Neurocognitive functioning in a Romanian cohort of young adults with parenterally-acquired HIV-infection during childhood

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Abstract The Romanian cohort can provide valuable information about the effect of chronic HIV-infection and exposure to combined antiretroviral therapy (cART) on the developing brain, based on its unique characteristics: young adults infected parenterally with HIV clade F in the late 1980s and exposed to cART for a decade. We conducted a prospective study using a neuropsychological test battery validated in other international HIV cohorts, in order to evaluate the rate and severity of neurocognitive impairment in a group of young Romanian adults. The 49 HIV-infected (HIV+) participants and the 20 HIV negative (HIV-) controls were similar for age and gender, although the HIV- group tended to be more educated. We found higher cognitive impairment prevalence in the HIV+ group (59.1 %) versus the HIV- group (10 %), and the impairment rate remained significantly higher even when the groups were matched based on the educational level (38.7 % for the HIV+ group vs. 10.0 % for the HIVcontrols; p=0.025). The nadir CD4 count was <200 in 71.4 % of patients, but at the time of neurocognitive assessment, 89.5 % of patients had normal immunological status and 81.8 % undetectable HIV load. Among the HIV-impaired group, 26 % of the participants had syndromic impairment while the other 74 % had asymptomatic neurocognitive impairment. We found a high prevalence of neurocognitive dysfunction in the Romanian young adults growing-up with HIV. The greatest HIV-related cognitive deficits were in the

domains of executive and motor functioning, consistent with a frontosubcortical pattern.

Keywords Neurocognitive impairment · HIV · Young adults · Romania · Clade F

Introduction

Human immunodeficiency virus (HIV) infection has increasingly become a chronic illness worldwide. According to the World Health Organization in 2013 approximately 3.2 million individuals under the age of 15 are living with HIV. Because of advances in treatment, more children with HIV have survived into late adolescence and early adulthood; however, little is known about the long-term effects of HIV infection and antiretroviral therapy on brain development.

Children infected with HIV at birth who are not receiving antiretroviral treatment (ART) are at high risk for development of progressive encephalopathy, especially if they have a rapid decline of CD4 count (Nozyce et al. 1994; Smith et al. 2006). However, encephalopathy occurring in older vertically infected children seems to have a different pathophysiologic mechanism than that from infants, similar to that observed in adults (Tardieu et al. 2000; Mitchell 2001).

Studies have found that HIV-infected children showed impairments in language, motor, executive function, and information processing speed, and that the severity and progression of these impairments were correlated with the stage of the HIV infection, CD4 count at initiation of antiretroviral therapy, and duration of treatment (Nozyce et al. 1994; Koekkoek et al. 2008). Nevertheless, psychosocial functioning also may be influenced by living environments and background (Coscia et al. 2001; Steele et al. 2007; Burns et al. 2008). Evaluating the impact of HIV damage on CNS during childhood is complicated in part by the lack of case definitions especially

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for older children. A recent review examining neurodevelopment in HIV-infected children noted that a "striking finding is the lack of published data specific to the adolescent age group" (Laughton et al. 2013).

Romania had a major HIV epidemic in children between 1987 and 1990, which may represent the world's largest iatrogenic transmission of blood-borne pathogens in children (Hersh et al. 1991). The majority of HIV-infected children acquired the infection via transfusions of unscreened blood or therapeutic injections through the improper reuse of nonsterile needles and syringes at a time when poverty, malnutrition, and epidemics of diseases were at their zenith (Hersh et al. 1993). Previous studies indicate that the HIV F1 clade was overwhelmingly the predominant (93 % of cases, with 6 % uninterpretable) clade linked to the nosocomial transmission in children (Apetrei et al. 1998). The use of combined antiretroviral therapy (cART) became standard in Romania starting in 1999. Currently, the children infected during the Romanian HIV epidemic have reached adulthood, and have over 20 years of chronic HIV infection and over a decade of exposure to antiretroviral treatment.

The present study was designed to evaluate the prevalence and severity of neurocognitive impairment in a homogenous group of young Romanian adults with the same subtype F who were primarily infected in their first years of life during this era.

Methods

Study population

The study was approved by the institutional review board (IRB) of "Dr. Victor Babes" Hospital for Infectious and Tropical Diseases (VBH) Bucharest, Romania and the University of California San Diego IRB. All participants provided written informed consent to participate in the study.

The HIV-infected participants (HIV+) were recruited from patients seen at the VBH outpatient department. VBH is one of the reference centers for HIV in Romania, and has followed over 1,600 HIV-infected patients since the beginning of HIV epidemic.

All 49 HIV+ participants were born between 1988 and 1991, had documented HIV infection and parenteral non-IDU transmission risk factors, and were followed at VBH since their HIV diagnosis. Epidemiological data supports infection in their first year of life, during the Romanian pediatric HIV epidemic. Ten of the 49 HIV participants had previous HIV clade evaluation (due to virological failure); all had F1 HIV subtype. Furthermore, previous molecular demographic and phylogeographic analyses (Mehta et al. 2011; Mbisa et al. 2012) demonstrated that F subtype was responsible for the Romanian nosocomial pediatric HIV epidemic.

Participants were excluded if they were unable to provide informed consent, had a neurocognitive morbidity unrelated to HIV condition (e.g., recent and/or significant traumatic brain injury, color blindness, hearing deficit that appears to affect auditory comprehension, neurosyphilis, stroke, etc.), or significant CNS opportunistic infection with fixed neurologic damage that may interfere with the neurocognitive assessment (e.g., PML, brain toxoplasmosis), severe psychiatric disorder, current or past psychotic disorder (e.g., schizophrenia, bipolar, or unipolar disorder with psychosis), or other major mental disorder likely to affect participation in the study or confound interpretation of neurocognitive evaluation, use of alcohol or recreational drugs meeting abuse or dependence criteria, or other severe medical confounds (e.g., severe pulmonary or renal disease, significant cardiac disease, and malignancy). We excluded participants with less than 8 years of education or who had been institutionalized during childhood.

Age-matched HIV- participants (n=20) with similar socioeconomic status were recruited mainly from Bucharest and from surrounding regions. Most of the controls were the siblings of HIV+ patients or their schoolmates.

Neurocognitive assessment

All 69 participants underwent standardized neurocognitive, psychiatric, and medical evaluations by the Romanian investigators (LE, RB, AB, AL), following training and certification at the HIV Neurobehavioral Research Center (HNRC) in San Diego.

The study protocol included a comprehensive neuropsychological (NP) test battery that has been validated for detecting and characterizing neurobehavioral effects of HIV-1 infection in the US (Heaton et al. 2010) and other international settings (Heaton et al. 2008). The battery assessed seven neurocognitive domains (Table 1). The instruments were adapted for linguistic and cultural appropriateness and translated into Romanian using standard back-translation methods.

In order to explore the real-world consequences of HIV-associated neurocognitive impairments, questionnaires were administered in order to assess (1) experiences of cognitive difficulties in the participants' everyday lives using the Patient's Assessment of Own Functioning Inventory (PAOFI) (Chelune et al. 1986) as well as (2) the independence with which they perform instrumental activities of daily living, using the Independent Activities of Daily Living Scale (ADL) questionnaire (Heaton et al. 2004).

Substance use and psychiatric disorders

In order to address the question whether neurocognitive impairment is due to HIV or other condition, the psychiatric evaluations focused on depression and substance use. Depression was evaluated with Beck Depression Inventory



 Table 1
 Neuropsychological test

 battery
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Neurocognitive domains						
Verbal fluency	Letter fluency (Gladsjo et al. 1999)					
	Animal fluency (Brucki et al. 1997; Tombaugh et al. 1999)					
	Action fluency (Piatt et al. 1999; Woods et al. 2005)					
Attention/working memory	Paced Auditory Serial Addition Task (PASAT-50) (Diehr et al. 1998, 2003)					
	WMS-III Spatial Span (Orsini et al. 1988; Heaton 2003)					
Speed of information processing	WAIS-III Digit Symbol (Heaton 2003; Carey et al. 2004; Christensen et al. 2007)					
	WAIS-III Symbol Search (Heaton 2003; Christensen et al. 2007)					
	Trail Making Test A (Selnes et al. 1991)					
	Stroop Word and Color (Stroop 1935; Golden 1975)					
Executive functioning	Category test (Pendleton and Heaton 1982; Heaton 1991)					
	Wisconsin Card Sorting Test (WCST-64) (Kongs 2000)					
	Trail Making Test B (Selnes et al. 1991)					
	Color Trails II (Bowie and Harvey 2006)					
Learning/memory	Verbal (Hopkins Verbal Learning Test-Revised) total learning & delayed recall (Brandt 2001)					
	Visual (Brief Visuospatial Memory Test-Revised) total learning & delayed recall (Benedict 1997)					
Motor	Grooved Pegboard dominant & non-dominant hand (Klove 1963; Heaton 1991)					

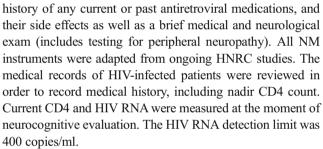
II (a 21-item self-report scale that measures depressive symptoms). A score of 0–13 represents minimal symptoms, 14–19 indicates mild depression, 20–28 is moderate, and 29–63 represents severe depressive (Beck and Steer 1993). Current and past alcohol and substance use was determined using a substance use history questionnaire.

Estimate of premorbid cognitive ability

In this HIV+ cohort, fewer years of schooling was impacted by noncognitive factors (e.g., not attending school due to stigma), but also possibly due to HIV-related cognitive deficits. Since, in general, children's academic and occupational success is correlated with their parents' attainment in these areas, in order to estimate whether the HIV+ and HIVparticipants had similar background characteristics and likely premorbid potential, we calculated the Hollingshead fourfactor index (Hollingshead 2011). This index, ranging from 1 to 5, is estimated by combining information on the parents' sex, marital status, education, and occupation. We adapted the occupation of the Romanian group to fit into the nine groups defined in Hollingshead classification. Hollingshead data collection was initiated following the start of the study, so data was available for 40 of 49 HIV+ and 12 of 20 HIVparticipants.

Neuromedical evaluation

The neuromedical (NM) examination included a systematic review of past medical and neurological histories, review of



All the control participants had a negative HIV serology. For all participants, standard laboratory testing was performed in order to assess other co-infections (HBV, HCV, and syphilis), renal, liver insufficiency, severe anemia, and thrombocytopenia. Data derived from these evaluations were used, in conjunction with laboratory results, to stage HIV+ participants and identify potential confounds.

Statistical analysis

Analyses for individual test raw scores were conducted using independent samples t test. In order to examine performance within and across cognitive domains, each of the tests was transformed into Z scores based on the mean and standard deviation of the HIV– group. The domain-specific Z scores were then averaged and independent samples t test was used to examine if mean group differences exist. In order to estimate impairment rates, we utilized a global deficit-type approach (Carey et al. 2004) by assigning a score from 0 to 5 based on number of Z score standard deviations from normal. Worse cognitive performance results in a higher Z score deficit score



(ZDS). A Z score of >0.50 was the cutpoint for classification as neuropsychologically impaired. The effect size (Cohen's d) was used to demonstrate the magnitude of the differences in NP scores between the HIV+ and HIV- groups.

Results

Demographic characteristics As shown in Table 2, the HIV+ and HIV- groups were similar with respect to age and gender. The HIV+ group had significantly less education than the control group, although the two groups had similar parental Hollingshead index scores. The average age at HIV diagnosis was 8.82 years (IQR=7.1 to 10.4).

There were few confounding conditions: one HIV-infected participant was infected with HCV, and only two participants from the HIV+ group admitted significant previous drug abuse (marijuana and cocaine). None of the participants had chronic alcohol use or alcohol abuse during the last 12 months. Eighteen HIV+ and 2 HIV- participants had positive HBs antigen. None of the participants had ALT levels elevated more than two times normal and none of them had signs of liver insufficiency.

Neuropsychological performance As seen in Table 3, the HIV+ group had lower raw NP scores on all tests, with the following reaching statistical significance: Letter Fluency and Action Fluency, Digit Symbol, Symbol Search, Trails A, Stroop Word and Color, PASAT-50, Category Test, WCST-64, Stroop Incongruent, BVMT Learning, and Grooved Pegboard Dominant and Non-Dominant Hand. Effect sizes across the NP tests ranged from 0.22 to 1.13, with most exhibiting at least a medium (>0.5) effect size. As seen in Table 3 and Fig. 1, the greatest effect sizes were seen in the motor and executive functioning domains in the overall HIV+

Table 2 Demographics and characteristics of the HIV seronegative and seropositive participants

HIV+ (*n*=49) HIV-(n=20)p Age 18.75 (1.02) 18.49 (0.77) 0.25 Education 11.30 (0.98) 9.78 (1.75) < 0.001 Sex (% male) 60.00 % 46.94 % 0.32 6.40 (6.82) Beck depression inventory 9.20 (6.90) 0.13 Hollingshead - parent 1 index 3.4(1.44), n=122.8(1.25), n=350.16 Hollingshead - parent 2 index 3.6(1.35), n=103.2(1.0), n=250.34 Age at HIV diagnosis 8.82 (4.28) CD4 current (median, IQR) 517 (342, 710) CD4 nadir (median, IQR) 86 (34-217) Plasma HIV RNA levels (log, mean, IQR) 2.88 (2.61-2.14) CDC stage C 36.7 % 91.8 % % on ART

group (solid line). Using a ZDS cutpoint of 0.5, 59.1 % of the HIV+ group was identified as cognitively impaired, versus 10.0 % of the HIV- group.

Since the educational differences between the HIV+ and HIV- groups may present an additional confound (higher levels of education are typically associated with better neuropsychological test performance), we also took a more conservative approach and sought to match the HIV- and HIV+ groups with at least 10 years of education attained (biasing our analyses to include the best functioning HIV+ individuals). This resulted in a group of 31 HIV+ individuals (mean [SD] years of education=10.9 [0.81]) and the same 20 HIV- controls (p=0.10). As seen in the far right column of Table 3 and Fig. 1, despite this conservative bias, we still find low-tomoderate effect sizes across most cognitive domains, with the strongest differences being found in Fluency (letter fluency), Processing speed (digit symbol), Executive functioning (WCST-64), and Motor functioning (grooved pegboard). In the matched group, the impairment rate was 38.7 % for the HIV+ group versus 10.0 % for the HIV- controls (p=0.025). The matched group also had the largest effect size for Motor and Executive functioning, with the pattern being similar to that seen with the total HIV+ group (Fig. 1). More than half of the HIV+ participants had impairment in the motor, speed of information processing, executive functioning, and attention/ working memory domains.

Relationship between medical variables and cognition

Forty-two participants (85.7 %) had a current CD4 count greater than 200. Current CD4 levels were not correlated with education (p>0.99). There was a trend for those with a nadir CD4<200 (n=34) to have a higher ZDS than those who never dropped below 200 (n=13) (ZDS=1.21 (1.04) vs. 0.75 (0.82), respectively; p=0.17; effect size=0.46). Eighteen patients had



Table 3 Neuropsychological test performance of HIV seronegative and HIV seropositive (entire and matched) participants

		HIV- controls (n=20)		All HIV+ (<i>n</i> =49)		Effect size (all HIV+ vs. HIV-)	Matched HIV+ (<i>n</i> =31)		Effect size (matched)
		Mean	SD	Mean	SD		Mean	SD	
Verbal fluency	Letter fluency	35.8	10.2	27.4	10.2	-0.81**	30.1	10.4	-0.54
	Animal fluency	18.6	4.7	17.5	5.0	-0.22	18.5	5.1	-0.02
	Action fluency	14.2	5.8	10.6	4.9	-0.69*	11.8	5.1	-0.44
Speed of information processing	Digit symbol	69.6	12.5	58.4	16.3	-0.72**	63.8	15.3	-0.40
	Symbol search	31.9	6.6	24.7	8.7	-0.87**	28.1	8.0	-0.50
	Trails A	37.0	9.6	48.1	19.9	0.62*	42.2	17.1	0.35
	Color trails 1	44.4	18.6	54.4	26.4	0.40	48.1	21.7	0.10
	Stroop word (#)	95.8	13.5	85.8	15.2	-0.67*	89.4	14.9	-0.44
	Stroop color (#)	72.4	10.2	63.7	12.1	-0.74**	67.2	11.9	-0.45
Attention/working memory	PASAT-50	35.7	9.0	26	11	-0.92**	29.3	11.6	-0.59*
	Spatial span	17.3	2.3	15.6	4.5	-0.42	17.0	4.0	-0.09
Executive functioning	Category test	38.9	16.9	56.9	26.4	0.74**	49.5	26.8	0.44
	WCST-64	8.5	4.0	12.8	6.2	0.75**	11.3	5.3	0.57*
	Stroop incong (#)	43.6	12.9	36.7	10.0	-0.63*	39.3	8.9	-0.40
	Color trails 2	78	21.5	97.9	47.2	0.47	87.1	48.8	0.22
Learning	BVMT-R learning	27.5	5.2	23.4	6.1	-0.69*	25.5	5.7	-0.36
	HVLT-R learning	27.9	3.6	26	4.5	-0.44	27.1	4.5	0.19
Recall	BVMT-R delay	9.9	1.4	8.9	2.4	-0.46	9.7	2.1	-0.11
	HVLT-R delay	9.8	1.6	9.0	2.1	-0.40	9.3	2.0	-0.27
Motor	Pegs dominant	62.7	8.6	77.4	14.2	1.13***	75.4	13.1	1.08***
	Pegs non-dom	71.6	9.9	83.1	18.4	0.69*	80.2	18.6	0.54
Global	% impaired	10 %		59 %			38.7 %		

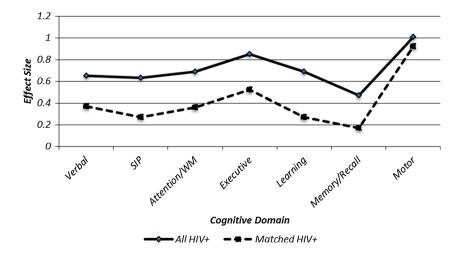
^{*}p<0.05; **p<0.01; ***p<0.001

PASAT paced auditory serial addition task, WCST Wisconsin card sorting test, HVLT-R Hopkins verbal learning test-revised, BVMT-R brief visuospatial memory test-revised

AIDS-defining diseases, with a mean ZDS of 1.72 (1.14) versus 0.72 (0.69) for those without AIDS events (p<0.001).

All except one HIV patient had been exposed to antiretroviral treatment. Four patients stopped cART for more than 6 months before testing (nonadherence). Thirty-six (81.8 %) of the 44 HIV patients on cART had undetectable HIV load at testing. The mean time of exposure to ART was 5.8 ± 3.2 (0.46–15.4) years in our group of HIV-infected participants.

Fig. 1 Effect size of all HIV positive participants versus controls (*solid line*) and of HIV positive education matched group versus HIV negative control group (*dotted line*). SIP speed of information processing, WM working memory





The median number of ART regimens was 2 (range 1–6). Duration of exposure to antiretroviral therapy was not associated with the ZDS (rho=-0.2, p=0.16), and there was no difference in neurocognitive impairment rates between participants that spent longer time on an antiretroviral regimen with a total CNS penetration rank above 7 (Letendre et al. 2010) compared to those with an antiretroviral regimen rank below 7.

There was a trend for the HIV+ participants to be more likely to report cognitive problems on the PAOFI (58.5 % with at least one complaint vs. 31.6 % of the HIV- group; p=0.052). Within the HIV+ group, a higher ZDS score was associated with more cognitive complaints (r=0.33, p=0.04), and there was a trend for a higher number of complaints in the HIV-impaired group (mean 2.6 vs. 0.83 in the nonimpaired group, p=0.058). There were no clear differences in difficulties completing everyday activities (ADL questionnaire), but this was confounded by the fact that the HIV+ group was more likely to report that they were "able" to do an activity, but chose not to or that someone else does it for them (e.g., 5 % of controls vs. 26.5 % of HIV+ reporting being able to handle their own finances, but someone else does it for them), and that the HIV- group may have had more social supports (e.g., the HIV- group was more likely to eat meals prepared by others vs. the HIV+ group which was more likely to prepare their own meals). Thus, we did not utilize the IADL questionnaire in determining syndromic status. However, HIV-infected participants reported problems in initiating and participating to social activities, using transportation, reading, and understanding written materials. The HIV+ NP-impaired group had a higher mean number of reported difficulties in IADLs domains compared to HIV+ NP unimpaired participants $(3.4\pm2.2 \text{ vs. } 2.2\pm1.6, p=0.04)$.

Using the previously established PAOFI cutpoint of three or more complaints as indicative of complaints with everyday functioning (Blackstone et al. 2012), approximately 26 % of the HIV+ impaired individuals would be classified as having syndromic impairment (Antinori et al. 2007), with the remaining 74 % classified as having asymptomatic neurocognitive impairment.

The overall depression rates were low for the HIV+ group (BDI median of 7.0 [IQR 3–15] vs. 4.5 [IQR 2.5–9.0] for the control group; p=0.07). No participant had severe depression. However, 8.1 % of HIV participants and 5 % of controls had moderate depression. Depressive symptoms were not significantly associated with the number of cognitive complaints (r=0.19; p=0.25).

Discussion

Using a neuropsychological test battery validated in other international HIV cohorts, and a comparison group of HIV

seronegative young adults of similar age and socioeconomic background, we found high prevalence of neurocognitive dysfunction among HIV+ young adults infected with HIV since early childhood. Over half of the HIV-infected young adults exhibited neurocognitive impairment. This rate is notable given that most of the participants were on cART, had undetectable HIV plasma load, and good immunological status; there was a low rate of confounding conditions, and that they were part of a "survivor" cohort. To our knowledge, this is one of the largest studies to examine HIV-associated neurocognitive disorders in a homogenous group of long-term surviving adolescents and young adults who grew up with HIV.

Most cognitive studies of individuals infected since early childhood focused on children infected perinatally, and have reported on data collected through early adolescence. Comparisons between studies are complicated by many methodological differences, such as age ranges of the participants, sample sizes, ARV treatment status, comprehensiveness of the neuropsychological test battery, availability of appropriate norms, etc. Nonetheless, findings regarding global cognitive functioning have been mixed, with some studies finding lower functioning in HIV+ children and adolescents (Koekkoek et al. 2008; Paramesparan et al. 2010; Hoare et al. 2012; Ruel et al. 2012), while others did not (Bagenda et al. 2006). The overall impairment rate in the present study was 59 %, which is slightly higher than described in the US CHARTER adult population (45 % for those without severe confounds) (Heaton et al. 2010) and similar to those reported in the French Aguitaine Cohort between 2007 and 2009 (Bonnet et al. 2012), although it should be noted that the current cohort had a history of more advanced HIV disease. Of note, these findings of higher impairment in the HIV+ generally held even when more closely matching the HIV+ and HIV- groups on educational attainment, a conservative bias. Reasons for the lower education in the HIV+ sample were fear of being stigmatized, the parents' decision to hold them out of school, poor general health status, or limited access to high school or university (Buzducea et al. 2010).

In the present study, the greatest HIV-related decrements in cognitive functioning were in the domains of executive and motor functioning, although more than half of the HIV+ participants had impairment in four of the seven assessed cognitive domains (including speed of information processing and attention/working memory) consistent with a frontosubcortical pattern. Motor impairment is often reported in children with HIV encephalopathy. The young adults with HIV in the present study did not have abnormalities on the neurological exam, but had significantly worse scores at the Grooved Pegboard Test, indicating a deficit of fine motor skills. HIV-associated impaired myelination processes during childhood and adolescence might be responsible for impaired fine motor skills in our group, as reported previously by other



pediatric studies (Blanchette et al. 2001; Abubakar et al. 2008). Moreover, demyelination processes were linked to poor executive functioning and attention in a group of HIV-infected children, asymptomatic with slow HIV progression (Hoare et al. 2012).

There are several candidate explanations for the present findings. It is possible that irreversible brain damage occurred prior to initiating cART, which is corroborated by the strong relationship we found between worse cognitive functioning and a history of an AIDS-defining illness, as well as lower nadir CD4 cell counts. All the HIV+ participants had at least 8-10 years of chronic HIV without cART and most had a nadir CD4 count below 200. In children, similar to adult populations, persistent and uncontrolled HIV replication in the brain may lead to cognitive deficits (Pratt et al. 1996; Reger et al. 2002), and nadir CD4+ lymphocyte count has been associated with greater risk of neurocognitive impairment in many reports in adults (Heaton et al. 2010; Ellis et al. 2011) and children (Foster et al. 2006). We postulate a possible neurotropic effect of HIV clade F which could explain the prevalence of NCI in this group of young participants with controlled HIV infection. HIV-associated neurocognitive impairment appears prevalent regardless of the HIV clade (Joseph et al. 2013), although a study of children in Uganda found that HIV-subtype A was associated with poorer neuropsychological performance compared with subtype D, suggesting that subtype-specific neurocognitive deficits may possibly reflect age-related differences in the neuropathogenesis of HIV (Boivin et al. 2010).

Although less studied, the potential neurotoxicity of antiretroviral therapy on the developing brain may also contribute to neurocognitive impairment (Robertson et al. 2012). This is of particular interest in the light of exposure of our study population during childhood to nucleoside reversetranscriptase inhibitors that have been associated with possible mitochondrial dysfunction in children with perinatal HIV infection (Crain et al. 2010).

The impaired HIV+ participants had a significantly higher number of cognitively complaints than cognitively normal participants, consistent with prior studies demonstrating the relationship between cognitive functioning and real-world difficulties (Heaton et al. 2004; Blackstone et al. 2012). Using cognitive complaints to determine syndromic status, 26 % of the impaired participants met the criteria for mild neurocognitive disorder, similar to the rate recently reported in the CHARTER multisite study of adults in the US (Blackstone et al. 2012). Unfortunately, the use of a questionnaire designed to assess independence in everyday functioning in participants infected in adulthood was problematic for our specific group, due to different living conditions, access to parents and other social support systems, etc. In addition, its utility was limited since both groups could not reference an earlier time of independent functioning. Previous studies using the Adaptive Behavior Assessment System (Harrison and Oakland 2003), which has been proposed as one option for measuring adolescent functioning, have had conflicting results (Gosling et al. 2004; Smith et al. 2012). Almost half of the current HIV+ participants reported problems in initiating or participating in social activities. Although not significantly different from controls, this may be related to the self-stigmatization often observed in our patients.

We found relatively low depression rates in the HIV+ group. This could be the result of good coping mechanisms, given the long course of their HIV-infection, or possibly an underreporting of depressive symptoms. Low prevalence of depression has also been reported among youths in studies from US (Mellins et al. 2009; Gadow et al. 2010) and Thailand (Lee et al. 2011).

Our group of HIV positive participants had HIV negative parents while most studies evaluate perinatally HIV-infected children that are orphans or have HIV-infected parents. The support of the parents and caregivers is associated with better mental health in youth (Elkington et al. 2011). The present study did not comprehensively evaluate for psychiatric disorders, emotional/behavioral problems, or psychosocial problems related to prolonged hospitalization, missed school and social opportunities, and stigma, which could have led to incomplete education, unemployment, or impaired independent functionality.

Our study had several limitations, including the limited HIV+ and control sample sizes, as well as a lack of large-scale normative data. This was somewhat mitigated by the narrow age and education range of the current cohort, but it does limit the overall interpretability of our findings.

Future studies should incorporate a longitudinal design to determine whether cognitive trajectories differ during this critical developmental period, and the maturation that typically occurs during the young adulthood years. In addition, such studies might assess for key behaviors (e.g., risk-taking and decision-making) and neuroanatomic changes (e.g., via imaging) in long-term surviving children/adults to better understand the conditions likely to be encountered as the large international cohort of individuals infected since childhood ages. This in turn might inform the field regarding targets for cognitive and behavioral interventions.

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Conflict of interest The authors declare that they have no competing interests.



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