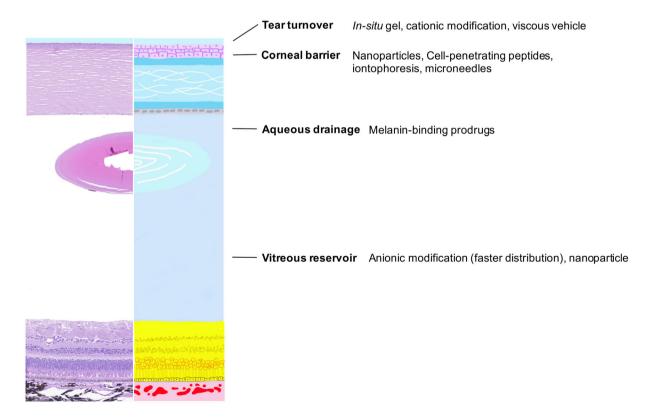


Fig. 1 Schematic drawing of ocular drug barriers and common ways and formulations to bypass these barriers

However, after the instillation of eye drops, the tear turnover rate instantly increases and most of the eye drops are lost to drainage in 15–30 s after application [19]. Thus, drugs administered as conventional eye drops have very short retention time on the ocular surface [20, 21], and a very limited amount (less than 5%) of the drug molecules can penetrate into the eye [22]. The vast majority of drained drugs, however, can be reabsorbed by the nasal mucous membrane and are responsible for their systemic side effects [23].

One of the key principles of designing topical drugs is to avoid excessive tearing and extend the preocular retention time. To avoid irritation and further stimulation of lacrimation, topical formulations usually need to have the correct pH and osmolality. The ideal pH is close to the tear physiological pH of 7.4, but pH 4.0-8.0 appears tolerable for human eyes [24]. The viscosity of eye drops is also a critical factor that can influence the speed of drainage [25], and adding viscous molecules is a common approach to increase the retention time of topical drugs, often with hydrophilic polymer polyvinyl alcohol, poloxamer, hyaluronic acid, carbomer, or cellulose [26]. However, high viscosity may disturb vision and become inconvenient when accurate vision is needed [27]. In addition, the proteins in the tear may bind to drugs and restrict their penetration. In particular, under inflammatory conditions, the tear protein increases which can lead to a more pronounced decrease in drug bioavailability [28]. In recent innovative formulations, other approaches have been tested, such as increasing the affinity of drugs to corneal cells or enhancing endocytosis, as summarized in the following text.

Cornea and Sclera Block the Penetration of Drugs into the Eye

The cornea and sclera are the rate-limiting barriers that block the penetration of drugs into intraocular tissues. In general, the cornea is the major route for the entry of topical lipophilic drugs, and the sclera is more permeable for hydrophilic molecules and proteins [29].

Different layers of the cornea have different barrier capacity and hydrophilicity [30]. The corneal epithelium in humans is composed of 6-7 layers of epithelial cells connected by tight junctions. This layer serves as a lipophilic barrier that restricts most hydrophilic drugs and molecules larger than 5 kDa from entering the eye [31, 32]. Corneal stroma and endothelium are more effective at limiting the penetration of small lipophilic drugs [33, 34]. However, compared with the epithelial barrier, the corneal stroma and endothelium are much less effective in blocking the drug molecules [35]. Thus, approaches to target the cornea barrier mainly focus on the enhancement of paracellular or transcellular uptake of drug molecules through the lipophilic corneal epithelium. Surface modification with cationic molecules (such as chitosan) is a common approach to enhance the corneal permeability and extend the retention time as the cornea is negatively charged [36, 37]. In addition, multiple types of nanoparticles and the newly explored extracellular vesicles demonstrate improved transcellular uptake by corneal epithelium owing to their structural similarity to cell membranes and high affinity, which is discussed in more detail in "Innovations That Increase Intraocular Bioavailability of Topical Drugs" [38].

The sclera has a similar fibrous structure as the corneal stroma but is vascularized and covered by the loose fibrous layer of the conjunctiva. The sclera and conjunctiva have relatively higher drug permeability than the cornea and therefore are explored as alternative routes of topical drug delivery [30, 39]. However, far more drug molecules are absorbed by conjunctival circulation compared with those penetrated into the aqueous humor. This may explain the disproportional change between intraocular drug bioavailability and drug permeability across these barriers [40].

Running Aqueous Humor Continuously Removes Intraocular Drugs

Behind the cornea is the anterior chamber, which is filled with approximately $200 \,\mu$ l of aqueous humor in humans [41]. The liquid has

similar compositions to plasma except for a lower protein concentration [42]. The aqueous humor is constantly generated by the ciliary body and flows through the pupil into the anterior chamber and drains into the venous circulation at the anterior chamber angle. The turnover rate of human aqueous humor is 1.0–1.5%/min [41], with variation between daytime (about 2 µl/min) and nighttime (about 1 μl/min) [43]. The drug molecules that pass through the ocular surface are first diluted in the aqueous humor and subsequently drained into the systemic circulation with aqueous humor outflow [44]. For drugs targeting the posterior eye, modification of drugs with surface molecules to enhance the binding with melanin to the uveal tract is a possible approach to resist excretion by aqueous drainage [45].

Vitreous Body Dilutes Intraocular Drugs

The vitreous body is the largest tissue in the eye with a total volume of around 5–6 ml in adult humans [46, 47]. This gel-like organ is mainly composed of water (98%) with a density of 1.0053–1.0089 g/cm³ [48, 49] and contains glycosaminoglycans and type II collagen which form a complex network with an average pore size of 550 nm [50]. There are very limited phagocytes and hyaluronic cells to maintain low activities in this gelatinous tissue [51, 52].

As a result of its large volume and static physiology, drugs in the vitreous body are relatively stable. Topical drugs have to diffuse across this large static pool to reach the fundus, and the vitreous has distinct barrier functions depending on the properties of the drugs. Small drug molecules can move freely by passive diffusion [53]. As the vitreous network is also negatively charged, drug molecules with negative charges move faster, while drug molecules with positive charges move much more slowly and therefore have a relatively longer retention time [50, 54]. Although the vitreous is highly viscous, the diffusivity of many small and anionic large molecules is even higher than in water [55, 56]. Thus, for faster drug distribution to the fundus, modification with negative charges is preferred. The drug molecules can get eliminated either by diffusion to the retina/choroid (posterior approach) or the aqueous humor (anterior approach) [57]. As the reticular structure of vitreous collagens restricts the movement of large molecules, large molecular drugs generally have a long intravitreal half-life. Antibodies like ranibizumab and bevacizumab have a half-life in the vitreous of 3–7 days, while the small molecular drug ganciclovir has a halflife of less than 24 h [58-60]. In addition, the vitreous body contains a low level of enzymes and proteinases which can lead to the degradation and inactivation of drugs [61, 62]. Thus, protection with nanoparticles may extend the drug effect time and is a preferred choice for the treatment of chronic diseases. As for translational considerations, the vitreous volume is very different between laboratory animals and humans as discussed in "Preclinical Models and Their Impacts on Translation of Novel Topical Formulations". One should be very careful when comparing the drug retention time in the vitreous between animals and humans [63].

In short, various ocular barriers, which either mechanically prevent drug molecules from entering the eye or continuously eliminate drugs within the eye, prevent topical drugs from reaching sufficient concentration in the intraocular tissues. This limitation becomes a bottleneck for topical drugs in the treatment of fundus diseases. The fast development of material sciences and technologies promotes the innovations of topical drug delivery and addresses the ocular barriers by different means. Recent results demonstrate the potential of different innovations in topical drug delivery for intraocular diseases.

INNOVATIONS THAT INCREASE INTRAOCULAR BIOAVAILABILITY OF TOPICAL DRUGS

As described above, various barriers prevent drugs from entering from the ocular surface and staying in the eye. Many new materials and technologies have been developed to overcome these obstacles (Fig. 1). Most of the explorations are still in the preclinical phases, but the results support their potential applications for clinical

use. The following sections summarize the current progress of topical drug carriers for posterior delivery.

Nanotechnology-Based Formulations

Nanotechnology studies nano-sized materials from 1 to 100 nm and nanomedicine uses these particles for pharmaceutical and diagnostic purposes [64]. To date, about 50 nanomedical products have been approved by the US Food and Drug Administration (FDA), and more are in the preclinical and clinical phases [65]. In the field of ophthalmology, nanoparticles are also being explored as topical drug delivery tools (Table 1). In this section, we summarize the best-studied nanomedicines as topical ocular drug carriers.

Nanovesicles (Liposomes and Niosomes)

Nanovesicles are composed of a bilayered shell and a central core. The shell structure is similar to that of the cell membrane, which is composed of a double-layered amphiphilic membrane with hydrophobic tails facing inward and hydrophilic heads facing outward (Table 1). Owing their high biocompatibility, to nanovesicles have been tested as drug carriers to assist drug molecules through the corneal epithelial barriers [66, 67]. The unique structure of nanovesicles allows them to carry either hydrophobic drugs between the hydrophobic space of bilayered shells or hydrophilic drugs and large biomolecules in the aqueous core [68]. On the basis of the compositions of shells, nanovesicles are divided into liposomes and niosomes [67, 69].

Liposomes are made of natural amphiphilic lipid molecules, such as phosphatidylcholine, phosphatidylethanolamine, and so on. Liposomal formulations of topical drugs have demonstrated promising results in improving both drug permeability and release time. A triamcinolone acetonide liposome eye drop could reach the aqueous humor and retinal surface within 6–10 min after instillation and ameliorated retinal edema in a rat model [70]. In addition, surface modification of bevacizumabloaded liposomes with annexin A5 was found to

enhance the uptake and transcytosis of corneal epithelium. Topical application of this new formulation of bevacizumab could deliver therapeutic concentrations of the drug to the rat and rabbit retina [71].

Niosomes are made of nonionic surfactants. As the surfactants are neutrally charged, niosomes are less susceptible to oxidative degradation and therefore have longer shelf lives than liposomes [68, 72]. As with liposomes, niosomes have similar structures to the cell membrane and can reduce direct irritation of encapsulated drugs to the ocular surface [73]. A niosome formulation of doxycycline hyclate could release the drug component for up to 2 months in an in vitro system [74]. Pilocarpine hydrochloride niosome dispersed in gel formulation demonstrated better stability and drug release time in albino rabbits [75].

Although these preclinical studies have shown encouraging results, few such formulations have been developed into clinical use yet. Challenges include inadequate data on pharmacological properties to illuminate the impact of different drug-surfactant ratios, the relative amount of additives (cholesterol), vesicle size, surface charge, and surface modifications [76, 77]. The poor stability and easy aggregation are potential issues, especially for large-scale production and long-term storage [78]. Some evidence suggests that liposomes may trigger certain innate immune reactions by activating the complement system [79]. A type of reaction called "complement activation-related pseudoallergy" has been reported in 25-45% of patients receiving intravenous liposomal drugs [80-82]. However, ocular toxicity associated with liposomes has not been reported up to now, presumably owing to the immune-privileged state of ocular tissues [83-85]. More basic and preclinical research will help to move forward their success for clinical use.

Nanomicelles

Nanomicelles are nano-sized spheres with a hydrophilic shell and a hydrophobic core (Table 1). The shell is formed of well-oriented surfactants and polymers, with hydrophilic heads facing outward and hydrophobic tails facing inside. Therefore, nanomicelles are

Particle types	Liposomes	Niosomes	Nanomicelles	Nanocapsules	Nanospheres	Dendrimers
Appearance						
Marketed ocular drugs	Visudyne®, Lacrisek®, Artelac Rebalance®	I	Cequa®	I	I	OcuSeal®
Structure	Double-layered lipid shell and soluble core	Double-layered surfactant shell and soluble core	Single-layered oriented shell and soluble core	Polymeric shell and soluble core	Sphere-shaped solid particle	Star-shaped polymer
Main composition	Natural or synthetic lipids	Natural or synthetic Nonionic surfactants lipids	Amphiphilic copolymers	Synthetic or natural polymers	Synthetic or natural polymers	Synthetic or natural Synthetic or natural PAMAM (most common) polymers
Hydrophilicity of drugs	Hydrophobic and hydrophilic	Hydrophobic and hydrophilic	Hydrophobic	Hydrophobic and hydrophilic	Hydrophobic and hydrophilic	Hydrophobic and hydrophilic
Some features	Biocompatible	Biocompatible	Biocompatible	Biocompatible	Biocompatible	Biocompatible
	Biodegradable Susceptible to oxidative damage	Biodegradable More resistant to oxidative degradation	Degradability depends on its composition	Degradability depends on its composition	Degradability depends on its composition	Degradability depends on its generation and composition
	Leakage of drugs Low solubility in aqueous solution	Prone to aggregation Limited loading capacity	Suitable for lipophilic drugs Relatively unstable	Prone to aggregation	Long half-life in plasma Limited loading capacity	Large adhesive surface High affinity to monophagocytes
Reference	[213, 214, 215]	[216, 217]	[218]	[219, 220]	[221]	[222, 223]

efficient carriers of hydrophobic drugs within their core space, such as dexamethasone, cyclosporine A, tacrolimus, rapamycin, etc. [86]. Nanomicelles demonstrate improved transcorneal permeability by increasing the contact time with corneal epithelial cells and inhibiting efflux pumps [87–90].

Eye drop formulations of nanomicelles have been tested for the treatment of retinal and choroidal diseases in animal models. A flurbiprofen nanomicelle eve drop had a tenfold increase of drug concentration in the aqueous humor compared with conventional eve drops [91]. A cyclosporine nanomicelle eye drop efficiently delivered the drug to the fundus of albino rabbits. The drug concentration in the retina and choroid was above the calculated therapeutic level [92]. Some concerns with the formulation have been discussed, such as the dilution effect of the tear film on the release kinetics of the drug and the potential toxic effect of nanomicelle polymers on retinal and choroidal cells [90, 93].

A successful product of nanomicelles formulation is Cequa® by Sun Pharma. This FDA-approved nanomicelle eye drop carries cyclosporine A (0.09%) and is developed for the treatment of keratoconjunctivitis sicca. It showed a tenfold increase of cyclosporine A in the aqueous humor compared with conventional cyclosporine A solutions [94]. However, currently no topical nanomicelle formulation has been approved for the treatment of fundus diseases.

Nanospheres and Nanocapsules

Nanospheres and nanocapsules are polymeric particles with spherical shapes and diameters of 10–200 nm. Nanospheres carry drug molecules in the polymer matrix, and nanocapsules in the core (Table 1) [95, 96]. Nanospheres and nanocapsules are highly versatile and efficient. They can carry various drug molecules, from hydrophilic and hydrophobic molecules, peptides, proteins to genetic materials, by different means, either linked, entrapped, dissolved, or dispersed within the matrix/core [66, 97].

The polymeric nanoparticles can modify the activity of drugs by altering the physicochemical properties of the formulations, delay and

control the drug release, and increase the drug adhesivity to the ocular surface [98]. These nanoparticles can be taken up by cells via endocytosis or pinocytosis and therefore assist drugs moving through ocular barriers and thus improve drug bioavailability [99–101]. Preclinical experiments have demonstrated that these polymeric particles could deliver topical drugs into the intraocular tissues. In a rabbit retinal degeneration model, melatonin delivered in topical ethylcellulose nanocapsule formulation demonstrated improved transcorneal permeability and neuroprotection effects [102]. Acvclovir nanosphere eye drop showed a sustained drug release curve and an increased drug concentration in rabbit aqueous humor. When modified with polyethylene glycol (PEG) coating, the AUC_{0-6h} (area under the curve) of acyclovir further increased by another 80% in the aqueous humor [103].

Despite the high loading capacity and suitable pharmacokinetic properties, safety concerns are bottlenecks for their clinical application. Some reports show that polymeric materials of nanospheres and nanocapsules can interact with cell surface receptors, mitochondrial enzymes, and cytoplasmic proteins, which can cause stress responses and alteration of metabolic processes. Although polymers of nanospheres and nanocapsules are generally non-toxic to the ocular surface, the molecules may cause irritation and immune reactions, especially when applied in intraocular tissues [104, 105]. Poly(lactic-co-glycolic acid) (PLGA) nanocapsules have been found to cause cytoplasmic vacuole formation in rabbits [106]. Intravitreal injection of nude PLGA nanospheres could cause foreign body reactions and induction of monocyte chemoattractant protein 1, macrophage inflammatory protein 1α (MIP- 1α), MIP- 1β , interleukin-8, tumor necrosis factor-α, interleukin-6, and interleukin-1ra in non-human primates [107]. Thus, nanospheres and nanocapsules are still in the early explorative phases and need more investigations for ocular use.

Dendrimers

Dendrimers are highly branched, star-shaped polymeric macromolecules with nanometer-

scale dimensions (Table 1). These particles consist of a central core, interior dendritic structure (the branches), and exterior surfaces [108]. The core can carry either small molecules, macromolecules, or even genetic materials to ocular tissues [109, 110]. The large surface area of the branches makes them bioadhesive to the extracellular matrix and cell surface receptors; therefore dendrimers have a long retention time on the ocular surface and can assist the penetration of carried drugs into the eye [111]. Their unique structures also extend the release time of drugs in the tissue [112].

One unique feature of dendrimers is their high affinity to the active monophagocytic Hvdroxvl-end polyamidoamine system. (PAMAM) dendrimers were found to be enriched in activated macrophages, retinal microglial cells, astrocytes, and retinal pigment epithelium (RPE) in diseased eyes [112-115]. Once they enter the cells by endocytosis, the drug molecules in the dendrimers can be gradually released. For example, a cyanine dye in generation 4 hydroxyl-end PAMAM dendrimer formulation was administered into mice eyes with ischemia/reperfusion injury. The dye was retained in macrophages and microglial cells for over 21 days [113]. More sophisticated forms of dendrimers could even guide the drug to cell surface receptors such as integrin αvβ3 on the surface of the neovasculature [116]. Among the hundreds of types of dendrimers published, PAMAM-based dendrimers appear to be the most studied ones in ocular drug delivery [117].

To use dendrimers as potential drug carriers, more knowledge and experience are needed, such as how the composition, branching generation, terminal ends, charge, size, and surface radicals of dendrimers influence their stability, solubility, bioavailability, retention time, and their impacts on drug efficacy and safety [117, 118]. In particular, dendrimers demonstrate ocular irritations which are dependent on their structures. PAMAM dendrimers with carboxyl or hydroxyl ends appear to be well tolerated and not immunogenic, but dendrimers with positively charged amino ends have some dose-dependent adverse effects [119, 120].

Besides the formulations mentioned above, many other forms of nanomedicines, including

nanoemulsion [121], quantum dot [122], solid—lipid nanoparticle [123], and so on, have been investigated as topical ocular drug carriers and demonstrate beneficial efficacy. As an example, dexamethasone carried by soluble nanoparticle (drug name OCS-01) developed by Oculis is now in a phase III clinical trial for DME. The results of a phase II (DX-211) study demonstrated that OCS-01 as a topical eye drop formulation could significantly reduce central macular thickness and improve the visual acuity compared with the vehicle arm [13, 14].

To sum up, a variety of nanoparticles have been tested as ophthalmic drug carriers in animal eyes, and results showed that the nanoparticle formulations could increase the drug penetration through the ocular surface and/or extend drug retention time in the eyes. More investigations are needed to understand their nature, metabolism, and potential toxicities in the eye.

In Situ Gelling Systems

In situ gelling systems are polymeric formulations that switch from the initial solution form to gel form in the target tissue shortly after application. Commonly, the sol-gel transition is stimulated by pH change, temperature modulation, solvent exchange, ultraviolet irradiation, or the presence of specific ions or molecules (Table 2) [124]. For topical formulations, the sol-gel transition can slow down the clearance of drugs on the ocular surface. In ophthalmic application, the commonly used polymers were either sensitive to temperature (poloxamers, hydroxypropyl methylcellulose, and xyloglucan), pH (polyacrylic acid), or ions (gellan gum, alginic acid, and carrageenan) [125].

Several in situ gelling systems have been successfully translated into ocular drugs, such as Akten[®] (lidocaine hydrochloride), Pilopine HS[®] (pilocarpine hydrochloride ocular gel), Virgan[®] (ganciclovir), and Timoptic-XE[®] (timolol maleate ocular gel-forming solution) [126]. And more in situ gelling systems are under development. One type of ciprofloxacin hydrochloride eye drop is a thermosensitive and pH-responsive

Table 2 Recent application of in situ gelling systems in topical formulations

Drugs	Designed indications	Stimuli	Gelling agents	Chief study results	Reference
Acetazolamide	Glaucoma	<i>T</i> ∼ 35 °C	25% Pluronic F-127	Drug released for over 6 h	[224]
Betaxolol hydrochloride	Glaucoma	<i>T</i> ∼ 33 °C	Poloxamer 407 and methylcellulose	> 80% of drugs released within 12 h	[225]
Levofloxacin	Ocular bacterial infection	<i>T</i> ∼ 32 °C	Hexanoyl glycol chitosan	Drug released for over 12 h Precorneal retention time over 30 min	[226]
Dorzolamide chloride	Glaucoma	pH ~ 7.4	Carbopol [®] and hydroxyl propyl methyl cellulose (HPMC)	Drug released for over 8 h	[227]
Levofloxacin	Ocular bacterial infection	pH ∼ 7.4	HPMC and sodium alginate	94% of drug released within 24 h	[228]
Moxifloxacin	Ocular bacterial infection	pH ~ 7.4	<i>Terminalia arjuna</i> bark resin gum and alginate	Drug released for over 12 h	[229]
Nepafenac	Postoperative pain	Divalent cations	Sodium alginate and Protanal PH 1033	Corneal permeability increased by 14-fold compared with simple solution	[230]
Phenylephrine and tropicamide	Induce mydriasis for ocular examination and surgery	Mono- and divalent cations	Gellan gum and hydroxyethylcellulose	80% of drugs released within 30–180 min	[231]
Brinzolamide	Glaucoma	Mono- and divalent cations	Deacetylated gellan gum	90% drugs released over 16–19 h	[232]

in situ gelling formulation, and the drug in the formulation could be detected on the ocular surface for 8 h [127]. A compound formulation of in situ gel and nanoparticle was found to deliver disulfiram to the rat retina and rescued retinal dysfunction in a diabetic model by repeated topical instillation [128]. Some large molecules and genetic materials are also being tested with in situ gelling systems in preclinical and clinical studies [125, 129].

In addition to the improvement of the bioavailability and the permeability of drugs, in situ gelling formulations are often

transparent and therefore have less visual disturbance compared to micelles or ointments [130]. Most of the polymers used for in situ gelling systems are non-toxic, biocompatible, and self-degradable, and their short-term application appears to be safe [131, 132].

Iontophoresis and Sonophoresis

Iontophoresis and sonophoresis are a group of techniques that apply the physical force of an electric or acoustic field to enhance the penetration of drug molecules across cellular barriers [133]. These approaches are particularly useful for the delivery of antibiotics, antiviral agents, steroids, as well as proteins into the eye [134].

Iontophoresis uses an electric current to drive ionized particles through the normal cellular barrier [135–137]. The electrodes are often placed on the surface of the cornea or sclera as they have relatively high water contents and low tissue resistance and can stand relatively higher current intensity [138]. Some iontophoresis devices, such as EyeGate®, Ocu-PhorTM, and VisulexTM, have been developed for ocular applications in humans [139, 140]. The upper limit of current density and duration are usually within 1.8 mA/cm² for 5 min for corneal application, and 5.5 mA/cm² for 20 min for scleral application [134, 141]. The procedure can cause transient but bearable irritations, and some reversible epithelial lesions [141]. Iontophoresis is effective for the intraocular delivery of both small and large molecules. In a rabbit choroidal neovascularization (CNV) model, approximately 0.6 mg of bevacizumab could be delivered into the rabbit eye by transscleral iontophoresis and the development of retinal neovascularization was delayed by 4 weeks [142].

Sonophoresis is an ultrasound-based technique that creates tiny cavitations in cells that act as permeable channels for drug movement [143]. This technique was used 60 years ago for the absorption of hydrocortisone in skin tissues [144]. In the ocular test, sonophoresis showed a 1.6-fold increase of the penetration efficacy of fluorescein isothiocyanate-labeled bovine albumin through excised rabbit sclera, without observable histological adverse changes [145]. Similarly, sonophoresis could help the penedifferent sizes tration of of dextran (20-150 kDa) through the cornea [146]. The drug depots in cavitations of the eye surface tissues act like tiny reservoirs and could slowly release drug molecules into the aqueous or vitreous humor [133]. Future ocular studies need to further evaluate the impacts of overheating effect due to energy absorption and the redistribution effect by aqueous flow and choroidal vascular circulation [147-149].

Microneedles

Microneedles apply mechanical force to generate multiple tiny holes on the tissue surface that effectively help deliver drugs through tissue barriers such as skin, cornea, and sclera. There are different types of microneedles as illustrated in Fig. 2. Solid microneedles are often made of stainless steel, titanium, or silicon, which are applied on the surfaces of the cornea or sclera to enhance the penetration of drugs. Other types of microneedles include drug-coated microneedles, dissolvable microneedles (made of biodegradable materials), and hollow microneedles (with a channel inside which is connected to a syringe or pump).

Intracorneal and intrascleral microneedles are often used to assist the penetration and retention of topical drugs by physically interrupting the epithelial barriers to improve intraocular drug bioavailability. The tiny sizes of microneedles make them generally minimally-invasive to the ocular surface. For examtype of dissolvable microneedle containing besifloxacin was able to deliver over 55% of the drug across the corneal barrier over 24 h. The microneedle could spontaneously dissolve within the cornea in 25 min [150]. A dissolvable trans-sceral microneedle could enhance trans-scleral penetration of dextrans at the size of 150 kDa from 6% to 100% compared with the simple solution [151]. These data suggest that when used in combination with topical drugs, microneedles can effectively promote their penetration, even for macromolecules. However, it should be noted that most of the current studies only explore their efficacy for anterior segment diseases, and the efficacy data for retinal and choroidal disorders are lacking. Future studies need to further explore their potential applications for posterior segment diseases and address the long-term impacts of repeated applications of intracorneal microneedles on the biomechanical properties of the cornea.

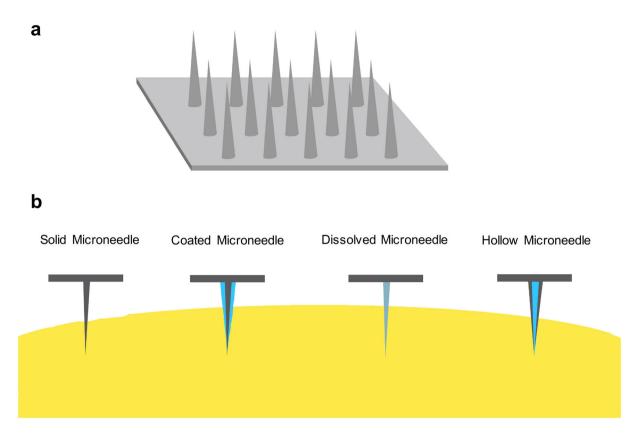


Fig. 2 Schematic of different types of microneedles used for drug delivery. a A microneedle patch; b detailed structures of different microneedles, including solid, drug-coated, dissolved, and hollow microneedles

Cell-Penetrating Peptides

The corneal epithelium has active endocytosis activity which may act as a gateway for drug entry [152]. There are four types of endocytosis in general, i.e., phagocytosis, macropinocytosis, clathrin-mediated endocytosis, and caveolin-mediated endocytosis, and their activities are essential for maintaining normal functions of the eye [153]. Stimulation of these endocytosis activities by cell-penetrating peptides, folic acid-binding, transferrin, and hyaluronic acid coating can facilitate the penetration of topical drugs through the corneal epithelial barriers [154, 155].

Cell-penetrating peptides are a group of short peptides containing abundant positively charged amino acids, which can enhance the transcorneal permeability of drugs [156]. Some preclinical studies demonstrated that cell-penetrating peptides could help large molecular

drugs in eye drops reach the posterior segment in animal eyes [157-159]. An eye drop formulation of acidic fibroblast growth factor coupled with a cell-penetrating peptide named transactivator of transcription (TAT) was applied topically to a rat model of retinal ischemia/ reperfusion injury. The drug was detected in the retina 8 h after topical dosing, and the protective effect on ganglion cells was evident [157]. An eye drop formulation of bevacizumab and ranibizumab coupled with cell-penetrating peptides was topically administered to a laserinduced CNV rat model, and the results showed similar efficacy to that from the drug administered intravitreally [158]. It should be noted that these promising results were largely limited in small animals so far.

There are many remaining challenges in the translation of cell-penetrating peptides into clinical use. Some essential questions need to be answered, such as how to control the selectivity of drug penetration and avoid the unspecific

enhancement, and how to prevent the fast degradation of the peptides by the intracellular protease system [160]. In addition, certain drugs may form complexes and be stored in intracellular vesicles or escape into the cytoplasm after endocytosis, which may cause potential toxicity [155].

Extracellular Vesicles

Extracellular vesicles (EVs) are a broad range of biological particles released from cells, including exosomes, ectosomes, microvesicles, microparticles, oncosomes, and apoptotic bodies, with sizes ranging from 30 to 10,000 nm in diameter [161]. EVs can be generated by direct outward budding of the plasma membrane (microvesicles) or inward budding of the endosomal membrane and subsequent release from cells (exosomes) [162]. Natural EVs carry a variety of cargos from their source cells, such as mRNA, miRNA, DNA, proteins, and lipids, and may participate in many biological processes, including cell-cell communication, remote signaling, immunomodulation, substance delivand even apoptosis [163, Immunologically active exosomes have been found to regulate innate and adaptive immune responses [165]. Thus, EVs can naturally be a part of the regimen for many ocular inflammatory conditions [166–169].

EVs as natural components of cells have the highest tissue biocompatibility, low immunogenicity, and low toxicity, and therefore are ideal drug carriers if possible. Therapeutic molecules can be loaded into the EVs via exogenous loading or endogenous packaging processes [170]. Although EVs have been extensively explored as potential drug carriers in many fields, their ocular application as drug carriers is still in the initial exploration stage. In animal models, drugs loaded by EVs given by intraocular injection demonstrate widespread and homogenous distribution in the fundus, which was superior to conventional carriers [171, 172]. On the basis of the experience gained from nanoparticles, it is reasonable to infer that EVs may also have potential benefits as a topical formulation for posterior segment diseases, which require further explorations.

More investigations on the contents, cell distribution, ocular tissue tropism, penetrating capacity, and interaction with host cells will help the clinical translation of EVs [173]. The uptake of EVs by target cells is also affected by the sizes, charge, and ionic strength of the surrounding environment and should be optimized for clinical application [174]. One of the major safety concerns with EVs is related to their natural components, as EVs are mainly harvested from immortalized cells or stem cells. which may carry oncogenic materials [170]. In addition, introducing donor EVs into host cells could disturb their normal environment [175]. However, current data did not show any obvious adverse effect of EVs-based therapy in animals [176] and humans [177-180].

As a type of new platform, EVs also face manufacturing challenges. At the moment, EVs can only be generated in cultured cells and scale-up preparation needs more innovations [181]. A good manufacturing practice (GMP)-grade method for scale-up generation of EVs from human cardiac progenitor cells has been reported [182]. Recently some companies are using technologies based on chromatography and filtration, and candidate products are being tested in clinical trials [183].

In summary, multiple new platforms and formulations have been tested and applied for topical ocular drugs to reach the posterior segment. The mechanisms to overcome ocular barriers include both active approaches (enhancement of endocytosis, binding with corneal surface, or inhibition of efflux activity) and passive approaches (physical breakdown of epithelial barriers, or movement driven by physical forces). The choice of the platforms for topical drugs should consider the internal properties of the drug molecules (size, charge, hydrophilicity, ocular irritation, etc.), indications, demand for sustained release, availability of equipment for drug administration, and the acceptability of patients and ophthalmology clinicians.

PRECLINICAL MODELS AND THEIR IMPACTS ON TRANSLATION OF NOVEL TOPICAL FORMULATIONS

Laboratory animals are the major tools for the preclinical evaluation of new drug molecules including topical formulations. These tools were used to demonstrate promising efficacy and good accessibility to the fundus of rodents of numerous topical small molecular drugs. such as TG100801, pazopanib, acrizanib, regorafenib, and OT-551 [16, 184-191]. However, these drug molecules failed to achieve similar efficacy and therapeutic concentration in patients. These failures remind us of the difference between animal eyes and human eyes. An in-depth understanding of comparative eye anatomy is crucial for species selection and data translation obtained from animal models. The fast development of in vitro human eye models will serve as alternative tools for the evaluation of topical eye drugs in the future.

In Vivo Models: Be Aware of Differences Between Animal Eyes and Human Eyes

Animal models have been applied in many ophthalmological studies [192]. Commonly used laboratory animals for ocular pharmacological research include mice, rats, rabbits, monkeys, and occasionally dogs, pigs, etc. Small laboratory animals are more often selected as test species, especially for small molecular drugs, presumably owing to lower cost, broader test options, and easier availability of housing conditions. However, some data demonstrate that small eyes have poor clinical predictability of topical drugs [186, 193]. As an example, regorafenib and pazopanib are small molecular inhibitors of vascular VEGF receptors and were given topically to treat CNV in animal models. Both drug molecules achieved desirable therapeutic concentrations in rat fundus and inhibited CNV in a rat model. However, similar pharmacokinetics and efficacy results were not achieved in rabbit and monkey eyes [184]. A phase II clinical trial of regorafenib eye drops for neovascular age-related macular degeneration (AMD) was terminated because of inferior efficacy compared with current nAMD therapy, presumably as a result of insufficient drug exposure to the posterior segment [185]. This example highlights that the differences between animal and human eyes need to be fully understood.

To visualize the anatomical differences, we collected globes of healthy mice (C57BL/6J), rats (Sprague-Dawley), rabbits (New Zealand (beagles), and White), dogs monkeys (cynomolgus), which were fixed with 10% formalin, embedded in paraffin, sectioned at 4-mm thickness, and stained with hematoxylin-eosin. Representative slides at similar sectional planes were scanned with a digital slide scanner (Leica, USA) with the same amplification. The study was approved by the Institutional Animal Care and Use Committee (IACUC) of National Chengdu New Drug Safety Evaluation Center, and the procedure followed the Association for Research in Vision and Ophthalmology (ARVO) Statement for the Use of Animals in Ophthalmic and Vision Research. Representative images of the eyes are shown in Fig. 3, which visualizes remarkable differences in globe size and major structural compositions of the eyes of different laboratory animals. The differences in the axial length, the thickness of cornea and sclera, and the volume of vitreous are all potential factors that affect the bioavailability and accessibility of topical eye drugs at the fundus of the eye. Taking the vitreous volume as an example, the difference between rodent and human eyes could even exceed one thousand times (see detailed figures in Table 3). This remarkable difference may significantly affect the intraocular concentration of small molecule drugs delivered via eye drops.

The differences among species not only include structural parameters but also dynamic physical activities as summarized in Table 3. Some physiological factors can also influence topical drug concentration in animal and human eyes. Take the rabbit eye as an example: it has a very lower blink rate that can influence drug retention time on the ocular surface and drug penetration rate into the eye. Obviously, no animal eye is identical to the human eye. To

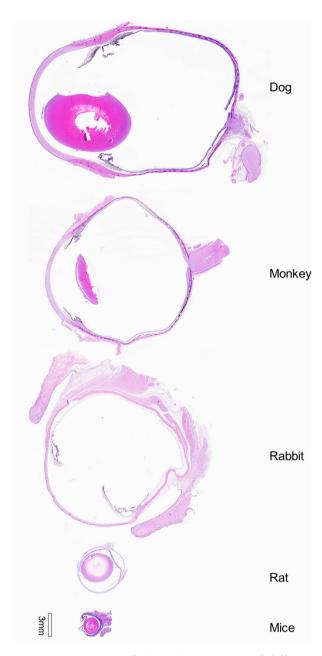


Fig. 3 Comparison of the globe structures of different laboratory animals

increase the translation rate of topical eye drugs for fundus diseases, one should have an indepth knowledge of the anatomical and physical features of human and animal eyes to take a rational selection of suitable laboratory animals as the preclinical test model. When using preclinical data to determine the dose range one needs to make appropriate adjustment based on anatomical and physiological differences of animal eyes and human eyes.

In Vitro Models: Emerging Tools for Ocular Drug Testing

In recent years, the fast development of human embryonic stem cell technology makes it possible to construct eye models in vitro. These models are rationally simplified human eyes which can mimic parts of the structural, physical, or pathological features of the human eye.

3D bioprinting uses inkjet-based printing approaches to build the 3D scaffolds which allow cells to reside [194]. Some tissue structures, such as skin, muscle, and liver tissues, have been created by 3D bioprinting [194–197]. In ocular application, 3D bioprinting has been successfully applied to reconstruct the structures of the cornea in vitro and shows promising results in retina bioengineering. Different corneal models, either containing a specific layer (stroma) or the intact cornea, have been printed with viable cells derived from humans [198, 199]. The bioprinting of the retina is extremely challenging because of its multiple cellular composition and complex structure. Currently, no complete retinal structure based on 3D bioprinting has been constructed but some researchers have created retinal models containing several specific layers [200, 201]. These 3D bioprinting models provide a new tool for in vitro drug testing in a human-like environment.

Organoids are tiny, self-organized 3D tissue cultures that are derived from stem cells. The cultures can recapitulate some structural and functional features of the organ and also simulate pathological conditions [202, 203]. As these organs can be derived from the patient and survive for up to several months in vitro, they can provide valuable information to observe personalized reactions to the drugs [204]. As an example, a corneal organoid was developed from human induced pluripotent stem cells. The stem cells underwent multiple differentiation programs and formed 3D corneal structures with functional layers of the epithelium, and endothelium [205]. stroma. Retinal

Table 3 Comparison of static and dynamic features of human eyes with commonly used laboratory animals

	Mice	Rat	Rabbit	Rhesus monkey	Human
Static parameters					
Corneal diameter (mm)	2.3–2.6 [233]	5.8 [234]	Horizontal 13.4 Vertical 13.0	Horizontal 11.4	Horizontal 11.8
			[235]	Vertical 10.8 [236]	Vertical 11.3 [237]
Central corneal thickness (µm)	123–134 [233]	159 ± 15 [238]	349–384 [239]	520 ± 5 [240]	548 ± 35 [241]
Anterior chamber depth (mm)	0.31 [242]	0.87 [243]	2.90 [244]	3.51 [245]	3.05 [246]
Ocular axis length (mm)	3.0 [242]	6.0 [243]	18.1 [244]	20.3 [236]	23.9 [246]
Anterior chamber depth/ocular axis	0.10	0.14	0.16	0.17	0.13
Anterior chamber volume (μl)	2.39–3.08 [247]	~ 15 [248]	~ 250	134 ± 5 [249]	153 ± 27 [250]
Total aqueous volume (μl)	5.9 ± 0.5 [251]	13.1 ± 3.8 [248]	287 [252]	220 ± 15 [249]	260 [41]
Vitreous chamber depth (mm)	0.71 [242]	1.51 [243]	6.20 [244]	12.42 [245]	16.32 [246]
Vitreous chamber depth/ocular axis	0.24	0.25	0.34	0.61	0.68
Vitreous volume (μl)	4.4 ± 0.7 [253]	13.4 ± 0.6 [254]	~ 1400 [255]	3300–3700 [256]	~ 4400 [257]
Lens thickness (mm)	1.6	3.9 [243]	7.9 [244]	3.8 [245]	4.0 [246]
Retinal thickness (µm)	204 [258]	219–236 [259]	Vascular area 163–340	223 [260]	190–276 [261]
			Avascular area 142–168 [259]		
Dynamic parameters					
Blink intervals (s)	300 [262]	300 [262]	360 [262]	6 [262]	5 [262]
Tear secretion rate (µl/min)			0.5 [263]		0.7–1.2 [264, 265]
Residual tear volume (μ l)	0.06–0.20 [266]	4.6 [267]	7.5 ± 2.5 [263]		7.0–12.4

Table 3 continued

	Mice	Rat	Rabbit	Rhesus monkey	Human
Tear turnover rate (%/min)	5.2 [268]		7.1 [263]		16.0 [265]
Rate of aqueous humor production $(\mu l/min)$	0.18 ± 0.05 [251]	0.35 ± 0.11 [248]	1.46–1.71 [239]	4.54 ± 1.11 [240]	2.40 ± 0.60 [41]
Aqueous humor turnover rate (%/min)	2.5 [251]	2.2 [248]	1.6 [252]	2.0 [240]	1.0–1.5 [41]

organoids are much more complicated and are still under development. These organoids are often generated from mice or human embryonic stem cells and are composed of either the outer layers of the retina (rods and cones with photosensitivity activity) or the inner layers of the retina (stratified layers of neural retina) [206–208]. These in vitro organs are useful for drug testing and prediction of the response of the patient to a specific therapy.

The organ-on-a-chip (OoC) system is a multichannel 3D microfluidic cell culture chip that simulates the activities, mechanics, and physiological responses of the entire organ or organ systems [209]. OoC provides the opportunity to study the effects of dynamic physiological activities on drug distribution and bioavailability, such as vascular drainage, lacrimation, and blinking [210]. In an OoC model of the ocular surface, the system was composed of functional corneal and conjunctival epithelium and an electric-actuated hydrogel-made eyelid. The different parts were connected with multiple channels to mimic tear generation during blinking [211]. In a recently reported OoC which was used to simulate the pathological features of nAMD, the system consisted of a layer of RPE and adjacent blood vessel network which showed the intact blood-retinal barrier function. Addition of VEGF to the culture media induced sprouting of blood vessels into the PRE layer, which could be inhibited by bevacizumab [212]. OoC has also been applied to observe retinal drug toxicity on functional retinal cells [213].

Taken together, the use of topical ocular formulations to treat chronic fundus diseases is a very attractive and very difficult concept. The novel testing technologies such as OoC, organoids, and 3D bioprinting are still in the early phase of development and their applications on in vitro drug testing need further validation.

CONCLUSIONS

Intraocular drug delivery is one of the most challenging fields in ocular drug research and development (R&D) as a result of the unique structure of the eye. The solutions depend on brave innovations and scientific tests. The development of new material and technologies has brought possibilities to create new topical formulations. The test models are critical to guarantee the clinical translationality of new topical formulations.

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Data Availability. Data sharing is not applicable to this article as no datasets were generated or analyzed during the current study.

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