#### **NUTRITION AND THE BRAIN (J NASSER, SECTION EDITOR)**



# **Diet, Gut Microbiome, and Cognitive Decline**

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## **Abstract**

**Purpose of Review** An epidemic of age-associated cognitive decline, most commonly ascribed to neurodegenerative conditions such as Alzheimer's and Parkinson's disease, is causing healthcare costs to soar and devastating caregivers. An estimated 6.5 million Americans are living today with Alzheimer's disease, with 13.8 million cases projected by mid-century. Although genetic mutations are known to cause neurodegeneration, autosomal dominant disease is very rare and most sporadic cases can be attributed, at least in part, to modifable risk factors.

**Recent Findings** Diet is a potential modifable risk factor in cognitive decline. Food communicates with the brain through a complex signaling web involving multiple cells, mediators and receptors. Gut-brain communication is modulated by microorganisms including bacteria, archaea, viruses, and unicellular eukaryotes, which together constitute the microbiota. Microbes not only play major roles in the digestion and fermentation of the food, providing nutrients and bioactive metabolites, but also refect the type and amount of food consumed and food-borne toxic exposures. Food components modify the diversity and abundance of the microbial populations, maintain the integrity of the gut barrier, and regulate the passage of microbes and their metabolites into the blood stream where they modulate the immune system and communicate with body systems including the brain.

**Summary** This paper will focus on selected mechanisms through which interactions between diet and the gut microbiota can modify brain integrity and cognitive function with emphasis on the pathogenesis of the most common dementia, Alzheimer's disease.

**Keywords** Alzheimer's disease · Gut microbiome · Gut-brain-axis signaling · Dysbiosis · Copper · Ketones · Fructose · Hydrogen sulfide donors · Amyloid · Transsulfuration pathway · Folate · Vitamin B12 · Vitamin B6 · Homocysteine · Polyphenols · Flavonoids · Hormesis · NAD

## **Introduction**

# **Alzheimer's Disease Pathophysiology: a Model for Nutrient‑Associated Neurodegeneration**

Alzheimer's disease (AD), a serious public health challenge today [[1](#page-6-0)•], was frst described by Alois Alzheimer over a century ago [[2\]](#page-6-1). The disease was characterized by an accumulation of toxic protein aggregates in the brain as extracellular amyloid plaques  $(Aβ)$  and intracellular neurofibrillary

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 $\boxtimes$  Susan Ettinger ettingersusan64@gmail.com tangles (NFT) [\[3](#page-6-2)]. For over 30 years, AD pathogenesis has been attributed to the "amyloid hypothesis" postulating that amyloid peptides, specifcally beta amyloid 42 (Aβ42) and related peptides, accumulate in the brain due to an imbalance in amyloid production and clearance and that the degree of amyloid accumulation is the initiating factor in Alzheimer's disease [[4\]](#page-7-0). The hypothesis holds that amyloid peptides fold into structures that promote self-assembly of like molecules into neurotoxic Aβ plaques and that the accumulation of the Aβ plaques decreases the number of synapses and induces hyperphosphorylation of tau protein, destabilizing microtubules and leading to the formation of intracellular NFT. Aβ adopt ordered polymer structures with the capacity to seed into other regions of the brain in a manner virtually identi-cal to prions [\[5](#page-7-1)]. The authors suggest that  $A\beta$  formation, propagation, and seeding in the brain must be considered a fundamental characteristic of AD. As the involved neurons

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become dysfunctional and die, the patient develops stereospecifc signs and symptoms including loss of memory and cognitive decline.

The amyloid hypothesis has been supported by the fnding that three gene mutations in the amyloid processing cascade are necessary and sufficient to cause toxic  $A\beta$  aggregates in autosomal dominant AD [[3](#page-6-2), [6\]](#page-7-2). Despite the fact that autosomal dominant AD occurs in less than  $1\%$  of cases, A $\beta$ and NFT have been the primary targets of therapy for many years, unfortunately with limited clinical efficacy. Recently, persuasive arguments have been presented that while the presence of Aβ aggregates and NFT in the brain may be predictive of cognitive decline, they are not necessarily initiating factors in AD pathogenesis. Emerging research on the physiologic functions of amyloid suggests that upstream events injure brain cells and/or modify the processing of amyloid proteins, resulting in accumulation of toxic amyloid aggregates and extracellular plaques that can potentiate hyperphosphorylated tau tangles and neuronal death [\[7•](#page-7-3)•, [8](#page-7-4)].

# **Amyloid Sources, Functions, Processing, and Clearance**

Amyloid peptides are produced in the brain from a larger amyloid precursor protein (APP). APP participates in the formation and plasticity of synapses within the CNS as well as in neuromuscular synapses [\[9](#page-7-5)]. Following production, APP binds copper in a growth factor like domain; copper binding to APP is essential to induce *trans*-directed dimerization of purifed APP. At the cell surface, APP bound to copper potently promotes synaptogenesis [[10](#page-7-6)]. Membrane bound APP is cleaved sequentially by alpha- and gamma secretase enzymes into soluble amyloid fragments that do not aggregate and are cleared via the ubiquitin–proteasome degradation pathway and recycled to amino acids by autophagy-lysosomal pathways. Small amyloid peptides are also transported across the astroglial blood–brain barrier (BBB) into the cerebrospinal fuid for degradation by circulatory and lymphatic systems [\[11](#page-7-7)].

Alternatively, APP can be cleaved to Aβ fragments by β-site amyloid precursor protein-cleaving enzyme 1 (BACE1; β-secretase) expressed by neurons, brain endothelial cells, and astrocytes. Normally, BACE1 plays an important role in retinal homeostasis and mitochondrial function. Inhibition of BACE1 reduced mitochondrial membrane potential, augmented mitochondrial fragmentation, and increased cleaved caspase-3 expression resulting in apoptosis of cells under oxidative stress [[12\]](#page-7-8). Other physiological functions of  $Aβ$ fragments include antimicrobial activity, tumor suppression, maintenance of blood–brain barrier (BBB) integrity, and synaptic functions [\[13\]](#page-7-9). BACE1 is upregulated when astrocytes and microglial cells are activated by infammatory mediators and bacterial metabolites such as lipopolysaccharide [\[14](#page-7-10)].

Aβ fragments are also cleared by autophagy and ubiquitin–proteasome activity [\[15\]](#page-7-11) and cross the BBB to be cleared by capillary beds in the periphery [\[16](#page-7-12)]. The rate of  $\text{A}$ β clearance by ubiquitin-dependent and independent proteasome activity is compromised in AD. Toxic Aβ fragments adopt a 3 dimensional structure that binds the proteasome, blocking its ability to degrade the toxic aggregates [\[17](#page-7-13)]. The misfolded proteins remain in the cell to dimerize, aggregate, and accumulate in the cores of neuritic plaques and in the walls of blood vessels resulting in amyloid angiopathy [\[18](#page-7-14)].

# **Gut‑Brain Axis Signaling, Barrier Function, and Metabolite Production**

The gut-brain axis includes bidirectional neurohormonal communication through the hypothalamic-pituitary axis and the immune system, as well as the enteric nervous system that conveys signals from gastrointestinal neuropod cells and specifc receptors in the gut through neural tracts to the brain [[19\]](#page-7-15) Non-neuronal signaling from the gut depends in part on the gut barrier that regulates passage of luminal contents through the intestinal epithelial cell (IEC) monolayer into the circulation. Barrier integrity is maintained by interactions among at least four redundant systems. The IEC monolayer is joined by tight junctions between adjacent cells; tight junction (TJ) proteins such as zonulin-1 span the intracellular space and link to cytoskeletal components, strengthening the monolayer. TJ proteins restrict transport across the IEC monolayer to molecules that bind transporter proteins on the apical surface and shuttle selected molecules into the cell by a transcellular route. A second component of the gut barrier is the thick blanket of viscous mucus that overlies the monolayer and separates the IECs from luminal bacteria [[20](#page-7-16)]. The mucus layer is maintained by goblet cells in the IEC monolayer and is stratifed into two distinct layers. An inner layer, adherent to the IECs, is largely devoid of bacteria. The outer, porous layer, provides nutrients and binding sites for benefcial (commensal) microbes [[21\]](#page-7-17). Innate immune cells (dendritic cells) project across the IEC monolayer, sample luminal microbial content, and generate tolerance signals that prevent the innate immune system from responding to commensal bacteria or food antigens [\[22](#page-7-18), [23](#page-7-19)].

Receptors on the IECs constitute a third component of the gut barrier. IECs sense microbial metabolites in the gut lumen using pattern recognition receptors (PRR) that detect microbial ligands and secrete a variety of antimicrobial mediators [[24](#page-7-20)]. Prominent among the PRR is the family of Toll-like receptors (TLR) expressed on the IEC apical surface. TLR recognize microbe-derived ligands such as proinfammatory lipopolysaccharide (LPS). In the healthy gut, the inner mucus layer shields IEC bound TLR from luminal microbes, thereby preventing TLR binding and activation. This protection is subverted by microbial pathogens that utilize several alternative strategies to invade the IEC cytoplasm; tactics include bacterial secretion systems, bioflm formation, and pore-forming toxins that insert their ligands into the IEC cytosol. Pathogen invasion upregulates an infammatory cascade that culminates in the formation of large intracellular multiprotein complexes (infammasomes) that activate pro-inflammatory interleukins  $1β$  (IL-1β) and 18 (IL-18), stimulate host infammatory cascades including nuclear factor-kappa beta (NF-κB), and recruit neutrophils and other immune cells to the area. In response to pathogens, host defenses are activated. Antimicrobial peptide secretion by the IEC monolayer is stimulated and mucus secretion enhanced [[25,](#page-7-21) [26\]](#page-7-22). Innate and adaptive arms of the immune system constitute a fourth line of barrier defense. In response to threat, a robust cellular immune response includes cytotoxic, regulatory, and memory T-cell activation and B-cell diferentiation into plasma cells that secrete antibodies to detected antigens [\[27](#page-7-23)]. The immune system transmits signals to the brain through T-lymphocytes and cytokine mediators.

Commensal bacteria provide a variety of benefcial products for their host. They produce neurotransmitters such as dopamine, norepinephrine, serotonin, acetylcholine, gamma amino butyric acid (GABA), and histamine [\[28](#page-7-24)] that reach the brain through circulatory and neural tracts and modulate brain cell physiology [[29\]](#page-7-25). Saccharolytic bacteria (e.g., *Bifdobacteria*) ferment dietary fber and produce short chain fatty acids (SCFA) and other substrates that provide energy and essential nutrients to the diverse microbe populations [\[30](#page-7-26)], the IECs, and to the host. Microbial metabolism converts dietary chemicals such as polyphenols into metabolites that can activate the transcription factor, nuclear factor erythroid 2-related factor 2 (Nrf2). Nrf2 binds to the DNA and stimulates expression of multiple cytoprotective enzymes [\[19](#page-7-15)]. Dietary sulfur donors (garlic, broccoli) are metabolized to the gasotransmitter, hydrogen sulfide  $(H<sub>2</sub>S)$  that enhances barrier function and reduces infammation [\[31•](#page-7-27)]. Many microbial metabolites can cross the BBB and regulate gene expression in the brain, for example, by inhibiting histone deacetylase [\[32](#page-7-28)]. A healthy microbial population can also potentially provide 37% of the daily requirement of folate, together with substantial amounts of B vitamins such as biotin, cobalamin, niacin, pantothenate, pyridoxine, ribofavin, and thiamin [\[33](#page-7-29)].

Gut microbes produce amyloid that polymerizes into large, complex bioflms that contribute to mucus attachment and protect microbes against host defenses. In response to infection, commensal gut microbes secrete soluble amyloid A that binds specific retinoids with high affinity in a 4:1 ratio and acts as a systemic transport vehicle. Retinoids taken up by the microglia reduce brain infammation [[34\]](#page-7-30). Pathogens such as *Escherichia coli* produce a microbial amyloid (curli) that difers from brain amyloid in primary structure, but is similar in tertiary structure. In vitro and in vivo evidence suggest that this molecular mimicry can elicit cross-seeding in host amyloid, stimulating brain proteins to adopt a patho-genic amyloid β structure [\[35](#page-7-31)], and possibly compromise  $\mathbf{A}\mathbf{β}$ clearance by inhibiting proteasome activity [[17](#page-7-13)].

## **Dysbiosis: Cause or Effect of AD?**

Gut dysbiosis is commonly observed in AD patients. A cross-sectional study revealed higher odds of AD pathology with lower abundance of microbial SCFA producers in the gut [\[36\]](#page-7-32). There are many mechanisms through which a dysbiotic gut could elicit AD pathology. Microbes and their products can translocate through a compromised gut barrier and bind to tissue TLR, stimulating systemic infammatory mediators that transmit their signals to the brain. Alternatively, TLR on microglia and supporting brain cells can be directly activated by LPS binding through the TLR4/MyD88 pathway with subsequent infammasome formation and de novo synthesis of efector molecules [[37](#page-7-33)]. LPS bound to TLR elicits infammation that compromises the BBB [\[38](#page-7-34)], allowing entry of noxious metabolites into the brain [[39](#page-7-35)]. While a causal relationship remains to be determined, strong evidence suggests that diet modulation to achieve a healthy gut microbiome may reduce AD risk and/or progression.

# **Targeted Diet Modification to Reduce Risk for Neurodegeneration**

#### **Modify Food Intake to Prevent Dysbiosis**

Experimental trials suggest that microbial populations adapt rapidly to diet [\[40\]](#page-7-36) and that probiotic administration can reduce AD damage in experimental animals [\[41\]](#page-7-37). Fermented foods such as yogurt, cheese, and vegetables are major sources of probiotics, defned as living members of microbial species that introduce microbes into the gut [[42\]](#page-7-38). Prebiotics are food components that supply substrate and augment growth of existing gut microbes [\[43](#page-7-39)]. Undigested oligosaccharides (fermentable fber) such as inulin increase the abundance of benefcial *Bifdobacteria* in the distal human gut [\[44](#page-7-40)]. Microbial adaptation studied by introducing human microbiota into a mouse model (gnotobiotic model) [\[45\]](#page-8-0) and in human subjects

[\[46](#page-8-1)] occurred within 1 day of undigested carbohydrate reaching the distal gut. These studies also revealed that meat-based diets fostered the growth of bile-tolerant microorganisms (e.g., *Bacteroides*) and decreased the levels of oligosaccharidemetabolizing organisms.

Dysbiosis has been reported following exposure to environmental pollutants including non-food dietary additives and agents used in food production [[47\]](#page-8-2). Antibiotic drugs, medically prescribed or prophylactically administered to animals, caused microbial dysbiosis in mice and humans [[48,](#page-8-3) [49](#page-8-4)]. Non-antibiotic drugs have also been implicated in dysbiosis [[50\]](#page-8-5). Industrial additives and antimicrobial baths used to extend shelf life of processed foods are increasingly implicated in gut dysbiosis and/or translocation of gut bacterial metabolites into the blood stream [\[51•](#page-8-6), [52\]](#page-8-7). Emulsifers such as polysorbate 80 and carboxymethylcellulose, commonly added during food processing, increased the population of mucolytic bacteria and thinned the protective mucosal gut barrier in mice [\[53](#page-8-8)]. Maltodextrin, a polysaccharide chemically derived from starch, increased the adhesiveness of *E. coli*, stressed goblet cells, and thinned the protective mucus layer, causing bacterial translocation and colonic infammation in mice [\[54](#page-8-9)]. A prospective cohort study that analyzed the 24-h diet recalls of 118,528 non-demented participants at baseline reported that participants who consumed ultraprocessed food had greater risk for dementia than those who consumed minimally processed foods [\[55](#page-8-10)].

Aggressive food processing methods such as milling, extruding, and extraction modify the chemical structure of whole food and reduce the fber content. These changes have been implicated in compromised gut barrier function [[56\]](#page-8-11). A fber-poor diet reduced the saccharolytic bacterial population and promoted the growth of mucus-degrading bacteria in a gnotobiotic mouse model, increasing bacterial translocation across the gut barrier [[57\]](#page-8-12). Non-nutritive sweeteners (NNS) also potentiate dysbiosis. Human microbiota exposed to selected NNS in an in vitro simulation system reduced the fermentative response and microbial diversity [\[58\]](#page-8-13) and have been implicated in development glucose intolerance [[59](#page-8-14)] and antibiotic resistance in gut microbes [\[60\]](#page-8-15).

These data suggest that a diet composed of minimally processed, fber-rich foods will enhance the abundance and diversity of commensal microbes, stimulate mucus protection, and strengthen the IEC barrier to prevent translocation of pathogens and their metabolites into systemic circulation. Since gut dysbiosis and infammatory mediators can increase blood–brain barrier permeability to neurotoxins, diet modifcation has potential to prevent infammatory processes in the brain known to increase risk for AD and cognitive decline [\[61\]](#page-8-16). Agricultural antibiotics represent  $\sim 80\%$  of US antibiotic usage [\[62](#page-8-17)]. These drugs have potential to leave residue in animal products and fesh; thus, animal products should be sourced, as much as possible from facilities that practice sustainable agriculture and do not rely on prophylactic antibiotics and high-grain diets to rapidly bring their animals to market weight.

## **Optimize Consumption of Foods That Induce Neurohormesis**

Paracelsus (1493–1541) wrote that "all substances are poison … the right dose diferentiates a poison from a remedy." The concept of hormesis states that chronic sublethal stress can protect against larger, more lethal stresses. A xenohormesis hypothesis has been proposed to describe the ability of animals (heterotrophs) to use chemical cues from other species (fungi, plants) to mount a preemptive defense that improves its survival by upregulating detoxifying mechanisms and adaptive signaling pathways [\[63](#page-8-18)]. Neurohormesis describes memory, learning, and performance as well as adaptive detoxifying and signaling systems altered by chemical cues from other species [[64•](#page-8-19)].

Hormetic phytochemicals (HP) act in a biphasic dose– response manner; in low amounts they exert a positive/ beneficial effect, while at higher doses, they become progressively more toxic [[65](#page-8-20)]. Low doses of HP counter neurodegeneration due to oxidative stress by upregulating antioxidant enzymes such as *superoxide dismutase* (SOD), *glutathione peroxidase*, *heme oxygenase,* and redox enzymes including *NAD(P)H quinone oxidoreductase 1* [\[66\]](#page-8-21). Adaptive stress responses such as the cytoprotective Nrf2 transcription factor, hypoxia inducible factor 1 (HIF 1), and peroxisome proliferator-activated receptor (PPAR) activities are upregulated while the pro-infammatory cascade, NFκβ, is moderated. In addition, HP impact signaling pathways that regulate outgrowth of axons and dendrites, synapse formation and maintenance, and cell survival. Cytoprotective pathways include neurotrophic factors such as brain-derived neurotrophic factor (BDNF), silent information regulator deacetylases (sirtuins; SIRT), and AMP-activated protein kinase (AMPK), a master regulator of cellular energy homeostasis [[66\]](#page-8-21).

Low-dose chemicals such as polyphenols, terpenoids, favonoids, and sulfur-rich compounds in brassica and allium vegetables generate ROS that act as cues to upregulate multiple survival systems. This explains the difficulty in translating in vitro experimental results using antioxidants in the clinical setting [\[67\]](#page-8-22). Excess use of antioxidant drugs can minimize the hormetic impact of these cues. Additionally, many HP chemicals (curcumin, catechin, resveratrol) are poorly absorbed intact, but microbial-derived metabolites not only can have dramatically diferent actions, but many cross the BBB to exert benefcial efects on neuronal systems as reviewed [\[19](#page-7-15)]. Thus, in contrast to the large single doses required to detect activity in experimental trials, chronic consumption of small doses of HP chemicals can have a synergistic effect to maximize defense mechanisms [\[68](#page-8-23)].

## **Optimize Mitochondrial Biogenesis and Energy Utilization**

The brain utilizes approximately 20% of the mammalian oxygen requirement [[69](#page-8-24)]; glucose is the primary substrate of mitochondrial oxidation. Decreased energy production in the AD brain has been attributed to insulin resistance and impaired insulin signaling resulting in failure to transport glucose across the BBB. However, glucose transport may not be the primary defect in AD, since intracerebral glucose, sorbitol, and fructose levels were 3–fvefold higher in AD patients than in normal subjects [[70\]](#page-8-25). The authors proposed that glucose entering the brain is not efectively oxidized due to mitochondrial dysfunction. Excess intracellular glucose is shunted through the polyol pathway, reduced to sorbitol, and subsequently oxidized to fructose. Fructose is metabolized to uric acid by *fructokinase-C* (KHK-C), an ATP-requiring enzyme. KHK-C has been located in the brain and is upregulated by dietary and endogenous fructose, high-salt intake, and intermittent glycemia. Central fructose metabolism is implicated in mitochondrial dysfunction, neuroinfammation, impaired glycolysis, reduced ATP, and cerebral insulin resistance [[71\]](#page-8-26).

Other causes of mitochondrial dysfunction include downregulation or reduced activity of key mitochondrial enzymes and decreased mitochondrial biogenesis [[72](#page-8-27)]. The gut microbiota provides cofactors and amino acids essential for mitochondrial maintenance [\[33](#page-7-29)]. For example, diet and gutderived thiamin is phosphorylated (TPP) and functions as a cofactor for the mitochondrial enzyme complexes, *pyruvate dehydrogenase* and *alpha-ketoglutarate dehydrogenase*, that facilitate glucose oxidation in the mitochondria. TPP defciency compromises mitochondrial oxidation of glucose, reduces energy production, increases endoplasmic reticulum (ER) stress, and leads to neurodegeneration [[73\]](#page-8-28). Thiamin deficiency is widespread among elderly and diabetic populations but often under-reported [\[74](#page-8-29)].

Ketone bodies (acetate, beta-hydroxybutyrate, and acetoacetate) are short-chain organic acids formed in the liver by beta oxidation of fatty acids during periods of fasting or consumption of a high-fat, low-carbohydrate (ketogenic) diet; under these conditions, low insulin secretion permits lipolysis of fat stores as substrate for ketone production. Ketones are also produced directly from dietary medium chain (C6:0–C12:0) fatty acids found in coconut oil and fermented dairy fat [\[75](#page-8-30)]. Ketones easily cross the BBB through proton-linked organic acid-based transporters [[76\]](#page-8-31), are converted in the mitochondria to acetyl CoA, and provide an alternative substrate for ATP synthesis. Ketone metabolism has also been reported to increase oxidative stress (ROS) and signal survival mechanisms, as described for neurohormesis effects above. Survival mechanisms include activation of the cytoprotective Nrf2 transcription factor, increased sirtuin activation, and increased AMPK. AMPK activation inhibits anabolic activity by inhibiting its regulator, mammalian target of rapamycin (mTOR), a major growth regulator. This action activates autophagy and protects protein reserves. Ketone metabolism decreases the action of the pro-infammatory NFκβ cascade and subsequent infammasome activity. Mitochondrial biogenesis, DNA repair, and antioxidant systems including glutathione biosynthesis are also increased [[77•](#page-8-32)] by ketone metabolism.

## **Minimize Copper Dyshomeostasis and Maximize Sulfur Availability**

Copper (Cu) transport is a double-edged sword in AD pathogenesis. The "metal hypothesis" holds that copper metabolism is perturbed in AD and that extracellular copper bound to Aβ stimulates oxidative stress and is causal in neurodegeneration [[78](#page-8-33)]. However, it has also been observed that while neurotoxic amyloid plaques are studded with copper, adjacent brain cells appear severely copper depleted [\[79](#page-8-34)], raising the possibility that the pathogenesis of AD may also be linked to inadequate intracellular Cu content [\[80\]](#page-8-35). The potential for diet modulations to optimize copper homeostasis is suggested by observations that AD patients have elevated homocysteine levels with reduced vitamins B12 and B6 and folate levels [[81,](#page-8-36) [82](#page-8-37)]. Impaired transport through the transsulfuration pathway and reduced neuronal glutathione (GSH) are also considered risk factors for AD [[83](#page-8-38)].

Copper accepts and donates single electrons as it transitions between its two redox states,  $Cu<sup>+</sup>$  and  $Cu<sup>2+</sup>$ . Extracellular oxidized  $Cu^{2+}$  bound to a tripeptide (gly-his-lys) and complexed with glutathione (GSH) is purported to provide reduced copper  $(Cu^+)$  to the human copper transporter (hCTR1) for entry into the cell  $[84]$  $[84]$ . Once in the cell,  $Cu<sup>+</sup>$  is bound to an array of chaperones, storage and transport proteins to maintain optimal copper for oxidative phosphorylation, antioxidant protection by *superoxide dismutase*, and other essential activities [\[85](#page-9-1)]. Thus, inadequate intracellular  $Cu<sup>+</sup>$  compromises mitochondrial function and increases oxidative stress.

GSH, consisting of three amino acids, glutamate, glycine, and cysteine, is an essential antioxidant, redox bufer, and neuromodulator. Cysteine is the limiting amino acid for GSH biosynthesis. Astrocytes take up oxidized cystine from the extracellular space via the cystine/glutamate exchanger (Xc−) and export GSH for uptake by other brain cells [\[86](#page-9-2)]. Cysteine is produced via the transsulfuration pathway (Fig. [1\)](#page-5-0) that irreversibly converts homocysteine (Hcy) to cysteine via the pyridoxal phosphate-dependent (B6-dependent) enzymes, *cystathionine β-synthase* (CBS) and *cystathionine γ-lyase* [\[87](#page-9-3)]. Oxidative stress inhibits CBS and increases Hcy accumulation [\[85](#page-9-1)].

Hcy can also be methylated to methionine (Met) in a pathway (Fig. [1](#page-5-0)) requiring vitamin B12 and 5-methyl tetrahydrofolate



<span id="page-5-0"></span>**Fig. 1** Methionine and transsulfuration pathways. Vitamins B12, B6, and methyl tetrahydrofolate are required for the homeostasis of homocysteine, methylation capacity, and the conversion of homocysteine to cysteine by the transsulfuration pathway. Cysteine is rate limiting for glutathione biosynthesis, as well as taurine and hydrogen sulfde availability. Together the methionine and transsulfuration pathways

(MTHF). Met is subsequently bound to adenosyl creating Sadenosylmethionine (SAM) a universal methyl donor. Demethylated SAM is recycled to Hcy, maintaining optimal tissue levels of Hcy and Met. Inadequate availability of folate and B12 in brain cells interrupts Hcy methylation [\[88](#page-9-4)] and results in its intracellular Hcy accumulation. Hcy exerts oxidative stress, oxidizes Cu<sup>+</sup>, and creates tightly bound, neurotoxic Cu-Hcy complexes [\[89](#page-9-5)] that exit the cell [\[90](#page-9-6)]. Extracellular oxidized copper inhibits hCTR1 activity and inhibits copper uptake, further depleting intracellular Cu+. A yeast model of extracellular Hcy crystal structure has demonstrated its ability to self-assembly into amyloid-like toxic fbrils and seed the aggregation of AD-associated Aβ polypeptides. While this model did not describe copper in the fbrils, the presence of copper bound to Aβ fibrils is well described [\[91•](#page-9-7)].

Methionine and transsulfuration pathways depend on B vitamin (B12, B6, and 5-methyl tetrahydrofolate) availability. These nutrients are produced by the gut microbiota [\[33\]](#page-7-29) and supplied by diet. Vitamin B12 deficiency is more common with aging and mechanistically linked to AD [[92\]](#page-9-8); subclinical deficiency was found in 10 to 15% of individuals older than 60 years and in 25 to 35% of individuals aged over 80 years. Since plants do not produce vitamin B12, it must be obtained by gut microbial action and from animal or fermented products.

Folate deficiency has also been linked to AD pathogenesis. Mechanisms include reduced SAM production with subsequent hypomethylation of the secretase genes, upregulating gene products that increase Aβ accumulation with toxic

maintain optimal sulfur-containing amino acids (methionine, cystine) for protein synthesis and folate cofactors for nucleotide biosynthesis. Reproduced from [[92](#page-9-8)], which is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license ([https://creativecommons.org/licenses/by/4.0/\)](https://creativecommons.org/licenses/by/4.0/). © 2022 by the authors. Licensee MDPI, Basel, Switzerland

downstream efects. SAM is also required for synthesis of phosphatidylcholine, a precursor for choline and production of acetylcholine, the neurotransmitter severely diminished in AD [\[93\]](#page-9-9). Methyl tetrahydrofolate (MTHF) is produced in green leafy vegetables, but also by microbial action. Stabile, non-reduced folic acid is used therapeutically, but humans have a very low and variable ability to reduce it to the MTHF cofactor [\[94](#page-9-10)]. MTHF enters the brain by binding to its receptor on the choroid plexus creating a cerebrospinal fluid (CSF) concentration 3–fourfold that in the serum. CSF folate concentrations were lower in late-onset AD patients [[95\]](#page-9-11) despite serum concentrations in the normal range, suggesting a transport defect. Alteration in choroid plexus morphology and function have been identifed in AD and linked to dysbiosis and gut permeability to LPS and infammatory mediators [[96\]](#page-9-12).

The transsulfuration pathway requires vitamin B6 as cofactor for the two enzymes that convert homocysteine to cysteine. In addition to its requirement for GSH biosynthesis, cysteine is also substrate for the gasotransmitter, hydrogen sulfide  $(H_2S)$ . H<sub>2</sub>S has several physiological and pathological actions in the brain. In addition to its function as an endothelial-derived relaxation factor,  $H_2S$  protects neurons from oxidative stress and glutamate-mediated excitotoxicity.  $H_2S$  has also been shown to elevate intracellular calcium concentration in neurons and glia, facilitate long-term potentiation, and optimize synaptic remodeling  $[97\bullet]$ . H<sub>2</sub>S and transsulfuration pathways are compromised in AD brains. Recently, chemical  $H<sub>2</sub>S$  donors were shown to prevent hyperphosphorylation of tau by sulfhydrating its kinase (glycogen synthase kinase 3β) and ameliorating motor and cognitive defects in a mouse model [[97•](#page-9-13)]. Dietary sulfur donors including brassica (cabbage) and allium (garlic) vegetables have been shown to act as sulfur donors in vitro and were metabolized to  $H_2S$  by gut microbes in experimental models. Two pathways have been proposed for the ability of donors generate  $H_2S$  in cells: they may react with cellular thiols such as GSH, or they may upregulate transsulfuration enzymes that produce  $H_2S$  [\[31•](#page-7-27)]. Whether  $H_2S$  produced by dietary sulfur donors impact brain function is unclear.

### **Make NAD Available**

Nicotine adenine dinucleotide (NAD) transports high energy electrons from the citric acid cycle to complex 1 of the electron transport chain. It is also essential for a wide variety of activities critical to cell metabolism, gene expression, DNA repair, redox reactions, immune defense, intracellular traffcking, aging, and cell death. In short, NAD is essential for life [[98•](#page-9-14)]. Abundant evidence from animal models and humans has demonstrated that declining NAD availability is a hallmark of senescence [[72,](#page-8-27) [99\]](#page-9-15). The age-associated fall in NAD has been attributed to its increased utilization by the NAD-dependent enzyme, *poly (ADP-ribose)polymerase 1*, activated in response to injury and DNA damage. Sirtuin activation in response to hormetic cues also requires NAD [\[99](#page-9-15)]. Decreased availability of NAD can compromise sirtuin activity, leading to mitochondrial dysfunction and, since sirtuins are deacetylases, hyperacetylated mitochondrial proteins [[72](#page-8-27)]. Depletion of NAD also causes translocation of the apoptosis inducing factor (AIF) from the mitochondrial membrane to the nucleus, resulting in cell death.

Niacin is found in both animal and plant sources; however, bioavailability may be limited for some plants. For example, the niacin defciency disease, pellagra, occurred in patients who consumed low-protein and high-maize diets; populations who consumed maize treated with alkali (nixtamalization) did not develop pellagra because the alkali released niacin from the maize. NAD can be synthesized de novo from amino acids including L-tryptophan, or by salvage of precursors including nicotinic acid (NA), nicotinamide mono-nucleotide (NMN), and derivatives such as nicotinamide riboside (NR); NAD precursors are collectively termed, vitamin B3. NAD is also essential for microbial life; however, dietary B3 precursors are absorbed in the proximal gut and do not reach the colonic microbes. To determine the source of NAD precursors for gut microbes, isotopic tracers were used to identify a cycle through which NMN in the host circulation entered the gut lumen and was used by microbes for NA biosynthesis [\[100•](#page-9-16)•]. Colonic microbes obtained approximately 50% of substrate for NA biosynthesis from fermentable fber. The NA produced

was used by gut microbes and by the host intestinal cells; NA was also absorbed and used by the host for NAD biosynthesis. These data suggest that NAD precursors obtained from the diet are complemented by NA synthesized by a healthy gut microbiome. This unique metabolic cycle between microbe and host ensures that both organisms maintain optimal NAD levels. Since a large fraction of substrate for microbial NA biosynthesis depends on host consumption of fermentable carbohydrate, it is likely that a diet high in ultra-processed foods devoid of dietary fber may compromise NAD homeostasis.

# **Conclusion**

Age-associated cognitive decline, especially due to Alzheimer's disease (AD), is reaching epidemic proportions. Despite abundant research into the pathogenesis and treatment of AD, for many patients, cognitive decline is inexorable. This review explores mechanisms through which diet modifcation can modulate risk factors for AD. Strategies include optimizing gut microbiome health, reducing oxidative stress and infammation, and supporting mitochondrial abundance and function. A healthy and diverse microbiota not only promotes innate immune protection by strengthening the gut barrier, but it also provides nutrient cofactors and metabolites that stimulate adaptive detoxifying and signaling systems that promote survival of cells, tissues, and host.

## **Declarations**

**Human and Animal Rights and Informed Consent** Studies cited in this article were in compliance with human informed consent and animal rights requirements.

**Conflict of Interest** The authors declare they have no confict of interest.

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