## **ORIGINAL ARTICLE**



# **Treating lignocellulosic biomass with dilute solutions at ambient temperature: efects on cellulose crystallinity**

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Received: 28 April 2022 / Revised: 10 July 2022 / Accepted: 13 July 2022 / Published online: 19 July 2022 © The Author(s), under exclusive licence to Springer-Verlag GmbH Germany, part of Springer Nature 2022

## **Abstract**

The decrystallization or hydrolysis of lignocellulosic biomass is usually carried out either with concentrated solutions at moderate temperature or with dilute solutions at high temperatures. In contrast to this, agricultural waste biomasses (sunfower stalk, rapeseed stalk, and rice hull) were treated with dilute acidic or alkaline aqueous solutions (5 mol %) in this study to test the variations in cellulose crystallinity under ambient temperature. Solutions of HCl,  $H_3PO_4$ ,  $CH_3COOH$ ,  $HNO_3$ ,  $H_3SO_4$ , HF, NaOH, Ca(OH)<sub>2</sub>, C<sub>2</sub>H<sub>5</sub>OH, and CS(NH<sub>2</sub>)<sub>2</sub> were used. Effects of the treatment on cellulose crystallinity were evaluated based on the crystallinity index (CrI) calculations through the refection intensities in X-ray difraction (XRD) and the absorbance ratios in Fourier transform-infrared (FTIR) spectroscopy at  $A_{1429}/A_{897}$  (lateral order index) and  $A_{1374}/A_{2900}$  (total crystallinity index). It was found that the CrI values based on the total crystallinity index suited more than lateral order index to the CrI values found by XRD method. HF solutions led to most striking decreases in CrI, while the solutions of neither strong acids nor NaOH resulted in reductions in CrI. Derivative thermogravimetry (DTG) and diferential scanning calorimetry (DSC) profles revealed that the applied treatment infuenced the pyrolytic degradation characteristics and the reactivity of biomass in range of 300–400 °C where cellulose decomposed.

**Keyword** Cellulose crystallinity; Biomass; Dilute solution treatment; XRD; FTIR; Reactivity

#### **Highlights**

>Efects of dilute solutions on cellulose crystallinity were studied comparatively.

 $>$ The solution of HF is the most efficient in terms of reduction in cellulose crystallinity.

> Compared to  $A_{1429}/A_{897}$  (lateral order index), CrI values based on  $A_{1374}/A_{2900}$  (total crystallinity index) are more consistent with those found by XRD.

>Applied treatment afected the pyrolytic degradation characteristics at temperatures between 300 and 400 °C where cellulose decomposed.

**Statement of novelty** Insufficient attention has been paid to the treatment of lignocellulosic biomass using dilute solutions under ambient temperature, as it appears to be inefective. In this study, it was aimed to determine how the cellulose crystallinity of lignocellulosic biomass treated by dilute solutions at ambient temperature is afected. In addition, a comparative evaluation was made to determine to what extent the cellulose crystallinity index (CrI) values determined according to XRD and FTIR techniques were compatible with each other. Also, efects of applied treatment on the pyrolytic degradation and the reactivity of cellulose were also studied. The results showed that the treatment with dilute solutions can have a non-negligible effect on the cellulose crystallinity of the lignocellulosic biomass, contrary to expectations.

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## **Nomenclature**



# **1 Introduction**

Lignocellulosic biomass, which is largely carbon dioxideneutral, is a renewable and sustainable energy source and has enormous potential on a global scale. The use of biomass is of great importance in eliminating the negativities caused by

the use of fossil fuels and reducing foreign dependence on energy. However, due to some properties of lignocellulosic biomass, such as low calorifc value and density, and high moisture and volatile matter contents and inhomogeneous structure, there are difficulties in transportation, storage, and energy production [\[1](#page-12-0), [2](#page-12-1)]. The macromolecular structure of lignocellulosic biomass is largely composed of cellulose, hemicellulose, and lignin, with cellulose (40–60%) being the predominant component [\[1](#page-12-0)]. Cellulose is a very vital biopolymer and an almost inexhaustible and renewable raw material. Cellulose is formed by combination of semi-crystalline fbers with ordered crystalline regions and disordered amorphous regions [\[3](#page-12-2)]. The free hydroxyl groups in cellulose are able to involve hydrogen bonds that originate diferent ordered crystalline arrangements [[4\]](#page-12-3). Thus, cellulose crystallinity, which is the proportion of crystalline cellulose in cellulosics, is one of the most important properties of cellulose because it afects their physical, chemical, and mechanical features [\[5](#page-12-4)[–7](#page-12-5)]. Among the macromolecular components of lignocellulosics, only cellulose is crystalline, while lignin and hemicellulose are non-crystalline [\[4](#page-12-3)]. Woody biomass shows higher crystallinity (ca. 44%) than stalk-type (ca. 34%) or shell-type ones (ca. 29%) [\[7](#page-12-5)]. The rigidity of cellulose fbers enhances, and their fexibility reduces with increasing ratio of crystalline to amorphous regions [[4](#page-12-3)]. The amorphous form of cellulose is more easily hydrolyzed than the crystalline form since the hydrogen bonds per unit repeat is bigger in crystalline cellulose (8 versus 5.3) [\[8](#page-12-6)]. Therefore, industrial manufacturing of cellulose from lignocellulosics usually includes alkaline treatment and bleaching so that amorphous substances are removed and the crystallinity of cellulose is improved [\[9](#page-12-7)]. Cellulose crystallinity afects almost all of the downstream applications where cellulose is processed. Increase in crystallinity is associated with rising tensile strength, dimensional stability, and density, while weakening chemical reactivity and swelling property [[10](#page-12-8)]. Therefore, various characteristics of materials, including Young's modulus, density, hardness, dimensional stability, and chemical reactivity, are related to crystallinity [\[11,](#page-12-9) [12\]](#page-12-10). Since the hydrolysis of cellulose proceeds across the amorphous region, one of the most significant factors that estimate the difficulty of the hydrolysis process and how much energy will be spent is the degree of crystallinity [[11](#page-12-9)]. Therefore, cellulose crystallinity is one of the key factors that complicate the anaerobic digestion of lignocellulosic biomass into fermentable sugars. Also, amorphous cellulose contributes to the formation of char and gaseous products, whereas crystalline cellulose leads to the formation of levoglucosan during pyrolysis [[13\]](#page-12-11).

Physical, chemical, physicochemical, and biological pretreatment processes are applied to lignocellulosic biomass. These pretreatment methods include extrusion, sonication, milling, steam explosion, organosolv, ozonolysis, wet oxidation, liquid hot water, ammonia fber explosion, acid treatment, alkali pretreatment, supercritical  $CO<sub>2</sub>$  explosion, ionic liquid, and microwave- and ultrasound-assisted methods, and biological reactions of bacteria, microbes, and fungi [[14](#page-12-12), [15](#page-12-13)]. The crystallinity of cellulose in lignocellulosic biomass can be altered by pretreatments. Zhang et al. [[16](#page-12-14)] applied anaerobic digestion to yard waste/food waste mixture and reported 23% reduction in cellulose crystallinity of yard waste after hydrolysis that improved biodegradability of cellulose. In another study, effects of mechanical fragmentation on cellulose crystallinity was investigated using wheat straw during alkali treatment and found out that mechanical fragmentation contributes to cellulose crystalline transformation under low NaOH concentration [[3\]](#page-12-2). Pulp beating of tobacco stems was also reported to change the cellulose crystallinity [[10](#page-12-8)]. The reduction in cellulose crystallinity is attributed to the destroyed hydrogen bonding that transformed the crystalline region into the amorphous region [[3\]](#page-12-2). Yin et al. [[17](#page-12-15)] examined the efects of compression combined with steam treatment on the crystallinity of wood (spruce) cell walls and determined that the crystallinity of cellulose largely increased (from 33.6 to 58.6%) due to crystallization in semi-crystalline region upon treatment. Song et al. [\[9](#page-12-7)] employed superfne pulverization pretreatment to *Lycium barbarum* leaves to enhance the cellulose crystallinity (up to 18.3%). Moreover, Okon et al. [\[12\]](#page-12-10) applied silicon oil heat treatment to *Chinese parasol* wood and obtained thermally modifed wood with increased cellulose crystallinity (from 38.8 to 63.8%) due to degradation of hemicellulose. Poletto et al. [\[4\]](#page-12-3), who investigated the thermal decomposition of several wood samples by thermogravimetry, concluded that lower crystallinity associated with higher extractives content accelerates the degradation process and reduces the thermal stability of wood. Likewise, Wang et al. [\[13](#page-12-11)] found out that the samples with lower crystallinity start to degrade at lower temperatures, showing sharper DTG curves and lower activation energies during pyrolysis.

Crystallinity index (CrI) is defned as the fraction of crystalline matter within a sample, and it is a parameter frequently considered to quantify the extent of crystallinity. CrI also helps to monitor the changes in structure caused by physicochemical, thermochemical, and biological methods [[10,](#page-12-8) [11](#page-12-9)]. Several classical methods including X-ray difraction (XRD), Fourier transform infrared (FTIR) spectroscopy, solid-state  ${}^{13}C$  nuclear magnetic resonance (NMR), Raman spectroscopy, and their modifed versions have been used to measure the CrI [\[5](#page-12-4), [6,](#page-12-16) [10\]](#page-12-8). Of which, XRD is by-far the most prevailing method [\[10](#page-12-8)]. XRD-based procedures usually consider peak height, peak area, deconvolution of crystalline and amorphous peaks, and amorphous subtraction techniques [[6,](#page-12-16) [10](#page-12-8), [17](#page-12-15)]. On the other hand, CrI calculated by FTIR method is usually compared with those by XRD or NMR methods, and thus, it is regarded as a complementary approach [[10\]](#page-12-8).

However, the physical pretreatment methods generally base on energy-intensive techniques and biological pretreatment methods require long time and use of expensive bacteria and enzymes. Besides, treatment with chemicals is the fastest and the most efficient method to affect the cellulose crystallinity [[16\]](#page-12-14). Chemical decrystallization methods include the use of ionic liquids, NaOH/urea, or phosphoric acid leaching [[18](#page-13-0)]. Swelling or dissolving biomass in chemicals such as concentrated acids or ionic liquids increases the accessibility of enzymes in enzymatic conversion of cellulosic biomass into fermentable sugars [[19](#page-13-1)]. Acids such as sulfuric acid  $(H_2SO_4)$ , nitric acid  $(HNO_3)$ , hydrochloric acid (HCl), and phosphoric acid  $(H_3PO_4)$ have widely been used as catalyst for hydrolysis and degradation of cellulose  $[20]$ . Acid pretreatment provides efficient breaking down the biomass structure and promoting crystalline-amorphous cellulose conversion [\[15](#page-12-13)]. Jin et al. [\[21\]](#page-13-3) reported that sulfomethylation-aided  $H_3PO_4$  treatment of bamboo residues at room temperature for 1–4 h resulted in lower lignin content and lower CrI (from 64.7 to 16.0%) that made the enzyme accessibility to cellulose easier. Zhang et al. [[22\]](#page-13-4) investigated the changes in cellulose crystallinity of lignocellulosic biomass (switchgrass, corn stover, and rice husk) through treatment by ionic liquids and found out that the CrI dropped because of swelling of crystalline cellulose. Goto and Yokoe [[23](#page-13-5)] compared the CrI of untreated and  $NH<sub>3</sub>$ -treated barley straws and reported the reduction (14–24%) of CrI for treated sample owing to the effect of  $NH<sub>3</sub>$  as a weak base on ester bonding within the cell wall. However, sodium hydroxide (NaOH) that is a stronger alkali was reported not to have infuence on cellulose crystallinity in barley and wheat straw [[23](#page-13-5)].

Acid pretreatment of biomass targets solubility of hemicelluloses and making the cellulose susceptible to hydrolysis as well as penetration of enzymes. Pretreatment by dilute acids is applied at high temperatures ranging between 120 and 215 °C, while concentrated acids  $(>30\%)$ are employed at moderate temperatures  $(<100 \degree C)$  [[15](#page-12-13)]. Concentrated solutions including  $72\%$  H<sub>2</sub>SO<sub>4</sub>, 44% HCl, and  $85\%$  H<sub>3</sub>PO<sub>4</sub> are reported to destruct cellulose completely [[24](#page-13-6), [25](#page-13-7)]. Oil palm empty fruit bunch fber was extracted and hydrolyzed using  $H_2SO_4$  (95–98%), acetic acid (CH<sub>3</sub>COOH) (99.5%), and NaOH (99%) [[26](#page-13-8)]. However, these potent solutions also lead a certain depolymerization of cellulose due to cleavage of the glycosidic bonds [\[24\]](#page-13-6). As regards the use of dilute solutions, temperature is raised to enhance the effect of treatment. Zhao et al. [\[24\]](#page-13-6) used 0.05 M  $H_2SO_4$  at 175 °C, while Kanchanalai et al. [[27\]](#page-13-9) treated cellulose by  $H_2SO_4$  (10–50 wt %) at temperatures between 80 and 100 $^{\circ}$ C. Consequently, it is difficult to comparatively examine the efectiveness of dilute solutions at ambient temperature on cellulose crystallinity of diferent biomass species.

Aqueous solutions have advantages over non-polar organic solvents in terms of dissolving polar sugars and furanics [[28\]](#page-13-10). In fact, the benefts of using aqueous solutions lie on the ability to break polar bonds, low environmental impacts, and being simple and economical. Dilute solutions include less chemical substance that makes the pretreatment process to operate in a more economical way. If diluted solutions could be applied at low temperatures, the contribution to the process economy would be even higher due to the reduction in heating requirement. For this purpose, the changes in cellulose crystallinity of agricultural waste biomass such as sunfower stalk, rapeseed stalk, and rice hull treated with solutions of 5 mol% concentration at ambient temperature were investigated comparatively. This study difers from the literature in terms of the types of biomass used and the treatment conditions applied. There are no detailed studies under the aforementioned conditions on the cellulose crystallinity of these lignocellulosic biomass, which has a large agricultural waste potential. Sunfower, rapeseed, and rice are among the agricultural products that are cultivated in large quantities globally, and so the waste biomass potential of these products is great as well. The sunfower stalk (SS) is the stem part of the sunfower plant. This stalk has a hairy structure and its bark is rich in lignin. SS is used as fuel or also used in paper making. Sunfower is the oil plant with the largest cultivation area and nearly 2.5 million tons yearly production in Turkey. SS left in the feld pose a problem for farmers and are habitually destroyed by burning. That is, 600 thousand tons of SS are burned annually that can be eliminated with the use of SS in an alternative manner. Besides, the rapeseed plant is among the oilseed plants. Rapeseed can enter crop rotation with wheat under suitable climatic conditions and draws attention as an alternative that will help fll a signifcant gap in both cooking oil and fuel (biodiesel) production. Rapeseed production in Turkey is around 125 thousand tons per year and tends to increase quickly. Rapeseed stalk (RS) was used as an alternative biomass in this study. Also, rice hull (RH) is the shell that covers the outer part of the rice grain. This shell can be used in various felds including as fuel and insulation material. Turkey's annual rice production is around 1 million tons, and accordingly, a large amount of RH is obtained. For these reasons, SS, RS, and RH were chosen as the biomass species to be used in treatment by dilute solutions at ambient temperature.

# **2 Materials and methods**

## **2.1 Characterization of samples**

The particle size of SS, RS, and RH samples was reduced below 250 µm by grinding in a ring mill and sieving through 60 US mesh sieve by Retsch AS200 sieve shaker. The ground samples were kept in closed containers in order to minimize interaction by surrounding conditions. The proximate analysis of samples was carried out in accordance with ASTM standards. The higher heating value (HHV) of samples was determined by IKA C2000 model calorimeter, and the lower heating value (LHV) was calculated. An elemental analyzer (Leco TruSpec CHN with S module) was used for ultimate analysis. Compositional analyses of the samples were done by applying wet chemical methods. That is, the biomass was first extracted with benzene and ethyl alcohol solutions to get the extractives-free bulk and to determine the extractive matter content according to ASTM D1105 method. Then, the extractives-free bulk was used in further analyses to find the holocellulose (sum of cellulose and hemicellulose) content according to Wise's chlorite procedure [[29\]](#page-13-11) and the lignin content according to van Soest method [[30](#page-13-12)]. The experiments were repeated several times, and the average values were taken if they were not deviated more than  $\pm$  5%. The results are given in Table [1](#page-3-0).

### **2.2 Treatment of biomass by solutions**

In the second step of the experimental study, aqueous solutions with concentration of 5 mol% were prepared. The reason why the solution concentration was chosen as 5 mol% is because even the dilute acid solutions with concentration  $<$  5% is able to hydrolyze hemicellulose if operating conditions are suitable [[15](#page-12-13)]. The theoretical basis of these experiments lies on the fact that solutions interact the cellulose structure through hydrogen bond breaking due to complex formations and partly depolymerization

<span id="page-3-0"></span>**Table 1** Analysis results of biomasses

	Sunflower stalk	Rapeseed stalk	Rice hull
Proximate analysis (%, dry basis)			
<b>Volatiles</b>	85.9	88.6	66.9
Ash	8.9	6.0	20.2
Fixed carbon	5.2	5.4	12.9
LHV $(MJ/kg)$ 14.5		14.9	14.2
Ultimate analysis (%, dry-ash-free)			
C	35.1	44.1	49.3
н	8.4	6.4	6.6
N	0.7	5.3	2.5
S	0.5	0.6	0.8
$\Omega$	55.3	43.6	40.8
Compositional analysis (%, dry basis)			
Holocellulose	55.3	51.7	44.6
Hemicellulose	25.4	21.7	19.2
Cellulose	29.9	30.0	25.4
Lignin	12.7	25.0	22.8
Extractives	23.8	13.2	20.6

of macromolecular chains [[20](#page-13-2)]. Meanwhile, hemicellulose is also degraded. Even at low temperatures, solutions are able to diffuse into the interstices between fibrillary structural units of cellulose and penetrate both ends into elementary crystallites, leading changes in the crystallinity of cellulose [[31\]](#page-13-13). The dilute solutions were prepared using acids such as HCl (hydrochloric acid),  $H_3PO_4$ (phosphoric acid),  $CH_3COOH$  (acetic acid),  $HNO_3$  (nitric acid),  $H_2SO_4$  (sulfuric acid), and HF (hydrofluoric acid) as well as bases such as NaOH (sodium hydroxide) and  $Ca(OH)$ <sub>2</sub> (lime). These acids and NaOH are among the chemicals most commonly used to biomass for pretreatment. In addition, it is known that lime is such an alkaline reagent that is cheap, low toxic, and not having a significant impact on the environment. Treatment of lignocellulosics with lime removes amorphous substances such as lignin and hemicellulose since it cleaves ether bonds in phenolic units [\[32\]](#page-13-14). Moreover, effects of  $C_2H_5OH$  (ethanol) and  $CS(NH<sub>2</sub>)$ <sub>2</sub> (thiourea) solutions at 5 mol% were also tested. Schematic flow diagram of the experimental procedure is given in Fig. [1](#page-4-0).

Ten grams of ground biomass was mixed in a polypropylene beaker with the solutions as to get a total volume of 100 mL. The samples were kept in interaction with the solutions by stirring with a magnetic stirrer at 100 rpm for 2 h at ambient temperature. In fact, the time for efficient interaction of biomass with dilute solutions is reported to last up to 2 h [\[15](#page-12-13)]. Given the mild conditions of treatment, we decided to apply this procedure for 2 h. Then, the treated biomass was separated from solution by vacuum fltration using the Gooch crucible porosity grade 2 and washed with distilled water. Some of the treated samples are shown in Fig. [2.](#page-4-1) These images show that more or less swelling has occurred in samples. The treated samples were then dried in oven at 60 °C for 16 h. The dried samples are packaged as shown in Fig. [3.](#page-4-2) The treatment by solutions was carried out in two parallel sets of experiments. The treated biomass obtained from both sets was subjected to FTIR, XRD, and pyrolysis experiments to examine the reproducibility of the results. Data with deviations of less than 5% were averaged. A third set of experiments was performed for deviations exceeding 5%.

## **2.3 Determination of crystallinity index**

XRD and FTIR tests were performed to determine how cellulose crystallinity in biomass was afected by wet treatment. Powder X-ray difraction (XRD) analysis was implemented using Bruker™ AXS D8 Advance XRD system operated at 40 kV and 40 mA. The difracted intensity of Cu-Kα radiation was measured in the range 2θ between 10° and 90°, and data were recorded at every 0.0101°. CrI was calculated from the peak height method that

<span id="page-4-0"></span>





**Fig. 2** Treated samples (1st column: NaOH treated; 2nd column: HF treated; 3rd column: ethanol treated; 1st row: rapeseed stalk; 2nd row: sunfower stalk; 3rd row: rice hull)

<span id="page-4-1"></span>calculated from the height ratio between intensity of the crystalline peak  $(I_{002} - I_{am})$  and the total intensity  $(I_{002})$  after subtraction of the background signal measured without cellulose [[10\]](#page-12-8). Accordingly, the following empirical formula was used to calculate the crystallinity index based on difracted intensity:



**Fig. 3** Dried and packaged samples

<span id="page-4-2"></span>
$$
CrI(\%) = \left[ \left( I_{002} - I_{am} \right) / I_{002} \right] x 100 \tag{1}
$$

where  $I_{002}$  is the maximum intensity of the 002 lattice diffraction.  $I_{002}$  is the diffraction intensity of peak at  $2\theta = 22.5^{\circ}$ , while  $I_{am}$  is the intensity of the amorphous peak at  $2\theta = 18.7^\circ$ [[7,](#page-12-5) [9,](#page-12-7) [10](#page-12-8), [12](#page-12-10), [23](#page-13-5), [33](#page-13-15)]. Xylans and other-non-cellulosic polysaccharides contribute to the intensity of the amorphous peak  $I_{am}$  [\[23\]](#page-13-5).

FTIR spectra of the samples were obtained using Perkin Elmer Spectrum 100 FTIR Spectrometer between 650 and 4000 cm−1. For this, pellets were prepared by mixing biomass with spectroscopic grade KBr. The cellulose crystallinity index was evaluated by absorbance ratios such as  $A_{1429}/A_{897}$  (lateral order index) and  $A_{1374}/A_{2900}$  (total crystallinity index) [\[10](#page-12-8), [13\]](#page-12-11). Lateral order index (LOI) bases on the ratio of the absorption peaks between crystalline structure

of cellulose  $(A_{1429}$  cm<sup>-1</sup>) and glycosidic bond β-(1,4) in cellulose (897 cm<sup>-1</sup>). Besides, the total crystallinity index (TCI) bases on the ratio of the absorption peaks –CH bending (1374 cm<sup>-1</sup>) and the vibration of hydroxymethyl group from crystalline form of cellulose (2900 cm<sup>-1</sup>).

## **2.4 Pyrolytic degradation of biomass**

Pyrolytic degradation tests were performed by TA Instruments SDTQ600 thermal analyzer that has alumina reference, Pt/Pt–Rh thermocouples, and a temperature sensitivity of 1E-3 °C. Approximately 10 mg sample was heated up to 700 °C with a heating rate of 10 °C/min under N2 flow of 100 mL/min. DTG (derivative thermogravimetry) and DSC (diferential scanning calorimetry) profles were derived from the thermal data. In this way, efects of the applied treatment on the pyrolytic degradation behavior of the samples were studied.

# **3 Results and discussion**

### **3.1 Sample characteristics**

Analysis results of the biomasses are given in Table [1.](#page-3-0)

It is clear that the proximate analysis results for SS and RS are close to each other, while RH seriously difers. RH has higher contents of ash and fxed carbon than the others, while its volatile matter content is lower. Waste biomass species are typically rich in ash content, and the ash contents given in Table [1](#page-3-0) are comparable with the ash of other agricultural waste biomasses [[34](#page-13-16)]. Besides, the LHV values of all three samples are comparable. In

<span id="page-5-0"></span>**Fig. 4** FTIR spectra of raw samples

literature, LHV of trees from oak to pine are reported to change in the range of 19–21 MJ/kg [[35\]](#page-13-17). Given the fact that the biomass species used in this study are waste biomasses, accordingly, their LHV values (14–15 MJ/kg) are lower than those of the trees. On dry-ash-free basis, RH is richer in elemental carbon and poorer in oxygen than other samples. Although the cellulose contents are close to each other, the richest sample in terms of holocellulose (sum of cellulose and hemicellulose) is SS, while the sample with the highest lignin content and the lowest extractive substance content is RS. It can be said that the selected biomass samples are structurally dissimilar from each other. These results are comparable with literature [[36,](#page-13-18) [37](#page-13-19)].

FTIR spectra of raw samples are shown in Fig. [4.](#page-5-0) A sharp absorbance peak originating from ether bonds (C–O–C) around 1030 cm<sup>-1</sup> was observed in all of the raw samples. Since this peak is characteristic for both cellulose and lignin, it is the most striking peak. Apart from this, the absorbances of O–H stretching at approximately 3335 cm<sup>-1</sup>, C-H stretching at 2920 cm<sup>-1</sup>, C = O stretching at 1733 cm<sup>-1</sup>, and C = C vibration at 1594 cm<sup>-1</sup> are among the obvious peaks. In terms of absorbance intensity, SS is the sample that creates the strongest absorptions. According to the ultimate analysis results, SS is the sample containing the most oxygen, and accordingly, it is also rich in oxygen-containing functional groups. Being rich in oxygen-containing functional groups increases the hydrophilic property of a sample and is thus considered to be active in terms of wettability and swelling properties. Also, the wavenumbers 668, 897, 1374, 1429, and 2920  $\text{cm}^{-1}$  that are considered when estimating cellulose crystallinity based on the ratios of absorbance levels in the FTIR spectra are also marked on Fig. [4.](#page-5-0)



#### **3.2 CrI determination by XRD**

The XRD spectra before and after the samples were treated with solutions are shown in Fig. [5.](#page-6-0) However, it is unsuitable to include all of spectra on the same graph due to the overlapping of curves. Therefore, the spectra of raw biomasses and some selected examples of treated samples are shown in Fig. [5.](#page-6-0) However, Table [2](#page-7-0) includes the CrI calculations for all of the treatments as well as the percentage changes in CrI based on variation in the value of raw biomass upon treatment.

CrI values of raw samples were calculated as 46.6%, 37.4%, and 43.1% for SS, RS, and RH, respectively. These results are in accordance with literature [[3,](#page-12-2) [4,](#page-12-3) [7](#page-12-5), [12,](#page-12-10) [38\]](#page-13-20). The results in Table [2](#page-7-0) show that all of tested solutions have more or less efects on cellulose crystallinity. It is known that, the interactions of cellulosic materials with water, enzymes, and other reactive or adsorptive substances frst occur in noncrystalline areas or on the surface of cellulose crystallites. Therefore, an increase or decrease in the degree of crystallinity of cellulose is observed depending on the intensity of interaction and swelling taking place in structure. However, studies in literature carried out using RH and corn stover showed that CrI value was not afected at low temperatures from treatment even by ionic liquid due to limited swelling [[22\]](#page-13-4). Under such mild pretreatment conditions, plant cell wall and the structure of lignin maintain its integrity with only partial swelling of cellulose crystals [[22\]](#page-13-4). We assumed that if the change in CrI value after treatment is below 5%,

its efect may be ignorable. From this point of view, it is clear that HCl solution caused negligible changes in the CrI values of two out of three samples and led to some increase only in crystallinity of RS. In contrast to this, Tao et al. [[39\]](#page-13-21) reported that dilute HCl solutions applied at higher temperatures seriously improved the enzymatic hydrolysis of biomass. Also, the solution of  $Ca(OH)_2$  only produced a signifcant decrease in the CrI value of the RH, while it created almost neglectable effect on the other samples. Besides, the role of  $H_2SO_4$ ,  $C_2H_5OH$ , and  $H_3PO_4$  solutions on the CrI value varied from sample to sample, and it is difficult to generalize. Likewise,  $HNO<sub>3</sub>$  solution did not reduce cellulose crystallinity in any samples. In contrast, an increase of 25.1% in CrI of RS and 7.2% in RH occurred. Emam et al. [[40\]](#page-13-22) reported that this increase in CrI arises from removal of hemicellulose and lignin contents. During the interaction of cellulose with acids, the acids primarily act on the amorphous regions they can easily reach. Therefore, an increase in the degree of crystallinity of the cellulose that remains intact is expected. Interaction of cellulose with strong acids has been a classical way to obtain highly crystalline cellulose by removal of the amorphous cellulose and improvement of the crystallinity [[9\]](#page-12-7). However, in the hydrolysis of biomass with dilute acids, swollen cellulose is hardly observed as an intermediate product. Since the concentration is low and the temperature is not high enough in the present study, the dilute acidic solutions may be expected not have any potential to extract the cellulose [\[20\]](#page-13-2). Therefore, hemicellulose removal by dilute acid treatment is usually followed by alkali



<span id="page-6-0"></span>**Fig. 5** XRD spectra before and after treatment

<span id="page-7-0"></span>**Table 2** Cellulose crystallinity results based on XRD

Sample	Solution	$CrI(\%)$	Change (%)
Sunflower stalk	n/a	46.6	
	$H_2O$	43.3	$-6.9$
	CH <sub>3</sub> COOH	33.4	$-28.3$
	HNO <sub>3</sub>	46.8	$+0.5$
	$H_3PO_4$	43.0	$-7.6$
	$C_2H_5OH$	38.9	$-16.5$
	NaOH	51.1	$+9.8$
	HCl	46.1	$-1.0$
	$H_2SO_4$	41.2	$-11.4$
	Ca(OH) <sub>2</sub>	46.2	$-0.9$
	ΗF	21.8	$-53.2$
	$CS(NH_2)_2$	37.3	$-19.9$
Rapeseed stalk	n/a	37.4	
	$H_2O$	33.5	$-10.3$
	CH <sub>3</sub> COOH	40.1	$+7.4$
	HNO <sub>3</sub>	46.8	$+25.1$
	$H_3PO_4$	41.9	$+12.0$
	$C_2H_5OH$	44.6	$+19.4$
	<b>NaOH</b>	38.8	$+3.8$
	<b>HCl</b>	40.8	$+9.2$
	H <sub>2</sub> SO <sub>4</sub>	37.5	$+0.3$
	Ca(OH) <sub>2</sub>	36.4	$-2.7$
	ΗF	28.4	$-24.1$
	CS(NH <sub>2</sub> ) <sub>2</sub>	31.6	$-15.4$
Rice hull	n/a	43.1	
	$H_2O$	35.9	$-16.8$
	CH <sub>3</sub> COOH	37.7	$-12.5$
	HNO <sub>3</sub>	46.2	$+7.2$
	$H_3PO_4$	38.7	$-10.1$
	$C_2H_5OH$	43.8	$+1.6$
	NaOH	39.9	$-7.3$
	HCl	43.5	$+1.0$
	$H_2SO_4$	49.8	$+15.6$
	$Ca(OH)_{2}$	38.5	$-10.6$
	HF	29.2	$-32.3$
	CS(NH <sub>2</sub> ) <sub>2</sub>	41.9	$-2.9$

treatment for lignin removal to produce high-purity cellulose [\[15\]](#page-12-13). Nevertheless, even 5 mol% of concentration of  $HNO<sub>3</sub>$ led to remarkable increases in CrI. Removal of some acidsoluble ingredients such as minerals and organic extractives might enrich the polysaccharides relatively in the treated biomass.

Other solutions caused acceptable changes for all—or at least—two samples. Even treating the ground biomasses with pure water reduced the CrI values. In case of RS, the reduction was in the order of 10.3%. In literature, however, the effects of water on cellulose crystallinity have not been clarifed, and instead, the steam treatment was reported to

increase the CrI value in wood  $[17]$ . In fact, among the pretreatment methods using water, the use of liquid hot water (LHW) is more common, in which the temperature is kept between 150 and 260 °C [[28\]](#page-13-10).

When HF solution was used, the maximum drop in CrI was achieved. This decrease reached 53.2% for SS. This shows that if this biomass (with a high CrI of 46.6%) is treated with a 5 mol% HF solution at ambient temperature for 2 h, the CrI decreases to a very low value of 21.79. Signifcant decreases were also observed in CrI of other biomass species such as 32.3% for RH and 24.1% for RS.

Although thiourea solution caused little change in the CrI of RH, it caused a decrease of up to 19.9% in CrI of other samples. Although we applied the thiourea solution directly, thiourea solutions are commonly used in combination with NaOH to dissolve cellulose to prepare a transparent solution for wet spinning [[41\]](#page-13-23) or to improve the softness of the cellulose fbers from hardwood in paper industry [[42](#page-13-24)]. Our fndings revealed that the use of dilute solution of thiourea without the support of NaOH also has a non-negligible efect on CrI of lignocellulosic biomass.

CH3COOH solution led to an increase in CrI value for RS, but created reductions as much as 12.5% and 28.3% for other samples. Acid-chlorite delignification with CH<sub>3</sub>COOH and NaClO<sub>2</sub> (sodium chlorite) is known as an efficient way to remove lignin from biomass [[43\]](#page-13-25). In literature, delignifed and bleached pine flower was hydrolyzed with  $CH<sub>3</sub>COOH$ (10–60%) at 45 °C for 1 h [[44\]](#page-13-26), and effects of this treatment on cellulose crystallinity revealed that if the concentration is low, the effect is less. However, despite  $CH_3COOH$  is a weak acid, its efectiveness in our study even at ambient temperature may be regarded higher than expected.

Treatment with NaOH solution resulted in higher CrI values in two of the samples. In comparison to acidic hydrolysis methods, treatment with alkaline methods is known to cause less degradation of cellulosic fraction [[32\]](#page-13-14). Likewise, Gao et al. [[3\]](#page-12-2) treated coarse milled wheat straw by NaOH solutions (1–10%) at 80  $^{\circ}$ C and found out that treatment with solutions up to 8% concentrations increased the CrI value, while reduction in CrI could only be seen in case of 10% concentration. Also, Goto and Yokoe [\[23](#page-13-5)] reported that NaOH did not have any efect on cellulose crystallinity.

 $H_3PO_4$  treatment can be concluded to have remarkable decreasing or increasing efects on CrI depending on the type of sample. The CrI values of  $H_3PO_4$ -treated biomass changed in range of 38.7–43.0%. Similarly, Wei et al. [[45\]](#page-13-27) also reported cellulose crystallinity that changed in range of 32–45% when cellulose was treated with  $H_3PO_4$  at temperatures between 25 and 45 °C. The effectiveness of  $H_3PO_4$  is reported to increase beyond a critical concentration (around 80 wt.%) [\[27,](#page-13-9) [46](#page-13-28)], and anhydrous  $H_3PO_4$  is known as an excellent solvent for cellulose even under mild conditions [[46,](#page-13-28) [47](#page-13-29)]. Besides,  $H_3PO_4$  at low concentration is known to

be able to solubilize the hemicellulose fraction [[48\]](#page-13-30). Conversely,  $H_3PO_4$  treatment is also known to remove some of amorphous components and lignin, slightly increasing the CrI [\[21\]](#page-13-3). The lack of a regular trend of change in the CrI value can be explained by the emergence of these diferent efects; accordingly, there were decreases in SS and RH and an increase in RS. However, it is also stated that hydrolysis of cellulose using  $H_3PO_4$  at low temperatures (below 70 °C) is highly limited [[25\]](#page-13-7).

The most effective solutions in reducing the crystallinity of cellulose can be shown in order of decreasing efectiveness as follows:

 $For SS: HF > CH<sub>3</sub>COOH > CS(NH<sub>2</sub>)<sub>2</sub> > C<sub>2</sub>H<sub>5</sub>OH$ 

For RS :  $HF > CS(NH_2)_2 > H_2O$ 

 $For RH : HF > H_2O > CH_3COOH > Ca(OH)_2 > H_3PO_4$ 

The solutions of strong acids (with negative pKa values) such as HCl,  $H_2SO_4$ , and HNO<sub>3</sub> and the solution of NaOH (with a high positive pKa value) do not have a reducing effect on CrI. The effective solutions mentioned above are the solutions of chemicals that show neither too strong acidic nor too strong alkaline character, namely, HF whose solution decreased crystallinity at most for all biomass species is a relatively weak acid with a pKa value of  $+3.2$ . Likewise, the pKa values of CH<sub>3</sub>COOH and  $H_3PO_4$  are +4.76, and +2.12, respectively.

#### **3.3 CrI determination by FTIR**

FTIR spectra were examined in order to check whether the FTIR method gave results consistent with those determined by XRD method. Because of overlapping spectra, all spectra could not been included on the same graph. Therefore, raw biomass,  $HNO<sub>3</sub>$ -treated,  $CH<sub>3</sub>COOH$ -treated, and HCl-treated samples are arbitrarily selected, and their FTIR spectra are presented in Fig. [6](#page-9-0). From these spectra, it is clear that each biomass structure was afected dissimilarly. Treatment with  $HNO<sub>3</sub>$  solution had different effects on the absorbance intensity of the  $1030 \text{ cm}^{-1}$  peak, where the highest absorbance values were observed for raw biomasses. While this peak intensifed in SS, there were remarkable decreases in RS and  $RH$ . Besides,  $CH<sub>3</sub>COOH$  or  $HCl$  solutions led to reductions in the absorbance intensities.

The absorbance ratios of  $A_{1429}/A_{897}$  (lateral order index (LOI)) and  $A_{1374}/A_{2900}$  (total crystallinity index (TCI)) are given in Table [3.](#page-10-0) LOI denotes the ratio between intensity of the bands of crystalline structure of cellulose  $(1429 \text{ cm}^{-1})$ to glycosidic bond  $\beta$ -(1,4) in cellulose (897 cm<sup>-1</sup>). The increase in TOI is a measure of the rupture of hydrogen bonds in the cellulose components [\[15\]](#page-12-13). Except for a few cases, TCI values were generally found to be greater than LOI values. The results found for these two diferent absorption ratios were evaluated both for their compatibility with each other and with the XRD results. There was an increase or decrease in the CrI value of the treated biomass compared to the CrI value of the raw biomass. The compatibility of this change trend determined by FTIR method with the change trend determined by XRD was compared. The numbers shown in bold and underline in Table [3](#page-10-0) are the values that are similar to the trend determined by XRD. Thus, there is compatibility with XRD for 18 of 33 treatments for the absorbance ratio of  $A_{1374}/A_{2900}$ . Besides, this compatibility is limited for the absorbance ratio of  $A_{1429}/A_{897}$ , and only 9 out of 33 treatments showed compatibility. Zhao et al. [[10\]](#page-12-8) also compared the CrI values of tobacco stems calculated from  $A_{1429}/A_{897}$  and  $A_{1374}/A_{2900}$  absorbance ratios and found out serious deviations for most of data. Nonetheless, the TCI is relatively more consistent with the XRD results than the LOI. When both absorbance ratios are evaluated together, at least one of the absorbance ratios for 20 out of 33 treatments provided the similar trend of change in cellulose crystallinity with those found by XRD.

It is known that crystallinity index can vary considerably depending on the measurement technique, and XRD peak height method produces signifcantly higher values than other methods [[49\]](#page-13-31). The biomass that showed the highest dissimilarity in XRD and FTIR fndings was RS. In contrast, it was determined that at least one absorbance ratio was compatible with the XRD fndings for 9 out of 11 diferent solutions applied to SS and only the solutions of water and  $CS(NH<sub>2</sub>)$ <sub>2</sub> did not give consistent results. Figure [7](#page-10-1) shows the comparison of LOI and TCI values. In cases where LOI was greater than TCI or the results were negative, the relevant bar was left blank. While all bars could be shown for RS, few bars could be included for RH. The mean ratio of LOI/TCI values calculated using the available data was 39%, 38%, and 49% for SS, RS, and RH, respectively.

## **3.4 Pyrolytic degradation**

It is reported that the intramolecular dehydration of cellulose chains initiates at temperatures below 300 °C and then decarbonylation, ring-opening polymerization, and aromatization take place beyond 300  $^{\circ}$ C [[1\]](#page-12-0). On the other hand, the presence of acid changes the cellulose pyrolysis mechanism [\[50](#page-14-0)]. The pyrolysis of untreated cellulose generally proceeds with depolymerization reactions, while dehydration reactions are dominant during the pyrolysis of acid-impregnated cellulose [[50](#page-14-0)]. Figure [8](#page-11-0) shows the possible pathways for pyrolytic degradation of acid-treated cellulose [[50](#page-14-0)].

The effects of changes in CrI value on the pyrolytic degradation behavior of biomass were investigated by thermal

<span id="page-9-0"></span>



analysis. For this purpose, the DTG and DSC curves of the two treated samples (SS-HF and RS-HNO<sub>3</sub>), for which the greatest decrease  $(-53.2\%)$  and increase  $(+25.1\%)$ in CrI values were determined, were compared with their raw samples. Decomposition temperature ranges of hemicellulose, cellulose, and lignin in an inert environment are 220–315 °C, 300–400 °C, and 150–900 °C, respectively [\[1](#page-12-0), [51\]](#page-14-1). Therefore, the rate of mass losses between 300 and 400  $\degree$ C is included to Fig. [9](#page-11-1) in order to focus on degradation characteristics of cellulose.

Hemicellulose-rich raw SS has high low-temperature reactivity, and consequently, it showed a rapid decomposition behavior especially up to 325 °C. The weight losses occurring after the completion of hemicellulose degradation were due in part to lignin degradation and largely due to degradation of cellulose. The treatment with HF resulted in a very signifcant increase in the decomposition rates within 330–380 °C. The decrease in CrI caused an increase in thermal reactivity. The strong endothermic peak in range of 338–360 °C in the DSC curve confrms this reactivity, since cellulose absorbed significant amount of heat for decomposition.

Besides, the situation is somewhat different for  $HNO<sub>3</sub>$ -treated RS, where an increase in CrI value occurred. The large diference in mass loss rates in the 330–380 °C range stated above has largely disappeared, and the degradation rates of the raw HS-treated sample have converged. Although there was an increase in the decomposition rates in the range of 335–350 °C, this increase was limited. In contrast to this, it is seen that there is a slight decrease in reactivity between 350 and 375 °C. Accordingly, no endothermic peak occurred in the DSC curve.

In similar studies in literature, the effects of the applied pretreatment methods were investigated usually on the pyrolytic

<span id="page-10-0"></span>









<span id="page-10-1"></span>**Fig. 7** Comparison of lateral order indices (LOI) and total crystallinity indices (TCI)

<span id="page-11-0"></span>



5

0

300

<span id="page-11-1"></span>**Fig. 9** DTG and DSC curves of pyrolytic decomposition before and after treatment



 $SS - HF$ 

320

340

Temperature (°C)

5

 $\Omega$ 

-5

3 M

# **4 Conclusion**

400

60

380

It is concluded that various dilute solutions  $(5 \text{ mol } \%)$ influence the cellulose crystallinity at ambient temperature in a way that is more than expected. Depending on the type of chemical in solution, either decrease or increase occurred in the CrI of cellulose. For a certain type of biomass, some dilute solutions may be recommended for applications aiming to increase the crystallinity of cellulose by affecting the amorphous structure of biomass, while some other dilute solutions may be proposed for applications aiming to reduce the crystallinity of cellulose, namely, treatment with dilute solution

 $\bullet$  RS - Raw

320

RS - HNO3

340

Temperature (°C)

360

380

400

of HF enabled the most significant decrease in CrI of all of the biomass species. On the other hand, effect of a particular solution on cellulose crystallinity differs depending on biomass. Because the lignocellulosic biomass has a complex structure and consists of many components, each biomass was affected differently under the same conditions of treatment. It is also concluded that instead of comparing the CrI values determined by different methods such as XRD and FTIR, comparison should be made between the values determined by the same method. Nevertheless, the TCI value specified by FTIR method is relatively more compatible with the XRD results compared to the LOI. Thermal analysis tests revealed that the reactivity of pyrolytic degradation in the temperature range of 300–400 °C is significantly affected by the treatment due to change in cellulose crystallinity. In the literature, the thermal reactivity changes observed for treated biomass were generally attributed to changes in the inorganic structure. However, in the interpretation of changes in reactivity, not only the effects of inorganic matter but also variation in crystal structure of cellulose should be taken into account. The performance of this chemical treatment process on cellulose crystallinity can be tested by using biomasses with different properties such as hardwood or softwood as a future recommendation. In addition, the influences of different solution concentrations and slightly increased temperature on the process may be investigated. Moreover, the accessibility of cellulase enzyme to the treated biomass and its effect on fermentable sugar yield may be examined.

**Author contribution** Equal.

**Data availabilty** Data will be made available on reasonable request.

**Code availability** Not applicable.

## **Declarations**

**Conflict of interest** The authors declare no competing interests.

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