

Time‑Dependent Stability Assessment of Coal Mine's Gallery Using New Geotechnical Classifcation

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Abstract Geotechnical classifcation of the roof of an underground coal mine is of utmost importance for the assessment of the roof support requirement. Forty-fve published cases of the Indian coalfeld have been taken for analysis. It has been observed from the cases that stand-up time with CMRI–RMR is not properly correlated, particularly, for short-term stable cases. After re-looking the data set, it has been perceived that the infuence of a single weak parameter is sufficient enough to reduce the strength of the roof rock mass. Therefore, the summation of the ratings for estimation of the overall rating of the rock mass is not appropriate. Keeping this in mind, a new geotechnical classifcation of the coal roof index (CRI) has been proposed similar to the Barton 'Q' system of rock mass classifcation. A multiple regression analysis has been done by considering fve independent parameters and one dependent parameter and using the developed new equation to assess the rating range. This study reveals that the newly developed classifcation system has shown a good correlation with recorded stand-up time compared to CMRI–RMR classifcation. The range of the proposed CRI classifcation is varying from 0.001 to 3000. It has been divided into fve classes. An exponential correlation between the proposed CRI and CMRI–RMR has also been observed.

Keywords CRI Coal roof index · Multiple regression analysis · Stand-up time · CMRI–RMR · Coal mines · Roof

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Abbreviations

- *B* The width of roadway (m)
- *D* Dry density (t/m3)
- CRI Coal roof index
- Lt Layer thickness (cm)
- St Stand-up time in days
- UCS Uniaxial compressive strength (Kg/cm2)
- Wg Groundwater flow rate (mL/min)
- Sf Structural features
- Sld First cycle slake durability index
- MRA Multiple regression analysis
- RMR CMRI–Rock mass rating

Introduction

The underground coal mines face a major challenge of the occurrence of roof falls. The risky nature of roof failure is demonstrated by the statistics of mining accidents reported in Indian coal mines [\[1](#page-11-0)–[4\]](#page-11-1). According to reported data from Indian coal mines, the total number of fatal accidents that happened due to roof falls is a very high percentage, 41% between 1973–2014 [\[5](#page-11-2), [6\]](#page-11-3). So, the overall stability of underground openings helps to increase the productivity and safety of the mine. The stability of the underground coal mine must be evaluated, and the requirement of a suitable support system must be assessed at the time of feasibility study and the stage of excavation [[7\]](#page-11-4).

Quick and reliable estimation of underground openings' stability and appropriate support requirements have been done by rock mass classifcation systems. The rock mass classifcation method is used for more than 100 years [[6,](#page-11-3) [8](#page-11-5)]. The classifcation systems have been giving quantitative descriptions of geological conditions. The rock tunnelling quality index, *Q*-system, and the rock mass rating system,

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RMR [[9](#page-11-6)], are the two most common classifications. Both classifcations are widely accepted in the civil and mining industries [[10](#page-11-7), [11\]](#page-11-8). The rock geology of coal mines has a layered form, and both classifcations do not consider layer thickness of the coal roof. Therefore, both systems of rock classifcation are not appropriately applicable [\[12](#page-11-9)].

Venkateswarlu et al., 1989 proposed a CMRI–RMR geomechanical classifcation of the coal roof. It is extensively being used for assessment of the roof support system in Indian underground coal mines. Basis of feld observations, A. Paul et al. [[8\]](#page-11-5) pointed out that some of the cases, particularly, for low CMRI–RMR show the instability of the junction roof support suggested by rock load formulation [[13\]](#page-11-10) based on CMRI–RMR. Author also observed similar issues for some of the mines having low CMRI–RMR values. Further, after re-looking the base data of geo-mechanical classifcation [[14](#page-11-11)], it has been observed that some of the short-term stable $(30 days)$ cases show a wide range of the CMRI–RMR value. Thus, a new system of geo-mechanical classifcation of the coal roof has been proposed based on the statistical analysis of the coal mine cases.

Engineering Rock Mass Classifcation Systems for Underground Coal Mines

Classifcations of rock masses are widely used to estimate the amount of rock support needed during pre-construction and to quantitatively describe the quality of the rock mass. As given in Table [1](#page-1-0), there are numerous rock mass

Table 1 Rock mass classifcation systems [\[15,](#page-11-16) [16](#page-11-17)]

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classifcation systems that have been developed for both general and specialized uses. The diferent engineering geological and geotechnical parameters are given varying degrees of emphasis by these classifcation systems.

The rock geology of coal mines has a layered form. The above-mentioned classifcations do not consider layer thickness of the stratum in the roof. Therefore, the application of these classifcation systems on coal mines are not appropriate. But, there are two classifcations such as CMRI–RMR [\[17](#page-11-12)] and CMRR [[18\]](#page-11-13) which are the most accepted rock mass classifcations for the roof of the underground coal mines. CMRI–RMR rock mass classifcation, developed by Venkateswarlu et al., is being using used in the Indian coal mines for assessment of the roof support system since last 3 decades. CMRR (coal mine roof rating system) is most popular in the USA, Australia, South Africa, etc. The development approach of both the classifcation is like to Bieniawski's RMR system. The fnal rating value in both systems ranges from 0 to 100 [[19\]](#page-11-14). The better quality of roof rock is indicated by higher RMR value. These are briefy explained in subsequent section.

CMRI–RMR

The CMRI–RMR classifcation is a practical and straightforward method of estimating roof conditions of an underground coal mine. It had been developed by statistical analysis of the various geotechnical data obtained from the Indian underground coal mines. Detail of the geotechnical and geomining data has been given in scientifc report [[20](#page-11-15)]. Five

most important infuencing parameters had been identifed, i.e. layer thickness, structural characteristics, weatherability, rock's uniaxial compressive strength (UCS), and groundwater flow rate. Through the use of factor analysis and principal component analysis (PCA), relationships between various parameters were discovered. Layer thickness plays a substantial role in delamination, and it is a cause of the roof deterioration. The layer thickness can be measured by measuring the layers thickness within the bed [[8\]](#page-11-5). Structural features are the cause of roof degradation, which include cracks, major faults, joints, slips, etc. In Indian coal mines, groundwater flow is a significant issue. Because many coal measure rocks deteriorate or disintegrate as a result of weathering, particularly when water is present, weatherability is crucial. It is determined by the slake durability apparatus, and frst cycle slake durability index (SDI) was considered for analysis. The rock's uniaxial compressive strength has been calculated in the laboratory as per standards of the Indian Bureau. Point load index obtained from an irregular piece of rock is converted to estimate the uniaxial compressive strength C_0 using the empirical relation: C_0 = 14 I_p . Groundwater seepage rate is measured by drilling a long hole in the roof (1.5–1.8 m) and the percolated water collected through the hole. This water fow is expressed in mL/min. All the geological features are recorded through geotechnical mapping [\[21](#page-11-18)].

The CMRI–RMR classifcation system is derived from the sum of the ratings of five distinct parameters. The CMRI–RMR value is calculated as follows:

$$
CMRI - RMR = RCMRI_1 + RCMRI_2 + RCMRI_3
$$

+ R_{CMRI_4} + R_{CMRI_5} (1)

where R_{CMRI_1} , R_{CMRI_2} , R_{CMRI_3} , R_{CMRI_4} , and R_{CMRI_5} are the ratings for layer thickness, structural characteristics, weatherability, UCS of roof strata and flow rate of groundwater, respectively. Weighted average value of CMRI–RMR shall be determined for a case of multiple layers. The maximum rating of the parameters is provided in Table [2](#page-2-0).

CMRI–RMR system has some adjustment factors for gallery span, depth, method of extraction, induced stresses, and lateral stress. These adjustments are made accounting

Table 2 CMRI rock mass rating parameters [\[17\]](#page-11-12)

Parameter	Maximum rating
Groundwater flow rate (mL/min)	10
Uniaxial compressive strength (Kg/cm2)	15
Weatherability (1st cycle slake durability index)	20
Structural characteristics	25
Layer thickness (cm)	30

for their neutral, negative, and positive contributions to CMRI–RMR values. The following equations are used to calculate the rock load in junctions and galleries using adjusted CMRI–RMR after adjustment, respectively. [\[13,](#page-11-10) [17](#page-11-12)]

(2) Rock load in junctions $(t/m^2) = 5 \cdot B^{0.3} \cdot (1 - \text{RMR}/100)^2$,

Rock load in roadways
$$
(t/m^2)
$$

= $B \cdot D \cdot (1.7 - 0.037RMR + 0.0002RMR^2)$, (3)

where *D* is density (t/m^3) of the roof rock, and *B* is the width of the gallery (m).

Coal Mine Roof Rating System (CMRR)

Molinda and mark developed the coal mine roof rating (CMRR) over 25 years ago. This classifcation system's basic idea has a similarity to Bieniawski's RMR. The ultimate rating value (CMRR value) ranges from 0 to 100 scale. The ultimate rating is determined by adding up all of the individual ratings for the following five parameters: (a) the intact rock's uniaxial compressive strength (UCS); (b) the rock's shear strength (cohesion and roughness); (c) the intensity (spacing and persistence) of the bedding and other discontinuities; (d) the existence of a strong bed in the bolted interval; and (e) the rock's moisture sensitivity [\[22](#page-11-19)].

The procedure of CMRR calculation is split into a twostep. Unit ratings are frst established for each layer after the mine roof is divided into structural units. Although a structural unit typically consists of a single lithologic layer, it is possible to combine multiple rock layers if they share similar engineering properties. The second step involves applying the proper adjustment factors and averaging all of the unit ratings within the bolted zone, with the contribution of each unit weighted by its thickness, to determine the CMRR. Figure [1](#page-3-0) illustrates the process for estimation of the CMRR value [\[1](#page-11-0), [23](#page-11-20), [24](#page-11-21)].

Proposed Coal Roof Index (CRI) Classifcation System

It is worth mentioning that the stand-up time (of unsupported roof) depends on the quality of the roof (i.e. rock classifcation) and width of the gallery. However, the range of the width of the majority of the cases is 3.0 to 4.5 m. In this study, 45 published cases of Indian coal feld have been taken from the scientifc report. (CMRI report, 1987). It has been observed, particularly, for short-term stable cases that high RMR value cases are having less gallery width, whereas low RMR value cases have high width of gallery in

Fig. 1 CMRR fowchart [\[24\]](#page-11-21)

Fig. 2 Correlation between recorded stand-up time and calculated CMRI–RMR

general. Even than these cases have failed within 30 days. Thus, the reported data have been re-analysed. A correlation graph between the stand-up time and CMRI–RMR has been plotted (Fig. [2](#page-3-1)).

It has been observed, particular, for the short-term stable cases (i.e. lesser than 30 days) that CMRI–RMR value has a wide range from 27 to 62 which shows a poor correlation between stand-up time and RMR values. After re-look of these cases, it has been observed that rating of the single parameters is low compared to other parameters for most of the cases. This indicates that the infuence of a single weak parameters is sufficient enough to reduce the strength of the roof-mass. Therefore, summation of the ratings for estimation of the overall rating of the rock mass is not appropriate.

Keeping above fundamental issue, particularly related to poor rock quality, a new coal roof index (CRI) classifcation system has been proposed. The proposed classifcation system is based on the multiplication of individual dependent parameter's rating similar to Q-system of rock mass classifcation as given below:

$$
CRI = \left(\frac{R_{\text{CRI}_1}}{R_{\text{CRI}_2}}\right) \times \left(\frac{R_{\text{CRI}_3}}{R_{\text{CRI}_4}}\right) \times R_{\text{CRI}_5}
$$
(4)

where $R_{\text{CRI_1}}$, $R_{\text{CRI_2}}$, $R_{\text{CRI_3}}$, $R_{\text{CRI_4}}$ and $R_{\text{CRI_5}}$ are the CRI ratings for layer thickness, structural features, weatherability, groundwater fow and UCS of roof strata, respectively. Weighted average value of CRI can be determined for a case of multiple layers with the bolted zone or about 2.5 m.

The appropriate rating system of the infuencing parameters has been evaluated by multiple regression analysis (MRA) of the feld cases of underground coal mines. Same data set has been chosen for re-analysis of the statistical model of CRI (Eq. [4\)](#page-3-2) w.r.t. to stand-up time. The statistical data of the infuencing parameters of all 45 cases are given in Table [3](#page-4-0).

Multiple Regression Analysis

Projecting the nature of the relationships between the different input and output variables is the aim of regression analysis. Additionally, it offers the best equation illustrating the relationship between the independent and dependent variables. The result is also predicted using the equation for specifc values of the input variables. This study uses MRA with St (stand-up duration) as the output variable and Lt

Table 3 Statistical data of the selected 45 Indian coal mines

Parameters	Average value	Stand, dev	Range value	
Lt (cm)	5.38	2.84	$2 - 15$	
Sf	8.7	3.76	$2 - 17$	
$Sld (\%)$	94.09	9.37	$50 - 99.5$	
UCS (MPa)	30.23	12.86	$3.5 - 63$	
Wg (ml/min.)	362.73	1793.56	$1 - 12,000$	
St (days)	427.95	594.19	$1 - 1825$	

(layer thickness), Sf (structural feature), Sld (slake durabil ity), UCS (uniaxial compressive strength), and Wg (ground water) as independent variables.

The stand-up time is by and large proportional to the CRI (Eq. [4](#page-3-2)) where rating of each parameter is correlated with its exponential function. Thus, the multiple regression equation has been framed as follows:

Stand - up time $\propto Lt^a \times Sf^b \times Sld^c \times Wg^d \times UCS^e$ (5)

where Lt^a , Sf^b , Sld^c , Wg^d and UCS^e represent the rating of the respective parameters i.e. R_{CRI_1} , R_{CRI_2} , R_{CRI_3} , R_{CRI_4} and R_{CRI_25} .

The main issue is that R^2 magnitude can be significantly impacted by variance in the studied population. There fore, a high coefficient of determination does not always imply "goodness of fit". Similarly, as the statistic is mostly impacted by changes in the independent variable, there is no assurance that a small R^2 denotes a weak link. Multiple regression analysis (MRA) summary is given in Table [4](#page-4-1) .

While b is employed as a coefficient of independent variables for the computation of the output value, the b^* represents the contribution or efect of the independent variables [\(Lt,](#page-11-22) [Sf,](#page-11-23) Sld, Wg, and UCS) on the dependent variable (St) [\[25,](#page-11-22) [26\]](#page-11-23). The MRA is carried out using the simulation data of 45 coal mine cases in India. The relationship below is demonstrated by the MRA models:

$$
Log (CRI) = -6.868 + 1.207(Lt) - 1.416(Sf)
$$

$$
+ 3.769(Sld) - 0.154(Wg) + 0.802(UCS)
$$
(6)

Rating Allocation

The rating range of each parameter has been evaluated by breakage of multiple regression equation into five sub-equations (exponential function) which have been derived with their coefficients. The values of raw regression coefficients from *MRA* for the respective parameters are as follows:

$$
a = 1.2, b = 1.41, c = 3.76, d = 0.15, e = .08
$$

These constants represent the nature and infuence of the parameters on the classifcation. Figures [3,](#page-5-0) [4,](#page-5-1) [5,](#page-6-0) [6](#page-6-1) and [7](#page-7-0) show the exponential curve of the parameters. The broad range of the parameters, as mentioned in Table [3](#page-4-0), has also been shown in the curve.

The ratings of the individual parameters have been distributed by subdividing the equation curve into 6–7 equal division within the broad range.

Broadly, layer thickness value ranges from 0 to 16 cm, respectively, and rating values are subdivided into seven division from 1 to 25. Structural feature value ranges from 0 to 18, which is subdivided into six division for rating ranges from 1 to 30. Slake durability index ranges nearly 40 to 99, therefore the equation curve has been subdivided in six division and rating allocation done from 0.2 to 4.5. The uniaxial compressive strength value ranges from 3 to 70 MPa; therefore, the rating value ranges from 4 to 25 in six equal division. The ground water flow ranges from 0 to more than 2000 ml/min.

The rating system of the all parameters is shown in Table [5.](#page-7-1) These have been arrived at after several modifcations to keep them in conformity with actual observations. To facilitate calculations, those parameters which have a negative infuence on stability (viz., groundwater and structural features, increase in whose values deteriorate the roof condition) as shown in Eq. [6](#page-4-2) and those parameters which have a positive infuence on stability (viz., layer thickness, slake durability and uniaxial compressive strength) were assigned rating value as more than one that were directly related to their value. In above rating allocation, two parameters viz. structural features and ground water fow, have negative impact on stability of underground structure.

Ground water flow rate in ml/min**.**

Results and Analysis

The results of the *MRA* have been analysed for ensuring the efectiveness of the proposed coal roof classifcation system. *CRI* of all the cases has been calculated using the proposed rating system as mentioned in Table [5](#page-7-1) and Eq. [4.](#page-3-2) The wide numerical range of CRI varies from 0.001 to 3000 and refects diferences in rock mass properties.

Correlation

The graph of scattered data between stand-up time and *CRI* for the 44 cases has been plotted in logarithmic scale which is shown in Fig. [8.](#page-7-2) The bet-fit linear trend has also been plotted. The prediction of stand-up time for an underground coal mine is very helpful for assessment of support requirements. A linear, logarithmic equation has been developed as follows:

$$
Log (St) = 1.1346Log (CRI) + 0.3621 \tag{7}
$$

The coefficient of determination (R^2) has been obtained as 0.75. As shown in Fig. [2](#page-3-1), \mathbb{R}^2 \mathbb{R}^2 \mathbb{R}^2 between the CMRI–RMR values and stand-up time is 0.46, whereas R^2 between the *CRI* values and stand-up time is 0.75, as shown in Fig. [8.](#page-7-2) Therefore, the developed CRI classifcation has around 29

Table 5 Parameters and

per cent higher coefficient of determination as compared to CMRI–RMR classifcation.

As discussed earlier, CMRI–RMR showed the poor correlation for the short-term stable cases (\leq 30 days). Therefore, correlation graph has also been plotted for short-term stable cases for both the CMRI–RMR and proposed CRI classifcation system vis-à-vis stand-up time in Figs. [9](#page-8-0) and [10](#page-8-1), respectively. Figure [9](#page-8-0) shows the scattered data plot for CMRI–RMR classification. It has observed a very low \mathbb{R}^2 value with respect to stand-up time, which is about 0.09. The CRI value ranges from 0.7 to 30 for short-term stable cases. It has a reasonable R^2 value, which is 0.45, and the graph plot has also shown conformity about the best ft line in Fig. [10.](#page-8-1)

The range of the proposed CRI classification has been divided into fve classes viz. very poor (<1 month), poor $[1–3$ months), fair $[3–7$ months), good $[7–20$ months or ~ 1.5 years) and very good $\lceil > 20 \rceil$ months or ~ 1.5 years) based on the stand-up time. Figure [11](#page-9-0) shows the class system along with the range of the stand-up time. The upper bound and lower bound of scattered data of all the 45 cases has also shown by black dotted line and average value shown by dark blue line.

The statistical analysis of the data set, in diferent classes, has been done w.r.t. CRI value and stand-up time and summarized in Table [6.](#page-9-1)

Fig. 9 Correlation between recorded stand-up time and calculated RMR for short-term

stable cases

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Fig. 11 *CRI* classifcation

Table 6 Categorization of coal roof based on CRI and suggested stand-up time

Roof rock description	CRI value			Stand-up time in days			Data fre-
	Average	St. dev	Range	Average	St. dev	Range	quency
Very weak	2.92	1.41	$0.001 - 5$	5	7.4	$1 - 20$	6
Weak	11.55	4.32	$5.1 - 20$	30	21.47	$2 - 60$	11
Fair	43.80	21.15	$20.1 - 100$	323	363.5	$7 - 1000$	15
Strong	288	99.87		1093	563.7	270-1825	7
Very strong	525	88.96	$101 - 400$ >400	1278	751.8	$365 - 1825$	5

Fig. 12 Correlation between CRI and CMRI–RMR

Statistical relation between CRI and RMR classifcation system

A statistical relationship between CRI and CMRI–RMR has been established using regression method. Figure [12](#page-9-2) shows the exponential best ft curve for the scattered data. Based on the results, the coefficient of determination is 0.75 , which may be considered as a good correlation between CRI and CMRI–RMR data set. The developed exponential relationship between CRI and CMRI–RMR is as follows:

$$
CRI = 0.0509e^{0.1311RMR}
$$
 (8)

 $R^2 = 0.7515$

Validation

Four Indian coal mine cases have been considered for the validation of the study. Details of CRI classifcation parameters and their rating values are given in Table [7](#page-10-0). The CRI value and stand-up time for all cases have been determined by suggested formulation (Eqs. [4,](#page-3-2) and [7,](#page-6-2) respectively). As per the study of Paul et al. [\[27](#page-12-0)] and Paul et al. [[28](#page-12-1)], Pandavpara Mine is a stable case and Piparia Mine and Monnet Ispat Mine, Seam II are un-stable case. This study also showing that the CRI value of Pandavpara Mine is 218.75 which comes under strong category rock and projected stand-up time is more than 1.5 year that indicate the stable roof rock. The calculated CRI values for Piparia Mine and Monnet Ispat Mine, Seam II are 7, and 6.25 which comes under poor category of roof rock. The projected stand-up time for both cases also showing un-stable behaviour of roof rock even with roof support as per suggested Eq. [7](#page-6-2). The Shyampur Colliery has CRI value of 562.5 which comes under very strong category rock and projected stand-up time for this case is more than fve years which indicates the stable nature of roof rock. It has been also observed in the feld that

Table 7 Four Indian coal mine cases consider for validation [[27](#page-12-0), [28](#page-12-1)]

galleries of incline 5 and 6 of Shyampur Colliery were stable without any support for more than five years.

Nowadays, underground galleries have been supported just after the excavation stage. Therefore, it is difficult to measure actual stand-up time of un-stable cases in condition of no support. As per author knowledge, similar literature has not been available. However, two un-stable cases have been picked from Paul et al. [\[27](#page-12-0)] which are failed even after the support of as per the RMR guideline. It is expected to that these two cases have very short stand-up time in condition of no support which is also evident from this study. So, this study is showing good agreement of classifcation of roof rock and an average predication of the stand-up time of a coal mines.

Conclusions

Rock mass characterization for the roof is most important for assessment of the roof support requirement. Coal roof index (CRI) rock mass classifcation of the coal roof has been proposed and developed using the statistical analysis of the feld cases. The proposed classifcation system is based on multiplication of the rating of the fve infuencing parameters viz. layer thickness, structural features, weatherability, UCS of roof strata and groundwater fow. The range of CRI is 0.01–3000. The CRI has been classifed into fve class viz. Very weak (CRI: 0.001–5), Weak (CRI: 5.1–20), Fair (CRI: 20.1–100), Strong (CRI: 101–400) and very strong $(CRI: > 400)$. Structural features and groundwater flow shows the negative correlation whereas, layer thickness, weatherability and UCS of roof strata shows the positive correlation. The groundwater flow is to be considered for cases of having weatherability lesser then 95%. Statistical analysis of the wide spectrum of the roof quality revealed the reasonable correlation among the stand-up time vis-à-vis proposed CRI value. This study has been proposed a linear

logarithmic relationship between stand-up time of unsupported roof and CRI, which is as follows:

 $Log (St) = 1.1346Log (CRI) + 0.3621$

The analysis of all the cases shows slightly better coefficients of determination (R^2) of the proposed *CRI* roof classifcation compared with the CMRI–RMR. Particularly, for short-term stable cases $\left($ < 30 days). An exponentials correlation between proposed CRI and CMRI–RMR has also determined. The developed exponential relationship between CRI and CMRI–RMR is as follows:

 $CRI = 0.0509e^{0.1311RMR}$

This study has been validated from four Indian coal mine cases.

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Declarations

Confict of interest The authors wish to confrm that there are no known conficts of interest associated with this study, and there has been no signifcant fnancial support for this work that could have infuenced its outcome.

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