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COVID-19 associated multisystemic mucormycosis from India: a multicentric retrospective study on clinical profile, predisposing factors, cumulative mortality and factors affecting outcome

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Abstract

Purpose The clinical course of COVID-19 has been complicated by secondary infections, including bacterial and fungal infections. The rapid rise in the incidence of invasive mucormycosis in these patients is very much concerning. COVID-19-associated mucormycosis was detected in huge numbers during the second wave of the COVID-19 pandemic in India, with several predisposing factors indicated in its pathogenesis. This study aimed to evaluate the epidemiology, predisposing factor, cumulative mortality and factors affecting outcomes among the coronavirus disease COVID-19-associated mucormycosis (CAM).

Methods A multicenter retrospective study across three tertiary health care centers in Southern part of India was conducted during April-June 2021.

Results Among the 217 cases of CAM, mucormycosis affecting the nasal sinuses was the commonest, affecting 95 (44%) of the patients, orbital extension seen in 84 (38%), pulmonary (n=25, 12%), gastrointestinal (n=6, 3%), isolated cerebral (n=2) and disseminated mucormycosis (n=2). Diabetes mellitus, high-dose systemic steroids were the most common underlying disease among CAM patients. The mucormycosis-associated case-fatality at 6 weeks was 14%, cerebral or GI or disseminated mucormycosis had 9 times higher risk of death compared to other locations. Extensive surgical debridement along with sequential antifungal drug treatment improved the survival in mucormycosis patients.

Conclusion Judicious and appropriate management of the predisposing factor and factors affecting mortality associated with CAM with multi-disciplinary approach and timely surgical and medical management can be much helpful in achieving a successful outcome.

 $\label{lem:covids} \textbf{Keywords} \ \ COVID-19 \ \ Associated \ \ Mucormycosis \ \ (CAM) \cdot Sino-nasal \ mucormycosis \cdot Pulmonary \ mucormycosis \cdot Cerebral \ mucormycosis \cdot Gastro-intestinal \ mucormycosis \ \ \ \\$

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Introduction

The severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has taken the whole world under its clutches, forcing it into an unprecedented crisis. The virus with its high potential of a human to human spread has resulted in an expedited spread of the global pandemic. Secondary infections further worsen the course of the coronavirus disease (COVID-19). Although bacterial infections contribute to a



majority of the secondary infections, the rising numbers of fungal infections being reported among COVID-19 patients are a major cause of concern [1]. Presence of pre-existing clinical conditions, diabetes, dysglycemia liberal use of glucocorticoids and higher antibiotics, and non-adherence to strict infection control practices have been ascribed to be the contributing factors leading to the rapid surge in the cases of fungal co-infection [2]. Fungal infections including candidemia, invasive aspergillosis and mucormycosis in COVID-19 patients has significantly increased [3-5]. This has led to the increased realization of the magnitude of the problem among clinicians and microbiologists. A literature search of the COVID-19-associated mucormycosis (CAM) cases reported 80 cases across 18 countries as of April 12, 2021 [5]. Another multicentric study from India reported 187 cases of CAM from September to December 2020 [6].

India contributes to the highest number of mucormycosis cases across the world due to its climatic conditions and the presence of large number patients with uncontrolled diabetes mellitus, the major predisposing factor for mucormycosis [7]. India has been hard-hit by the COVID-19 pandemic and thus was expected to have a large number of CAM cases. We conducted a multi-centre study to assess the clinical profile, identify the predisposing factor, cumulative mortality and factors affecting the outcome in the multisystemic cases of CAM.

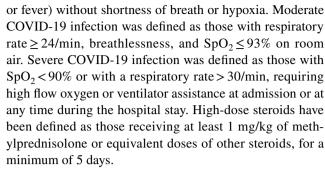
Materials and methods

Study design

We conducted a retrospective, non-interventional, observational study of CAM patients, involving three tertiary health care centres in Hyderabad, India. We collected the details of the confirmed CAM cases reported during April 15-June 5, 2021 and followed up on the cases for 6 weeks. The ethics committee approval was obtained for the study protocol.

Study subjects and definitions

A case of mucormycosis was defined as one consistent with clinical, radiological findings and corroborating visualization of broad aseptate, ribbon-like fungal hyphae in the direct microscopy of tissue or sterile body fluids of a patient or histopathology specimen by fungal stains, or zygomycetes fungi isolated on culture [8]. COVID-19 diagnosis was confirmed in the patients positive for SARS-CoV-2 RNA by reverse transcriptase polymerase chain reaction (RT-PCR) on the respiratory samples or a positive rapid antigen test. CAM was defined as the detection of proven mucormycosis in COVID-19 patients. Mild COVID-19 infection was defined as those with upper respiratory tract symptoms (and/



The primary outcome measure was cumulative mortality. The secondary outcome measures included the predisposing factors and the clinical characteristics of the patients with COVID-associated mucormycosis.

Statistical analysis

All continuous variables were presented as mean with standard deviation or median with interquartile range (IQR) and group differences were analyzed using the student's t test or the Wilcoxon's Rank sum test for non-parametric distributions. Comparisons across 3 or more groups were done using the analysis of variance (ANOVA). Categorical variables were presented as proportions (n, %) and group differences were analyzed using the chi-squared or Fisher's exact test.

Death was used as the outcome variable and Kaplan–Meier (K–M) curves were plotted to show cumulative estimates of survival at various time points. Time to death was defined as the interval between the time of admission to the hospital for mucormycosis and death. Risk factors for death were assessed using the Cox Proportional Hazards Models and displayed using hazard ratios (HR) with 95% confidence intervals (CI). Potential covariates used for adjusting hazard ratios were those with a p < 0.05 in univariate models and those that have been shown to influence failure rates in previous studies.

All data were entered in Microsoft Excel and analyzed using STATA 12.1 I/c (Stata Corp, Fort Worth, Texas, USA) and all p values < 0.05 were considered statistically significant.

Results

Demographics

We included 217 consecutive patients with CAM during the study period. About half the cases were in the age group of 37-54 years (n=109, 50%) while 22 (10%) were younger than 37 years and 86 (40%) were older than 55 years. Majority of patients were men (n=177, 82%). Cases were managed by multiple consultants from different specialities across the three participating centres. Majority of the cases



(n=201, 93%) were referred to the participating centres for mucormycosis infection management after the primary COVID-19 infection was managed at the referring centre. The infecting organism was found to be Rhizopus in 144 cases, (66%) Mucor in 37 cases (17%), Syncephalastrum in 1 case. Mixed infection of Rhizopus with Aspergillus was detected in 10 (5%) cases while the species was not identified in 25 cases (12%).

Previous COVID-19 infection

The mean time between recovery from prior COVID-19 and onset of mucormycosis was 15.2 ± 9.1 days and ranged from 2 to 60 days. Recovery was as not as such defined and the date of discharge from the previous hospital, as obtained from the records was taken as presumed recovery. Records showed that nearly half the patients (n = 100, 46%) had severe COVID-19 in the past while another 83 (38%) had moderate COVID-19 and 21 (10%) had mild disease, while

records were not available to classify COVID severity in 13 (6%) patients. During the study period, we treated nearly 1250 patients of severe COVID-19, of which 100 patients had mucormycosis. Most of the cases (95/100) of mucormycosis with severe COVID-19 were referred to the participating centres for mucormycosis tertiary care and management.

About half the patient's required non-invasive ventilation (NIV) (n = 109, 50%), while a further 36 (17%) required mechanical ventilation and 37 (17%) needed supplemental oxygen alone while 35 (16%) did not need any oxygen. 14(7%) of the patients were on home-based care and not hospitalized for COVID-19 disease and yet developed CAM.

Predisposing factors for mucormycosis

Predisposing factors for mucormycosis seen in this cohort, along with prior COVID-19 is shown in Tables 1 and 2. Majority of patients were diabetic (n = 192, 88%) of which 27 (12%) were newly diagnosed. Overall, 83% received

Table 1 Factors with respect to the COVID-19 severity in patients with mucormycosis

COVID-19 severity	High dose steroids		Diabetes		Immuno-modulators ^b	Lymphopenia	Higher antibiotics	No risk
	N (%)	Duration ^b	Uncontrolleda	Ketoacidosis				
Mild (n=21)	17 (81%)	5 (2)	16 (76%)	3 (14%)	0	20 (95%)	14 (67%)	0
Moderate $(n=83)$	72 (87%)	7 (4)	63 (76%)	12 (14%)	1 (1%)	63 (76%)	49 (59%)	0
Severe $(n=100)$	91 (91%)	9 (3)	83 (83%)	10 (10%)	10 (10%)	76 (76%)	81 (81%)	0
Not available $(n=13)$	0	_	11 (185%)	2 (15%)	0	10 (77%)	0	0
Total	180 (83%)	8 (5)	173 (80%)	27 (12%)	11 (5%)	169 (78%)	111 (51%)	0

^aNew and known cases

Table 2 Comparison of demographics, risk factors and outcomes of mucormycosis involving different anatomic sites

Variable	Nasal Sinus $(n=95)$	Orbital extension $(n = 84)$	Pulmonary $(n=25)$	Other sites $(n=13)$	p value
Age (37–54 years)	42 (44%)	52 (62%)	11 (44%)	4 (31%)	0.09
Age > 55 years	39 (41%)	28 (33%)	12 (48%)	7 (54%)	
Gender (% men)	74 (78%)	71 (85%)	20 (80%)	12 (92%)	0.49
Time since COVID-19 recover (days)	14.9 ± 8.7	14.5 ± 8.6	18.3 ± 11.1	17.4 ± 10.7	0.39
% Severe COVID-19	44 (46%)	41 (49%)	11 (44%)	4 (31%)	0.15
% High dose steroid	76 (81%)	78 (93%)	19 (76%)	8 (62%)	0.004
% With Diabetes	72 (76%)	74 (88%)	20 (80%)	11 (85%)	0.83
%Uncontrolled diabetes	71 (75%)	63 (75%)	20 (80%)	7 (54%)	0.02
Treatment, % LAmpB + Posa	63 (66%)	52 (63%)	17 (68%)	9 (69%)	0.79
Death	8 (8%)	9 (11%)	6 (24%)	8 (62%)	< 0.001
Cumulative death rate at 1 month (% and 95% CI)	9.9% (4.9 –19.3%)	11.4% (6.1 – 20.8%)	22% (9.6 –45.8%)	63.1% (37.9 – 87.5%)	0.02
Time to death (days)	26.3 ± 13.1	25.2 ± 13.8	24.6 ± 16.1	13.3 ± 8.9	0.01

L-AmpB liposomal amphotericin B, Posa posaconazole



bMedian (IOR)

^cInfliximab, tofacitinib, barcitinib, plasma, IL-6 inhibitor

^aIncludes cranial (n=5), GI (n=6) and disseminated (n=2) cases

high-dose systemic steroids, 80% had uncontrolled diabetes and 12% had coexisting diabetic ketoacidosis. In addition to diabetes and high-dose steroids, patients had other comorbidities such as hypertension (n = 66, 30%), prior stroke (n = 4, 2%), chronic kidney disease (n = 4, 2%) and coronary artery disease (n = 9, 4%). Other immunosuppressive conditions included 3 post renal transplant recipients. Most patients classified as mild to moderate COVID-19 also received 1–2 mg/kg of methylprednisolone or its equivalent in the referring centres (Tables 1, 2). The mean blood sugar of patients admitted with mucormycosis was 273 ± 108 mg, the HbA1c was 9.4 ± 2.4 gm%, serum ferritin was 553 ± 400 ng/ml and C-Reactive protein was 53 ± 61 mg/dl. There were no patients without any known predisposing factor for mucormycosis.

Sites of mucormycosis

In our patient cohort, mucormycosis involved the nasal sinuses most commonly (n = 95, 44%) followed by rhinoorbital (ROM, n = 69, 32%), rhino-cerebral (RCM, n = 3), rhino-orbito-cerebral (ROCM, n = 15, 9%), pulmonary (n=25, 12%), gastrointestinal (n=6, 3%), isolated cerebral (n=2) and disseminated mucormycosis (n=2). In cases with rhino-orbital disease, the majority had bilateral involvement (n=152, 70%). The maxillary sinus was the most commonly involved (n = 173, 80%) followed by the ethmoid (n = 165,76%) and sphenoid sinuses (n = 162, 75%). One hundred and forty-seven patients (68%) showed involvement of all three sinuses. Intracranial extension was observed in 29 (13%) on imaging and an additional 8 patients (4%) had cranial nerve palsies. A comparison of predisposing factor and outcomes between mucormycosis at different sites is shown in Table 3. Those with involvement of the cerebral, GI or disseminated disease had significantly higher mortality, that occurred significantly sooner compared to mucormycosis involving the sinuses, orbit, or lungs. This subset was also marginally older, had a lower percentage of patients with high dose steroid administration and uncontrolled DM. The several sites of involvement and the operative findings have been shown in Fig. 1.

In those with sinus and orbital involvement (n=179), the commonest symptoms were headache (n=85, 47%), and pain in the facial region, jaw pain and retroorbital (n=88, 49%) regions. Proptosis was the commonest symptom in those with orbital involvement (n=68, 39%) while 53 (30%) presented with visual blurring or frank visual loss. In those with pulmonary involvement (n=25), all had cough and dyspnea (100%) while 20 (80%) had chest pain and 11 (35%) had hemoptysis.

Treatment

The commonest medical treatment offered to patients was a combination of Liposomal amphotericin—B and Posaconazole, in a sequential manner (n = 141, 65%) followed by a combination of Liposomal amphotericin-B and Isavuconazole sequentially (n=21, 10%) and lyophilized amphotericin B with Posaconazole sequentially (n = 22, 10%). Liposomal amphotericin B, the mainstay of medical management, was administered for a median of 17 days (IQR 11-22 days, range 1-36 days). Retrobulbar amphotericin-B was used in only 4 eyes. Similarly, functional endoscopic sinus surgery (FESS) was the mainstay of surgical management and was done in all cases of ROCM. Table 4 shows a summary of all surgical procedures done as per the anatomical site involved. Orbital exenteration was performed in 21% of cases with orbital involvement, while two-thirds of cases with pulmonary involvement underwent lobectomy and all patients with GI involvement underwent a hemicolectomy.

Table 3 Surgical procedures done with respect to anatomical involvement

Surgery	Nasal sinus (n=95)	Orbital extension	Pulmonary $(n=25)$	Other sites ^a $(n=13)$	Total
		(n = 84)			
FESS	94 (99%)	65 (77%)	0	3 (23%)	162 (75%)
FESS+Maxillectomy	1 (1%)	1 (1%)	0	0	2 (1%)
FESS + exenteration	0	17 (20%)	0	0	17 (8%)
FESS + exenteration + maxil- lectomy	0	1 (1%)	0	0	1 (<1%)
Pulmonary Lobectomy	0	0	16 (64%)	2 (15%) ^b	18 (8%)
Hemicolectomy	0	0	0	6 (46%) ^c	6 (3%)
Craniotomy	0	0	0	2 (15%)	2 (1%)
No surgery	0	0	9 (36%)	0	9 (4%)

^aIncludes cranial (n=5), GI (n=6) and disseminated (n=2) cases

^bAll cases of GI mucormycosis



^bDisseminated mucormycosis with lung involvement







Fig. 1 Intra-operative findings of patients with Mucormycosis. a Black ulcerative lesion at ileocaecal junction. b Left maxilla and buccal mucosa showing large ulcer with necrosis and teeth loosening. c The image shows pieces of infrastructure maxillectomy showing necrosis with loosened teeth

Outcomes and survival

A total of 31 (14%) patients died due to mucormycosis during the 6 weeks follow-up. Of these, 8 (26%) had sinus involvement, 9 (29%) had ROCM, 6 (19%) had pulmonary involvement, 5 (16%) had GI involvement, 1 (3%) had cerebral, 2 (7%) had disseminated mucormycosis. The cumulative probability of death (Fig. 2) increased from 9.7% (95% CI 6.4-14.5%) at day 10-14.4% (95% CI 10.2-20.2%) on day 20 and marginally more to 15.3% (95% CI 10.8–21.3%) on day 30. The cumulative probability of death was significantly higher in those with cerebral, GI and disseminated disease (Fig. 3) (log-rank p < 0.001). A univariate and multivariable Cox proportional hazards modeling showed that patients with cerebral or GI or disseminated mucormycosis had a 9 times higher risk of death (p < 0.001) compared to other locations. Pulmonary mucormycosis also had more than twice the higher risk of death compared to sinus involvement alone, though this was not statistically significant. Patients who required mechanical ventilation during their COVID-19 management were also at a 55% higher risk of mortality, but this was only marginally significant (p = 0.07). In pulmonary mucormycosis, of the 16 who underwent lobectomy, only 1 died (6%) while 5 out of 9 (55%) who did not undergo the procedure died (p < 0.001). However, 5/6 (83%) with GI involvement underwent hemicolectomy and still died (Fig. 4).

Discussion

Our study is the first large study which looks into the multisystemic manifestations of CAM, its predisposing factor and outcome. Most of the CAM cases were detected within 15.2 + 9.1 days of COVID-19 diagnosis. Rhino-orbital mucormycosis was the commonest form of CAM, as has been detected in other studies, with relatively better outcome in comparison with the CAM of other sites. Uncontrolled diabetes mellitus, hypoxemia due to COVID-19 and inappropriate use of glucocorticoid drugs were independent predisposing factor for the development of CAM. The sequential use of antifungal drugs along with surgery was associated with improved survival at 6 weeks follow-up.

In our study, men were affected by CAM in 82% of the cases, as has been observed in previous studies [5, 6]. We identified diabetes mellitus to be the most common underlying disease in CAM patients, with 88% of them being diabetic, which corroborated that of the 2 large Indian studies on CAM, where 62.7% (n = 136) and in 78% (n = 2194) of the patients were diabetic [6, 9]. A meta-analysis of the cases CAM also showed that diabetes was the major risk factor for CAM. Diabetes mellitus in COVID-19 patients is a proinflammatory state and has been linked to the destruction of



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Table 4 Factors associated with death in patients with multisystemic mucormycosis

Variable	Interval	Univariate analysis		Multivariable analysis	
		HR	95% CI	HR	95% CI
Age	Vs.>37 years	0.99	0.57-1.73	_	_
Gender	Vs. Men	0.61	0.21-1.74	_	_
Time since COVID recovery	1 day increment	1.01	0.97 - 1.05	_	_
Need for O ₂ as NIV	Vs. no NIV	1.52	0.83 - 2.79	_	_
Mechanical ventilation	Vs. no ventilation	1.98**	1.24-3.16	1.55	0.96-2.50
Steroid use	Vs. no steroid use	1.44	0.59-3.52	_	_
Uncontrolled DM	Vs. controlled DM	0.62	0.28 - 1.34	_	_
Site: ROCM	Vs. Sinus alone	1.31	0.51 - 3.42	1.24	0.47-3.24
Site: pulmonary		3.03*	1.05-8.76	2.61	0.89-7.78
Site: others (GI+cer- ebral+disseminated)		10.77**	3.99–29.01	8.88**	3.2–24.8

NIV non-invasive ventilation and ventilator support

^{**}p < 0.001, *p < 0.05

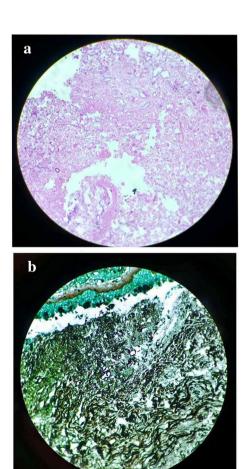


Fig. 2 Histopathological features of biopsy from mucormycosis patients. **a** Histopathology images showing broad, aseptate, thickwalled fungal hyphae in necrotic tissue. **b** GMS stain highlighting fungal hyphae in black colour

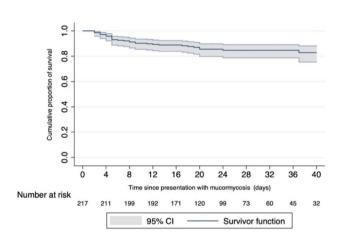


Fig. 3 Kaplan Meier survival estimates of cumulative probability of survival at various time points during the first 40 days are the onset of COVID-19 associated mucormycosis

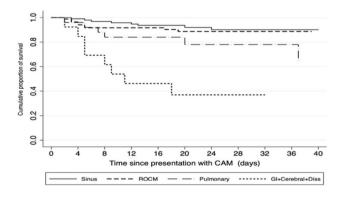


Fig. 4 Kaplan Meier survival estimates showing a comparison of cumulative probability of survival based on anatomic location of mucormycosis



the pancreatic beta cells by the SARS CoV-2 virus [10, 11]. Newly detected diabetes mellitus was diagnosed in 27(12%) of our patients. The mean blood glucose was 273 + 108 mg, with mean glycated hemoglobin (HbA1c) of 9.4 + 2.4 gm% at admission, which was comparable to another study conducted in India [12]. Presence of diabetic ketoacidosis has been associated with CAM in 12% of the cases in our study, which is comparable to the diabetic ketoacidosis incidence of 14.9% in a systematic review of 101 CAM cases in India [13].

Use of high-dose glucocorticoids was present in about 80% of the CAM patients in our study, which was similar to the glucocorticoid use in the other large study from India, where 87% (n=2073) received the glucocorticoids [9]. Even those with mild to moderate COVID-19 disease were given high-dose glucocorticoids and higher-order antibiotics, making such patients more predisposed to Mucor, especially in the setting of co-existent diabetes mellitus. Thus, there is a clear indication towards indiscriminate use of high-dose glucocorticoids. High-dose glucocorticoids and other immunomodulatory therapies have been associated with immune dysregulation [14, 15] and whether they predispose these patients to mucormycosis still needs to be proven. Lymphopenia is commonly seen in COVID-19 patients, and progressive lymphopenia has been associated directly with COVID-19 severity [16]. Lymphopenia was detected in 78% patients in our study, which could be associated with immune dysregulation and thus, increased risk of CAM in these patients. Immunomodulators such as tocilizumab have been shown to be associated with an increased risk of invasive candidiasis [17]. In our study, 11(5%) of the CAM patients had received immunomodulators. CAM has been associated with high mortality rates [18].

The appropriate management of mucormycosis involves effective control of hyperglycemia, and other predisposing factor, optimal surgical debridement and medical management with appropriate antifungal drugs for an adequate duration. Amphotericin B, the antifungal drug of choice was used in a sequential manner with the other antifungals including posaconazole and isavuconazole, in the consolidation phase [19], which was used in 184 (n = 85%) of the cases. The role of combined surgical debridement and medical approach with antifungals being associated with better survival has been supported in literature [20], and surgery was performed along with antifungals in 96% of our CAM cases (n = 208). Use of a combination of antifungals for the management of mucormycosis has not been well studied [21] and but was used in the management of our cases with extensive disease and multi-systemic involvement.

However, the mortality rate in our study was impressively low amounting to 31 (14%), which matches with the large series of 2826 cases of rhino-orbito-mucormy-cosis cases across India, published recently, which has a mortality rate of 14% (n = 305) [9]. The mortality rates in

the said study was less than 10% in the group with nasal sinus involvement only and 11% in the cases with orbital involvement, with an overall mortality rate of 14%. The lower rates of mortality in our study could be attributable to the timely surgical debridement and FESS undertaken in most of the cases, which saves lives. The rates of death were significantly higher in those with cerebral, gastro-intestinal and disseminated disease, having a nine times higher risk of death, as compared to other locations. Those with cerebral, GI and disseminated disease are at the highest risk of death and should be triaged and taken up for treatment on priority. In our study, the hemicolectomy could not salvage the life of the GI mucormycosis patients.

Our study has certain limitations. The first limitation is it included cases from a single state in India, and hence may not be representative of the appropriate incidence of the disease in India. We also did not include the non-COVID mucormycosis cases encountered during the study period, which could have helped us in finding the appropriate increase in the incidence of CAM. The follow-up of the patients is limited and many patients are still under active treatment, thus requiring the outcome to be analyzed with a bit of caution. There is also no large-scale data on the patients of COVID-19 who did not develop CAM, which could have served as a control group. Thus, prospective studies would be more helpful in ascertaining the predisposing factor. The strength of our study is a large number of cases which gives credibility to our findings and the inclusion of multisystemic cases of mucormycosis, which gives us an overview of the rare but not uncommon cases of CAM during the ongoing pandemic.

In conclusion, mucormycosis has emerged as an epidemic within the pandemic and it is very essential to curb its rising menace, with appropriate management by a multi-disciplinary approach including medical and surgical specialties. The predisposing factor identified included uncontrolled diabetes, indiscriminate usage of steroids and usage of higher antibiotics. The factors influencing mortality included the site of involvement of CAM, and the timing of administration of appropriate surgical and medical management. The incidence of mucormycosis has increased immensely during the second wave of COVID in India, and hence clinicians should be aware and have uptodate knowledge of the predisposing factor, clinical signs and symptoms, diagnostic modalities and treatment strategies of the various types of CAM. Also, the treating physicians should remember the predisposing factor involved in the development of CAM, the improper use of glucocorticoids, and should manage COVID cases accordingly with the appropriate drugs, thus preventing the huge increase in CAM cases.

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Declarations

Conflict of interest The authors declare that they have no competing interests.

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