

# Population Diversity, Affinities and Genetic Epidemiology: A Commentary

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## **Abstract**

Representation of populations of diverse ancestral histories is essential in genetic epidemiological research, failing which our understanding of the architectures of diseases will remain incomplete. Wide applications of inferences from studies with limited representation of population diversity can exacerbate health disparities. In this commentary, we identify the key reasons why inferences from studies without adequate representation of diverse populations can result in restricted applicability of inferences and the statistical challenges that need to be overcome for drawing more robust inferences. We also note that C.R. Rao, and his early mentor P.C. Mahalanobis, were pioneers to quantify population diversity and study population affinities.

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# **1 Evolutionary Perspectives and Human Disease**

Genetic epidemiology aims to unravel the architectures of diseases, explicitly taking genetic factors into account. For a disease, the goals are to (a) identify the factors that cause or associate with the disease, (b) estimate the impacts of the factors – singly and collectively, taking interactions among the factors into account – on the susceptibility to the disease, and (c) use the knowledge to estimate the disease-risk at the levels of the individual, the family and the population. The history of our species has infuenced the architectures of our diseases. The infuence of our evolutionary past has to be taken into account to understand diseases that afflict us. Fundamentally, our evolutionary history is recorded in our genomes. This evolutionary continuity justifes the use of model organisms, such as the mouse, in human disease research. However, it must also be emphasized that biological evolution is intimately linked to temporal changes in environment, that have resulted in many

diferences in function of an organ or a pathway or a gene among organisms in spite of homology. This may be one reason why fndings from animal models often do not translate to humans (Bart van der Worp et al., [2010](#page-11-0)) or successful clinical trials of drugs in animals often fail in humans (Mak et al., [2014\)](#page-13-0). Further, many genomic changes have taken place that are human-specifc; genes of the human immune system have an abundance of such changes (Quintana-Murci, [2019\)](#page-13-1).

In the study of human disease, the set of environmental factors to be considered as possible contributors to the precipitation of a disease is nebulous. This uncertainty becomes a bottleneck to dissecting the architecture of a disease. In this commentary, we do not discuss the problems that can arise from diferences in environmental exposures of individuals or populations. On the other hand, the genome is well-defned and the set of genomic factors that can possibly modulate susceptibility to a disease are those that are variable across individuals. Genomic variants show high inter-population diferences in frequency. This variation is caused by natural selection; individuals in some populations may be exposed to an environment in which possession of the variant by an individual enhances their chance of survival. In such populations the frequency of the variant will rapidly increase compared to populations that do not experience such environmental exposure. There is also a stochastic way by which such inter-population variation arises. This is called random genetic drift. The sizes of most human populations are fnite and over short periods of time the sizes remain stable. In a population comprising a fnite number of diploid individuals, haploid gametes are randomly sampled from the infnite male pool of gametes (sperms) and another infnite female pool of gametes (eggs) to create a new generation of diploid individuals. This process of sampling introduces stochasticity in the relative frequencies of diferent variants from one generation to another. Such stochasticity results in variation in frequencies of gene variants over time between populations, even if the frequencies are equal in both populations to begin with. Similar sampling efects are also encountered when a new population group is formed from a preexisting group. If a small number of individuals carrying a restricted number of variants move away from one population to found another population, then the frequencies of only those variants carried by the founding members will alter over time in the newly-founded population, while the other variants in the ancestral population will be absent in the new population. These same phenomena also impact on associations between gene variants in stretches of the genome. In a newly-founded population, genomic positions (loci) that show high levels of associations among gene variants stretch over a large region of the genome. This association is called linkage disequilibrium (LD) and the stretch within which loci show high levels of LD is called a LD-block. The size of a LD block in a population diminishes over time because of physical exchange of genetic material between chromosomes

(genetic recombination) in each generation. Since contemporary human populations have evolved for variable lengths of time and have encountered variable pressures of selection, there are large diferences both in frequencies of variants (The International Genome Sample Resource, [https://www.internationalgenome.](https://www.internationalgenome.org/) [org/](https://www.internationalgenome.org/); archived dbSNP data are available from [ftp://ftp.ncbi.nih.gov/snp/organ](ftp://ftp.ncbi.nih.gov/snp/organisms/human_9606/genotype/) [isms/human\\_9606/genotype/\)](ftp://ftp.ncbi.nih.gov/snp/organisms/human_9606/genotype/) and in the sizes of LD blocks among contemporary populations (The International HapMap Consortium, [2007](#page-14-0); archived data available from [ftp://ftp.ncbi.nlm.nih.gov/hapmap/\)](ftp://ftp.ncbi.nlm.nih.gov/hapmap/).

The impacts of evolutionary forces, population ancestries and admixtures among human populations impact inferences of genetic epidemiological research undertaken in multiple populations. Assessment of genomic diversities, genomic afnities and haplotype structures of populations are important, especially in respect of generalizability of inferences. These issues are discussed below in some detail.

# **2 C.R. Rao: A Pioneer in Understanding Population Afnities Using Physical and Biological Markers**

C.R. Rao, together with P.C. Mahalanobis, laid the foundations of studies on population diversity and afnities in India. India has a vast array of populations with diverse ancestries and lifestyles. These populations have remained largely, but not completely, unadmixed for many thousands of years. They also follow some sets of social beliefs and practices, and have distinct types of social organization that have evolved over time. (Thapar, [1978\)](#page-14-1). Contemporary populations of India comprise about 400 tribal populations with simple social organization, about 4000 caste populations with hierarchical social organization and about 150 populations who do not belong to these two main rungs of Indian society (Singh, [1993](#page-14-2)). While viewing the inter-population socio-cultural and physical diversities, one eminent Indian anthropologist (D.N. Majumdar) wondered whether population groups irrespective of the social rung to which they belong would be physically and biologically more similar if they lived in geographical proximity (perhaps because of environmental similarity and higher possibility of marital exchange across populations) than if the same population was divided into two subgroups separated by a large geographical distance. P.C. Mahalanobis, a founder of statistical science and the Indian Statistical Institute, and C.R. Rao, a student of Mahalanobis and later a colleague in the Indian Statistical Institute, got interested in these questions and undertook two landmark studies in northern India (United Provinces) and eastern India (Bengal) during the 1940s and 1950s. In each of these carefully-designed studies (Mahalanobis et al., [1949;](#page-13-2) Majumdar and Rao, [1958](#page-13-3)), a large number of individuals were sampled from about two dozen populations. A large set of body measurements were taken on each sampled individual.

These data were statistically analyzed to answer the anthropological questions. During the analyses, a number of novel statistical methods were devised by Mahalanobis and Rao. The studies did not produce unequivocal answers to the anthropological questions that they set out to answer. C.R. Rao recognized, towards the end of the Bengal anthropometric survey, that body dimensions were possibly highly infuenced by environmental factors. He stated that attempts to understand biological afnities should rely on such attributes that are not infuenced by environmental factors, such as blood groups. This was an incisive understanding. In the Bengal anthropometric survey, the ABO blood group type was determined on a limited number of individuals. C.R. Rao analyzed these data, but still the answers to the original anthropological questions remained equivocal. He stated that data on one blood group was insufficient; more biological markers were necessary. The Indian Statistical Institute in later years undertook many population surveys to estimate genetic diversity and affinities among population groups resident in diferent regions of India (Majumder and Mukherjee, [1993\)](#page-13-4). The inferences from the data generated in these surveys have not completely consistent across geographical regions in India in respect of genetic afnities among population groups at diferent levels of cultural hierarchy (caste, tribe, etc.).

C.R. Rao revisited these problems of understanding genetic diversity and afnities during the late 1970s and early 1980s. He actually devised statistical methodologies for dissecting genetic diversity by a set of qualitative factors. He called the general theory as Analysis of Diversity (ANODIV). In particular, he considered the problem of apportioning of the total genetic diversity in a set of populations to within and between populations and devised a method that he termed as APDIV, apportionment of diversity. He published a series of papers and an expository paper that was published in Sankhya (Rao, [1982,](#page-13-5) and references therein).

Even though understanding the genomic and environmental underpinnings of human diseases was not the explicit reason for conducting the surveys undertaken by Mahalanobis, Majumder and Rao, these population surveys sought to answer questions that are precisely those necessary for the design of genetic epidemiological studies on diseases and also for generalizing the inferences of a genetic epidemiological study across many populations. Thus, conceptually these studies were forerunners of genetic epidemiological studies not just in India but also globally.

# **3 Representing Human Diversity in Genetic Epidemiological Research is Essential**

The two major imperatives of genetic epidemiology are (i) to robustly excavate the architecture of a complex disease, and (ii) to use the results of an excavation to estimate risks of the disease in individuals belonging to various populations with a high degree of precision. A complex disease is infuenced by variants

in multiple genes. The impacts of these gene variants are usually diferent in individuals drawn from the same population. The impact of the same variant may also difer among individuals drawn from diferent populations. The extent of diference in impact depends on the ancestral histories of the populations, diferences in environmental conditions prevailing in the populations and diferences in the nature and extent of exposures among individuals in these populations. Thus, both excavation of genomic and environmental underpinnings and estimation of applicable risks are difficult problems.

It is obvious that unless genetic epidemiological studies encompass a large set of populations representative of global diversity – both genomic and environmental diversity – the inferences drawn from such studies can hardly be translated widely for public health management. Many large genetic studies that have aimed to excavate determinants of diseases have been carried out in the past. How have we done in representing human global diversity in these studies? Terribly! In 2009, Need and Goldstein [\(2009](#page-13-6)) estimated that about 96% of participants in genome-wide association studies (GWAS) were of European descent, even though the global population only comprises about 16% of individuals of this group. The most adverse impact of non-representation of diversity in a genetic epidemiological study is that the estimated risks from the study may be thought to be applicable across all populations, when in fact these are not. The need to include larger ensembles of diverse populations has been emphasized (e.g., Bustamante, Burchard, de la Vega, [2011](#page-11-1)). As a result of some course corrections, the estimate of 96% reduced, seven years later, to about 80% (Popejoy and Fullerton, [2016](#page-13-7)). The current DNA sequence databases are somewhat less distorted. The gnomAD database ([https://macarthurlab.org/2017/02/27/the-genome-aggregation-database](https://macarthurlab.org/2017/02/27/the-genome-aggregation-database-gnomad/)[gnomad/](https://macarthurlab.org/2017/02/27/the-genome-aggregation-database-gnomad/)) includes ∼60% European sequences. But sampling bias is still evident. For example, less than 10% sequences are from individuals of African ancestry.

Does inclusion of diversity improve the ability to fully excavate the determinants of a disease? The answer is yes; for both Mendelian and complex disorders, as discussed later. A complex disease is often defned by the level of an associated biomarker. For example, an individual is diagnosed to be sufering from rheumatoid arthritis if the person's level of C-Reactive Protein (CRP) is above a certain threshold. However, some gene variants found in populations of African ancestry lower the CRP level (Kocarnik et al., [2018\)](#page-12-0). An individual from such a population carrying one of these genetic variants will be declared to be normal when in fact the individual is actually sufering from rheumatoid arthritis. Medication required for ameliorating the disease condition will not be provided to this person. Also, importantly, inferences of the architecture of a complex disease inferred from case–control studies can be severely compromised if many 'cases' are systematically misdiagnosed as 'controls' in

some populations; misdiagnosis resulting from the phenomenon just described. Thus, unless populations with high levels of African ancestry are included in eforts to excavate determinants of rheumatoid arthritis, the eforts may remain incomplete or may even result in incorrect inferences.

Another similar example is in respect of beta-globin, a component of human hemoglobin. A variant (rs334) in the gene that encodes the beta-globin is known to determine whether an individual will have sickle-cell disease, a Mendelian disease, which is highly prevalent in some regions of the world, notably Africa. This variant is also known to lower HbA1c, a biomarker used to determine whether an individual is sufering from type-2 diabetes (Lacy et al., [2017\)](#page-13-8). The variant also occurs at a fairly high frequency among Hispanics/Latinos (Moon et al., [2019\)](#page-13-9). If this fact is not taken into account, many Hispanics/Latinos will be declared as non-diabetic, when in fact they are diabetic. A study to excavate the determinants and estimate risk of type-2 diabetes will seriously sufer if Hispanics/Latinos are not included. Further, individuals carrying the rs334 variant will appear to have their blood glucose under control and hence will not be provided medication, even though in reality they may require medication.

As discussed earlier, various evolutionary forces, notably natural selection and random genetic drift, have resulted in large inter-population variations in frequencies of alleles at various loci. In GWA studies, association between a disease and a predisposing allele cannot be uncovered unless the study is conducted in a population in which the allele has a reasonably high frequency. Since this cannot be known a priori, inclusion of a diversity of populations is essential. A compelling example is that a nonsense variant that causes premature termination of the *PCSK9* gene was found to be associated with a dramatic reduction (28–40%) in the concentration of low-density lipoprotein (LDL) cholesterol (Cohen et al., [2006](#page-12-1)). Elevated level of LDL cholesterol enhances the risk to coronary heart disease. The nonsense variant in *PCSK9* is rare in populations of European ancestry, but is quite common in populations of African ancestry, perhaps due to genetic drift (Horton et al., [2007\)](#page-12-2). Association between the *PCSK9* variant and LDL cholesterol level was uncovered by studying individuals with African ancestry; it would have been hardly possible to detect this association in other populations. Even though the discovery was made among individuals of a specifc population, the benefts of the discovery has been applicable to all. The fnding that this naturally occurring variant adversely impacts on the level of PCSK9 protein, which in turn reduces LDLcholesterol level, prompted the initiation of a search for ways to inhibit the synthesis of PCSK9 protein. The search has led to the identifcation of PCSK9 inhibitor drugs (Gouni-Berthold et al., [2016](#page-14-3); Roth et al., 2016) that are now widely used to lower LDL cholesterol level to reduce the risk of heart disease.

Although the emphasis on analysis of DNA from diverse populations for disease gene mapping is correct, there is an interest twist to this. African populations being older than populations of other geographical regions show low levels of linkage disequilibrium (LD). Commercial DNA microarrays that are popularly used in GWA studies interrogate few SNPs from regions of strong LD. Resultantly, because of low LD in African populations, use of these commercial microarrays reduces the statistical power to detect genome-wide associations with common diseases in Africa. However, the low levels of LD in Africa it has been emphasized (Teo et al., [2010](#page-14-4)) will make it easier to localize the causal variants responsible for GWA signals of association, which is one of the major roadblocks for GWA studies of European populations.

#### **4 Challenges**

#### **4.1 Identifying Focal Points**

The inclusion of a diverse set of populations in a genetic epidemiological study introduces many challenges in study design, data collection and statistical analysis. We shall indicate some of these challenges in the context of a genomewide association study with a complex disease. For ease of exposition, let us consider the key steps in a GWAS that are relevant to this discussion. First, based on sample size calculations, an adequate number of individuals – patients (cases) and normal individuals (controls) – are sampled, usually in equal numbers, from a population under study to provide the desired level of statistical power. Second, on each individual recruited into the study, data on a large number of clinical and exposure variables are collected, and a blood sample taken. Third, DNA of each individual is analyzed using a DNA microarray that generates genotypes of the individual at a large number of loci (of the order of several hundred thousand) at fxed points on the genome. Fourth, genotype imputation – that seeks to generate genotype information on the sampled individuals at a set of loci not directly genotyped using the microarray – is carried out to increase statistical power of the study. Fifth, the statistical signifcance of the diference in genotype proportions – both directly observed and imputed – between the set of cases and controls is assessed by a contingency chi-squared test at individual loci and/or for haplotypes (explained in some detail later) are done, after adjusting for diferences in environmental exposures and other concomitant variables. Finally, inferences on genomic association are drawn after correcting the test results for multiple testing.

#### **4.2 Designing Content of DNA Microarrays**

The nature of genome-wide genotype data on a DNA sample hinges critically on the content of the DNA microarray used. Normally, these microarrays are designed on the basis of data that have been collected in various population genetic studies. Initially, because of the high cost involved in the conduct of a GWA study, most studies were carried out on relatively homogeneous populations, such as those of Finland, Iceland, etc. In general, the focus was on European populations. The HapMap project (The International HapMap Consortium, [2007\)](#page-14-0) generated information on haplotype structures of populations. Because loci (single nucleotide polymorphic loci; SNP loci or simply SNPs) within a haplotype block are associated, a few SNPs, called tagSNPs, from a haplotype block usually suffice to capture ("tag") the information on genomic variation within the block. These tagSNPs are usually placed on a DNA microarray by design, so that genotype data are generated on these tagSNPs. As the HapMap data have shown, the haplotype structures of ancestrally close populations are similar, tag-SNPs from one population can be ported to an ancestrally close population. In other words, in populations recently derived from a common ancestral population, the tagSNPs of one population will remain informative in another population, in the sense of retaining their polymorphic status and also capturing information on haplotype blocks. Genotype information captured by tagSNPs can then be used to impute genotypes at the other loci within the haplotype block with reasonable accuracy in these populations (discussed later). However, problems arise when a DNA microarray enriched with tagSNPs of a population is used on an ancestrally distant population. First, many loci on the microarray often turn out to be monomorphic, thereby resulting in wastage of resources. Second, because of differences in haplotype block structure, many of the SNPs may not be as informative for imputing genotype information of unobserved SNPs, i.e., SNPs not placed on the microarray. These issues prompted genome scientists to consider working with the industry to develop microarrays that have greater applicability in multiethnic GWA studies. A collaborative efort has resulted in the development of the Multi-Ethnic Global Array (MEGA). This collaboration was among the genomics company Illumina, the Consortium on Asthma among African-ancestry Populations in the Americas (CAAPA), and PAGE II (Population Architecture using Genomics and Epidemiology) consortium [\(http://www.pagestudy.org](http://www.pagestudy.org)). MEGA comprises a "backbone" set of loci derived from results of a few large multiethnic studies and a custom-content set; for details see Bien et al. ([2016\)](#page-11-2). The backbone set comprises SNPs included in previously designed arrays by Illumina (e.g., Infnium Human Core Bead Chip; African Diaspora Consortium Power Chip) and SNPs derived from DNA sequencing data from>36,000 individuals in diverse ethnic groups, especially loss of function and splice variants. The

custom-content set comprises SNPs taken from published and unpublished studies, regulatory SNPs, etc. Subsequently, an improved microarray, the Expanded Multi-Ethnic Genotyping Array (MEGA<sup>EX</sup>), was developed to provide extensive genotyping coverage of European, East Asian, and South Asian populations. In sum, technologies for data generation are improving, and are expected to continue to improve, as data are collected using these technologies in larger numbers of multi-ethnic studies in diverse regions of the world. However, rapid statistical analysis of the data generated by GWA studies using the newer microarrays in diferent populations and feedback to the industry are required in order that the SNPs placed on the microarrays are polymorphic and imputation-informative in a larger set of ancestrally-diverse populations. By simultaneously using data from whole-genome or whole-exome sequencing studies, these statistical analyses can be done more proftably. One such example is a methodological improvement for selecting SNPs for microarray design applicable to multi-ethnic GWA studies developed by Wojcik et al. ([2018\)](#page-14-5).

Increasingly, there is a shift from using DNA microarrays to DNA sequencing in order to identify disease-associated variants. DNA sequencing will alleviate many of the problems mentioned in this section. However, sequencing still remains expensive in most global regions and currently access to sequencing platforms is constraining.

## **4.3 Challenges in Imputation**

Genotype imputation relies on the observation that because of shared ancestry of individuals within a population, or even between populations, there are stretches of DNA that are shared among individuals. This sharing results in the formation of haplotype blocks, stretches of loci at which individuals share genotypes. The association of genotypes at loci within a haplotype block allows for inferring of genotypes at an unobserved locus based on information of genotypes at directly observed loci. A good description of the principles and methods of genotype imputation is available in Li et al. ([2009\)](#page-13-10). Depending on the ancestral histories of populations, the lengths of haplotype blocks can be quite variable across populations, as we have alluded to earlier. Haplotyping can be done using heuristic methods (Clark, [1990](#page-12-4)) or by the maximum-likelihood method using the EM algorithm (Excoffier and Slatkin,  $1995$ ). Imputation methods (Shi et al.,  $2019$ ), with some methodological diferences, have been encoded in packages of which the popular ones are Beagle4.1[\(https://faculty.washington.edu/browning/beagle/](https://faculty.washington.edu/browning/beagle/beagle.html) [beagle.html](https://faculty.washington.edu/browning/beagle/beagle.html)), IMPUTE2 [\(http://mathgen.stats.ox.ac.uk/impute/impute\\_v2.html](http://mathgen.stats.ox.ac.uk/impute/impute_v2.html)), MACH [\(http://csg.sph.umich.edu/abecasis/mach/](http://csg.sph.umich.edu/abecasis/mach/)), Minimac3 ([http://genome.sph.](http://genome.sph.umich.edu/wiki/) [umich.edu/wiki/](http://genome.sph.umich.edu/wiki/)), and SHAPEIT2 (https://mathgen.stats.ox.ac.uk/genetics softw [are/shapeit/](https://mathgen.stats.ox.ac.uk/genetics_software/shapeit/)). The overall performance of these packages are similar; IMPUTE2 is

more time-consuming than the others but its imputation-accuracy is also slightly higher. Successful and accurate genotype imputation, critically hinges on the choice of a reference population with well-characterized haplotypes. The haplotype data from the reference population are used to impute genotypes of individuals of the GWAS study. Well-characterized and dense haplotype data are available only for a limited number of global populations; 1000 Genomes phase 3 data (Sudmant et al., [2015](#page-14-7)) and Haplotype Reference Consortium (HRC) (McCarthy et al., [2016\)](#page-13-11) data, are currently widely used. If the cases and controls of the GWAS study are drawn from a study population which is diferent from one of the reference populations, then the reference population that is used for imputation is the one that is genetically the closest to the study population. However, in a multiethnic study, if diferent reference populations are used to impute genotypes, then diferent sets of genotypes can be imputed possibly with difering levels of accuracy. This can pose a great problem in combined association analysis. Innovative statistical methods have been conceptualized and implemented, including the use of mixtures of reference panels (Huang et al., [2009](#page-12-6); Schurz et al., [2019\)](#page-12-7); however, further statistical improvements are necessary.

## **4.4 Challenges in Meta‑analysis**

The case–control association methodology in GWA studies is fairly standard. Having obtained reliable association results, various laboratory experiments are performed to identify a set of causal SNPs. However, the allelic efects of even these causal SNPs may vary across populations, primarily, but not exclusively, for two reasons: (a) interactions with environment and difering levels of environmental exposures among populations, and (b) variation in allele frequencies among populations. Meta-analysis is often performed to estimate the 'true' allelic efects, by pooling results of multiple populations. Two basic models are popular in meta-analysis; fxed efects and random efects. The fxed efects model, that essentially assumes that all the studies have been performed in the same population because allelic efects are considered to be equal in all populations, is clearly untenable in a multi-ethnic context. The random efects model is also of limited applicability because it is expected that study populations derived from the same ancestral population will show less heterogeneity than those that are derived from distinct ancestral populations. In a multi-ethnic study, it is more likely that subsets of study populations will be derived from diferent ancestral populations. To overcome the limitations arising from assumptions that underlie the random efects model, Doi et al. ([2015\)](#page-12-8) had proposed an inverse variance quasi-likelihood-based alternative to the random efects model. To our knowledge, this model has not been applied to meta-analysis of multi-ethnic GWA studies. The expected nature

of heterogeneity among populations vis-à-vis their ancestral derivation, as mentioned earlier, has been addressed by Morris [\(2011](#page-13-12)). He has developed a metaanalysis methodology that takes into account the observation that populations derived from the same ancestral population, and hence closely clustered, are expected to show similar allelic effects, while those that are derived from different ancestral populations will belong to distinct clusters. His method relies on a Bayesian partition model (Knorr-Held and Rasser, [2000](#page-12-9); Denison and Holmes, [2001\)](#page-12-10) and takes into account diferences in local LD structures among populations. The allelic efects of a variant are assumed to be the same in populations belonging to a cluster, but the effects may be variable across clusters of populations. In some sense, this model is a hybrid of fxed- and random-efects models. A software package MANTRA incorporating this method has been developed. Although there have been some applications of this method (Li and Keating, [2014\)](#page-13-13), the number of applications has been limited. With more empirical experience, the usefulness of this method will become more apparent. Formulation of alternative statistical methods is also required.

#### **4.5 Risk Estimation**

Fisher ([1918\)](#page-12-11) had introduced the concept of estimating the probability that an individual will possess a polygenic trait. The concept has been resurrected some years ago (e.g., Wray et al.. [2007\)](#page-14-8) and a method has been suggested and refned for calculating the risk of a complex disease for an individual. A score, called the Polygenic Risk Score (PRS), is calculated (Choi et al., [2020\)](#page-12-12). Briefy, a the PRS is constructed using information derived from a GWAS. A GWAS provides estimates of efect sizes of risk alleles at signifcantly associated loci. Using the effect sizes or a function of these effect sizes as weights, a person's PRS is calculated as the weighted sum of the risk allele counts (0, 1 or 2) at the signifcant loci detected in GWAS. Various regression-based methods have been described to estimate effect sizes. Further, since loci within a haplotype block are associated, SNPs are "clumped" (or, "pruned") so that the retained SNPs are not signifcantly associated. Then, "thresholding" is done to remove variants with a *p*-value larger than a chosen level of significance ( $p > p<sub>T</sub>$ ). The PRS has been widely applied (see Torkamani et al., [2018](#page-14-9); Lewis and Vassos, [2020](#page-13-14)). However, it has been emphasized that unrestricted use of the PRS may exacerbate health disparities (Martin et al., [2019\)](#page-13-15). This is because the efect sizes of risk variants are estimated from GWA studies that have primarily been conducted on populations of European ancestry. Efect sizes of these variants be very diferent in non-European populations, that can lead to hugely diferent risk estimates. Since PRSs are increasing being used for clinical

decision-making (Martin et al.,  $2019$ ), their use without exercising adequate caution may actually be harmful. The key problems that plague the use of PRSs in multi-ethnic settings are exactly the same as those discussed in earlier sections. Similar solutions have also been suggested. For example, Grinde et al. [\(2019\)](#page-12-13) have suggested that allele-weighting may use results of trans-ancestry meta-analysis to improve prediction accuracy. Marquez-Luna et al. ([2017](#page-13-16)) has suggested a method (MultiPRS) that combines estimates of efect sizes based on large European training data with estimates based on a small data set from the non-European target population. The authors have shown that better predictive value is obtained in the target population by this combined approach. In spite of these methodological advances, problems persist; a detailed discussion is available in Kaplan and Fullerton ([2022\)](#page-12-14).

## **5 Conclusion**

Undoubtedly, the study of diverse populations is of great value in deciphering the architectures of diseases. The understanding of the etiologies of complex diseases will remain incomplete unless more inclusive studies on populations of diverse ancestries living with a diversity of environmental exposures are undertaken. However, because of diferences in evolutionary histories of extant populations, the profles of the genomes of individuals drawn from diverse populations impact on the efficiency of current methodologies for understanding diseases. The only way to resolve these problems and to improve the robustness of conclusions and predictions from multi-ethnic studies is to design the studies carefully and use efficient and innovative statistical methods of data analysis.

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