



Rheological characterization of cellulose nanocrystal-laden self-healable polyvinyl alcohol hydrogels

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Abstract

Among various nanomaterials, cellulose nanocrystals (CNCs) are regarded as the most suitable reinforcing fillers for hydrogels owing to their high dispersibility in water and favorable hydrogen bonding with water-dispersible polymers. Herein, CNC-laden polyvinyl alcohol (PVA)/borax (P/CNC) hydrogels were prepared by solution mixing, and their mechanical and rheological properties were investigated in terms of CNC loading of 0–60 w/w%. PVA/borax hydrogels are known to exhibit self-healing ability based on the dynamic nature of the borate–diol complex, which is dependent on the rheological response because the rheological chain dynamics dominantly affect the self-healing process. In mechanical testing, the Young's modulus of the P/CNC hydrogels sharply increased above 40 w/w% CNC, indicating that the stiffening effect of CNC was enhanced above the critical loading. From a rheological perspective, the increases in the viscosity and storage modulus were further accelerated above 40 w/w%. In particular, the chain flow relaxation time (τ_f), a quantitative parameter closely related to the self-healing performance, was observed for the P/CNC hydrogels with CNC amounts of 0–40 w/w% (1.6–97.3 s); whereas, there is no τ_f for the P/CNC hydrogels with 45–60 w/w% CNC within a reasonable time scale we observed at 25 °C. Consequently, the incorporation of less than 40 w/w% CNCs affords high mechanical stiffness while maintaining self-healing ability.

Keywords Rheology · Self-healing · Polyvinyl alcohol hydrogel · Cellulose nanocrystal · Nanocomposite

1 Introduction

Hydrogels are regarded as common synthetic materials reminiscent of biological soft tissues (e.g., human skin) owing to their water-rich structure, high biocompatibility, and superior mass transfer ability of water-soluble ingredients [1–4]. Although the polymeric network acts as a framework to tolerate external stresses, the water-rich phase enables rapid diffusion with liquid-like transport features [5]. These benefits are fundamental reasons why hydrogels are of prime interest in a wide array of scientific and industrial fields, including soft electronics and robotics, drug delivery systems, healthcare materials, and tissue engineering [6–11]. Despite the structural and characteristic resemblance of hydrogels with natural tissues, their relatively low mechanical tolerance surpasses their potential in terms of commercialization. In

particular, synthetic hydrogels with non-self-healing ability exhibit vulnerable durability once physical damage has accumulated, whereas biological tissues have outstanding longevity because the physical cracks and scratches disappear through self-healing [12–14]. In this regard, numerous efforts have been devoted to overcoming mechanical susceptibility by translating the self-healing nature to synthetic hydrogels [15–18]. Self-healability can be achieved by either chemical or physical processes, where the former includes hydrazine, disulfide, alkoxyamine, and Diels–Alder bonds [19–23], whereas the latter includes dynamic hydrogen bonding, π – π stacking, metal–ligand coordination, and ionic interactions [24–28].

In addition to the poor longevity of synthetic hydrogels, their mechanical strengths and moduli are inferior to those of natural tissues. Among the various approaches, preparing composites by incorporating fillers is the most convenient way to improve the mechanical performance of hydrogels [29]. Cellulose nanocrystals (CNC), which are biomass-derived natural nanomaterials, are promising nanofillers in polymer nanocomposites owing to their good reinforcing

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effect while maintaining biocompatibility and eco-friendliness [30–32]. Accordingly, CNCs are the preferred reinforcing fillers for fabricating nanocomposites composed of biodegradable and/or biocompatible polymers [33–35]. From the perspective of hydrogels, moreover, CNC can provide high reinforcing efficiency because it exhibits high water dispersibility and good chemical affinity with water-soluble polymers through hydrogen bonding [36].

Many self-healing hydrogels have been mechanically improved by incorporating CNC as nanofillers [37–39]. It is a prerequisite to characterize the rheological properties of self-healing hydrogels to precisely control their healing performance because the healing process is fundamentally based on chain dynamics and dynamic bond exchange [4]. Among various characterization tools, the rheological approach provides invaluable information for analyzing chain dynamics (i.e., flow, segmental motion, and relaxation) relevant to the healing mechanism. Recently, our research group established three rheological criteria to distinguish between self-healing and non-self-healing PVA-based hydrogels [40]. The three distinguishing features of the self-healing PVA hydrogels are classified as follows: (1) terminal flow behavior in the time–temperature superposition master curves, (2) finite chain flow relaxation time (τ_f), and (3) thermally induced gel–sol transition behavior. Nonetheless, the presence of CNC in the hydrogels inevitably affects the chain dynamics and relevant self-healing mechanisms. Therefore, in this study, we investigated the rheological properties of CNC-laden polyvinyl alcohol (PVA) hydrogels with CNC contents of 10–60 w/w%.

2 Experimental

2.1 Materials

PVA (89,000–98,000 g mol⁻¹, 99 + % hydrolyzed) and sodium tetraborate decahydrate (borax, $\geq 99.5\%$) were purchased from Sigma-Aldrich (USA). Spray-dried CNC powder was purchased from CelluForce Co. (Canada). None of the chemicals was further purified before use.

2.2 Preparation of CNC-laden PVA hydrogels

CNC-containing polymer nanocomposites have mainly been prepared through the solution blending method owing to the thermal infusibility of CNCs [41]. First, CNCs were pre-dispersed in deionized (DI) water as a co-solvent at a specific concentration using bath-type sonication at room temperature (25 °C) until the solution became transparent. PVA was then dissolved in CNC/DI water solution at 98 °C for 4 h. The CNC content with respect to PVA content ranged from 0 to 60 w/w% at intervals of 5 w/w%. To induce gelation,

the prepared PVA/CNC solution (57 g) was mixed with a 4 wt% borax aqueous solution (15 g) to generate a dynamic borate–diol complex. The CNC-laden PVA hydrogels will henceforth be mentioned as “P/CNC n ” with n referring to the CNC loading (w/w%) with respect to PVA amount. The compositions and sample codes are listed in Table 1.

2.3 Characterization

The mechanical properties of the CNC-laden PVA hydrogels were characterized using a universal testing machine (UTM, Instron 5943, UK) loaded with a 50 N load cell at 25 °C. For mechanical testing, rectangular-shaped hydrogel samples were prepared with dimensions of 15 × 8 × 5 mm, and the testing was carried out at a crosshead speed of 25 mm/min. The rheological responses of the PVA/CNC hydrogels were assessed by small amplitude oscillatory shear tests using an oscillatory rheometer (MCR 302e; Anton Paar, Austria) [42, 43]. A parallel-plate geometry with a diameter of 25 mm was adopted. The plate gap and strain level were 1.2 mm and 5%, respectively. Frequency sweeps were observed in the angular frequency (ω) range of 0.05–500 rad s⁻¹ at 25 °C.

3 Results and discussion

The CNC-laden self-healable PVA hydrogels were prepared via three steps: (1) a certain amount of CNC was dispersed in DI water by bath sonication for 3 h, (2) PVA was added to the aqueous CNC solution, and then dissolved at 98 °C for 4 h, and (3) 4 wt% borax/DI water solution was added to the PVA/CNC solutions to produce dynamic borate–diol linkages that are the driving force of the self-healing of PVA hydrogels. The composition of the CNC-laden PVA

Table 1 Sample codes and compositions of the components in PVA/CNC hydrogels

Sample code	DI water (g)	CNC (g)	PVA (g)
PVA	45	0	12
P/CNC5	44.4	0.6	12
P/CNC10	43.8	1.2	12
P/CNC15	43.2	1.8	12
P/CNC20	42.6	2.4	12
P/CNC25	42	3	12
P/CNC30	41.4	3.6	12
P/CNC35	40.8	4.2	12
P/CNC40	40.2	4.8	12
P/CNC45	39.6	5.4	12
P/CNC50	39.0	6.0	12
P/CNC55	38.4	6.6	12
P/CNC60	37.8	7.2	12

hydrogels with a CNC content of 0–60 w/w% (at intervals of 5 w/w%) is summarized in Table 1. Owing to the high dispersibility of CNC in DI water as well as favorable hydrogen bonding with PVA, CNC-laden hydrogels were conveniently prepared with negligible filler aggregation.

The mechanical properties of the hydrogels were assessed by uniaxial testing, as shown in Fig. 1a, and the resulting stress–strain curves of the PVA/CNC hydrogels are shown in Fig. 1b. The neat PVA hydrogel was extremely ductile and, as a result, hardly fractured even at a strain of 2000%. Despite the gel structure, the high softness (i.e., ductility) of the neat PVA hydrogel resulted from the dynamic nature of the borate–diol complex. However, the incorporation of CNC resulted in an obvious change in the mechanical behavior of the PVA hydrogels. The CNC-containing hydrogels exhibited noticeable yielding behavior upon stretching in the vicinity of 150% strain, and eventually fractured (Fig. 1b). The increase in the CNC content in the PVA hydrogels considerably increased the yield stress at the yielding point and accelerated failure. In addition, Young's modulus of the PVA/CNC hydrogels was strongly dependent on the filler content. The theoretical tensile modulus of CNC is reported

to be 206 GPa along the (001) plane, which is significantly higher than that of typical hydrogels [44, 45]. The extremely high modulus of CNC results from its high crystallinity of 54–88% [46]. Hence, an increase in the CNC content in polymer nanocomposites is generally accompanied by an increase in the modulus. Interestingly, in Fig. 1c, the modulus suddenly increases above the CNC content of 40 w/w%, which reveals that the intermolecular interactions of PVA–CNC and relevant properties of those hydrogels abruptly change in the vicinity of the critical content.

The viscoelastic properties of the CNC-laden PVA hydrogels were evaluated using a dynamic frequency sweeping test at 25 °C over the ω range of 0.05–500 rad s⁻¹. Figure 2a–c show the dynamic viscosity (η'), storage modulus (G'), and loss tangent ($\tan \delta$) curves of the CNC-laden PVA hydrogels. According to the previous literature reported by our group, self-healable PVA/borax hydrogels behave as a pseudoplastic fluid with a lower Newtonian flow region (LNFR), whereas non-self-healable freeze/thaw PVA hydrogels exhibit Bingham body behavior without LNFR [40]. Given that the LNFR is indicative of a homogeneous polymer solution or melt, the Newtonian behavior of PVA/

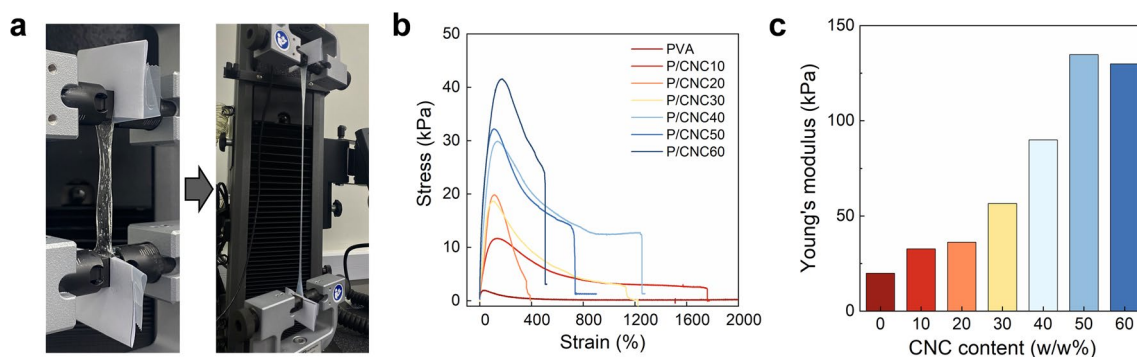


Fig. 1 **a** Photographic images of the mechanical test for PVA/CNC hydrogels, **b** stress–strain curves, and **c** variation of Young's modulus of PVA/CNC hydrogels with different CNC amounts

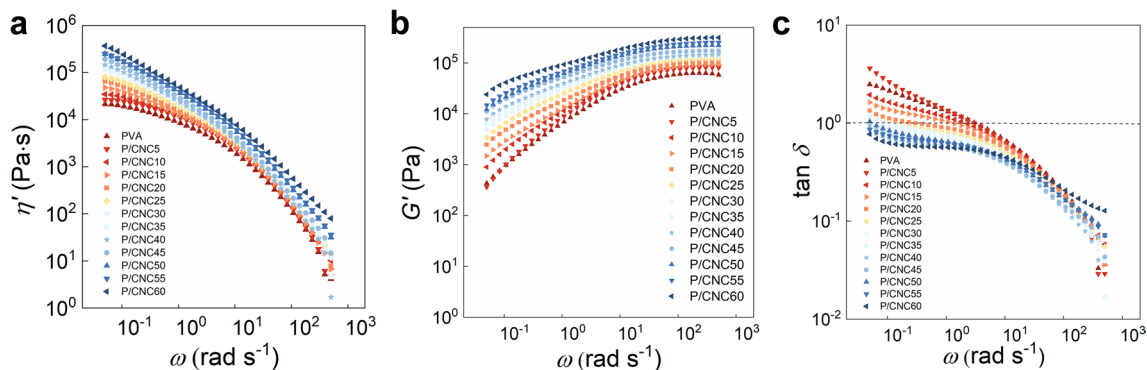


Fig. 2 **a** η' , **b** G' , and **c** $\tan \delta$ curves of PVA/CNC hydrogels with different CNC loadings in the range of 0–60 w/w%. The dynamic frequency sweeps were characterized at 25 °C in the ω range of 0.05–500 rad s⁻¹

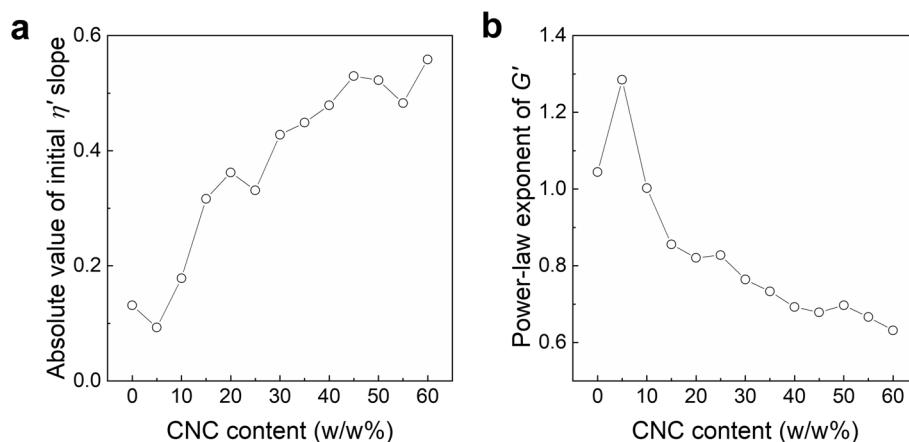
borax hydrogels is a paradoxical result. This indicates that the self-healing PVA hydrogels are classified as viscous fluids, although the dynamic borate–diol bonds are classified as chemically cross-linked. As shown in the η' curves (Fig. 2a), the neat PVA hydrogel exhibits a slight LNFR in the low-frequency range. However, the LNFR disappears as the CNC amount increases in the PVA hydrogels, which indicates a transition of the fluid type from pseudoplastic to Bingham body behavior. The absolute values of the slopes of the η' curves in the ω range of 0.05–0.1 rad s⁻¹ are plotted in Fig. 3a to quantitatively analyze the fluid types. As expected, the neat PVA and P/CNC5 hydrogels exhibited an absolute slope close to zero (0.1–0.13), indicating Newtonian-like behavior (if the frequency sweep is observed to much lower-frequency ranges, a clear Newtonian region appears). However, the absolute value notably increased with increasing CNC content, which clearly supports the transition of the fluid type to the Bingham body system. In particular, the value of 0.56 for the P/CNC60 hydrogel clearly confirms the strong Bingham behavior without LNFR.

The G' and $\tan \delta$ curves would offer helpful information for understanding fluid characteristics (Fig. 2b, c). In general, a hydrogel with a cross-linked network is reported to exhibit a plateau-like G' curve in frequency sweeps, that is, an almost frequency-independent modulus [47, 48]. Thus, the permanently cross-linked three-dimensional network gives rise to inherent stiffness regardless of the frequency level. However, in self-healable hydrogels composed of dynamic chemical bonding, the G' trend (increase as a function of the frequency) is reminiscent of fluidic polymer solutions rather than cross-linked gels [49]. This indicates that the borate–diol linkage between PVA and borax rarely develops a permanent cross-linking network in the hydrogels. As reported, the short lifetime of the dynamic borate–diol bond considerably reduces the persistence of the network, which results in a pseudo-state [50]. The power-law exponent of G' is plotted as a function of the CNC content in Fig. 3b. As a rule, an ideally

homogenous polymer solution exhibits $G' \sim \omega^2$, whereas an extremely stiff hydrogel shows a plateau curve with $G' \sim \omega^0$ [48]. The high power-law exponent of the neat PVA hydrogel ($G' \sim \omega^1$) is indicative of the pseudo-gel state. However, the increase in the CNC content significantly lowers the exponent, which suggests that the CNC molecules stiffen the hydrogels by disturbing the dynamic bond exchange as well as the chain motion. The degree of solid-like character can be evaluated using the $\tan \delta$ values (Fig. 2c). $\tan \delta > 1$ (or < 1) represents the liquid-like (or solid-like) phase character of a polymeric system [51]. At 0.05 rad s⁻¹, the neat PVA and P/CNC5–P/CNC35 hydrogels gave $\tan \delta$ values above 1, whereas other P/CNC hydrogels with higher CNC content gave a value less than 1. This suggests that the CNC-laden PVA hydrogels with CNC contents above 40 w/w% exhibited solid-like features over the entire frequency range observed.

To analyze the effect of CNC loading on the viscoelastic properties of the PVA hydrogels, the η' , G' , and $\tan \delta$ values at 0.05 rad s⁻¹ are plotted with respect to the CNC content in Fig. 4a–c, respectively. While the viscosity and modulus values gradually increased with CNC loading, the parameter increment was further accelerated in the vicinity of 30–40 w/w% of CNC (Fig. 4a, b). This suggests that the stiffening effect of CNC is further enhanced above the critical loading. It is interesting to note that in mechanical testing, the Young's modulus of the CNC-laden PVA hydrogels increased remarkably above 40 w/w% of CNC. In this regard, the obvious increase in the mechanical modulus of the PVA/CNC hydrogels is consistent with the rheological results. In addition, the $\tan \delta$ values at 0.05 rad s⁻¹ are less than 1 above 40 w/w% of CNC (Fig. 4c), which further confirms the dominant solid-like character of the PVA hydrogels. The calculation of the yield stress (τ_y), which is the minimum energy required to collapse the heterogeneous network in a polymeric system, provides quantitative evidence of the heterogeneity variation of the PVA hydrogels in terms of the CNC amount. τ_y can be obtained by

Fig. 3 Variations of **a** the absolute value of the initial slope of the η' curves obtained in the frequency range of 0.05–1 rad s⁻¹ and **b** the power-law exponent of the G' curves of PVA/CNC hydrogels



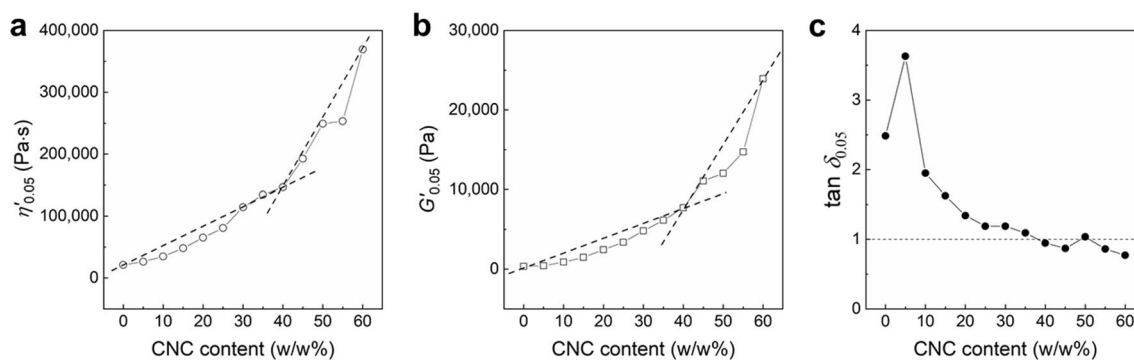


Fig. 4 Variations of **a** the η' , **b** G'' , and **c** $\tan \delta$ values obtained at 0.05 rad s^{-1} as a function of the CNC loading for PVA/CNC hydrogels

extrapolating the modified Casson plot using the following equation (Fig. 5a) [52, 53]:

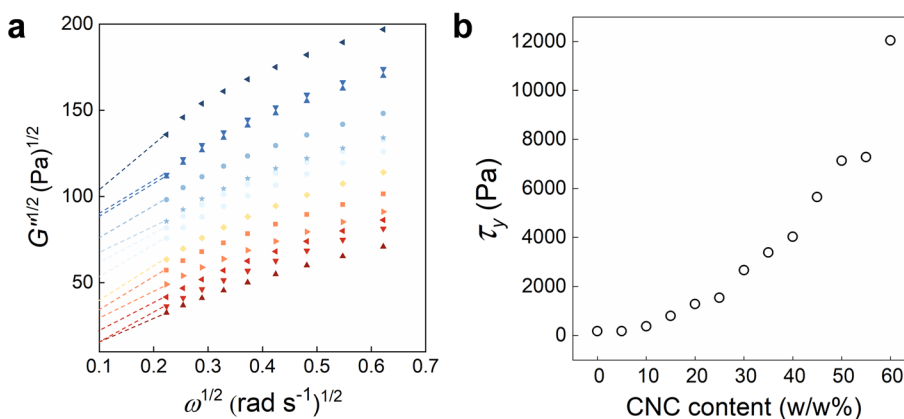
$$G\epsilon^{1/2} = \tau_y^{1/2} + K\omega^{1/2}, \quad (1)$$

where G'' represents the loss modulus, and the τ_y is defined as the square value of the intercept. As shown in Fig. 5b, the neat PVA hydrogel has a relatively low τ_y (175 Pa) compared to other PVA/CNC hydrogels owing to the dynamic nature of the borate–diol complex-based pseudo-gel network. The τ_y value increased gradually with the CNC content and became more noticeable above 30 w/w% of CNC. The P/CNC10, 20, 30, 40, 50, and 60 hydrogels give the τ_y of 377, 1279, 2664, 4028, 7134, and 12045 Pa, respectively. Consequently, CNC molecules form a robust network via interfibrillar interactions with each other, which is responsible for the high solidity of the CNC-laden PVA hydrogels above 30 w/w%.

Among the various rheological parameters, the chain flow relaxation time (τ_f) is regarded as one of the most persuasive factors correlating the rheological behavior and self-healing performance of polymeric materials [54]. τ_f is determined as the reciprocal value of the crossover frequency between

two rheological moduli (G' and G'') curves [55]. Yanagisawa et al. reported that self-healing polymers exhibit infinite τ_f within reasonable timescales [49]. Conversely, the rheological modulus curves of the non-self-healing polymers do not intersect, indicating no crossover point and corresponding τ_f . Figure 6a, b show the moduli curves of the neat PVA and PVA/CNC hydrogels. The calculated τ_f values for the hydrogels are shown in Fig. 6c. While neat PVA and P/CNC10, 20, and 30 exhibit the crossover of the two moduli curves (Fig. 6a), the G' values of the P/CNC40, 50, and 60 hydrogels are higher than G'' over the entire frequency range without crossover (Fig. 6b). Consequently, the P/CNC40–60 hydrogels exhibited no τ_f values within the time scale observed at 25°C . This suggests that P/CNC40–60 hydrogels require a lot of time to self-heal when damaged at 25°C . Conversely, although the increased CNC amount increases the relaxation time, the P/CNC10–35 hydrogels give finite τ_f s within a reasonable time scale, indicating that they behave as self-healing materials. Therefore, the P/CNC nanocomposite hydrogels exhibited enhanced mechanical stiffness without significantly impairing their self-healing abilities.

Fig. 5 **a** Modified Casson plots and **b** variation of the τ_y of PVA/CNC hydrogels with increasing the CNC loading



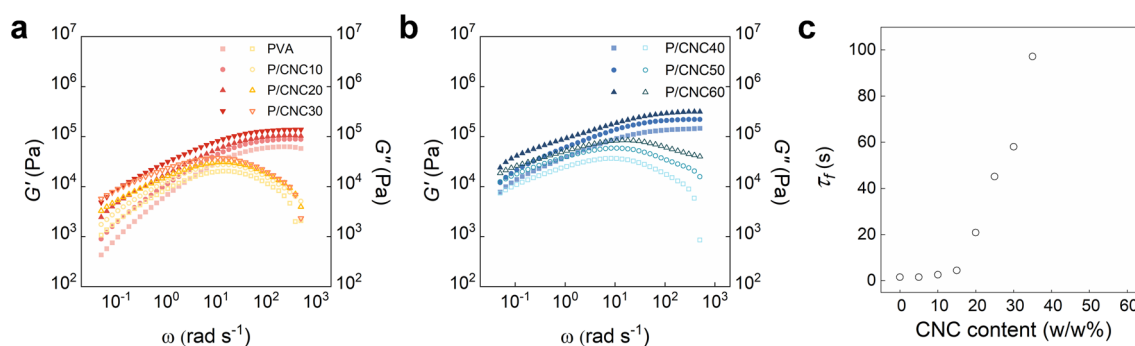


Fig. 6 G' (closed symbols) and G'' (open symbols) curves of **a** neat PVA and P/CNC10–30 hydrogels and **b** P/CNC40–60 hydrogels, and **c** variation of the τ_f of P/CNC hydrogels as a function of the CNC loading

4 Conclusions

In this study, CNC-laden self-healing PVA hydrogels were prepared by solution mixing, and the effect of CNC loading on the mechanical and rheological properties was investigated for CNC amounts of 0–60 w/w%. From both mechanical and rheological perspectives, the PVA/CNC hydrogels exhibited a sharp change in their properties in the vicinity of 30–40 w/w%. This revealed that the stiffening effect of CNC as a nanofiller was enhanced above the critical amount. In particular, τ_f , a measure of chain mobility and fluidity for self-healing, was not observed for the P/CNC40–60 hydrogels within the reasonable time scale we observed, which indicated poor self-healing ability at 25 °C. Nonetheless, the P/CNC5–35 hydrogel exhibited finite τ_f values at 25 °C. Consequently, PVA/CNC nanocomposite hydrogels with a CNC content of less than 35 w/w% exhibited a high mechanical modulus while maintaining self-healing ability.

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Data availability The data that support the findings of this study are available from the corresponding author upon reasonable request.

Declarations

Conflict of interest The authors declare no conflicts of interest.

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