**ORIGINAL PAPER**



# **Applications of artifcial neural networks and hybrid models**  for predicting CO<sub>2</sub> flux from soil to atmosphere

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#### **Abstract**

The goal of this research is to model the level of carbon dioxide fowing from soil to sky using various methods. The methods of multiple linear regression (MLR) and artifcial neural networks (ANN) beside two diferent hybrid models were exploited to achieve this objective. These hybrid models were arranged as the prior two methods with principal component analysis (PCA). For the ANN, 36 diferent structures were used with diferent transfer (logsig–logsig, tansig–tansig, pureline–pureline, logsig–tansig, logsig–pureline and tansig–pureline)—learning functions (Levenberg–Marquardt and Gradient Descent with Momentum) and neuron numbers (10, 20 and 30). The manure norm, soil type, soil temperature, soil moisture content, soil depth, and photosynthetically active radiation values were taken into account as input parameters while  $CO<sub>2</sub>$  flux was output parameter. According to the research conducted, the best results were obtained from the ANN method. This method was followed by PCA + ANN, MLR and PCA + MLR methods. The  $R^2$  value of the network established in the ANN method was determined as 0.98. In this ANN model, Levenberg–Marquardt and tansig–pureline with 30 neurons were used as transfer and learning functions, respectively. Besides, when principal components were used as input parameters, the lower  $R^2$  values were obtained with both the MLR and ANN methods.

**Keywords** Artifcial neural networks · Principal components · Linear regression · Saline soil · Soil moisture · Soil temperature

# **Introduction**

There are a few main factors affecting soil  $CO<sub>2</sub>$  flux such as soil organic matter content, soil type, soil tillage and management systems, root respiration, etc. The decomposition of soil organic matter causes  $CO<sub>2</sub>$  flux (Kuzyakov [2002](#page-12-0); Fender et al. [2013\)](#page-12-1). Fertilization, especially N fertilization, accelerates  $CO<sub>2</sub>$  flux due to the effect of root development (Shao et al. [2013](#page-12-2)) and microbial activity (Yan et al. [2010](#page-13-0); Fangueiro et al. [2008\)](#page-12-3). Soil temperature and soil moisture

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affect soil  $CO<sub>2</sub>$  flux because of their direct impact on microbial activity (Risk et al. [2002;](#page-12-4) Rustad et al. [2001](#page-12-5)). Soil respiration amount increases with the increase in soil temperature (Kirschbaum [1995](#page-12-6); William et al. [1994](#page-13-1), Lou et al. [2003](#page-12-7), Lu et al. [2008](#page-12-8)).

Various methods have been used while modeling of the  $CO<sub>2</sub>$  flux from soil to atmosphere. Assorted studies in the literature to model  $CO<sub>2</sub>$  fluctuation have applied various techniques (Oprea and Iliadis [2011](#page-12-9); Ibarra-Berastegi et al. [2008](#page-12-10); Huebnerova and Michalek [2014](#page-12-11)). Among these techniques, multiple linear regression and artifcial neural networks have been mostly utilized (Huebnerova and Michalek [2014](#page-12-11); Elangasinghe et al. [2014;](#page-12-12) Kurt and Oktay [2010;](#page-12-13) Banja et al. [2012](#page-12-14)).

ANN has been successfully utilized for modeling many complex systems (Droulia et al. [2009\)](#page-12-15). This is an efficient method for modeling nonlinear systems. This method uses input and output parameters for prediction with diferent transfer-learning function combinations and neuron numbers (Franch and Panigrahi [1997\)](#page-12-16). Besides, diferent neural



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network types have been used such as back-propagation neural network (Van Wijk and Bouten Verstraten [2002\)](#page-13-2).

ANN is frequently applied in the studies on ecological modeling such as temperature and rainfall prediction (Somaratne et al. [2005;](#page-12-17) Zhuang et al. [2012;](#page-13-3) Wen et al. [2014](#page-13-4); Li et al. [2017\)](#page-12-18). Also, Papale and Valentini ([2003\)](#page-12-19), Hagen et al. ([2006\)](#page-12-20) and Song et al. ([2014\)](#page-12-21) stated that the ANN model is a very appropriate method for efficiently predicting soil respiration. In many studies, the ANN method has been used successfully to model gas emission in forest soils. For example, Van Wijk and Bouten Verstraten [\(2002\)](#page-13-2) and Papale and Valentini.  $(2003)$  $(2003)$  stated that  $CO<sub>2</sub>$  emissions successfully modeled in European forests using the ANN method.

MLR is another method that was chiefy used in modeling works (Hutchinson et al. [2000;](#page-12-22) Welles et al. [2001](#page-13-5)). Besides, MLR has been designated in most of the approaches to  $CO<sub>2</sub>$ modeling studies (Pedersen [2000](#page-12-23)). The model's performance in the researches using the MLR method has been evaluated considering  $R^2$  values (Pedersen et al. [2001\)](#page-12-24). Higher the  $R<sup>2</sup>$  value obtained from the study results approaches to 1, more accurate the model's acceptance (Hutchinson and Livingston [2001](#page-12-25)).

For the performance evaluation of this model, the  $R^2$ values are taken into consideration. If the value of the  $R^2$ approaches to 1, the efficiency of the model is considered as good. Bond-Lamberty and Thomson ([2010\)](#page-12-26) reported a linear model  $(R^2: 0.32)$  among the soil CO<sub>2</sub> flux, soil temperature and moisture. In this research, temperature and moisture values were used as inputs,  $CO<sub>2</sub>$  flux was used as output values. Similarly, Chen et al.  $(2013)$  $(2013)$  obtained a  $R^2$  of 0.40 from the linear model between the  $CO<sub>2</sub>$  flux and soil temperature moisture contents.

The PCA method condenses the input parameters into a smaller set called principal components (Johnson and Wichern [2002](#page-12-28)). MLR and ANN are employed to model the levels of  $CO<sub>2</sub>$  flow from soil to the atmosphere. In addition to these methods, two diferent hybrid models were formed; one of the hybrid models was planned as  $PCA + MLR$  while the other was PCA+ANN. As for ANN, 36 diferent structures were used with diferent transfer—learning functions and number of neurons. The manure norm soil type, soil temperature, soil moisture content, soil depth and photosynthetically active radiation values were taken into account as input parameters while  $CO<sub>2</sub>$  flux was output parameter.

The level of  $CO<sub>2</sub>$  fluxed from the soil to the atmosphere is directly afected by factors such as soil type, fertilizer norm and application form of the fertilizer, soil temperature, soil moisture content and soil management practices. Continuous observation of  $CO<sub>2</sub>$ , one of the most effective greenhouse gases in the atmosphere, is very important for a sustainable

<span id="page-1-0"></span>**Table 1** Properties of soil examples

Soil properties	Normal soil	Saline soil	
Soil texture	Clay-loam	Clay-loam	
CaCO <sub>3</sub>	6.53%	10.2%	
EС	$1228 \mu S \text{ cm}^{-1}$	5.48 $\mu$ S cm <sup>-1</sup>	
pН		9.3	

environmental approach. From this point of view, the ratio of  $CO<sub>2</sub>$  emitted from the soil to the atmosphere can be continuously monitored by modeling the level of  $CO<sub>2</sub>$ . Artificial neural networks and hybrid models can determine the relationships between nonlinear changing factors and model these relationships with high accuracy.

The purpose of this research is to investigate the effects of different soil conditions on the fluxed  $CO<sub>2</sub>$  from soil to atmosphere and determine the best  $CO<sub>2</sub>$  flux model using artifcial neural networks and hybrid models.

# **Materials and methods**

#### **Laboratory experiments**

In this study, two diferent soil types (normal and saline), two different farmyard manure norms  $(2-4 \t{ h a<sup>-1</sup>})$  and two diferent manure application methods (surface and subsurface) were examined in the laboratory conditions for modeling  $CO<sub>2</sub>$  flux from soil to atmosphere.

Saline and normal type soil examples provided east of Iğdır pasture and west of Iğdır pasture, Turkey, respectively. In the east of Iğdır, pasture has saline soil properties. In this region, soils have salinity properties as a result of wrong feld applications such as excess irrigation, conventional agriculture, etc. The properties of the soil used in laboratory experiments are given in Table [1.](#page-1-0)

The manure used in the experiments was applied with two diferent methods as surface and subsurface. Manure had been homogenously laid on the soil surface as surface application method. In the subsurface application, manure laid on the 10 cm soil depth and then mixed with a paddle. The chemical content of the farmyard manure is given in Table [2](#page-2-0).

A fux-type temperature resistance was used in the laboratory experiments. The resistance is laid on the soil surface approximately 15 cm of soil depth. The electronic control unit was used for blocked temperature fluctuation. The automated ACE and soil  $CO<sub>2</sub>$ exchange system were used



<span id="page-2-0"></span>**Table 2** Chemical content of the farmyard manure



for determining the  $CO<sub>2</sub>$  flux. The technical information of  $CO<sub>2</sub>$  exchange system is given in Table [3](#page-2-1).

Before the experiments were started, the soil was saturated by water. After waiting for 2 days, the soil was heated from 20 to 50 °C degrees with grades of 0.5°. An electronic temperature control unit (ECU) with fexible temperature resistance was used for this purpose. After reaching the maximum temperature level, the temperature resistance and ECU system were deactivated until the soil temperature reached 20 °C. These processes were continued about 48 h for each factor.

The resistance equipped with an electronic control unit and the soil  $CO<sub>2</sub>$  exchange system is given in Fig. [1](#page-2-2). Volumetric soil moisture percentage  $(\%)$  and temperature  $({}^{\circ}C)$  were simultaneously measured via automated ACE and soil  $CO<sub>2</sub>$  exchange system sensors.

### **Dataset for CO<sub>2</sub> flux modeling**

In the research,  $27,713$  data (7 parameters  $\times$  3959 observation) were used for  $CO<sub>2</sub>$  flux prediction model. These data were obtained by automated ACE and soil  $CO<sub>2</sub>$  exchange system during the 48 h for all of the factors.

#### **The modeling with multiple linear regression**

The MLR method and model architecture are given in Eq. [1](#page-2-3) and Fig. [2](#page-3-0), respectively. In the equation, Y is model's predicted value, X is contaminant concentration,  $a_i$ ,  $i:0,...$  $,n$ , is coefficient of regression.

<span id="page-2-3"></span>
$$
Y = a_0 + a_1 x_1 + a_2 x_2 + \dots + a_n x_n \tag{1}
$$

The MATLAB software was used for the MLR model. The input and output parameters for this model are given in Table [4](#page-3-1).

#### **The modeling with principal component analyses**

The principal component analysis (PCA) was used to decrease the number of input parameters. These new

<span id="page-2-1"></span>

<span id="page-2-2"></span>**Fig. 1**  $CO<sub>2</sub>$  flux, temperature resistance and electronic control unit

of  $CO<sub>2</sub>$  exchange system





 $CO<sub>2</sub>$  flux device Temperature resistance and ECU





<span id="page-3-0"></span>**Fig. 2** Model architecture of MLR

input parameters were called principal components (PCeigenvectors). To construct principal components Math-Works MATLAB was used. MATLAB's PCA function uses the singular value decomposition (SVD) algorithm by default and returns the percentage of the total variance explained by each principal component. In general, the smallest number of components explaining 80–99% of the total variance is chosen, where these values follow PCA best practices.

# **The modeling with artifcial neural network (ANN)**

Another model used in the research is artifcial neural network (ANN). Artifcial neural networks are frequently used in the modeling studies conducted between the variables which especially has nonlinear correlation. In this method, models are established with the aid of appropriate transfer and activation functions, number of neurons and learning algorithms considering the structural specifcations of the problem (Gardner and Dorling [1998\)](#page-12-29). In the research, the combinations of two learning functions, three transfer functions and three diferent neuron numbers were used in ANN structures to model  $CO<sub>2</sub>$  flux flowing from soil to air (Table [5\)](#page-3-2). Artifcial neural network architecture is given in Fig. [3](#page-4-0).

<span id="page-3-1"></span>



# **Principal component analysis with multiple linear regression**

In this method, for modeling  $CO<sub>2</sub>$  emission, PCs were accepted as input parameters and combined with the MLR method (Fig. [4\)](#page-4-1). PCs were obtained from the principal component analyses.

# **Principal component analysis with artifcial neural network**

The PCs were used as input parameters in this method as in the PCA + MLR method. The same transfer—learning functions and neuron numbers used in the ANN method were used together with PCs for modeling  $CO<sub>2</sub>$  emission (Table [6](#page-4-2)). Figure [5](#page-5-0) illustrates architecture of principal component analysis with artifcial neural network.

# **Statistical analysis for the dataset**

Analysis of variance (ANOVA) was used to assess the significance of each treatment on soil properties and CO<sub>2</sub> fluxes. Means were compared when the *F* test for treatment was signifcant at 5% level by using Duncan's multiple range tests.

# **Performance evaluation for hybrid models**

Accuracies of models were confrmed via root mean-square error (which is also known as root mean-square deviation

<span id="page-3-2"></span>**Table 5** Functions and neurons numbers used in the ANN

Input parameters	<b>ANN</b> structures	Output parameter		
	Learning functions	Transfer functions	<b>Neurons</b>	
St: soil temperature $({}^{\circ}C)$ Sm: soil moisture content $(\%)$	Levenberg-Marquardt (Trainlm) Gradient descent with momentum (Traingdm)	Logsig-logsig Tansig-tansig	10 20	CO <sub>2</sub> flux
St: soil type Fn: fertilizer norm		Pureline-pureline Logsig-tansig	30	
Sd: soil depth Pr: photosynthetically active radiation		Logsig-pureline Tansig-pureline		



architecture

<span id="page-4-2"></span>**Table 6** Functions and neurons numbers used in the

PCA+ANN

<span id="page-4-0"></span>

or RMSE), mean absolute error (MAE), and  $R^2$  (which is also known as coefficient of determination or  $R^2$ ). A model is evaluated as its accuracy is high when  $R^2$  reaches to 1 and RMSE and MAE approaches to zero.





<span id="page-4-1"></span>**Fig. 4** The architecture of principle component analysis with multiple linear regression







<span id="page-5-0"></span>**Fig. 5** The architecture of principal component analysis with artifcial neural network

<span id="page-5-1"></span>**Table 7** The results of variance analysis for the dataset

	Factors	$\overline{F}$	$\boldsymbol{P}$
Main factors	Soil temperature	18.235	$0.000**$
	Soil type	3.782	$0.050*$
	Fertilizer amount	9.108	$0.006**$
	The method of fertilizer applica- tions	21.501	$0.000**$
Interactions	Temperature * soil type	0.269	$0.926$ ns
	Temperature * Manure norm	0.588	$0.709$ ns
	Temperature * soil depth	0.479	$0.789$ ns
Temperature			CO <sub>2</sub> flux
$20 - 25$ °C			1.173c
$25 - 30$ °C			1.401c
$30 - 35$ °C			2.350c
$35 - 40$ °C			3.935 b
40-45 °C			4.705 b
$45 - 50$ °C			6.620a
Soil type			CO <sub>2</sub> flux
Normal			3.758 a
Saline			2.971 b
Manure norm			CO <sub>2</sub> flux
$2$ t ha <sup>-1</sup>			2.754 <sub>b</sub>
$4$ t ha <sup>-1</sup>			3.975 a
Soil depth			CO <sub>2</sub> flux
Surface			4.303 a
Subsurface			2.426 b

$$
MAE = \frac{1}{n} \sum_{i=1}^{n} |Y_{pi} - Y_{di}|
$$
 (3)

$$
R^{2} = 1 - \left(\frac{\sum_{i=1}^{n} (Y_{pi} - Y_{di})^{2}}{\sum_{i=1}^{n} (Y_{pi} - \bar{Y})^{2}}\right)
$$
(4)

In these equations, where  $n$  is the number of observations,  $Y_{pi}$  is the predicted value for observation *i*,  $Y_{di}$  is the real value from observation *i*, and  $\overline{Y}$  is the average of the real value.

# **Results and discussion**

### **The results of statistical analyses for the dataset**

Soil  $CO<sub>2</sub>$  flux was affected by soil type, farmyard manure norm, manure application techniques and soil temperature statistically highly significant  $(p < 0.001)$ , but this trend was not observed interaction values (Table [7\)](#page-5-1).

At the initial temperature conditions (20–25 °C),  $CO<sub>2</sub>$ flux assigned as 1.173 µmol g cm<sup>-3</sup>, CO<sub>2</sub> flux gradually raised according to higher soil temperature conditions. When the soil temperature had been reached the maximum level (45–50 °C),  $CO_2$  flux from soil to atmosphere determined as 6.62 µmol g cm<sup>-3</sup>. The CO<sub>2</sub> flux on the subsurface manure application was bigger than the surface manure application, approximately 50%. However,



<span id="page-6-0"></span>**Table 8** The statistical results for MLR analysis

		Estimated error variance
	$CO_2$ flux $0.681$ $1408.081$ $0.000$ $2.197$	

the  $CO<sub>2</sub>$  flux increased with increasing manure norm. CO<sub>2</sub> flux determined as 2.754 and 3.975 µmol g cm<sup>-3</sup> for 2 and 4 t ha<sup>-1</sup> manure norm, respectively. When examined effects of soil type on the  $CO<sub>2</sub>$  flux, maximum  $CO<sub>2</sub>$ fux values were observed at the normal-type soil with 3.758 µmol g  $cm^{-3}$  and minimum values determined at the saline soil conditions with 2.971 µmol g cm<sup>-3</sup>.

# **The results of multiple linear regression (MLR) modeling**

In the research frstly, multiple linear regression models were used to estimate the  $CO<sub>2</sub>$  flux. For this purpose, soil temperature (St), soil moisture content (Sm), soil type (St), fertilizer norm (fn), soil depth (sd) and photosynthetically active radiation (PAR) were used as input parameters for prediction of  $CO<sub>2</sub>$  flux. Table [8](#page-6-0) illustrates the statistical results of the MLR. Examining Table  $8$ , it can be seen that  $R^2$  and P values are 0.681 and 0.000, respectively. The equation of the MLR model and predicted—observed values are given in Eq. [5](#page-6-1) and Fig. [6,](#page-6-2) respectively.

$$
CO2 flux = -8.60 - 1.40x1 + 1.1x2 + 0.24x3 + 0.03x4 + 0.22x59.045x6
$$
 (5)

In this equation,  $x_1$ : St,  $x_2$ : Sm,  $x_3$ : Sty,  $x_4$ : Fn,  $x_5$ : Sd,  $x_6$ : PAR.

<span id="page-6-3"></span>**Table 9** The eigenvalues of principal components analyses

	$PC_1(\%)$ $PC_2(\%)$ $PC_3(\%)$ $PC_4(\%)$ $PC_5(\%)$ $PC_6(\%)$				
82.39	15.77	1.37	0.29	0.17	0.001
98.17					

<span id="page-6-4"></span>**Table 10** The statistical results of PCs+MLR



### **The results of the principal component analysis (PCA) modeling**

The principal component analysis (PCA) results showed that the first two principal components,  $PC_1$  and  $PC_2$ , explained, respectively, 82.39 and 15.78% of the variance for all areas and jointly was responsible for more than 98.17% of the variance (Table [9\)](#page-6-3). A similar result was found in a study by Panosso et al.  $(2011)$  $(2011)$  on CO<sub>2</sub> fluxes, where the PCs together explained 70% of the variability of soil attributes (physical and chemical), with  $PC_1$  explaining 52% and PC<sub>2</sub>, 18%.

# <span id="page-6-1"></span>**The result of multiple linear regression with principal component analyses (PCs+MLR) hybrid modeling**

In this method, the PCs (PC<sub>1</sub> and PC<sub>2</sub>) were used as input parameters to predict  $CO<sub>2</sub>$  flux. The results of the statistical analyses and the equation of this model are given in



<span id="page-6-2"></span>**Fig. 6** Observed and predicted CO<sub>2</sub> flux in the multiple linear regression model



<span id="page-7-1"></span>



Table [10](#page-6-4) and Eq. [6,](#page-7-0) respectively. In this equation,  $x_1$  and  $x_2$ were expressed  $PC_1$  and  $PC_2$ , respectively. Also, observed and predicted values can be seen in Fig. [7.](#page-7-1)

$$
CO2 flux = -4.8 + 0.21x1 + 0.05x2
$$
 (6)

The  $R^2$  value was calculated as 0.432. This value is smaller than the  $R^2$  of the MLR model. The MLR model used six input parameters such as soil temperature, soil moisture content, soil type, fertilizer norm, soil depth and photosynthetically active radiation for prediction of the  $CO<sub>2</sub>$  flux. However, this method used only two inputs parameters such as  $PC_1$  and  $PC_2$ . According to this result, it can be said that better modeling will be done as the number of input parameters increase in the modeling of  $CO<sub>2</sub>$  emission.

# **The results of the artifcial neural network (ANN) modeling**

In the ANN, it was used 36 diferent neural structures with diferent learning—transfer functions with diferent neuron numbers. The statistical results of these structures are given in Table [11.](#page-8-0) Among these structures, the best results were obtained from the ANN 18 structure. This network model used Levenberg–Marquardt (Trainlm) learning function and Tansig–Pureline transfer function with 30 neurons.

In the ANN 18 structure, it can be seen that the highest  $R^2$  and the lowest MAE values were calculated as 0.983 and 0.024, respectively. Also, the *R* values of test and validation were more than 0.99 (Fig. [8](#page-9-0)). Figure [9](#page-9-1)

illustrates the observed and predicted values of the ANN18 structure.

# <span id="page-7-0"></span>**The results of the artifcial neural network with principal components analysis (PCs and ANN) hybrid modeling**

In this model, PCs were used as input parameters, and the 36 diferent ANN structures were examined for the  $CO<sub>2</sub>$  flux. Table [12](#page-10-0) illustrates the statistical results of the PCs and the ANN model. The best-predicted results were obtained from the ANN16 structure. In this structure, the  $R^2$  and MAE values were determined as  $0.756$ and 0.051, respectively. As can be seen in Table [12,](#page-10-0) the Levenberg–Marquardt (Trainlm) learning function and Logsig—Tansig transfer function with 30 neurons were used in the ANN 16 structure. Also, the R values training and validation were calculated as 0.86 and 0.87, respectively (Fig. [10\)](#page-11-0).

The  $R^2$  value of the PCs and ANN model was smaller than the ANN model. This result can be thought to be caused by the difference in input parameters. The PCA model has two input values such as  $PC_1$  and  $PC_2$ , while the 6 input values (soil temperature, soil moisture content, soil type, fertilizer norm, soil depth and photosynthetically active radiation) in the ANN model have affected the model performance. Similar results were observed at the MLR and MLR with PCA models. Predicted and observed values of the  $CO<sub>2</sub>$  flux for Pcs and ANN model are given in Fig. [11](#page-11-1).



<span id="page-8-0"></span>**Table 11** The statistical results of the ANN model



# **Conclusion**

Among the methods conducted to model  $CO<sub>2</sub>$  flux, the ANN gave the best results. It is a good idea to visualize the data in the 2D plot using PCA to decrease 6 parameters to two principal components. However, PCA + MLR and PCA + ANN combinations resulted worse than MLR and ANN methods when they were utilized singularly. When PCs were constructed over 98% of the variance, it would be expected that MLR and ANN results should be close to PCA + MLR and PCA + ANN results, respectively. Regarding only  $R^2$  values, MLR (0.681) differs from  $PCA + MLR (0.432)$  and ANN  $(0.983)$  from  $PCA + ANN$ (0.756). This much difference may be caused by our parameters being nonlinear. Further research may work on simultaneous-, progressive-, successive-, prioritized- (Liu



<span id="page-9-0"></span>**Fig. 8** Performance evaluation of the ANN18 structure for ANN model

<span id="page-9-1"></span>





<span id="page-10-0"></span>**Table 12** The statistical results of the PCs and ANN model



and Chang [2007](#page-12-31)), independent-, sparse-, sparse independent- (Lee et al. [2016\)](#page-12-32), parallel-, kernel- (Jiang and Yan [2018\)](#page-12-33), local- or constrained (Aversano et al. [2019](#page-12-34)) PCA. ANN presented better models than MLR. Thus,  $CO<sub>2</sub>$  flux seems nonlinearly dependent on input parameters (manure norm, soil type, soil temperature, soil moisture content, soil depth, photosynthetically active radiation and maybe more). Nonlinear regression methods should be used instead of linear models.





<span id="page-11-0"></span>**Fig. 10** Performance evaluation of the ANN16 structure for PCs and ANN model

<span id="page-11-1"></span>



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#### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no confict of interest.

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