ORIGINAL RESEARCH



# The Effect of Manipulation Under Anesthesia for Secondary Frozen Shoulder: A Randomized Controlled Trial

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# ABSTRACT

*Introduction*: Manipulation under anesthesia (MUA) is often used for frozen shoulder treatment, but controversy still exists regarding MUA compared with conservative treatment.

Qinguang Xu, Huihui Li, and Ding Jiang contributed equally to this work and should be regarded as co-first authors.

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Y. Wu e-mail: wuyuyun219@163.com This research was conducted to compare the outcome between MUA and celecoxib (CLX) in secondary frozen shoulder.

*Methods*: Patients with secondary frozen shoulder were randomized into two groups, an MUA plus exercise (EX) group and a CLX plus EX group. Clinical outcomes were documented at baseline and at 1 day, 2, 4, and 12 weeks after intervention, including Constant–Murley Score (CMS) for function, Pain Rating Index (PRI) and

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Present Pain Intensity (PPI) for pain, passive range of motion (ROM) measurements including external rotation, internal rotation, forward flexion, and abduction. Primary outcome was CMS. Secondary outcomes were PRI, PPI, and passive ROM.

Results: Sixty-seven patients out of 68 in the MUA group and 66 out of 68 in the CLX group finished the entire study period. There were no significant differences in basic properties of the two groups before intervention. As the primary outcome, CMS changes in the MUA group improved faster than the CLX group. Secondary outcomes, passive ROM, and pain PPI were faster and significant in the MUA group from 1 day compared with after intervention CLX (P < 0.05). At 12 weeks, a statistically significant difference was not observed in the PPI (P > 0.05). A statistically significant difference was not observed in the PRI between groups in 1 day (P > 0.05). For the primary outcome, from 0 to 12 weeks the mean changes in CMS were 44.00 for MUA plus EX (95% CI 43.07-44.93, P < 0.001) and 27.09 for CLX plus EX (26.20–27.98, *P* < 0.001). The significant difference in improvement appeared from 2 weeks.

*Conclusion*: To treat secondary frozen shoulder with MUA, this treatment could achieve better therapeutic effects on improvement of function, pain, and passive ROM than CLX did.

*Clinical Trial Registration*: The trial was registered at www.chictr.org.cn, identifier ChiCTR2200060269.

Keywords:Secondaryfrozenshoulder;Manipulationunderanesthesia;Constant-Murley Score;Randomizedcontrolled trial

### **Key Summary Points**

#### Why carry out this study?

Treatment for secondary frozen shoulder remains a challenge.

Manipulation under anesthesia is an attractive choice for primary frozen shoulder.

We hypothesized that manipulation under anesthesia compared with conservative treatment, each combined with exercise, could yield better effects in terms of improvement in Constant–Murley Score, pain, passive range of motion, and function of secondary frozen shoulder.

#### What was learned from the study?

Manipulation under anesthesia could improve the function, passive range of motion, and relieve pain of secondary frozen shoulder.

It is reasonable to incorporate manipulation under anesthesia into the treatment strategy in patients with secondary frozen shoulder.

# INTRODUCTION

Frozen shoulder (FS) is a common cause of shoulder pain and disability that affects approximately 2-4% of the general population [1]. It most generally influences people in the sixth decade of life [2, 3]. The etiology can be caused by primary or secondary rationales [4]. The condition of the shoulder is affected by unexplained pain, stiffness, and limitation in the active and passive range of motion (ROM) in two or more planes, which leads to progressive shoulder dysfunction. FS is traditionally divided into three phrases [5]: freezing phase, frozen phase, and thawing phase. Previous research showed that FS was a self-limiting, reversible condition [5, 6]. It can spontaneously resolve, but the recovery may be slow. Although it may take 2-3 years, most patients are satisfied with the recovery [7, 8]. Approximately 40% of patients still have persistent symptoms 4 years after onset [9]. About 15% of patients will have sequelae of long-term disability [10]. The pathophysiology of primary FS is still little known [11]. It may be associated with diabetes, thyroid conditions, Dupuytren contracture, smoking, etc. [4]. Secondary FS is defined by

having definite etiology leading to shoulder stiffness such as trauma or surgery. People with FS have difficulty performing basic daily activities and sleep disturbance because of shoulder pain [12], which increases at night [13].

Although there is not a well-defined model pattern for the medical management of FS, a variety interventions still can be applied to it, including conservative and invasive treatment [14]. The therapeutic management usually is based on expert personal experience instead of approved evidence [15]. Conservative treatment is composed of medication, physical therapy, exercise (EX), steroid injection or nerve blocks which can relieve symptoms [16]. The conservative treatment is considered appropriate for the majority of patients with FS [14]. However, up to 50% of patients have persistent pain with conservative treatment [17, 18]. There are several invasive treatments, such as manipulation under anesthesia (MUA), open or arthroscopic capsular release, and hydrodilation. These methods may improve the shoulder range of motion and relieve pain but may leave other complications [16].

At present, there is no unified medical management for secondary FS. It is formulated accord to the principle of primary FS. Traditionally, if conservative treatment does not improve the symptoms of FS, MUA is an appropriate choice [19]. MUA is a simple and effective procedure. After treatment, the capsular adhesions are torn apart which results in the rapid restoration of ROM and relief of symptoms [20]. Even so, there is still some controversy regarding its use. In rare cases, some serious adverse events occur that include humeral fracture, glenohumeral dislocation, and brachial plexus traction injury [21]. There is only one research study about MUA for secondary FS following breast cancer [22]. The results suggested that MUA, corticosteroid injection, and physiotherapy achieved good final results [22]. However, in that study, the experiment group mixed corticosteroid injection and physiotherapy treatment. Therefore, no firm conclusion can be drawn about MUA for secondary FS.

The objective of this trial was to assess the effectiveness of MUA plus EX compared to

celecoxib (CLX) plus EX in the treatment of patients with secondary FS. We hypothesize that for secondary FS the MUA treatment would have a quicker functional recovery and faster pain relief compared to celecoxib treatment.

# METHODS

#### **Study Design**

This trial is a prospective, single-center randomized controlled trial conducted in accordance with the principles of the Declaration of Helsinki. The data reported from this trial comply with the Consolidated Standards of Reporting Trials (CONSORT) statement. The study was conducted in the local hospital and was approved by the local ethics committee board (Shuguang Hospital, ChiECRCT20200121). An informed consent form was signed by all participants prior to study. The clinical trial was registered in the Chinese Clinical Trial Registry (ChiCTR2200060269).

#### **Randomization and Blinding**

The CONSORT diagram for participants is shown in Fig. 1. Patients were randomly assigned to one of the two following groups by one researcher independently: an MUA plus EX group or a CLX plus EX group. Randomization was done by using a computer program that included a randomized table of numbers, which was created by an independent individual who was not involved in the recruitment and treatment of patients. Numbered cards with a random assignment and containing information about the group allocation in opaque, sealed envelopes were prepared by the independent individual. All participants were blinded to their treatment assignment.

#### Sample Size Calculation

Power analysis was conducted before the commencement of patient recruitment. Constant–Murley Score (CMS) is the primary

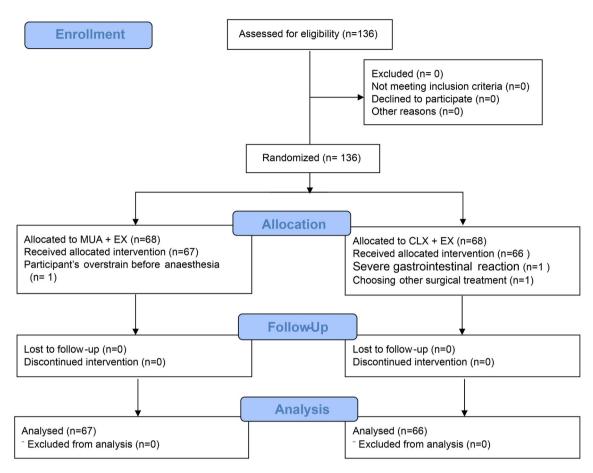


Fig. 1 Consolidated Standards of Reporting Trials (CONSORT) flow diagram. *MUA* manipulation under anesthesia, *CLX* celecoxib, *EX* exercise

outcome parameter. Based on the ability to confirm a difference between treatment groups of at least 10 points of CMS, the reported minimum clinically important difference (MCID) of CMS is 10 points [23]. According to previous research, the study shows an estimated mean CMS of 71 and a standard deviation of 15.5 points [24]. On the basis of these parameters, to achieve 90% power at a two-sided 5% significance, 65 patients were required in each group with a 20% dropout rate. We rounded up it to 68.

#### Participants

The patients were recruited from outpatients and inpatients of the orthopedics and traumatology department. Patients who were willing to participate in the research were evaluated to decide whether their condition met the study aim. In order to be eligible to participate in this study, patients must meet all of the inclusion criteria and none of the exclusion criteria.

Inclusion criteria were as follows: (1) subjects aged 40–70 years; (2) subjects clinically diagnosed with secondary FS characterized by restriction of function in the affected shoulder; (3) subjects that have definite cause, including trauma, non-osteoporotic fractures around the shoulder, long-term immobilization after lung cancer, breast cancer, or other surgery; (4) subjects that have the ability to comprehend the instructions in the study.

Exclusion criteria were as follows: (1) definitive evidence of rotator cuff tear, fracture, tumor in the shoulder, ligament rupture proved by magnetic resonance imaging (MRI); (2) allergy to narcotic drugs; (3) shoulder symptom resulting from skin contracture caused by cervical radiculopathy, brachial plexus lesion, connective tissue disorders, and scald around the shoulder; (4) other known shoulder pathology such as infection and broken skin; (5) pregnant, lactating women; (6) systemic inflammatory joint disease; (7) inability to give informed consent and fill out questionnaires; (8) unwilling to complete the medical observation.

From June 2019 to December 2020, a total of 136 eligible patients with a diagnosis of secondary FS were enrolled in the trial. The 136 patients were randomized to the MUA followed by EX group (n = 68) and celecoxib followed by EX group (n = 68) (Fig. 1).

## Intervention

## Anesthesia

The patient was place in a supine position, with head tilting to the healthy side. A soft thin pillow was placed under the neck and shoulder to expose the puncture site. An interscalene block was performed by the anesthesiologist using ultrasound guidance in the operating room. The interscalene block was located at the space between sternocleidomastoid muscle and anterior scalene muscle. A mixture containing 10 mL 75 mg ropivacaine and 10 mL 2% lidocaine was used for brachial plexus block anesthesia.

## MUA

The surgeon stands on the affected side of the patient in a supine position. Under anesthesia, the affected arm was held in anteflexion. The shoulder was gently moved in 180° anteflexion. During the process, we hold the elbow of the affected arm with one hand and the other hand is placed on the proximal humerus near the humeral head at the patient's axilla to prevent shoulder dislocation. This process is performed three times; these maneuvers result in tearing of fibrosed capsule and ligament, which can often be felt or heard. Next, the shoulder is positioned at external rotation, abduction, and flexion to 180°. Forward flexion to 90° for the shoulder

and elbow, the shoulder adducted to 45°. At the end, supine position remained, the affected shoulder abducted to 45°, and rotator interval was conducted. Supine with shoulder internal rotation, the thumb can touch the healthy-side shoulder blade at least.

## **Control Group**

Eligible participants randomized to the placebo group received 200 mg celecoxib (Celebrex, Pfizer) every day for 12 days.

## Exercises

- 1. Climbing wall training.
  - The patient stood facing the wall with feet shoulder-width apart. The toes approach the edge of wall. Five splayed fingers are placed on the wall, climbing high slowly along the wall within the patient's pain tolerance. If necessary, the process was finished with the aid of the heathy upper limb or others.
- 2. Putting on the other shoulder training. The affected-side elbow remained in flexion and close to the chest within pain limitation. The healthy-side hand was placed behind the affected elbow. The affected elbow was pushed up gradually so the affected-side hand reaches the other shoulder.
- 3. Pulling hand back.

Patients kept the position of sitting or upright. The affected upper limb was placed behind the back, adducted and raised gradually. Another healthy hand was used to help the affected hand raise to the highest position.

Note: repeat the three steps three times a day, three times each time.

## **Outcomes and Follow-up**

An independent physician who was blinded to the intervention collected all the data at 0 week (baseline), 1 day, 2 weeks, 4 weeks, and 12 weeks after treatment. The primary outcome was CMS. Secondary outcomes included the McGill Pain Questionnaire (MPQ) containing Pain Rating Index (PRI) and Present Pain Intensity (PPI) and passive ROM, including forward flexion, abduction, internal rotation, and external rotation with the arm at the side.

The passive flexion, passive abduction, and passive external rotation were measured using a digital angle ruler. The smallest unit of measurement is 5°. The passive internal rotation was expressed as the highest spinous process level at the back reached with the tip of the thumb. The vertebra level of sacrum was recorded as 1 point. From the fifth to first lumbar vertebra, it was numbered serially 2–6, and so on.

#### **Statistical Analysis**

R 4.0.3 statistical software was used for all statistical analysis. A P value less than 0.05 was considered statistically significant. The continuous data are expressed as mean and standard deviation (SD), and the categorical data are expressed as frequency and percentages. The chi-square test was used to detect differences between binary variables. The Kolmogorov-Smirnov test was applied to the continuous data to determine if they followed a normal distribution. Baseline demographic characteristics and the mean improvement from baseline in each clinical outcome at each follow-up visit were assessed for each patient. Student t test (for continuous data that were normally distributed), the Mann-Whitney Utest (for continuous data that were not normally distributed), or generalized linear mixed model (GLMM) were applied for the study comparison. For outcomes analysis, GLMM was used for repeated measures. The changes from baseline measurements were modeled with GLMM. Differences in mean changes from baseline for each outcome at each time were compared between groups. The outcome model included fixed effects for treatment, time, and the interaction of trial group with time.

# RESULTS

A total of 136 participants diagnosed with secondary FS were included in this study. Sixtyeight patients were included in the MUA plus EX group, which contained 23 patients suffering from impingement or traction injury of the shoulder, 9 patients postoperative of breast cancer, 10 patients postoperative of lung cancer, 13 patients postoperative of shoulder surgery, 8 patients postoperative of pacemaker implantation, 3 patients postoperative of axillary lymph node dissection, and 2 patients with long-term immobilization postoperative of clavicle fracture. The control group comprised 68 patients, including 25 patients suffering from impingement or traction injury of the shoulder, 8 patients were postoperative of lung cancer, 9 patients were postoperative of lung cancer, 17 patients were postoperative of lung

cancer, 17 patients were postoperative of shoulder surgery, 6 patients were postoperative of pacemaker implantation, and 3 patients were in long-term immobilization of humeral shaft fracture (Table 1).

One patient in the MUA plus EX group and two in the control group withdrew from the study. A total of 133 patients were therefore analyzed, i.e., 67 in the MUA plus EX group and 66 in the control group (Fig. 1). The demographic properties of patients included did not vary between groups (Table 2). There was no difference in clinical characteristics between groups at baseline (Table 3).

Significant differences between the two groups were defined by the test of group-bytime interaction (P < 0.001), suggesting that MUA plus EX was more efficacious than celecoxib plus EX. At each follow-up time point, the CMS in the MUA group was significantly more than that in the control group (P < 0.001), indicating faster relief in the MUA group than that in the control group by time. For intragroup comparisons, a significant improvement in CMS was observed 1 day after treatment in the MUA (P < 0.001) and control (P < 0.001) groups. Improvement in all groups lasted until 12 weeks (Table 4). At the 12-week follow-up, the CMS was  $94.45 \pm 1.44$  in the MUA group and  $77.06 \pm 1.87$  in the control group (P < 0.001) (Table 3), suggesting that the effect of improving shoulder function in MUA was stronger than celecoxib.

Similar to the changes in CMS, there were significant differences in passive ROM, PRI, and PPI according to the group-by-time interaction (P < 0.001 for all). Comparisons at each time

=		
MUA + EX $(n = 68)$	CLX + EX $(n = 68)$	P value
23	25	0.710
9	8	
10	9	
13	17	
8	6	
3	0	
2	3	
	( <i>n</i> = 68) 23 9 10 13 8 3	23     25       9     8       10     9       13     17       8     6       3     0

Table 1 Pathology of included patients

MUA manipulation under anesthesia, EX exercises, CLX celecoxib

point were conducted to further describe the difference between groups. Passive forward flexion, passive abduction, passive internal rotation, and passive external rotation values of the MUA group were significantly better than those of the control group at every time point (P < 0.001). PPI values of the MUA group were significantly better than those of the control group at 1 day (*P* < 0.001), 2 weeks (*P* < 0.001), and 4 weeks (P < 0.001), except for a nonsignificant difference between the two groups at 12 weeks (P = 0.341). In the MUA group, PRI value was significantly superior to that of the control group at 2, 4, and 12 weeks, while there was no significant difference in pain rating index at 1 day between the two groups (P = 0.464) (Table 3). These results showed that patients benefited more from MUA than from celecoxib. Considering the time factor, the effect of MUA was faster than celecoxib.

Compared with pre-treatment, passive ROM in the MUA group increased significantly at 1 day and lasted until 12 weeks (P < 0.001 for all four aspects). Passive ROM in the control group also had significant differences. However, only the passive external rotation had a significantly increase at 1 day compared with pre-

Characteristics	MUA + EX $(n = 67)$		P value
Age, years, mean $\pm$ SD	58.94 ± 7.67	58.11 ± 7.24	0.520
Men vs women	21 vs 46	26 vs 40	0.430
Shoulder dominance: dominant vs nondominant	28 vs 39	29 vs 37	0.940
Duration, month	ns, mean $\pm$ SD		
< 3	10	12	0.509
3-6	30	23	
7–12	22	28	
> 12	5	3	

 Table 2 Baseline characteristics of the participants

Data are expressed as mean  $\pm$  SD

MUA manipulation under anesthesia, EX exercises, CLX celecoxib

treatment (P < 0.001). A significant improvement was not observed until 2 weeks in passive forward flexion, passive abduction, and passive internal rotation (P < 0.001 for three aspects) (Table 4). According to the changes of passive ROMs, although an effect of celecoxib was observed, MUA therapy took effect earlier than it. At 12 weeks after treatment, passive external rotation recovered to  $75.00^{\circ} \pm 5.00^{\circ}$  and  $59.70^{\circ} \pm 5.40^{\circ}$ , passive internal rotation to  $12.70^\circ \pm 0.84^\circ$  and  $7.77^\circ \pm 1.12^\circ$ , passive flexion to  $172.16^{\circ} \pm 4.37^{\circ}$  and  $132.12^{\circ} \pm 8.32^{\circ}$ , and passive abduction to  $164.78^{\circ} \pm 5.87^{\circ}$  and  $118.79^{\circ} \pm 14.57^{\circ}$  in the MUA and celecoxib groups, respectively (Table 3). Figure 2 shows the time course of the mean CMS.

In the follow-up process, one patient in the CLX group had gastrointestinal reactions which gradually improved after discontinuing medication. One patient accepted surgical treatment and withdrew from follow-up. No patient reported other adverse effects during the follow-up.

Outcomes	MUA + EX $(n = 67)$	CLX + EX $(n = 66)$	<i>P</i> value (group by time interaction)	Between groups mean change (95% CI)
Primary outcon	ne			
CMS			< 0.001	
Baseline	$50.45 \pm 3.55$	$49.97 \pm 3.60$	0.225	0.48 (- 0.29  to  1.25)
1 day	$63.60 \pm 1.44$	$52.82 \pm 2.51$	< 0.001	10.78 (10.01–11.55)
2 weeks	$82.12 \pm 2.00$	$59.03 \pm 1.66$	< 0.001	23.09 (22.32–23.86)
4 weeks	$92.22 \pm 1.63$	$66.88 \pm 1.64$	< 0.001	25.35 (24.57–26.12)
12 weeks	$94.45 \pm 1.44$	$77.06 \pm 1.87$	< 0.001	17.39 (16.62–18.16)
Secondary outc	omes			
PRI			< 0.001	
Baseline	$13.82 \pm 1.89$	$13.50 \pm 1.88$	0.143	0.32 (- 0.11  to  0.75)
1 day	$11.58 \pm 1.83$	$11.74 \pm 1.62$	0.464	- 0.16 ( $-$ 0.59 to 0.27)
2 weeks	$3.31 \pm 0.63$	$7.68 \pm 1.17$	< 0.001	-4.37 (- 4.80 to - 3.94
4 weeks	$1.03 \pm 0.70$	$4.74 \pm 0.71$	< 0.001	-3.71 (-4.14 to -3.28
12 weeks	$0.00\pm0.00$	$1.09\pm0.29$	< 0.001	-1.09 (-1.52 to - 0.66)
PPI			< 0.001	
Baseline	$3.82\pm0.46$	$3.95\pm0.41$	0.094	- 0.13 ( $-$ 0.29 to 0.02)
1 day	$2.72\pm0.71$	$3.38\pm0.55$	< 0.001	-0.66 (-0.82 to - 0.51)
2 weeks	$1.24\pm0.50$	$2.65\pm0.48$	< 0.001	- 1.41 ( $-$ 1.57 to $-$ 1.26
4 weeks	$0.10\pm0.31$	$1.59\pm0.53$	< 0.001	-1.49 (- 1.64 to - 1.33
12 weeks	$0.00\pm0.00$	$0.08\pm0.27$	0.341	- 0.08 ( $-$ 0.23 to 0.08)
pFF, degrees			< 0.001	
Baseline	$88.36 \pm 11.23$	$88.33 \pm 10.93$	0.988	0.03 (- 3.22  to  3.27)
1 day	$125.07 \pm 9.91$	$89.62 \pm 12.26$	< 0.001	35.45 (32.21-38.70)
2 weeks	$141.27 \pm 7.56$	$96.44 \pm 11.56$	< 0.001	44.83 (41.58-48.08)
4 weeks	$155.81 \pm 6.46$	$111.36 \pm 10.02$	< 0.001	44.44 (41.19–47.69)
12 weeks	$172.16 \pm 4.37$	$132.12 \pm 8.32$	< 0.001	40.04 (36.79-43.29)
pAB, degrees			< 0.001	
Baseline	$50.52 \pm 16.52$	$46.97 \pm 13.09$	0.141	3.55 (- 1.16 to 8.26)
1 day	$122.39 \pm 15.75$	$47.95 \pm 14.81$	< 0.001	74.43 (69.72–79.14)
2 weeks	$144.40 \pm 11.86$	$57.12 \pm 15.91$	< 0.001	87.28 (82.57–91.99)
4 weeks	$153.88 \pm 9.57$	$70.61 \pm 16.70$	< 0.001	83.28 (78.57-87.98)
12 weeks	$164.78 \pm 5.87$	$118.79 \pm 14.57$	< 0.001	45.99 (41.28-50.70)

 Table 3 Difference of outcomes at different time points between groups

Table 3	continued

Outcomes	MUA + EX $(n = 67)$	CLX + EX $(n = 66)$	<i>P</i> value (group by time interaction)	Between groups mean change (95% CI)
pIR, degrees			< 0.001	
Baseline	$1.84\pm0.79$	$2.08\pm0.73$	0.123	- 0.24 ( $-$ 0.54 to 0.06)
1 day	$5.45 \pm 1.05$	$2.20\pm0.85$	< 0.001	3.25 (2.95-3.55)
2 weeks	$9.06\pm0.92$	$3.35\pm0.92$	< 0.001	5.71 (5.41-6.02)
4 weeks	$10.64 \pm 0.88$	$4.97\pm0.78$	< 0.001	5.67 (5.37-5.98)
12 weeks	$12.70 \pm 0.84$	$7.77 \pm 1.12$	< 0.001	4.93 (4.63–5.23)
pER, degrees			< 0.001	
Baseline	$29.25 \pm 6.23$	$30.38\pm5.57$	0.242	- 1.13 ( $-$ 3.01 to 0.76)
1 day	$43.43 \pm 6.53$	$32.27 \pm 7.24$	< 0.001	11.16 (9.28–13.04)
2 weeks	$59.10 \pm 4.26$	38.86 ± 5.39	< 0.001	20.24 (18.36-22.12)
4 weeks	$65.60 \pm 4.13$	$47.80 \pm 4.81$	< 0.001	17.79 (15.91–19.68)
12 weeks	$75.00 \pm 5.00$	$59.70 \pm 5.40$	< 0.001	15.30 (13.422-17.18)

Data are expressed as mean  $\pm$  SD

*CMS* Constant–Murley Score, *PRI* Pain Rating Index, *PPI* Present Pain Intensity, *pFF* passive forward flexion, *pAB* passive abduction, pIR passive internal rotation, *pER* passive external rotation, *MUA* manipulation under anesthesia, *EX* exercises, *CLX* celecoxib

## DISCUSSION

To our knowledge, our study is the first randomized controlled trial to compare the effects of MUA with CLX for secondary FS. The results of this study showed that the MUA and CLX treatment improve shoulder function, but the effect of MUA was faster and stronger than CLX. Compared with the CLX group, CMS of the MUA group had significant restoration with earlier and better recovery. Alleviation of shoulder pain, evaluated via PRI and PPI, was reported in MUA and CLX groups. But patients in the MUA group had greater recovery. Patients receiving MUA and CLX treatment had significant improvement in passive ROMs. The MUA group had earlier and greater recovery.

Although similar, the pathology of primary and secondary FS is not all the same. In idiopathic primary FS, the main feature is inflammatory contracture of glenohumeral synovial capsule, coracohumeral ligament, soft tissues of rotator interval, and subscapularis muscle; and the hyperplastic fibroplasia and excessive type III collagen secretion generated [25]. Biopsy material from shoulder rotator interval showed chronic inflammation and proliferative fibrosis appeared, and existence of high vascularity and nerve tissue. Glenohumeral movement is limited by available intra-articular volume reduction. The aforementioned changes explain the reasons for pain and stiffness in FS [25]. The fibrosed capsule-ligament complex of the shoulder hinders the retrieval of shoulder ROM. FS is generally considered a self-limiting disease. However, few cases may have long-term disability and pain [17]. As for secondary FS, there is little understanding of the pathology until now. Extra rotator cuff and soft tissue contracture may exist which lead to a poor prognosis [14].

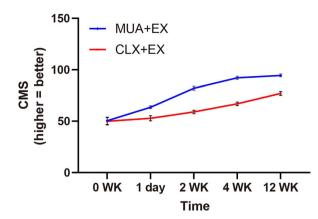


Fig. 2 Time course of the mean CMS in the two treatment groups. Data are expressed as mean  $\pm$  SD. *CMS* Constant-Murley Score, *WK* week

According to ISAKOS (International Society of Arthroscopy, Knee Surgery and Orthopaedic Sports Medicine) Upper Extremity Committee, secondary FS is defined definite trauma or surgery leading to dysfunction of the shoulder [4]. With the large increase of shoulder trauma and surgery, the incidence of secondary FS is rising. Common shoulder traumas include violent impact or pull, shoulder dislocation, distal humerus fracture, clavicle fracture, rotator cuff injury, etc. Clinically relevant procedures include rotator cuff repair, shoulder instability repair, lung cancer surgery, breast surgery, and pacemaker implantation [22, 26-28]. The overall incidence of FS following shoulder surgery and long-term postoperative immobilization is approximately 11% [29]. Frozen shoulder is the most documented cause of morbidity [30]. A study of a large data set found a 3.8% incidence of FS after breast cancer operation [31]. For patients with cancer, myofibroblasts are activated by cytokines released from the accumuinflammatory comprised lated cells of neutrophils, macrophages, monocytes, and lymphocytes. Coupled with the vasodilation and angiogenesis after radiotherapy, this activation can lead to increased extracellular matrix deposition and subsequent fibrosis, causing shoulder pain, ROM restriction, and secondary FS [32-34].

All the findings of this study provide strong evidence for understanding FS. By MUA

treatment, the complex is forcibly broken by maneuvering the shoulder across the ROM under anesthesia. Previous research suggested that MUA can improve function in patients with stage 2 refractory FS [35]. Some studies showed that long-term clinical outcome after MUA is excellent [19, 36, 37]. To date, only a small number of trials have focused on the influence of MUA on secondary FS. Nagata et al. conducted a small observational study on secondary FS resulting from previous anterior dislocation with MUA and injection, and most patients had considerable improvements in Oxford Shoulder Score (OSS) and ROM [26]. The mean improvement in OSS at follow-up after MUA and injection treatment was 18. The ROM improved at a mean of forward flexion 99°, abduction 107°, external rotation 52°, and internal rotation 40°. A preliminary study of MUA for secondary FS following breast cancer suggested that MUA achieved good final results in a series of patients with FS secondary to breast cancer treatment. The mean OSS improved from 31 to 43 [22]. Our research results are consistent with these previous studies.

One article deemed that MUA should not be performed for secondary stiffness of the shoulder and that arthroscopic capsular release must be applied to such patients [38]. Several controversies still remain regarding MUA, such as timing [39], with or without steroid injection [40], and the impact in diabetes [41]. According to literature reports, the overall complication rate of MUA is 0.4% [35]. Although MUA can improve shoulder function including flexion and abduction, surgeons often avoid forcible manipulation in MUA because of the fear of complications. Some articles have reported the common complications, such as humeral shaft fracture, rotator cuff tear, shoulder dislocation, labral tear, nerve injury, and complex regional pain syndrome [42, 43]. One research study performed arthroscopy after MUA and demonstrated iatrogenic superior labrum anterior-posterior lesions and partial tears of the subscapularis tendon [44].

Overall, manipulation under anesthesia for FS is a generally safe and successful operation. Nevertheless, surgeons should be aware of and

Outcomes

pER

Р

Outcomes	MOR + LR(n = 0/)	1	CLX + LX (n = 00)	1
Primary outcome				,
Constant				
0 vs 1 day	13.15 (12.27–14.02)	< 0.001	2.85 (2.36–3.34)	< 0.001
0 vs 2 weeks	31.67 (30.85-32.50)	< 0.001	9.06 (8.20-9.92)	< 0.001
0 vs 4 weeks	41.78 (40.86-42.69)	< 0.001	16.91 (16.05–17.76)	< 0.001
0 vs 12 weeks	44.00 (43.07-44.93)	< 0.001	27.09 (26.20-27.98)	< 0.001
Secondary outcom	nes			
PRI				
0 vs 1 day	-2.24 (- 2.68 to - 1.79)	< 0.001	- 1.76 ( $-$ 1.92 to $-$ 1.60)	< 0.001
0 vs 2 weeks	- 10.51 ( $-$ 10.97 to $-$ 10.05)	< 0.001	-5.82 (-6.10  to - 5.53)	< 0.001
0 vs 4 weeks	- 12.79 ( $-$ 13.29 to $-$ 12.29)	< 0.001	- 8.76 ( $-$ 9.14 to $-$ 8.37)	< 0.001
0 vs 12 weeks	-13.82 (- 14.28 to - 13.36)	< 0.001	- 12.41 ( $-$ 12.86 to $-$ 11.96)	< 0.001
PPI				
0 vs 1 day	-1.10 (- 1.28 to - 0.93)	< 0.001	-0.58 (-0.72 to - 0.43)	< 0.001
0 vs 2 weeks	-2.58 (- 2.74 to - 2.42)	< 0.001	-1.30 (- 1.42 to - 1.19)	< 0.001
0 vs 4 weeks	-3.72 (- 3.85 to 3.58)	< 0.001	-2.36 (- 2.48 to - 2.24)	< 0.001
0 vs 12 weeks	-3.82 (-3.93  to  -3.71)	< 0.001	-3.88 (- 3.99 to - 3.77)	< 0.001
pFF				
0 vs 1 day	36.72 (34.43-39.00)	< 0.001	1.29 (0.75–1.83)	0.128
0 vs 2 weeks	52.91 (50.31-55.51)	< 0.001	8.11 (7.22-8.99)	< 0.001
0 vs 4 weeks	67.45 (65.28–69.62)	< 0.001	23.03 (21.24–24.82)	< 0.001
0 vs 12 weeks	83.81 (81.41-86.20)	< 0.001	43.79 (41.52–46.06)	< 0.001
pAB				
0 vs 1 day	71.87 (69.30–74.43)	< 0.001	0.98 (0.49–1.48)	0.263
0 vs 2 weeks	93.88 (91.22–96.54)	< 0.001	10.15 (9.24–11.07)	< 0.001
0 vs 4 weeks	103.36 (100.42–106.30)	< 0.001	23.64 (22.32–24.96)	< 0.001
0 vs 12 weeks	114.25 (111.07–117.44)	< 0.001	71.82 (69.41–74.23)	< 0.001
pIR				
0 vs 1 day	3.61 (3.38–3.85)	< 0.001	0.12 (0.04–0.20)	0.086
0 vs 2 weeks	7.22 (6.96–7.49)	< 0.001	1.28 (1.15–1.39)	< 0.001
0 vs 4 weeks	8.81 (8.54–9.07)	< 0.001	2.89 (2.76–3.02)	< 0.001
0 vs 12 weeks	10.87 (10.62–11.11)	< 0.001	5.70 (5.52-5.87)	< 0.001

 Table 4
 Within-group comparison of outcomes over different time points (95% CI for difference)

Р

 $\mathbf{CLX} + \mathbf{EX} (n = 66)$ 

MUA + EX (n = 67)

Outcomes	MUA + EX (n = 67)	Р	CLX + EX (n = 66)	Р
0 vs 1 day	14.18 (12.84–15.52)	< 0.001	1.89 (1.30–2.49)	< 0.001
0 vs 2 weeks	29.85 (28.37-31.34)	< 0.001	8.48 (7.74–9.23)	< 0.001
0 vs 4 weeks	36.34 (34.61–38.08)	< 0.001	17.42 (16.58–18.27)	< 0.001
0 vs 12 weeks	45.75 (44.26-47.24)	< 0.001	29.32 (28.49-30.15)	< 0.001

 Table 4
 continued

Data are expressed as mean difference (95% CI for difference)

CMS Constant-Murley Score, PRI Pain Rating Index, PPI Present Pain Intensity, pFF passive forward flexion, pAB passive abduction, pIR passive internal rotation, pER passive external rotation, MUA manipulation under anesthesia, EX exercises, CLX celecoxib

inform patients of potential consequences because every capsular release approach has the potential to induce tissue injuries.

There are several limitations in our study. First, conservative treatment is used for FS for 6 months. In our study, the clinical stage of FS was not assessed at baseline. This may influence the effect of CLX for patients with long-term course. Second, in patients with FS, psychologic issues have been reported linked to shoulder pain and restrictions [45]. This research did not include psychological evaluation. Third, MRI of the shoulder was not evaluated after MUA. In that case, tissue insults and bone bruises may be omitted as we were unable to verify the shoulder structures, such as capsule and ligaments, after treatment. The positive effect of MUA may also be due to the sympathetic effects of the scalene anesthesia. Nevertheless, the major limitation of the present study was the lack of a placebo group. We cannot rule out the possibility that patients got better despite any treatments they received. However, symptoms in secondary FS generally improve much less without treatment, and the significant differences larger than MCID between the two treatments might well supersede any placebo effect in this trial. Furthermore, as the two comparison methods were commonly prescribed in the study hospitals, it would have been extremely difficult for the researchers to obtain ethical approval for including a control arm with only placebo.

# CONCLUSION

To treat secondary FS with MUA, this treatment could achieve better therapeutic effects on improvement of function, pain, and passive ROM than CLX did.

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*Disclosures.* Qinguang Xu, Huihui Li, Ding Jiang, Lin Wang, Yan Chen, Yuyun Wu, Daofang Ding, Jian Pang, Bo Chen, Yuxin Zheng, Hongsheng Zhan, Xiang Wang, and Yuelong Cao declare that they have no competing interests.

*Compliance with Ethics Guidelines.* The Ethics Committee of Shuguang Hospital Affiliated to Shanghai University of Traditional Chinese Medicine approved the study (ChiECRCT20200121), and informed consent was obtained from all participants. The trial was conducted following the Declaration of Helsinki.

*Data Availability.* The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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