



# A comprehensive review on the pretreatment of lignocellulosic wastes for improved biogas production by anaerobic digestion

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## Abstract

Biogas production from agricultural residues represents an effective and sustainable option for handling vast quantities of lignocellulosic waste for meeting the global energy demand. However, the recalcitrance of lignocellulosic biomass due to the presence of cellulose and hemicellulose in a structurally complex lignocellulosic matrix, and the crystallinity of cellulose create a hindrance in biogas production by restricting the availability of fermentable sugars to microbial action. This paper assesses the different pretreatment strategies adopted for making the lignocellulosic biomass amenable to anaerobic digestion by altering the structure of lignocellulose and eliminating lignin, thus increasing the accessibility of microbes to the easily degradable components. The review highlights the advantages and limitations of each technology—physical for size reduction (chipping, milling, extrusion, cavitation), thermal for breaking down of hydrogen bonds (conventional heating, steam explosion, microwave irradiation, hydrothermal), chemical for decreasing the crystallinity and polymerization degree of cellulose (alkalis, acids, gases, oxidizing agents, various solvents), biological for enhancing the digestibility (microbial, enzymatic), and their effect on improving the degradation efficiency and biogas yield. Further, the review discusses the role of some emerging technologies and other less explored options which may require optimization at higher scale so that the confidence in the translation of this knowledge can be increased and this abundantly available raw material can be effectively utilized. With the goal of improving the applicability of pretreatment technologies, some recommendations are put forth so that the efficiency of anaerobic digestion can be increased and the development of pretreatment technologies can be promoted on a large scale.

**Keywords** Waste management · Lignin deconstruction · Cellulose accessibility · Saccharification · Biogas improvement

## Introduction

Continuous increase in energy demand due to increasing human population and depletion of fossil fuel resources have driven the attention of researchers towards renewable sources of energy (Manyi-Loh et al. 2019). Lignocellulosic biomass consisting of both virgin (terrestrial plants) and waste (agricultural) biomass is the most abundant biomass

available on the earth. It is cheap and can be easily exploited for its high sugar content, thus presenting a large potential to meet the global energy demand and future energy insurance in a sustainable way (Enshaeieh et al. 2015; Kamaraj et al. 2020). Among the renewable energy options, biogas production from anaerobic digestion represents a sustainable technology for its use as an alternative to fossil fuels. However, the complex nature of lignocellulosic biomass due to the presence of hemicellulose and lignin creates a major hurdle in the accessibility to cellulose and thus biogas production from such a resource is hampered.

Lignocellulosic biomass conversion to biogas includes three main steps viz: pretreatment, enzymatic hydrolysis, and anaerobic digestion. Pretreatment, which is regarded as the most energy-intensive process in lignocellulose biomass conversion, alters its structure by decomposing the lignocellulosic matrix and eliminating lignin (Fig. 1). It is well known that the pretreatment of lignocellulosic biomass

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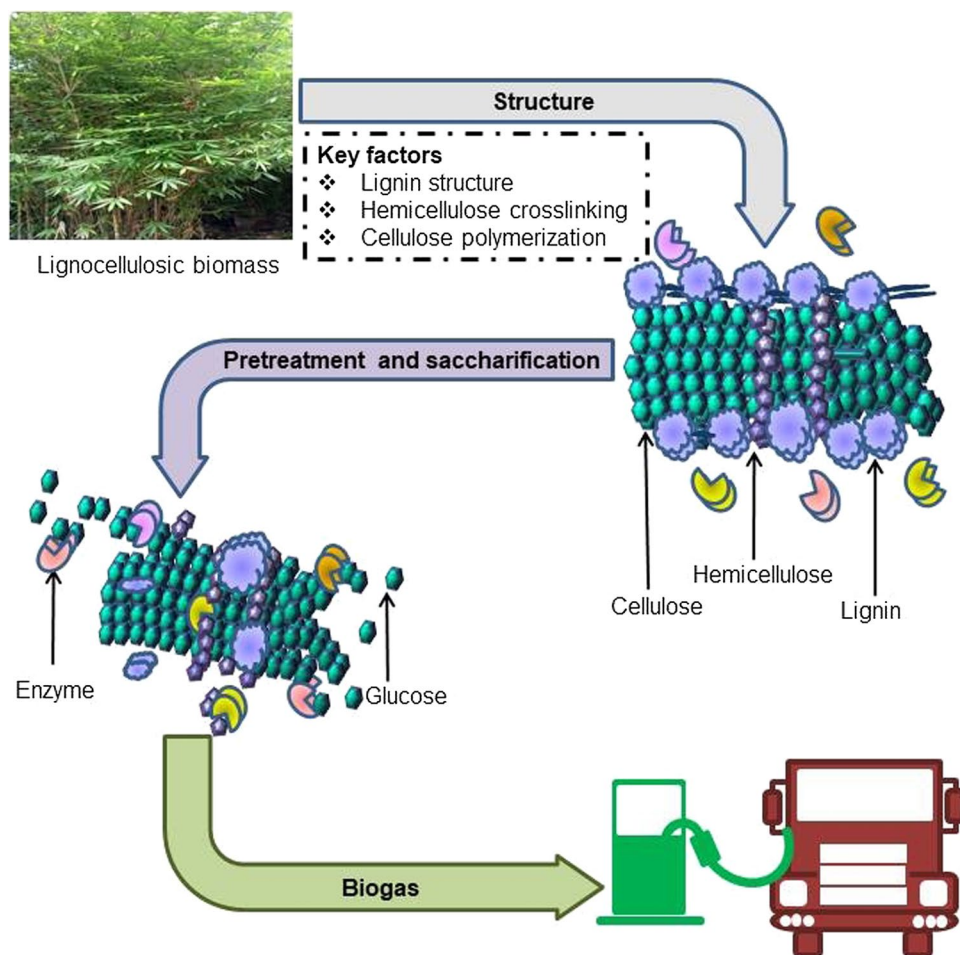
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**Fig. 1** Pretreatment of lignocellulosic biomass, an essential prerequisite to the efficient reconstruction of lignin for biogas production



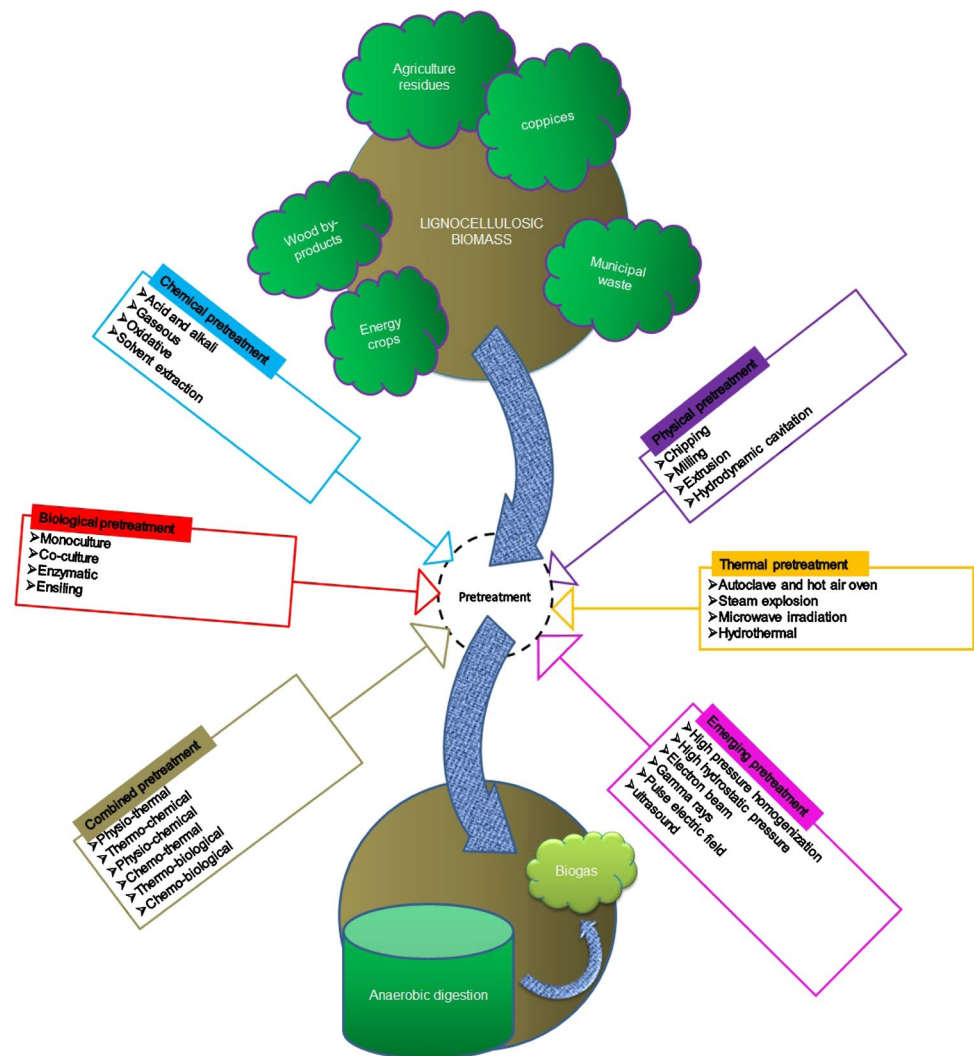
prior to anaerobic digestion has the potential to overcome the kinetic disadvantages of anaerobic digestion which can lead to biogas enhancement (Yu et al. 2019). Therefore, researchers across the world have devoted their attention to discovering new pretreatment technologies or modifying the existing ones for overcoming the disadvantages and shortcomings of currently available methods.

Various pretreatment technologies are available for making the lignocellulosic biomass amenable to anaerobic digestion, including physical, thermal, chemical, biological, and combined pretreatment methods in addition to some emerging technologies (Fig. 2). Several reports have discussed the different aspects of available pretreatment methods for lignocellulosic biomass, such as non-conventional pretreatment, green pretreatment for biorefinery, biological pretreatment, emerging technologies, application of nanotechnology, physical and chemical pretreatment, enzymatic pretreatment for enhanced biomethane production (Capolupo and Faraco 2016; Hassan et al. 2018; Ingle et al. 2019; Jędrzejczyk et al. 2019; Koupaie et al. 2019; Sharma et al. 2019).

Studies to date have discussed the ability of pretreatment technologies in enhancing the bioprocess efficiencies; however, certain shortcomings in biomass pretreatment technologies have restricted their large-scale commercialization. Hence, for replacing fossil fuel with cheaply exploited, abundant and renewable lignocellulosic biomass, pretreatment technologies need to be further optimized, and made fool proof in terms of the associated inherent deficiencies. This paper reviews the different physical, chemical, thermal, biological, and some new emerging as well as combined technologies for the pretreatment of lignocellulosic biomass for achieving enhancement in saccharification efficiency, which in turn can have a significant impact on the efficiency of anaerobic bioprocesses involved in the generation of biogas. The purpose of the review is to carry out a comprehensive comparison of the different pretreatment methods with reference to a critical analysis of their advantages, disadvantages, and application in biogas production. The review also puts forth some recommendations regarding the need for exploring and optimizing some new emerging technologies along with other less explored options to increase the confidence



**Fig. 2** Schematic representation of different pretreatment methods for increasing the bioavailability during anaerobic digestion of lignocellulosic biomass



level in such technologies. This can thereby pave the path for their large-scale application in the effective utilization of the abundantly available lignocellulosic raw material.

## Physical pretreatment

Physical pretreatment targets the change or modification in the structure or appearance of lignocellulosic biomass through mechanical techniques such as chipping, milling/grinding, extrusion, and cavitation. The main aim of mechanical pretreatment is to break down the encrusting material of the cell wall made of lignin and to disarray the waxy layer on the surface of the plant cell wall. An increase in the accessible contact surface area between the anaerobic microbes and the substrate is achieved, which helps in enhanced digestion of cellulose and hemicellulose fractions (Akhtar et al. 2016), and ultimately increases the biogas

production from lignocellulosic material by anaerobic digestion.

## Chipping

This pretreatment method is applied for reducing the size of the raw lignocellulosic biomass of agricultural and forest origin, before any other pretreatment. Employing a simple crushing method prior to any pretreatment could be useful for facilitating efficient anaerobic fermentation. Chipping is required to disarray the massive layers of lignocellulose biomass into small pieces and facilitate the various milling/grinding methods to convert lignocellulosic biomass into a fine powder. The size of the substrate is reduced to 10–30 mm after chipping which is further subjected to milling to reduce the particle size up to 0.2–2 mm (Maurya et al. 2015). Size reduction by chipping has a great effect

on limiting the heat as well as mass transfer associated with large size particles (Agbor et al. 2011).

### Milling/grinding

Milling pretreatment uses communication practice of ball milling, roll milling, rod milling, hammer milling, colloid milling, wet disk milling, and vibratory milling for achieving reduction in the debris size, polymerization degree, and cellulose crystallinity (Baruah et al. 2018). Simultaneously, it increases the pore size and accessible contact surface area between the anaerobes and the lignocellulose fiber. Milling is always carried out before other pretreatments to enhance the effect of pretreatment. The above characteristics of a substrate processed by milling can shorten the start-up time for fermentation (Zheng et al. 2014). It was found that when the particle size of the substrate was reduced, it could effectively resist the acidification of the system as well as increase the buffering capacity. Since milling is not feasible from an economic point of view owing to the high consumption of energy, high cost of equipment along with machinery setup, and its inability to remove lignin, it requires the incorporation of further pretreatment steps (Rizal et al. 2018).

### Extrusion

The extrusion pretreatment for anaerobic digestion is a well-known method to enhance the methane production from lignocellulosic biomass. This method of pretreatment has the specific advantage of reducing the particle size and volume along with altering the physical properties compared to milling pretreatment. The benefits of extrusion have been demonstrated in the case of rice straw where this pretreatment led to a significant increase in methane production by 32.5% and 72.2% in comparison with rice straw pretreated by milling and untreated rice straw, respectively (Tsapekos et al. 2015). Recent studies by Pérez-Rodríguez et al. (2018) demonstrated methane enhancement in the range of 15.7–21.4% from extruded vine trimming shoots over untreated vine trimming shoots. The specific biogas and methane production using extrusion pretreated maize straw also showed an increase of 7.50% and 8.51% over the untreated maize straw (Kozłowski et al. 2019). Extrusion requires mild conditions such as moderate pH and temperature with a short process duration and does not produce any toxic substance. Despite these advantages, high energy consumption and high machinery cost are the bottlenecks of this method (Duque et al. 2017).

### Cavitation

Pretreatment by hydrodynamic cavitation (HC) employs a highly destructive force on lignocellulosic biomass. The damage caused by cavitation to the internal structure of lignocellulose benefits the anaerobic digestion process by improving the stalk fermentation, gas production rate, and shortening the duration of maximum gas production. HC conditions of 3-bar pressure, 0.3 M NaOH, and 70 °C temperature worked best for obtaining 93.05% cellulose and 94.45% hemicellulose hydrolysis yield from sugarcane bagasse (Hilares et al. 2020). HC was also shown to increase the enzymatic digestibility of lime-treated sugarcane bagasse by 46%, whereas acoustic cavitation showed no such gain (Madison et al. 2017). Though a significant increase in methane production from HC-pretreated wheat straw was reported over untreated wheat straw control, the efficiency was noted to be lower during co-digestion of HC pretreated wheat straw mixed with cattle manure (35.6–39.4%) in comparison with the substrate pretreated by ultrasonic cavitation (59.6–64.2%) (Patil et al. 2016). However, both the pretreatments resulted in a similar solubilization of biomass (ca. 30% as CODsol) (Zieliński et al. 2019). HC assisted pretreatment method was demonstrated as a promising alternative to other mechanical pretreatment techniques due to its high efficiency of carbohydrate fraction digestibility, high energy efficiency, simple construction and configuration of the system, and the possibility of using it at large scale for pretreatment. Also, it required milder conditions and short running time, but still was a less explored method for pretreatment (Hilares et al. 2018).

### Thermal pretreatment

A thermal pretreatment is a crucial approach in which the heat energy decreases the thermal stability of the substrate and the secondary explosion breaks down the hydrogen bond of complex lignocellulosic structure, exposing the surface area of cellulose for anaerobic microbes to work more efficiently (Čater et al. 2014). In addition to the lignocellulose degradation, heat energy also eliminates pathogens from agricultural waste. Two factors viz: the extent of residence time and the reaction temperature, are of paramount importance for the disintegration of complex lignocellulosic structure. Chen et al. (2011) found that a lower residence period could save energy compared to a higher residence period. Thermal pretreatment exploits the temperature range of 50–240 °C with pressure drop. Depending upon the temperature range, thermal pretreatment encompasses conventional heating, steam explosion, microwave irradiation, and hydrothermal pretreatment. The main drawback of thermal pretreatment is that the generation of soluble



phenolic compounds along with toxic derivatives such as 5-hydroxymethyl 2-furfural and furfural was higher when the biomass was exposed to a temperature above 160 °C (Čater et al. 2014). Since most of the inhibitors are present in soluble form and are not separated from the liquid fraction, such inhibitors can not only hinder the enzymatic hydrolysis but also the fermentation process if the liquid fraction is to be used (Alvira et al. 2016). Insoluble lignin and exposed lignin predominantly in the solid fraction are modified to be more rigid and recalcitrant. It tends to non-productively absorb the cellulolytic enzymes and reduce the availability of active enzymes for cellulose hydrolysis. Lignin-derived phenolics also deactivate or inhibit  $\beta$ -glucosidase, and cellulase via precipitation and irreversible binding. Furan derivatives reduce the biological and enzymatic activities and cause oxidative damage to the cells. Meanwhile, weak acids negatively affect the cell growth due to the influx of undissociated acids into the plasma membrane and cytosol (Ko et al. 2015). Under such circumstances, there is a need for including a neutralization/detoxification step after pretreatment for the removal of inhibitors (Wang et al. 2013). Table 1 shows different thermal methods adopted for the pretreatment of lignocellulosic biomass for methane improvement by anaerobic digestion.

### Conventional heating

Conventional heating in a hot air oven and autoclave is directed towards the heating of biomass and disintegration of the lignin–polysaccharide matrix. Autoclave heating leads to the formation of protuberant on the substrate that can favor subsequent enzymatic hydrolysis of the lignocellulosic biomass (Gabhane et al. 2011). High-pressure steam in an autoclave with an acidic medium showed a higher release of total sugar (glucose and xylose) from the liquid hydrolysate along with an increase in the cellulose fraction and decrease in the lignin content of residual solid chunk (Debiagi et al.

2020). Autoclaving with mild alkaline treatment has resulted in a fivefold improvement in glucose recovery from *C. barbata* (Obeng et al. 2019). Compositional and instrumental analysis (FESEM, XRD, and FTIR spectra) revealed that the hot air oven pretreatment led to a significant increase in the hydrolysis of lignocellulosic biomass for the anaerobic digestion (Veluchamy and Kalamdhad 2017b). Hot air oven pretreatment showed better results in terms of volatile fatty acid (VFA) production, sCOD generation, reduction in cellulose crystallinity, and increased solubilization rate in comparison with heat treatment in an autoclave, microwave oven, and hot water bath (Veluchamy and Kalamdhad 2017a). Conventional heating transfers energy from the outer region to the inner core resulting in a hot outer surface while still maintaining the inner region at a cooler temperature (Hassan et al. 2018).

### Steam explosion pretreatment

Steam explosion pretreatment is an approach conducted under the high-temperature range from 200 to 220 °C coupled with a pressure drop that may disintegrate the complex matrix of lignin–polysaccharide. Steam explosion performs the hemicellulose transmutation along with crumbling of cell-wall crosslink (Siddhu et al. 2016); therefore, it is regarded as a cost-effective and environmentally friendly technology. Pretreatment at a temperature of 200 °C for 10 min showed high enzymatic hydrolysis and glucose yield corresponding to that at 190 °C, but the generation of toxic compounds at high temperature was still a major issue (Alvira et al. 2016). Wang et al. (2013) reported that the generation of toxic compounds was more in the case of corn-cob pretreated at 200 °C, which decreased subsequently with a decrease in temperature. The authors highlighted the need for the inclusion of a detoxification step before anaerobic digestion in order to neutralize the negative impact of the high level

**Table 1** Overview of thermal methods for pre-treatment of lignocellulosic biomass

Substrate	Thermal pretreatment	Pretreatment condition	Methane improvement (mL CH <sub>4</sub> /g VS)	References
Papermill sludge	Hot air oven	80 °C/90 min	303	Veluchamy and Kalamdhad (2017a)
Sunflower stalk	Hydrothermal pretreatment	180 °C/60 min	223	Hesami et al. (2015)
Rice straw	Microwave pretreatment	190 °C/4 min	325.2	Kainthola et al. (2019)
Rice straw	Steam explosion	200 °C/2 min	328.7	Zhou et al. (2016)
Safflower straw	Hydrothermal pretreatment	120 °C/60 min	191.4 (from solid fraction)	Hashemi et al. (2019)
		180 °C/60 min	406.9 (from liquid fraction)	
Rice straw	Steam explosion	280 °C/15 bar/10 min	486	Aski et al. (2019)
Sawdust	Autoclave	120 °C/15 min	315	Bala and Mondal (2018)
Herbal residue	Microwave pretreatment	700 W/15 min	700	Cheng and Liu (2010)



of toxic compounds. Usually, pretreatment at a high temperature promotes higher partial lignin and sugar degradation than the lower temperature, which produces soluble toxic compounds inhibitory for the fermenting microbial community and their metabolic enzymes in the subsequent steps (Alvira et al. 2016). Castro et al. (2014) reported a doubling of the inhibitor concentration after an increase in pre-treatment temperature from 175 to 195 °C which had a harsh effect on the total sugar yield and ultimately on biogas production. The steam explosion was observed to be much less useful for the pretreatment of softwood (Pielhop et al. 2016) and when applied in combination with mild acidic conditions, it hampered the thermal degradation of cellulose in addition to hindering the generation of inhibitory compounds (Zhang et al. 2019).

### Microwave irradiation

Microwave irradiation relied on the non-ionizing electromagnetic radiations between 300 and 300,000 MHz with a wavelength range from 1 mm to 1 m to transfer energy to the substrate (Huang et al. 2016). Microwave irradiation converts electromagnetic radiation directly into heat energy and transfers it uniformly throughout the substrate at the molecular level (Hassan et al. 2018). Removal of a greater number of acetyl groups in the hemicellulose region, production of less acid, and higher glucopyranose generation were suggested to be the spinoff of microwave irradiation (Dai et al. 2017). One major obstacle of microwave irradiation in the pretreatment was that the low lossy dielectric properties of the biomass prevented it from absorbing electromagnetic radiation effectively until the char was produced (Salema et al. 2017). This drawback necessitated the requirement of materials that achieved a rapid heating such as activated carbon, graphite, pyrites, and charcoal. Still, it has been devoted great attention due to its uniformity and selectivity in volumetric heating accomplishment, energy efficiency, easy operation, fast heat energy transfer, brief reaction time, and low degradation and generation of secondary product. The microwave irradiation pretreatment for lignocellulosic biomass is classified into two groups viz: (a) microwave-assisted solvolysis, and (b) microwave-assisted pyrolysis.

### Microwave-assisted solvolysis

Microwave-assisted solvolysis (MAS) is a chemo-thermal technique for the disintegration of lignocellulosic biomass in the presence or absence of a catalyst under mild temperature conditions (less than 200 °C). It is a promising option in which the chemical reaction is facilitated by adding a non-thermal effect to the substrate and the second exposure decreases the activation energy of the Arrhenius equation by increasing the pre-exponential factor (Lidström et al.

2001). Yunpu et al. (2016) found that in the presence of a proper catalyst, the microwave could selectively increase the biogas yield besides accelerating the degradation rate of renewable yet difficult to degrade lignin. This pretreatment method for lignin–polysaccharide pretreatment offers the following advantages including high heat efficiency and selectivity, simple operation, accessible use and control, and lower environmental pollution. However, its applicability is limited by the high capital cost, and lower application maturity (Hassan et al. 2018).

### Microwave-assisted pyrolysis

Microwave-assisted pyrolysis (MAP) converts the biomass into biogas, bio-oil, and char/carbonaceous residue at high temperatures (> 400 °C) in the absence of oxygen. Corresponding to conventional heating, Huang et al. (2016) found that MAP displayed a 42% higher heating rate indicating superior conduct in terms of the requirement of less processing time to achieve the target temperature. Also, it speeds up the chemical reaction and increases the quality of biogas from different types of biomass. Lo et al. (2017) reported that MAP of feedstock biomass (corn stover, rice husk, rice straw, sugarcane peel, sugarcane bagasse, bamboo leaves, and coffee ground waste) should be more feasible and efficient economically and energetically since energy return on investment (EROI) was approximately 3.56. This study may support the practicality of MAP, considering that the minimum sustainable EROI was 3.0 (Hall et al. 2014). However, this method is still a major barrier at an industrial level for large-scale commercialization due to the pyrolysis technique. Also, the high inorganic content may contribute to decreased yield of biofuel, whereas high organic content increases the yield of biofuel but requires microwave absorbers to improve the heating. On the other side, a high aqueous fraction degrades the quality of biofuel (Tirapanampai et al. 2019).

### Hydrothermal pretreatment

Hydrothermal pretreatment of lignocellulosic biomass at high temperature and pressure deletes the requirement of chemicals and corrosion-resistant material for the hydrolysis phase (Eskicioglu et al. 2017). Hydrothermal pretreatment has also been called by different names such as aqueous extraction, liquid hot water (LHW), aqueous pretreatment, aquasolv, aqueous prehydrolysis, aqueous liquefaction, autohydrolysis, and pressure cooking in water. Based on the temperature–pressure relationship, hydrothermal pretreatment is divided into subcritical and supercritical water. Subcritical water employs temperature in the range of 100–374 °C under pressure to maintain water in the liquid state (Yang et al. 2019a, b). Supercritical water exploits a temperature

above 374 °C with pressure above 22.1 MPa to break down the lignin–polysaccharide matrix in the presence of inert gas (Kumar et al. 2020). Water, propane, and carbon dioxide are the most studied subcritical and supercritical fluids for this pretreatment. At high temperatures, the water dissociates into hydronium ions ( $\text{H}_3\text{O}^+$ , acidic) and hydroxide ions ( $\text{OH}^-$ , basic), and catalyzes the hydrolysis of lignocellulosic biomass (Yang et al. 2018). During hydrothermal pretreatment, hemicellulose fraction gets converted into acetic acid, which behaves as a catalyst in the bioconversion process and leads to an enhancement in sugar recovery (He et al. 2015). Also, lignin modification, removal, or relocation all along the hydrothermal pretreatment is observed to be very effective for enzymatic hydrolysis (Simanungkalit et al. 2017). Furan derivatives such as furfural, 5-hydroxymethylfurfural, furan aldehydes, weak acids, lignin, and lignin-derived phenolics are the major inhibitory by products of hydrothermal pretreatment that hamper the enzymatic hydrolysis and fermentation in anaerobic digestion (Steinbach et al. 2017). Hydrothermal pretreatment of maple wood at 200 °C for 20 min with a solid loading rate of 230 g/L gave the maximum sugar yield. Meanwhile, the thermal pretreatment also resulted in the production of 4.1 g/L and 1.3 g/L of furfural and phenolics, respectively, which on further incubation decreased the sugar yield by 50% (Kim et al. 2011). Similar observations were made by Lin et al. (2019) who showed that sugar yield from microalgae increased from 23 to 32.2 mg/g VS with an increase in temperature from 100 to 140 °C. The study further demonstrated that an increase in temperature up to 180 °C favored higher sugar degradation into inhibitory by-products thereby leading to a decrease in sugar yield. To remove the volatile inhibitors, Ko et al. (2015) stated that over-liming (pH adjustment using alkali), sulfite addition, adsorbent treatment, and vacuum evaporation may be helpful. Though detoxification by using genetically engineered microorganisms and chemicals such as

polymeric resins and activated charcoal (Ahmed et al. 2019) has attracted the attention of researchers, it requires a high manufacturing cost along with the wastage of fermentable sugars and generation of waste. Also, this method of pretreatment can lead to a change in the characteristics (molecular weight, polydispersity, hydrophobicity, and functional group) of lignin, thus increasing the adsorption behavior of cellulase towards lignin along with high energy and water consumption (Lu et al. 2016).

## Chemical pretreatment

The chemical pretreatment method represents a promising alternative for decreasing the crystallinity and polymerization degree of cellulose and improving the cellulose biodegradability by eliminating hemicellulose/lignin (Behera et al. 2014). For decades, chemicals have been exploited to delignify cellulose and to enhance biomass digestibility. Chemicals ranging from alkali, acid, gases, oxidizing agents, and various solvents are used to degrade the internal lignin and hemicellulose bond from lignocellulosic biomass. Chemicals are easy for handling and operation and parade a better effect on fermentation, but the only major limitation in using chemicals for pretreatment is the generation of inhibitory compounds and secondary pollutants that requires further recovery and may hinder the fermentation process. Table 2 shows different chemical pretreatment methods adopted for pretreatment of lignocellulosic biomass for methane improvement by anaerobic digestion.

## Alkali pretreatment

Alkali pretreatment results in the saponification and solvation of biomass that leads to the disintegration of cross-link between lignin, polysaccharides, and silica, thereby

**Table 2** Overview of chemical methods for pretreatment of lignocellulosic biomass

Biomass	Chemical	Pretreatment condition	Methane improvement (mL $\text{CH}_4$ /g VS)	References
Rice straw	$\text{H}_2\text{O}_2$	4% $\text{H}_2\text{O}_2$ , 25 °C, 7 days	327.5	Song et al. (2012)
Corn stover	$\text{CH}_3\text{COOH}$	4% $\text{CH}_3\text{COOH}$ , 25 °C, 7 days	145.1	Song et al. (2014)
	HCl	2% HCl, 25 °C, 7 days	163.4	
	$\text{NH}_3$ , $\text{H}_2\text{O}$	10% $\text{NH}_3$ , $\text{H}_2\text{O}$ , 25 °C, 7 days	168.3	
Wheat straw	KOH	6% KOH, 35 °C, 3 days	258	Jaffar et al. (2016)
Safflower straw	$\text{Na}_2\text{CO}_3$	0.5 mol/L, 120 °C, 60 min	139.6	Hashemi et al. (2016)
Corn stover	$\text{H}_3\text{PO}_4$	0.4%, 2 h	439–528	Bondesson et al. (2015)
3 microalgae source	$\text{O}_3$	382 mg $\text{O}_3$ /g VS algal biomass	432.7	Cardeña et al. (2017)
Wheat straw	Urea	1% urea, 20 °C, 6 days	305.5	Yao et al. (2018)
Oil palm empty fruit bunch	$\text{H}_2\text{O}_2$	6% $\text{H}_2\text{O}_2$ , 180 °C, 45 min	362	Lee et al. (2020)



increasing the porosity of biomass and cellulose accessibility for microbes in biogas production. Besides, alkali also removes inhibitors of cellulose like acetyl group, uronic acid, and lignin. NaOH, KOH,  $\text{Ca}(\text{OH})_2$ ,  $\text{Mg}(\text{OH})_2$ ,  $\text{Na}_2\text{CO}_3$ , urea, ammonia, and ammonium sulfate are some alkalies commonly used in this pretreatment. Selective delignification without the loss of carbohydrates, liquid stream detoxification, and high fermentation performance due to little or no generation of inhibitors and toxins make alkali a great choice for biomass pretreatment. However, the requirement of biomass neutralization after pretreatment and the long pretreatment period have prevented the expansion of this technology (Kumari and Singh 2018).

Sodium hydroxide (NaOH), a strong base catalyst, can adequately attack the lignin-carbohydrate complex and reduce the cellulose crystallinity. During pretreatment, the  $\text{OH}^-$  can effectively separate and resolve the hydrogen bond between lignin and hemicellulose and cleave the ether-ester bond of lignopolysaccharide (Jung et al. 2019). Dai et al. (2018) found that the NaOH concentration of 6% worked best for biogas production. Also, Sun et al. (2019) reported that low NaOH concentration and high-density solution strongly contributed to a higher biogas yield; however, high NaOH concentration and low dose solution could not have any significant effect on biogas yield. A decrease in the methanogenic activity and methane production as a consequence of high NaOH loading besides the high chemical recovery cost has been reported to be the cause for its restricted application in industrial practice.

To address this issue, potassium hydroxide (KOH) which also possessed strong basicity could be used under mild conditions for biomass pretreatment. As it contains potassium, it could put nutrients back into the soil after pretreatment for sustainable clean biogas production (Chi et al. 2019). Paixão et al. (2016) found that the KOH pretreatment resulted in a higher reduction in the lignin content of sugarcane bagasse up to 5% and an increase in the cellulose content up to 80% compared to NaOH that achieved a 7% lignin reduction and a 72% increase in cellulose content. 6% KOH worked effectively for wheat straw biodegradation with 26% total solids, 89% VFA, and 22% lignin, cellulose, and hemicellulose decomposition, respectively. After pretreatment, wheat straw digestate with fertilizer values of 138% potassium, 22% calcium, and 16% magnesium could be applied for soil reclamation (Jaffar et al. 2016).

Pretreatment with other milder alkalis such as calcium hydroxide [ $\text{Ca}(\text{OH})_2$ ] and magnesium hydroxide [ $\text{Mg}(\text{OH})_2$ ] has been also demonstrated to significantly increase the biogas yield of lignocellulose anaerobic digestion by eliminating more acetyl groups and lignin (about 30%) from the feedstock, thus promoting enhanced biomass digestibility and enzymatic saccharification (Gigac et al. 2017; Deshavath et al. 2019). Although lime pretreatment is not

as strong in contrast to sodium hydroxide or ammonia, it is a low-cost and simple process, which makes this pretreatment an attractive option (Kim 2013).

Sodium carbonate ( $\text{Na}_2\text{CO}_3$ ) has strong alkalinity in an aqueous solution and can effectively remove lignin and uronic acid in the hemicellulose which is the major obstacle in cellulose accessibility (Nosratpour et al. 2018). It can overcome the problems associated with conventional alkaline pretreatments like extensive neutralization, corrosion, and environmental hazards. Hashemi et al. (2016) revealed that 0.5 mol/L sodium carbonate worked best for safflower straw digestion with an increase in the methane yield up to 139.6 N mL/g VS added.

Urea is another commonly used reagent for biomass chemical pretreatment. There is strong evidence on pH regulation and acidity by urea during lignocellulosic digestion for methane production. Considering the advantages of urea in pH regulation, it is regarded as a potentially valuable method for further application. Pretreatment of wheat straw with 1% urea achieved the maximum methane production (305.5 L/kg VS) with 49.4% total solids, 54.5% volatile solids, 50.4% cellulose, and 47.3% hemicellulose reduction (Yao et al. 2018).

Besides NaOH, KOH,  $\text{Ca}(\text{OH})_2$ ,  $\text{Na}_2\text{CO}_3$ , and urea, ammonia ( $\text{NH}_3$ ) and ammonium sulfate ( $(\text{NH}_4)_2\text{SO}_4$ ) solutions are the most commonly used reagents in industries for pretreating biomass before subjecting to anaerobic digestion. The main mechanism of ammonia solution is the saponification reaction followed by cleavage of lignin-polysaccharide linkage (Fang et al. 2015). Taken together ammonia-based pretreatments include soaking in aqueous ammonia (SAA), ammonia recycled percolation (ARP), ammonia fiber expansion (AFEX), and extractive ammonia (EA). Aqueous ammonia pretreatment (SAA and ARP) has been reported to enhance the enzymatic saccharification by xylan/lignin removal with no significant change in the cellulose allomorphic structure. On the other side, anhydrous ammonia (AFEX and EA) pretreatment also enhanced the enzymatic saccharification by subtle modification in ultrastructural and physiochemical properties of the cell wall with modification in the allomorphic structure of cellulose or reduction in its crystallinity (Zhao et al. 2020). Also, ammonia-based alkaline pretreatment has been integrated most extensively as it is non-corrosive, easily recoverable and reused, non-toxic, inexpensive, offers versatile processing options, and selectively removes lignin (Li et al. 2015).

## Acid pretreatment

Acid pretreatment uses sulphuric acid ( $\text{H}_2\text{SO}_4$ ), hydrochloric acid (HCl), phosphoric acid ( $\text{H}_3\text{PO}_4$ ), nitric acid ( $\text{HNO}_3$ ), nitrous acid ( $\text{HNO}_2$ ), maleic acid, and organic acids. Extensive studies have been conducted on the pretreatment of



lignocellulosic biomass by dilute acids. This pretreatment is performed by spraying or soaking the acid solution on the surface of the raw material and then heating to a temperature between 140 and 200 °C for a certain period. Acid cleaves the glucosidic bonds which facilitates the solubilization of the hemicellulose fraction into oligomers and monomers by enzymatic hydrolysis, thus increasing their accessibility to microbes and resulting in improved biogas production. Although the use of concentrated acid was highly adapted for cellulose hydrolysis, the formation of various inhibitory compounds (furfural, 5-hydroxymethyl furfural, acetic acid, aldehyde, ketone, and phenolic acid), loss of dry matter, and corrosiveness hinder the process of methanogenesis besides adding to the capital costs due to the requirement of high priced nonmetallic containers for reactor configuration (Taherzadeh and Karimi 2008). Under severe acidic conditions, pentoses and uronic acid are dehydrated to form furfural and HMF, which are unstable and are further degraded to formic-, formid-, and levulinic acids. Besides, the acid-labile lignin bonds are rapidly split in presence of concentrated acid, thus generating a higher level of phenolics in the medium. However, the generation of inhibitors was not always higher at higher acid concentrations. For instance, Wang et al. (2020) carried out the pretreatment of cornstalk at 5% and 7%  $\text{H}_2\text{SO}_4$  and found that the formation of maximum inhibitors occurred in an anaerobic digester fed with biomass pretreated with 5%  $\text{H}_2\text{SO}_4$ . Therefore, the appropriate and optimum concentration of acid, reaction temperature, and retention time for biomass pretreatment are the critical parameters for reducing the inhibitor production. Nevertheless, despite the above drawbacks associated with acid pretreatment, acids also transform part of cellulose and lignin, releasing carbohydrates and oligomers (de Carvalho et al. 2015). Hence from an environmental and economic perspective, dilute acid pretreatment is more suitable and advantageous compared to the concentrated acid method due to the lower acidic waste production, less corrosive effect, and low cost of reagents (Jung and Kim 2015).

$\text{H}_2\text{SO}_4$  is the most studied acid catalyst for lignocellulosic pretreatment than the other acids due to its effectiveness. Pretreatment by 6%  $\text{H}_2\text{SO}_4$  could improve the biogas yield of rice straw by 99.8% (Qin et al. 2011). Martínez-Patiño et al. (2017) revealed that olive biomass yielded maximum biofuel when pretreated in the presence of  $\text{H}_2\text{SO}_4$  catalyst (4.9 g/100 g biomass) at 160 °C for 10 min. Chandrasekaran et al. (2017) found that the crystallinity index of cassava stem decreased from 63 to 52% after combined organic (oxalic acid)–inorganic ( $\text{H}_2\text{SO}_4$ ) pretreatment. Furthermore, the major disadvantage of using  $\text{H}_2\text{SO}_4$  in pretreatment was the formation of hydrogen sulfide ( $\text{H}_2\text{S}$ ) in due course of anaerobic fermentation which affected the biogas quality and reduced its applicability owing to the corrosive nature of  $\text{H}_2\text{S}$  (Domański et al. 2020).

Maleic acid treatment of biomass has been rarely studied so far. Mosier et al. (2001) stated that maleic acid at short HRTs showed a strong effect on the substrate with low lignin percentage while it showed a similar effect on the substrate with high lignin percentage at short as well as long HRTs. Pretreatment using maleic acid requires high cost inputs; however, the fact that hardly any chemical residue was left following the pretreatment made it an attractive option for chemical pretreatment of biomass.

HCl at concentrations of 1%, 2%, and 4% (w/w) has been employed for pretreatment strategy. HCl mainly causes hemicellulose solubilization and cellulose reduction by breaking the hydrogen bonds, Van der Waal forces, and covalent bonds that hold and give a rigid structure to the biomass. Song et al. (2014) carried out the pretreatment of corn stover with seven chemicals for improving the methane yield and found that 3%  $\text{H}_2\text{O}_2$  and 8%  $\text{Ca}(\text{OH})_2$  gave maximum yield among the different chemicals in the following order 8%  $\text{Ca}(\text{OH})_2 > 10\% \text{NH}_3 \cdot \text{H}_2\text{O} > 8\% \text{NaOH}$  for alkaline pretreatment and 3%  $\text{H}_2\text{O}_2 > 4\% \text{CH}_3\text{COOH} > 2\% \text{H}_2\text{SO}_4 > 2\% \text{HCl}$  for acidic pretreatment.

$\text{H}_3\text{PO}_4$  pretreatment is useful in improving the buffering capacity of the anaerobic fermentation system (Song et al. 2014).  $\text{H}_3\text{PO}_4$  improved the biodegradability of feedstock by 6.3 times which was higher than the fungal digestibility (only 4 times) (Ishola et al. 2012). Wassie and Srivastava (2016) found that  $\text{H}_3\text{PO}_4$  modified teff straw showed a better performance in terms of hemicellulose removal with increased porosity and less solid structure failure. Nair et al. (2017) mentioned that the application of 1.75%  $\text{H}_3\text{PO}_4$  for pretreatment resulted in the best outcome of biogas production which was about 50% higher than the untreated group.

Additionally, organic acid pretreatment of rice straw, corn stover, wheat straw, napier grass, and other crop straw has been reported for enhanced methane production. However, in contrast to previously discussed acidic pretreatments, this method is less studied due to its low effect on digestibility and no obvious benefit to anaerobic fermentation in terms of enhancement in the biogas and methane production (El-Shemy et al. 2015).

$\text{HNO}_3$  is also a promising acid catalyst for biomass pretreatment due to its high efficiency for hemicellulose removal. Nitric acid produced from flue gases via  $\text{NO}_x$  capture displayed a shorter reaction time with high saccharification efficiency (Kim et al. 2014). Furthermore, nitrate formed upon neutralization and its conversion to  $\text{N}_2$  could serve as a source of nitrogen in the fermentation process (Zhang et al. 2011). In contrast to  $\text{H}_2\text{SO}_4$ ,  $\text{HNO}_3$  pretreatment was advantageous since it caused lower corrosion, and was observed to be much faster and effective for feedstock pretreatment (Dziekońska-Kubczak et al. 2018).



## Gaseous pretreatment

Gaseous pretreatment has the following major advantage in that, it promotes uniform penetration far and wide into the substrate, thus offering uniform coverage. It has been observed that the gases preferentially attack lignin than carbohydrates causing a 50% decline in the lignin percentage which was optimal. However, when compared to the liquid medium, the gaseous medium was difficult to work with and its reuse posed some problems over the previous one (Fan et al. 1982).

Sulfur dioxide ( $\text{SO}_2$ ) is a gas that forms sulfurous acid when dissolved in water. Sulfurous acid binds to lignin to form lignosulphonate and the second exposure substantially depolymerizes the lignin.  $\text{SO}_2$  was reportedly suitable for effective treatment of both hardwood and softwood.  $\text{SO}_2$  impregnation prior to steam exposure led to a reduction in the carbohydrate degradation and enhanced the enzymatic digestibility of substrate resulting in more impressive pretreatment (Bura et al. 2002). Dechman et al. (2020) reported that the  $\text{SO}_2$  gas impregnation was more effective than  $\text{H}_2\text{SO}_4$  in terms of uniformity, rapidness, and better recyclability. However, a high level of  $\text{SO}_2$  requirement with a long reaction period for pretreatment presents a problem in industrial expansion. Furthermore, difficulties in the processing and the disposal of sulfonated species may impede the commercialization of  $\text{SO}_2$  pretreatment (Foody et al. 2019).

Chlorine dioxide ( $\text{ClO}_2$ ) is an active bleaching agent that often utilizes sodium chlorite ( $\text{NaClO}_2$ ) and acetic acid. Acetic acid is usually added to reduce the pH of the medium and sodium chlorite in water is acidified to form  $\text{ClO}_2$  which preferentially oxidizes the lignin in the presence of polysaccharides, therefore, fulfilling the pretreatment requirement.  $\text{ClO}_2$  exhibited 2.63 times higher oxidation capacity than elemental chlorine gas, thus acting as an effective bleach. One of the major obstacles in  $\text{ClO}_2$  pretreatment was the production of highly toxic adsorbable organic halogen (AOX) from hypochlorous acid during  $\text{ClO}_2$  bleaching. However, AOX formation can be reduced by purifying the  $\text{ClO}_2$  solutions and removing chlorine gas, thus reducing the hypochlorous acid content (Yao et al. 2019).

Nitrogen oxide ( $\text{NO}$ ) reacts with  $\text{O}_2$  to form  $\text{NO}_2$  followed by a reaction with water to generate  $\text{HNO}_3$  which subsequently could catalyze the hemicellulose removal with high efficiency (Fan et al. 1982). This method is relatively unexplored as evident from the very few publications.

Carbon dioxide ( $\text{CO}_2$ ) once dissolved in water will form  $\text{HCO}_3^-$  (carbonic acid), a weak acid that was capable of hydrolyzing hemicellulose and cellulose. Upon  $\text{CO}_2$  explosion with pressure, the disintegration of cellulose structure increased the surface area for enzymatic hydrolysis by anaerobes (Park and Lee 2020). Zhao et al. (2019) found that the pretreatment of agriculture residues (corn cob, corn stover,

and sorghum stalk) at optimal conditions of 50–80 °C, 17.5–25 MPa for 30 min, gave maximum sugar yield, and cellulose and hemicellulose conversion with a very low level of furfural (0.25%), hydroxymethylfurfural (0.07%) and acetic acid production.  $\text{CO}_2$  pretreatment increased the glucose and C5 sugar recovery from lignocellulosic biomass. However, the efficiency of this pretreatment was eminently affected by the intrinsic features and composition of the biomass.

## Oxidizing agents

Oxidative pretreatment disrupted the lignin–polysaccharides matrix by several oxidation reactions such as electrophilic substitution, oxidative cleavage of aromatic nuclei, alkyl aryl ether linkage cleavage, or displacement of the side chain (Kumari and Singh 2018). The only drawback of oxidative agents was their cost-intensive and explosive nature when used in concentrated form.

Ozone is the strongest oxidizing agent, water-soluble, and readily accessible for application after its generation from oxygen in an endothermic reaction. Ozone being electron-deficient in its terminal oxygen was able to attack lignin (an electron-rich polymer) more than carbohydrate (Coca et al. 2016). Rosen et al. (2019) revealed that a short ozonation period of 90 min delivered a better lignocellulosic conversion than a long ozonation period (6 h and beyond) that resulted in reduced conversion. Ozone is highly reactive for lignin, but its low selectivity degrades carbohydrates and generates some by-products that may be inhibitory in the downstream process. The by-products mainly included carboxylic acid which could be removed by simple water washing (Travaini et al. 2015). Simultaneously, ozone converted the nitrogen-containing polymers and phenol during anaerobic digestion, minimizing the interaction with inhibitors and significantly improving the methane yield (Si et al. 2019). Other potent inhibitors such as HMF and furfural are not found during ozonolysis pretreatment. The low inhibitory compound formation, mild condition requirement, on-site easy ozone generation and utilization, the ability of microbes to degrade ozonolysis bioproducts, chemical-free process, reduction in environmental pollution, absence of liquid phase, and avoidance of problems associated with product dilution are some advantages of ozonolysis. Nevertheless, high reactivity, flammability, corrosiveness, and toxicity of ozone, the requirement of cooling systems, high cost, and high energy demand are the major obstacles in the development of this process (Travaini et al. 2015).

Hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) pretreatment is an oxidative process which selectively attacks carbonyl and ethylene group and promotes delignification (Ho et al. 2019). Studies by Song et al. (2012) showed 4%  $\text{H}_2\text{O}_2$  to be optimal

for maximum biogas yield of 327.5 mL/g VS. However, the authors suggested that 3%  $H_2O_2$  would both be optimal for improving the methane yield and be sustainable in the long run if the economic aspects were considered. Also,  $H_2O_2$  concentration of more than 4% has been shown to cause accumulation of hydroxyl ions whose toxicity to the methanogens subsequently inhibited the anaerobic fermentation. With an increase in  $H_2O_2$  concentration, biomass with initial high sugar percentages such as microalgae led to a faster degradation of sugars into by-products during the initial steps which inhibited the process of methanogenesis (Dutra et al. 2018). The main advantages of  $H_2O_2$  pretreatment include low energy consumption, no generation of furfural and 5-HMF, easy acquisition and availability, no need of a special reactor for pretreatment, its compatibility with different solid loadings, and elimination of the need for antibiotics. The requirement of a large volume of water or HCl to maintain pH, generation of other inhibitors like ferulic acid, sodium acetate, *p*-coumaric acids due to lignin decomposition are the main drawbacks of this pretreatment method besides the high price of  $H_2O_2$  (Dutra et al. 2018). Genetic strategies can be adopted for making the microbial community resistant to the inhibitors present in  $H_2O_2$  pretreated biomass to obtain high biogas yield.

In wet oxidation, the transfer of hemicellulose from solid to liquid phase is promoted using air or oxygen as a catalyst with the introduction of temperature above 120 °C and pressure between 0.5 and 2 MPa for less than 30 min. Temperature plays a crucial role in enzymolysis of biomass by wet oxidation extraction as observed by Fang et al. (2017), wherein the yield of pretreated material fell from 54.9 to 42.7% by increasing the temperature from 165 to 185 °C and up to 205 °C. While, in the case of sugarcane bagasse, maximum hemicellulose (93–94%) and lignin conversion (40–50%) was obtained at 195 °C compared to the conversion rate at 185 °C (only 30% hemicellulose and 20% lignin conversion). The formation of inhibitors was maximum at 195 °C (Martín et al. 2007). Lee et al. (2020) carried out the pretreatment of oil palm empty fruit bunch at 3%, 6%, and 9% oxygen loading rate under mesophilic and thermophilic conditions. The highest methane yield (362 mL  $CH_4$ /g VS) was found at 6% oxygen loading at mesophilic temperature (37 °C) over untreated one, while the methane yield decreased under thermophilic conditions (55 °C). At 3% oxygen loading, only a slight increase in the methane yield (285 mL  $CH_4$ /g VS) over control (276 mL  $CH_4$ /g VS) was observed due to insufficient oxygen. Pretreatment at 9% oxygen loading resulted in the lowest methane yield (284 mL  $CH_4$ /g VS) due to the formation of inhibitory and toxic compounds under excessive oxidation conditions. This result supported the finding by Klinke et al. (2002) that most of the furan derivatives and phenolics were produced under the conditions of high oxygen and temperature. Its industrial

application is generally revoked due to its non-selectivity in delignification with hemicellulose loss, high cost of catalyst oxygen and pressure equipment, and inhibitor generation (Kumari and Singh 2018).

### Solvent extraction

Solvent extraction uses organo-solvents like ethanol, methanol, benzene, butanol, ethylene glycol, acetone, and tetrahydrofurfuryl alcohol in the presence or absence of catalyst for degradation of the lignin–carbohydrate complex. Use of alkali catalyst is preferred over the acidic catalyst due to the problems associated with acidic catalyst in hemicellulose recovery. Organic solvents demonstrate high-purity cellulose separation with higher efficiency for fractionation of hemicellulose in comparison with conventional methods. Guragain et al. (2016) carried out the fractionation of corn stover, poplar, and Douglas fir with 8 different organic solvents. He found that the combination of ethanol and isopropanol for corn stover and a mixture of glycerol and 2,3-butanediol for poplar were the most efficient organic solvents for comparatively higher sugar release than control. However, these solvents were not effective for softwood (Douglas fir) pretreatment. The benefit of using organic solvents lies in its effortless recovery by distillation and scope for recycling back into the pretreatment. However, a high boiling point for solvent recovery demands an additional energy input which is a disadvantage of this method. No commercial-scale application of organic solvent pretreatment biomass is reported.

### Biological pretreatment

Biological pretreatment provides an attractive option for biogas production from lignocellulosic biomass over the physical and chemical pretreatment methods which require high energy consumption, high capital cost, and produce contaminants that may be toxic for subsequent enzymatic hydrolysis in anaerobic fermentation (Liu et al. 2014). Biological pretreatment can decrease the anaerobic digestion period, enhance the digestibility of dry matter, and gas production estimate (Mishra et al. 2018). The transformation of non-fermentable ingredients of feedstock into value-added chemical products complements one of the missing links which is needed for the accomplishment of the bio-refinery perception (Axelsson et al. 2012). Biological pretreatment employs either microorganisms (monoculture or co-culture) or enzymes (pure or in mixture form). Consequently, microbes at different stages of their growth cycle degrade complex organic molecules as their carbon and energy source (Aydin 2016).



Also, enzymes formed during the pretreatment can be entrapped and used in various other processes, thus serving as co-products similar to the organic substances and lignin-derivatives, adding worth to the technique (Chen et al. 2010). The effectiveness of biological pretreatment for delignification is determined by calculating the ratio of lignin to cellulose loss that gives selective value to the lignin degradation (Kamcharoen et al. 2014). Fungal strains displaying a selectivity values less than 1.0 are not considered for lignin removal in biological pretreatment (Zhang et al. 2007). The main advantages of biological pretreatment include a requirement of mild conditions, simple equipment, and low energy consumption, low cost for downstream processing, no production of any toxic secondary compounds, and no need for chemical recycling after pretreatment. The requirement of lengthy pretreatment cycle and large area, sugar consumption, and need for efficient microbial agent are the major bottlenecks of this pretreatment method (Zabed et al. 2019).

### Monoculture pretreatment

Under the circumstances of unfavorable environmental conditions such as nutrient depletion, fungi and bacteria produce extracellular enzymes and catalyze lignin breakdown by miscellaneous biochemical reactions. The fungal pretreatment includes white-rot fungi (WRF), soft-rot fungi (SRF), and brown-rot fungi (BRF). WRF and BRF are the members of the basidiomycetes and SRF belong to the ascomycetes and deuteromycetes class.

SRF usually attack lignocellulosic biomass with low lignin and high moisture content by creating unique pockets for cellulose and lignin depolymerization. Goodell et al. (2008) found that the biomass with high moisture content and high unsaturation was more susceptible to attack by SRF. The potential of some SRF like *Penicillium chrysogenum*, *Aspergillus niger* (Hamed 2013), and *Trichoderma reesei* (Mustafa et al. 2016) have been explored for biological pretreatment of lignocellulosic biomass. However, the prominent ones which have demonstrated exceptional capabilities for higher degradation of lignin over carbohydrates include *Cadophora* sp. (Chandel et al. 2015), *Paecilomyces* sp. (Singh et al. 2016), *Botryosphaeria* sp. and *Fusarium oxysporum* sp. (Sista Kameshwar and Qin 2018) from SRF family.

BRF cause the biomass to lose strength rapidly and primarily degrade the holocellulose component instead of lignin. These fungi are known to produce  $\beta$ -glucosidases to cleave cellobiose and other oligosaccharides;  $\beta$ -xylosidases & endoxylanases to hydrolyze hemicellulose; and endoglucanases to break down the  $\beta$ -1, 4-glucosidic linkages. BRF also catalyze non-enzymatic breakdown by secreting low molecular weight compounds such as hydroxybenzene

derivatives, quinones, and catechols which may be involved in the partial breakdown of the lignin. These compounds catalyze the formation of hydroxyl radicals through Fenton's reaction thereby speeding up the hydrolysis of pretreated substrates during downstream processing (Arantes et al. 2012). *Coniophora puteana* (Ferdeş et al. 2018), *Postia placenta* (Kameshwar and Qin 2018), and *Gloeophyllum trabeum* (Sanhuesa et al. 2018) are the most reported BRF for pretreatment of lignocellulosic substrate.

WRF are also well known for their efficient lignin-degradation capability by oxidizing lignin along with increasing the enzymatic hydrolysis of polysaccharides. The enzymes associated with lignin degradation activity by WRF include laccase, lignin peroxidase (LiP), and manganese peroxidase (MnP). Some strains of WRF such as *Strobilurus soehstima*, *Phanerochaete chrysosporium*, *Trametes versicolor*, and *Pleurotus ostreatus* can only produce ligninolytic enzymes and catalyze the selective lignin degradation without hydrolyzing cellulose or hemicellulose (Sánchez 2009). WRF include a good number of lignin oxidizing species yet the lignin degradation efficiency depends on the type of biomass, lignin percentage in biomass, fungal species, pretreatment time, and environmental factors such as temperature conditions (Liu et al. 2017). Fungal pretreatment is advantageous over other methods which is attributed to the low pretreatment expense; low reagent and energy requirement, and low downstream recovery cost, and lower generation of waste. However, a long pretreatment period and loss of saccharified sugar owing to utilization by fungi for growth are the major drawbacks associated with fungal pretreatment of lignocellulosic biomass (Zheng et al. 2014).

Although lignin degradation has been thoroughly studied in fungi, recent research has focused its inclusive interest on bacterial ligninolytic enzymes such as laccases (Singh et al. 2017), peroxidases (Falade et al. 2017), and  $\beta$ -etherases (Voß et al. 2020), and their delignification efficiency. De La Torre et al. (2017) compared the efficiency of bacterial (*Streptomyces ipomoeae* (SilA)) and fungal (*Trametes villosa*) laccase for detoxification and delignification of steam-exploded lignocellulosic biomass and found that the laccase from *Streptomyces ipomoeae* slightly decreased the lignin percentage and increased the xylose and glucose yield of hydrolysate, an outcome that was not observed in laccase produced by *T. villosa*. Exploring the potential of *Pandora* sp. B-6 on corn stover, Zhuo et al. (2018) found that the pre-erosion treatment of corn stover could expose more phenoxy radicals that acted as mediators for activation of laccase and manganese peroxidase and thus opened the network for efficient bacterial pretreatment. Zeng et al. (2013) studied the mechanism of lignin degradation by *S. viridosporous* T7A and found that its modification featured an increased S/G (syringyl:guaiacyl) ratio, reduction of carbonyl group, deduction of guaiacyl unit, and enhancement of methoxyl

**Table 3** Delignification of lignocellulosic biomass by fungi and bacteria

Substrate type	Strain	Culture technique	Pretreatment time (day)	Lignin removal (%)	Cellulose loss (%)	Hemicellulose loss (%)	References
<i>Fungal strain used for pretreatment</i>							
Rubber wood	<i>Trametes versicolor</i>	SSF	90	34.4	37.9	32.06	Nazarpour et al. (2013)
	<i>C. subvermispora</i>	SSF	90	45.06	42.08	9.5	
Paper mill wastewater sludge and cow dung	<i>E. fetida</i>	SMF	28	/ <sup>@</sup>	6.56	/ <sup>@</sup>	Negi and Suthar (2018)
	<i>O. placenta</i>	SMF	28	/ <sup>@</sup>	5.51	/ <sup>@</sup>	
	<i>E. fetida</i> + <i>O. placenta</i>	SMF	28	/ <sup>@</sup>	3.92	/ <sup>@</sup>	
Wheat straw	<i>G. lobatum</i>	SMF	40	50.32	21.43	18.07	Hermosilla et al. (2018)
	<i>G. trabeum</i>	SMF	40	102–106	13.29	37.63	
	TF-1, TF-2 and TF-3 fungal isolates	SMF	7	40–50	2–4.2	2–3.4	Shah and Ullah, (2019)
<i>Bacterial strain used for pretreatment</i>							
Kraft lignin	<i>Cupriavidus basilensis</i> B-8	SMF	7	41.5	/ <sup>@</sup>	/ <sup>@</sup>	Shi et al. (2017)
Kraft lignin	<i>Cupriavidus basilensis</i> B-8	SMF	7	41.5	/ <sup>@</sup>	/ <sup>@</sup>	Shi et al. (2017)
Wheat straw	<i>Streptomyces ipomoeae</i>	SMF	/ <sup>@</sup>	21.7 ± 0.2	55.1 ± 0.4	15.3 ± 0.6	De La Torre et al. (2017)
Rice straw	<i>Ochrobactrum oryzae</i> BMP03	SMF	14	53.74	/ <sup>@</sup>	/ <sup>@</sup>	Tsegaye et al. (2018a, b)
Wheat straw	<i>Ochrobactrum oryzae</i> BMP03	SMF	16	44.47	/ <sup>@</sup>	/ <sup>@</sup>	Tsegaye et al. (2018a, b)
wood Poplar	<i>Xanthomonas</i> spp.	SMF	30	40–52	/ <sup>@</sup>	/ <sup>@</sup>	Tsegaye et al. (2019)
Barley straw	<i>Thermomonospora mesophila</i>	SMF	21	36–48	/ <sup>@</sup>	/ <sup>@</sup>	Tsegaye et al. (2019)
Poplar wood	<i>Acinetobacter</i> spp.	SMF	30	47–57	/ <sup>@</sup>	/ <sup>@</sup>	Tsegaye et al. (2019)
<i>Miscanthus</i>	<i>Pseudomonas</i> sp. AS1	SSF	5	29.7–59.5	/ <sup>@</sup>	/ <sup>@</sup>	Guo et al. (2019)

SSF solid state fermentation, SMF submerged fermentation

/<sup>@</sup> data not provided by author

group. Buntić et al. (2019) carried out a study to determine the cellulolytic potential of *S. meliloti* strain 224 using tobacco waste as a substrate under submerged and solid-state cultivation and reported that the strain could produce both avicelase (0.131 U/mL) and carboxymethyl cellulase (1.615 U/g) for efficient conversion of biomass to biogas. Bacterial pretreatment was cost-effective, highly adaptable, required a shorter pretreatment period, and bacteria could be subjected to genetic manipulation more easily than fungi. Also, the metabolic activity and growth rates of bacteria were faster than fungi, though fungi offered potent mechanisms and enzymes for lignin removal from lignocellulosic biomass than bacteria (Rashid et al. 2017). Yet, bacteria can easily degrade cellulose and hemicellulose fractions of biomass. Table 3 shows the different fungi and bacteria reported for delignification of lignocellulosic biomass.

### Co-culture pretreatment

The microbial community in the environment has the natural ability to degrade complex organic material by their synergetic metabolism which is otherwise hardly degraded by an individual microbe. Co-culture pretreatment of lignocellulosic biomass is usually done by employing microbial consortia which include bacteria-bacteria co-culture, fungi-fungi co-culture, or bacteria-fungi co-culture (Sharma et al. 2019). The requirement of maintaining aseptic conditions for pure culture, inability to execute pretreatment in an open environment, and long pretreatment times associated with monoculture pretreatment provide a new insight into using and developing the microbial consortia for biomass pretreatment to overwhelm these issues (Zabed et al. 2019). Recently, it was reported that a microbial consortia OEM2

increased the hemicellulose and lignin degradation rate along with chlorophenol detoxification (75%) within 12 days of rice straw pretreatment (Liang et al. 2018).

Microbial consortia pretreatment offers advantages of increased adaptability, increased substrate exploitation, enhanced hydrolysis productivity and efficiency, decreased pretreatment time, and improved process control in terms of better pH management (Kalyani et al. 2013). Despite having so many advantages, industrial applications of microbial consortia still face several problems related to the microbial consortia stability and their effectiveness in synergetic metabolism.

### Enzymatic pretreatment

Enzymatic pretreatment includes the use of crude, purified, or semi-purified enzymes (oxidative and hydrolytic) produced by bacteria or fungi. Recently enzymatic pretreatment of biomass is gaining more interest over the other biological techniques as enzymes are not affected by the presence of other microbial metabolism and inhibitors (Wei 2016). Furthermore, the enzymes do not lose activity over a long time, can be easily recovered after pretreatment, do not require expensive chemicals and equipment for biomass processing (Ometto et al. 2014), and require shorter pretreatment time (Plácido and Capareda 2015). But the enzyme purification cost and their poor stability are the major hindrances in industrial processes (Zheng et al. 2014).

An enzyme is very specific and catalyzes a particular type of reaction, hence the efficiency of enzymatic pretreatment depends on the composition and type of biomass being treated, temperature, pH, incubation time, reactor configuration as well the enzyme used (Parawira 2012; Michalska et al. 2015). The application of specific enzymes in anaerobic digestion has shown to increase the degradability of biomass and subsequently the methane production (Weide et al. 2020). The enzymatic degradation (using laccase and versatile peroxidase) of corn flax, corn stover, hemp, and wheat straw resulted in a high biomethane production (241–288 NL/kg VS). Nevertheless, the same protocol of enzyme hydrolysis of willow and miscanthus initially showed higher release of phenolic compounds and lower biomethane potential (68.8–141.7 NL/kg VS) due to the presence of lignin. Antonopoulou et al. (2019) found that the enzymatic saccharification of acid pretreated biomass was higher than the alkali-treated one. Till now, enzymatic pretreatment of wheat grains, paper and pulp sludge, hay fibers, microalgae, sugar beet pulp silage, switchgrass, rye grain silage, sida, grass silage, and feed residues has been extensively studied for methane improvement using commercial enzymes. Crude enzyme mixtures are more promising for enzymatic pretreatment since they are easily secreted in the extracellular

medium by several fungi and bacteria and are more efficient in handling technical and economic issues.

### Ensililing

Ensililing was the pretreatment method introduced owing to the need for storing and preserving lignocellulosic biomass with high moisture content to make it available as a whole year's supply for anaerobic fermentation. Ensililing pretreatment is based on the hypothesis that the microorganisms performing in ensilage conditions ferment a high percentage of water-soluble sugars in the biomass in presence of the high moisture content producing a variety of volatile organic acids that lead to a decrease in the pH of the medium (Yang 2020). This acidic pH breaks the lignin–polysaccharide bond of the biomass, reduces the loss of polysaccharides, and prevents the growth of undesired microbes (Nagle et al. 2020). Organic dry matter (ODM) losses during full-scale ensiling are one of the major issues in preserving the lignocellulosic biomass. However, mechanical pretreatment before ensiling can greatly reduce the ODM losses and lead to a higher biomethane potential (Feng et al. 2018). Chen et al. (2007) carried out the ensilage of five agricultural residues (cotton stalk, triticale hay and straw, barley straw, and wheat straw) and reported that ensilage with enzymatic pretreatment gave a combined advantage of high saccharification, holocellulose hydrolysis, and lignin removal compared to untreated biomass and ensilage pretreated biomass. Pretreatment of sorghum with hemicellulase and *L. plantarum* silage (HCL silage) enhanced the lactic acid concentration and residual sugar percentages by enhancing the cellulose and hemicellulose hydrolysis (Zhao et al. 2018). Ensililing is more effective for biomass containing high content of indigenous sugars like sugar beet pulp, sugar beet leaves, sweet sorghum stalk, and sweet corn stover and may not be suitable as a standalone technique for the pretreatment of feedstocks that contain no or poor water-soluble sugars such as cereal straws, hardwood, and softwood. Hence the ensiling of sugar-containing feedstocks with normal biomass may extend the applicability of this technique which was demonstrated for its efficacy in high biochemical methane potential (BMP) within a short time in comparison with the normal ensilage process (Sieborg et al. 2020). This observation was a positive attribute towards solving the issues associated with single biomass pretreatment.

Ensililing offered multiple advantages in the form of lower energy input, storage and preservation of high moisture containing biomass, diminished energy requirement for drying, the requirement of milder operating conditions, preventing carbohydrate loss by lowering pH, and providing opportunities for combined pretreatment of biomass which otherwise face difficulties if pretreated alone (Wu et al. 2018). However, this method suffers from the longer time required



**Table 4** Improvement in biogas production from lignocellulosic biomass pretreated by microbial consortia, enzymes and ensiling

Biomass	Strain/consortia	Pretreatment condition	Biogas improvement over untreated control (%)	References
<i>Microbial consortia</i>				
Maize silage	MCHCA	30 °C/3 days	38	Poszytek et al. (2016)
Cotton stalk	MC-1	50 °C/14 days	136	Yuan et al. (2011)
Wheat straw	–	37 °C/3 days	41.23	Zhong et al. (2016)
Catalpa sawdust	BC-4	50 °C/7 days	64	Ali et al. (2020)
	CS-5	50 °C/7 days	76	
Sawdust	SSA-9	30 °C/5 days	2	Ali et al. (2019)
<i>Enzymes</i>				
Microalgae	<i>Scenedesmus obliquus</i> (protease & esterase)	1 day	273	Ometto et al. (2014)
	<i>Scenedesmus obliquus</i> (Endogalactouronase & cellulose)	1 day	403	
	<i>Scenedesmus obliquus</i> (Endogalactouronase, protease, esterase, cellulase)	1 day	485	
Corn stover	<i>Bjerkandera adusta</i> (Versatile peroxidase)	1 day	15	Koupaie et al. (2019)
Ensilaged maize	<i>Bjerkandera adusta</i> (Versatile peroxidase)	1 day	6	
Flax	<i>Bjerkandera adusta</i> (Versatile peroxidase)	1 day	14	
Pulp and paper mill sludge	<i>Pleurotus ostreatus</i> (Laccase and endoglucanase)	1 day	34	
<i>Ensilaging</i>				
Wheat straw with sugar beet root	<i>Lactobacillus</i> dominated	240 days	34.7	Sieborg et al. (2020)
Napier grass with cow dung	Lactic acid bacteria	87 days	59–65 mL CH <sub>4</sub> /g VS added	Prapinagsorn et al. (2017)
Napier grass silage with cowdung	Lactic acid bacteria	87 days	60–65	Prapinagsorn et al. (2017)
<i>Festuca arundinacea</i>	Homo- and hetero-fermentative <i>Lactobacillus</i>	90 days	4–13	Feng et al. (2018)

for pretreatment in comparison with other biological techniques with low lignin removal efficiency (Chen et al. 2007). Table 4 shows different microbial consortia, enzymes, and ensiling pretreatment applied to lignocellulosic biomass for improving the biogas generation.

## Emerging technologies for pretreatment

Nowadays, with rapid advances in applied chemistry, new industrial technologies have emerged for lignocellulose pretreatment which are based on extreme and non-classical conditions such as ultrasound, gamma rays, electron beam irradiation, pulsed electric field, high hydrostatic pressure, and high-pressure homogenization. These approaches have yielded promising results for decreasing the cellulose crystallinity and have contributed to biogas enhancement from lignocellulosic biomass.

Ultrasound pretreatment of lignocellulosic feedstock results in disruption of the  $\alpha$ -O-4 and  $\beta$ -O-4 linkage in lignin by the production of oxidizing chemicals which ultimately forms small cavitation bubbles on the lignocellulosic structure. The cavitation bubbles grow to a critical size, become unstable, collapse violently, and burst to break the linkages of lignin (Gonzalez-Balderas et al. 2020). It was found that in comparison with alkali pretreatment, the ultrasound-assisted delignification of coconut coir, pistachio shell, and groundnut shell resulted in an 80–100% increase in delignification efficiency with 0.5% biomass loading rate at 100 W for 70 min (Subhedhar et al. 2018). The efficiency of ultrasonic pretreatment was attributed to the increase in the crystallinity and rupture of methylene/methyl groups of cellulose by decreasing the alkali metal in the material and by breaking down pits, and generation of microchannels (He et al. 2017).

Gamma rays obtained from radioisotopes (cesium-137 or cobalt-60) function by weakening the Van der Waal forces, generation of free phenoxy radical intermediates, and hydrolysis of the glycosidic bond (Loow et al. 2016). The irradiation of biomass with gamma rays prior to physical pretreatment contributes to reduced particle size and low shearing rate of the material, subsequently allowing the application of high biomass loading for the hydrolysis process (Wu et al. 2020). The appropriate dose of gamma radiation can eliminate the toxic effect of radiation compounds for biogas generation but the requirement of small and specialized chambers for safety concern limits the chunk of feedstock which can be treated per batch (Kumar et al. 2020).

The electron beam (EB) irradiation method uses irradiated accelerated electron beams to disrupt the polymer by the formation of free radicals. EB mainly depolymerizes cellulose, hence it is applied in combination with other pretreatment technologies for hemicellulose and lignin depolymerization (Hassan et al. 2018). Fei et al. (2020) stated that a high dose of irradiation decreased the cellulose crystallinity index and depolymerization with a reduction in the production of inhibitory compounds (furfural and 5-HMF). A similar study was carried out by Kumar and Tumu (2019) who found that a high dose of EB could lead to the production of excess free radicals which sheared the polymer chain in both amorphous and crystalline cellulose.

In pulsed electric field (PEF) pretreatment, membrane permeabilization in the biomass is achieved through the formation of aqueous pores on the cell membrane (electroporation) of biomass subjected to high sudden voltage bursts (5.0–20.0 kV/cm) for a short period. Wang et al. (2017) observed that the yield of reducing sugars and enzymatic hydrolysis of cellulose improved under moderate conditions of the electric field strength of 12v/m, enzyme loading of 26.68 mg/g substrate, water content 5 mL/g substrate, pH 4.5, 6 h of electrodes with overtime. PEF can increase the cellular division rate, speed up the fermentation efficiency, methanization, anaerobic digestion and biogas production, and reduce the energy requirement with selective targeting of the cell membrane (Golberg et al. 2016). PEF technologies have been used for the pretreatment of a variety of biomass but their use on seaweeds is very limited due to the high salt content of this biomass. The high salt content resulted in a high requirement of electric conductivity that led to an increase in the pulse current with unacceptable heating of the electroporated biomass beyond the energy dissipation capacity of the device (Levkov et al. 2020).

High hydrostatic pressure (HHP) pretreatment involves the exertion of high pressure for breaking the non-covalent bonds, thus changing the macromolecular conformation. It was reported that for coconut husk, HHP performance of fungal cellulase under pressurized conditions was increased

by a factor of 2 (Albuquerque et al. 2016). Öztürk (2019) observed that different pressure (0.1–500 MPa) and time (5–15 min) combinations affected the cellulase activity and found that the efficiency of enzymatic hydrolysis for peanut hull was maximum at 100 MPa for 15 min. HHP positively affected the reaction rate and chemical equilibrium as seen from the enhanced enzymatic hydrolysis at pressures below that causing the denaturation in protein structure (Levkov et al. 2020).

High-pressure homogenization (HPH) is a well-known cell disruption method to homogenize the particles using a pressure pump at operating pressure of 150–200 MPa. Jin et al. (2015) found that in contrast to alkaline heat pretreatment of grass clippings, HPH could destroy the biomass microstructure to an empty-inside structure and provide the accessible surface area for enzyme attack without hemicellulose loss. The authors noted that, under high working pressure of 206.84 MPa, the particle size of lignocellulosic biomass decreased while the biomass surface area increased which led to an enhancement of the enzymatic hydrolysis efficiency as reflected from high sugar yield and bioethanol production (Choi and Lee 2016). Saelee et al. (2016) found that the HPH can also be useful for isolating nano-fibrillated cellulose from the lignocellulosic biomass.

## Combined pretreatment technologies

In recent years, combining two or more pretreatment technologies has been carried out to consequently improve the pretreatment effect on biogas production. Pretreatment methods like alkali, acid, liquid hot water, and steam explosion can remove hemicellulose very effectively, while alkali and biological pretreatment provide better effect in lignin removal from lignocellulosic biomass. The physical pretreatment method reduces the size of the particle and crystallinity and reduces the acidification of the system which increases buffering capacity. Thermal and chemical pretreatment methods use temperature and chemicals to decrease the stability of lignocellulosic bonds and enhance the biogas estimates. On the other hand, biological agents utilize lignin as a source of their energy and play a vital role in biogas production.

Although, single pretreatment method can contribute significantly to biogas yield, at the same time there are series of problems associated with each of the pretreatment technologies. The degradation of renewable yet difficult to degrade lignocellulosic biomass can be increased by applying a combination of pretreatment technologies (Akhtar et al. 2016). Since each single pretreatment technology has its disadvantages, combined pretreatment technologies may not only overcome the disadvantage of pretreatment but also enhance the enzyme accessibility to



cellulose and facilitate the lignin and hemicellulose recovery for the production of high-value products. Combining two pretreatment technologies can achieve the goal of mutually making up for the defect in each of the technologies with consequently improving the pretreatment effect (Yu et al. 2019). An increased sugar yield, short processing time, and less inhibitor formation are observed with combined pretreatment (Kumari and Singh 2018). Moreover, a number of combined pretreatment technologies, such as physio-chemical pretreatment (Divyalakshmi et al. 2017; Mahajan et al. 2019), thermo-chemical pretreatment (Mlaik et al. 2018), ultrasonication assisted acid pretreatment (Rehman et al. 2014), electron beam irradiation combined with ionic liquid (Jusri et al. 2019), bio-derived cholinium ionic liquids and ultrasound irradiation (Ninomiya et al. 2013), fungal pretreatment in combination with alkaline treatment (Alexandropoulou et al. 2017), extrusion combined with alkali pretreatment (Zhang et al. 2015a, b), have been researched for improving the efficiency of anaerobic digestion of lignocellulosic residues.

### Critical assessment of pretreatment methods commonly adopted for major biomass

Despite the availability of a number of pretreatment options, only a few are commonly and repeatedly applied for lignocellulosic biomass before anaerobic digestion. The preference for pretreatment technology depends specifically on the type and various constituents present in biomass. An ideal pretreatment technology should possess several characteristics such as moderately low energy input, low environmental impact, minimum chemical and water use, low capital cost, maximum sugar recovery and minimum inhibitor generation from sugar degradation during pretreatment, low demand for down-stream processing like washing, detoxification and neutralization, higher pretreatment rate in short duration, and production of high biogas/biofuel and other value-added products. No single pretreatment option can provide all the advantages for enhancing biogas production since each pretreatment method is plagued by some or the other inherent limitation. Application of pretreatment method depends entirely on the proximate (percent of ash, fixed carbon, volatile fraction in dry matter, and heating value), compositional (cellulose, hemicellulose, and lignin content), and ultimate (carbon, nitrogen, hydrogen, sulfur, and oxygen percentage) properties of the biomass. For example, dilute acid pretreatment is more effective for enhancing the gas production estimate of corn and poplar tree bark as compared to sweet gum bark.

ScienceDirect displays 38,189 cumulative papers including 3,836 review articles published in the last 3 years (2018–2020) on research topic related to “pretreatment” and “biomass”. Of all the pretreatment methods adopted conventionally, chemical pretreatment is the most widely reported method for major agriculture residues (maize stover, maize straw, rice straw, rice husk, wheat straw, corn cobs, cottonseed hairs, sugarcane leaves, sugarcane bagasse, and softwood stem) with dilute NaOH or H<sub>2</sub>SO<sub>4</sub> apparently being most effective for methanogenesis during the fermentation process. Following the chemical pretreatment, biological pretreatment is gaining greater attention which unlike other pretreatment options has been reported to be the best for the effective valorization of biomass. Amongst the biological pretreatment methods, enzymatic pretreatment is gaining more interest owing to little or no generation of inhibitory compounds due to which it can be applied for pretreatment of a wide range of biomass. Hydrothermal pretreatment, on the other hand, is another pretreatment option that is gaining interest for the disintegration of the lignocellulose network. This technique has come to the fore as it only uses water at high temperature under pressure, and the required pH is maintained by oxidation of phosphorous and sulfur present in the biomass. The current trend is increasingly shifting towards adopting a combination of different pretreatment technologies as seen from the limited number of research articles published in the early period of the twenty-first century (only 63 publications in 2001) which have increased manifold in a very short time duration to 2492 publications in the year 2020. Figure 3 shows the comparative assessment of the number of different research articles published from the year 2018 to the year 2020 concerning the pretreatment technologies available for the processing of lignocellulosic biomass.

### Effect of pretreatment on microbial community structure during biomethanation of lignocellulosic biomass

Since biogas/methane production efficiency of lignocellulosic biomass depends on the microbial metabolism, microbial communities present in the anaerobic digestion chamber are of paramount importance. The rigid network and low solubilization of lignocellulosic waste limit the hydrolysis step, and therefore the microbial activity and overall degradation efficiency. Pretreatment of lignocellulosic waste shows a pronounced effect on the increased proportion of valuable microbial community for anaerobic digestion. Figure 4 shows the effect of pretreatment on the enrichment and increase in abundance of microbial community and biogas

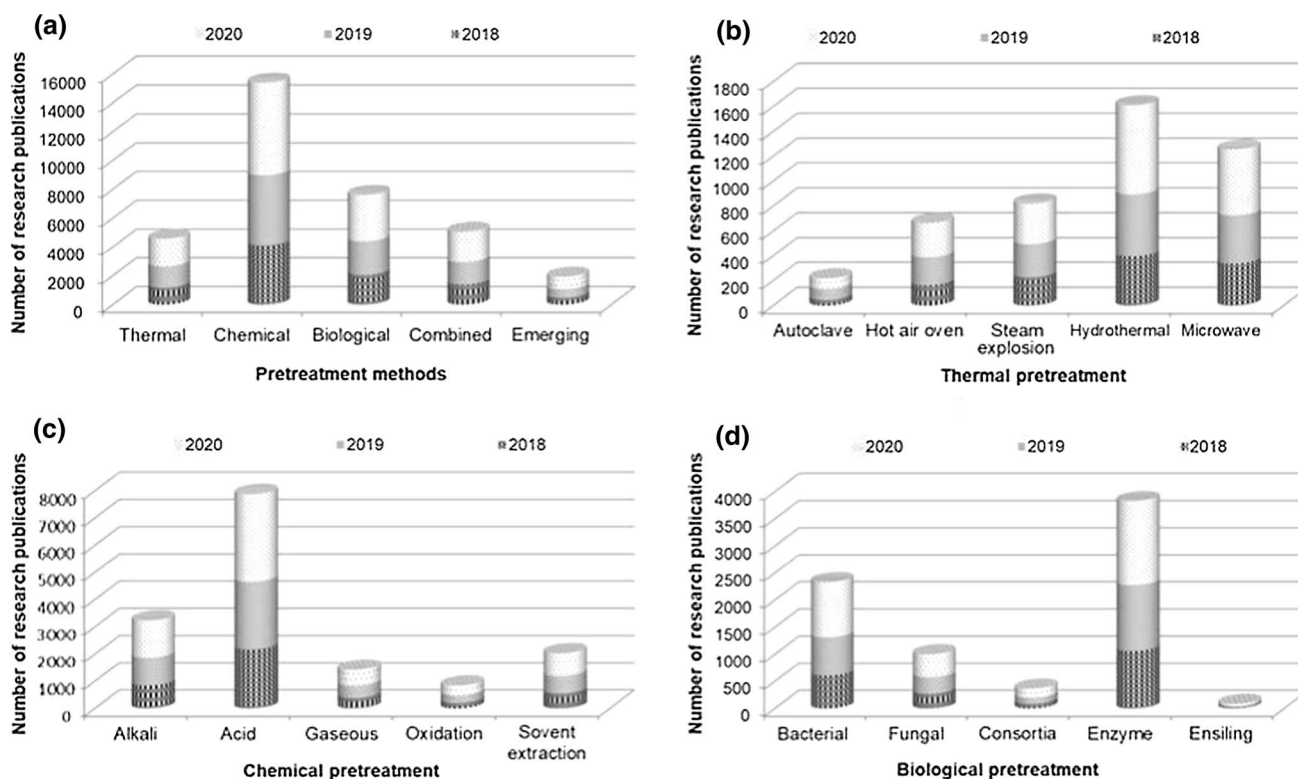
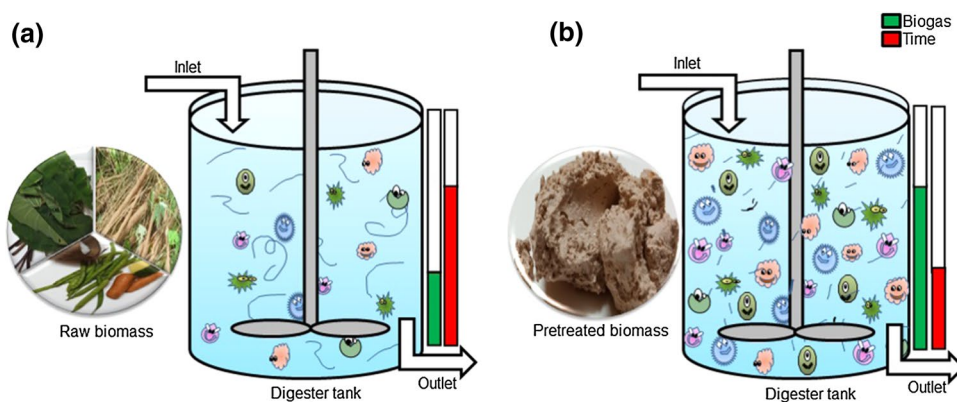


Fig. 3 Number of research publications with the topic “pretreatment” and “biogas” in the Science Direct

Fig. 4 Conceptual diagram showing the effect of pretreatment on enrichment and relative abundance of microbial community and biogas production in anaerobic digestion of lignocellulosic biomass



production in anaerobic digestion of lignocellulosic biomass. This has been evidenced from numerous studies that have demonstrated the positive effect of pretreatment on microbial community structure and, hence, the performance of reactor in terms of the biogas production (Jung et al. 2016; Zhao et al. 2017; Li et al. 2017, 2019; Wang et al. 2018a, b; Westerholm et al. 2019). By exploring the advantages of biomass pretreatment effect on microbial communities and their metabolic pathways, more knowledge can be obtained to help us choose an effective and efficient pretreatment technology. Table 5 shows the different bacterial and archeal

communities which became dominant by the effect of different pretreatment methods in the biogas production system. It can be seen that the *Methanosarcina* was able to survive most pretreatment conditions like acid, alkali, heat shock, ultrasonication, and so on. *Methanoculleus*, *Methanobacterium*, and *Methanosaeta* were also dominant in biogas production followed by *Methanothermobacter* and *Methanomassiliicoccus*. The methanogenic community shift during anaerobic digestion towards potent methane producing *Methanosarcina* and *Methanosaeta* suggests a key role of pretreatment in the main reaction of biogas production. Also,

**Table 5** Dominant bacterial and archeal communities during the anaerobic digestion of lignocellulosic biomass pretreated by different methods

Substrate	Pretreatment method and condition	Dominant bacterial community	Dominant archeal community	References
Ulva biomass	0.2 M HCl or NaOH at 60–90 °C	<i>Macellibacteroides</i> sp., <i>Desulfomicrobium</i> sp., <i>Spirochaetes</i> bacterium	<i>Maethanosaeta</i> sp., <i>Methanolinea</i> sp.	Jung et al. (2016)
Municipal solid waste	Hydrothermal pretreatment at 170–175 °C for 1 h	<i>P_Firmicutes</i> , <i>P_Bacteroidetes</i> , <i>P_Proteobacteria</i>	<i>J</i> <sup>®</sup>	Li et al. (2017)
Switchgrass	Ensiling with <i>L. brevis</i>	<i>Anaerolineaceae</i> , <i>Fastidiosipila</i> , <i>VadinHA17</i> , <i>Ruminococcus</i>	<i>J</i> <sup>®</sup>	Zhao et al. (2017)
	Ensiling with xylanase	<i>Anaerolineaceae</i> , <i>Fastidiosipila</i> , <i>Treponema</i>	<i>J</i> <sup>®</sup>	
Distilled grain waste	0, 0.4, 0.6% H <sub>2</sub> SO <sub>4</sub> at 90–170 °C	<i>Ruminococcaceae</i> , <i>Thermodesulfobiaceae</i> , <i>Caldicoprobacter</i> , <i>Tepidimicronium</i> , <i>Syntrophomonadaceae</i> , <i>Defluviitoga</i> , <i>Anerobaculum</i>	<i>Methanothermobactertherm autotrophicus</i> , <i>Methanosarcina thermophila</i> , <i>Methanoculleus bourgenensis</i> , <i>Methanomassiliicoccus luminyensis</i>	Wang et al. (2018a, b)
Waste activated sludge	4 M NaOH and ultra-sonication at 250 W and 25 kHz for 20 min	<i>Parabacteroides</i> , <i>Azoarcus</i> , <i>Petrimonas</i>	<i>Methanomassiliicoccus</i> , <i>Mathanobacterium</i>	Li et al. (2019)
	K <sub>2</sub> FeO <sub>4</sub> and ultra-sonication at 250 W, 25 kHz for 20 min	<i>Saccharicrinis</i> , <i>Paludibacter</i>	<i>Methanomassiliicoccus</i> , <i>Mathanobacterium</i>	
	K <sub>2</sub> FeO <sub>4</sub> and 4 M NaOH	<i>Parabacteroides</i> , <i>Petnionas</i> , <i>Saccharicrinis</i> , <i>Azoarcus</i> , <i>Mariiphaga</i>	<i>Methansarcina</i> , <i>Methanomassiliicoccus</i> , <i>Mathanobacterium</i>	
Sewage sludge and municipal solid waste	Thermal pretreatment at 40–42 °C	<i>Clostridia</i> , <i>Bacteroidia</i> , <i>vadinHA17</i>	<i>J</i> <sup>®</sup>	Westerholm et al. (2019)

*J*<sup>®</sup> data not provided by author

it is worth mentioning that pretreatment also changed the bacterial community composition mainly by the enrichment of phyla Firmicutes and Bacteroidetes. Several studies have indicated the importance of Firmicutes:Bacteroidetes ratio in anaerobic fermentation system which was positively correlated with increased biogas yield.

Besides its impact on the microbial community associated with biogas production, pretreatment of lignocellulosic biomass has also been reported for remarkable shifts in microbial community composition and abundance during biohydrogen and bioethanol production. The dominant genera during biohydrogen production from raw, untreated biomass consisted of *Petrimonas*, *Proteiniphilum*, *Anaerolineaceae*, and norank D8A-2 (Yang et al. 2019a, b) while those during bioethanol production included *Escherichia coli*, *Klebsiella pneumoniae*, *Leuconostocaceae*, *Lactococcus*, *Weissella*, and *Fructobacillus*; along with the dominant fungal genera—*Mucor*

*circinelloides*, *Candida*, *Hannaella*, *Issatchenkia* and *Papiliotrema* (Gallagher et al. 2018). When similar biofuel production was carried out using pretreated biomass, assessment of the microbial community indicated the elimination/reduction in the abundance of all the aforementioned genera with enrichment of those which contributed to high biofuel yield. *Bacteroides* and *Proteiniclasticum* were the most abundant bacterial genera observed in biohydrogen production from pretreated waste with the relative abundance of 2.7% and 3.3%, respectively (Yang and Wang 2020). In addition to these two hydrolytic genera, *Clostridium* and *Macellibacteroides* also showed relatively high abundance in the bioreactor fed with pretreated biomass compared to the untreated control. Among the enriched bacteria, *Clostridium* and *Proteiniclasticum* were suggested for their role in contributing to higher biohydrogen yield (Zhang et al. 2015a, b; Dessì et al. 2018). A similar overall reduction in the

unwanted bacterial community and increased abundance of *Lactobacillus* and *Saccharomyces cerevisiae* (potent genera for bioethanol production) was also observed in reactor fed with pretreated biomass (Ifeanyi et al. 2020). This showed that the pretreatment method before anaerobic fermentation could positively influence the evolution and formation of potential microbial communities in methane synthesis.

## Scope/recommendations for future research

Biomass pretreatment is restricted by the high capital investment, reagent cost, and high energy consumption. Overcoming the drawbacks of pretreatment for the development and commercialization of large-scale industrial pretreatment plants is still a major issue. To realize the prospects of pretreatment technology, the review has opened new avenues for focussed research in the future on increasing the accessibility of lignocellulosic biomass to anaerobic digestion;

- Implementation of combined pretreatment technologies for the efficient operation of a biogas plant with low energy consumption and secondary pollutant production
- Development of efficient recycling system to address the issues associated with high chemical and enzyme cost and pollutant formation in biogas production.
- A more detailed study of each pretreatment technology to overcome the bottlenecks and evaluating the techno-economic feasibility of each pretreatment method.
- More industrial facilities and applicable equipment for pretreatment at a large scale and high efficiency need to be further researched
- Implications of the right and effective pretreatment method to be chosen for crop straw which can increase the accessibility of microbial enzymes to cellulose. This will require the analysis of potential and existing biomass in terms of their composition and intrinsic properties before the start-up of large-scale application
- Concentration and detoxification of hydrolysis products before the fermentation process to increase the biogas yield and reduction in the downstream processing cost needs to be studied further.

## Conclusion

Knowledge about crop straw pretreatment technologies is now accumulating at an increasingly rapid pace. Given the abundant pretreatment technologies available globally and their application in various areas for treating biomass, based

on the associated advantages and disadvantages, the following main conclusions can be drawn:

- Physical pretreatment is the basic pretreatment step essential before any other pretreatment, which is aimed at breaking down the encrusting material of the cell wall and reducing the particle size of biomass. It promotes an improvement in bioprocess efficiency but the effect is intimately related to the costs.
- Thermal pretreatment decreases the thermal stability of lignin biomass and breaks down the hydrogen bond, thus exposing the accessible surface area of cellulose for anaerobes. The only limitation of this method is that it leads to the formation of toxic derivatives at temperatures greater than 160 °C.
- The beneficial effect of chemical pretreatment was better than physical and thermal pretreatments, but it produces secondary compounds that may be inhibitory and hinder the fermentation process.
- Biological pretreatment plays a vital role in enhancing bioprocess like anaerobic digestion, but it needs an efficient microbial agent and suffers from the longer pretreatment period required in comparison with other methods.
- Emerging technologies for lignocellulosic pretreatment give promising results; however high capital cost and the unavailability of comparative efficiency data of these methods on different substrates present major obstacles in commercialization.
- Recently, combined technologies for the pretreatment of biomass have shown better performance over a single method. The synergistic application of combined pretreatment technologies can realize the future outlook for large-scale industrial applications.

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## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

**Consent for publication** All authors have consented for publication.

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