# Impacts of Climate Change on Cotton Yield in China from 1961 to 2010 Based on Provincial Data

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#### ABSTRACT

To develop scientific countermeasures, the impacts of climate change on cotton yield during 1961–2010 in three major cotton-producing regions of China were studied by using the available provincial data. The results indicate that (1) a rise in average temperature increased the cotton yield in most provinces of Northwest China and the Yellow River valley; however, the rise in average temperature decreased the cotton yield in the Yangtze River valley. Moreover, cotton production across the entire study region was reduced by approximately 0.1% relative to the average during 1961–2010. (2) A decrease in diurnal temperature range (DTR) reduced cotton yield in some provinces, while a beneficial DTR effect was observed in the other provinces. Changes in DTR resulted in an average decrease in production by approximatly 5.5% across the entire study region. (3) A change in the amount of precipitation increased the cotton yield in some provinces; however, it caused a decrease in other provinces. The decrease in average production due to the change in precipitation was approximately 1.1%. We concluded that the changes in temperature and precipitation decreased cotton yields in China, while beneficial effects of temperature and precipitation existed in the cotton-growing regions of Northwest China during 1961–2010.

Key words: climate change impact, cotton yield, provincial data, China, temperature, precipitation

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#### 1. Introduction

The globle surface temperature has increased, on average, by 0.74°C during 1906–2005, while the increase during 1956–2005 was approximately 0.65°C. The rate of warming (increase of average temperature) during the recent 50 years ( $0.13\pm0.03$ °C per decade) is almost twice as much as that during the last 100 years ( $0.07\pm0.02$ °C per decade) (IPCC, 2007). Further, the increase in global surface temperature is predicted to be up to 1.1–6.4°C during the next 100 years (IPCC, 2007).

During the past 100 years, China experienced significant climate change. The average air temperature increased by 0.5–0.8°C, which is slightly higher than the global average (Ding et al., 2006). The average air temperature in China during 1951–2001 increased by 1.1°C (Ding et al., 2007). The increase in air temperature has been particularly significant since the 1980s (Committee of National Assessment Report on Climate Change, 2007). Because of a greater increase in nighttime temperature than that during the daytime, the diurnal temperature range (DTR) shows a downward trend in most areas of China (Liu et al., 2004; Committee of National Assessment Report on Climate Change, 2007). Precipitation increased by 10%–15% every decade in western China, while it decreased in most of northern China. A climatic model projected

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that by 2050, the annual mean temperature in China could rise by  $2.3-3.3^{\circ}$ C, and the precipitation could increase by 5%-7% (Qin et al., 2005).

A number of studies revealed that the climate change has affected crop yields (Chmielewski and Potts, 1995; Nicholls, 1997; Peng et al., 2004; Tao et al., 2006, 2008a, 2012, 2013, 2014; Schlenker and Roberts, 2009; Liu et al., 2010; Zhang and Huang, 2012) and will influence global crop production in the present and near future (Parry et al., 2004; Lobell, 2007; Schmidhuber and Tubiello, 2007; Lobell et al., 2008). Currently, many studies focus on the effects of increasing temperature on yield; however, the conclusions are often conflicting. Peng et al. (2004) reported that an increase in minimum temperature would cause a decrease in rice yield. However, Sheehy et al. (2006) reanalyzed the experimental data of Peng et al. (2004) and observed that the expected decrease in rice yield might be a combined effect of increasing minimum temperature and decreasing solar radiation. Regional climate trends in China during 1980-2008 reduced yields of wheat, maize, and soybean by 1.27%, 1.73% and 0.41%, respectively; however, they increased rice yield by 0.56% (Tao et al., 2012). Increases in temperature and solar radiation could limit increases in crop yield. Rice production in China has been affected by climate change; however, at the same time, varietal changes continue to be the major factor driving yields and growing period trends (Tao et al., 2013). Changes in temperature, precipitation, and solar radiation during 1981–2009 increased wheat yield in northern China by 0.9%-12.9% but reduced it by 1.2%-10.2% in southern China (Tao et al., 2014). The changes in crop yield are due to interactive climatic factors (Lobell and Oritiz-Monasterio, 2007; Zhang et al., 2010). Climate and yield relations are scale dependent. Large scale statistical data and regional climate datasets are important for investigating general response patterns of crop production to climate change and variability (Lobell, 2007; Lobell et al., 2008).

China is the largest cotton producer in the world. Cotton occupies a crucial position in the livelihood of many Chinese farmers and the national economy. Cotton is a strategic commodity in China because of its historic importance in obtaining foreign exchange, in clothing its large army, and as a source of state tax revenue (Fang and Babcock, 2003). Cotton is grown throughout China. The three major cottonproducing regions cover more than 90% of China's total cotton area (Hsu and Gale, 2001), and are located in the northwest region (Xinjiang and Gansu), the Yellow River valley (Shaanxi, Shanxi, Hebei, Henan, and Shandong), and the Yangtze River valley (Sichuan, Hubei, Hunan, Anhui, Jiangxi, Jiangsu, and Zhejiang).

Research on how climate change influences the cotton yield in China will be helpful in understanding the potential effects of future climate change and for developing scientific countermeasures. However, such information is limited. Therefore, the objective of this study is to systematically quantify climate and cotton yield relations in China by using the regional trends in climate over the last 50 years.

#### 2. Materials and methods

### 2.1 Study region and data sources

Yield data for cotton were obtained from the data sharing infrastructure of earth system science (DSIESS, 2011) and from China's agricultural statistics (The Ministry of Agriculture of the People's Republic of China, 2009). The growing-season data for cotton were obtained from historical records (Zhang, 1987). Studies have shown no significant difference in cotton growth period across the different regions of each province (Qian et al., 2007; Lu et al., 2008). In addition, the phenophase shift due to weather, climate trend, or farm management was generally < 1 day during the study period (Tao et al., 2006). Therefore, in each province, we assumed a similar cotton-growing season throughout the study period. The climate data were obtained from the National Meteorological Information Center, China Meteorological Administration, which included data from 228 weather stations distributed over the study region. Climatic variables included daily average temperature  $(T_{\text{avg}})$ , DTR, and precipitation  $(P_{\rm rcp})$ . We further computed the average of each climatic variable during the cotton-growing

season for each province. The study regions are given in Fig. 1.

#### 2.2 Methods

#### 2.2.1 Linear time trend

The linear time trend represents the change in each variable (Wei, 2007). A simple linear regression equation between  $X_i$  and  $t_i$  can be expressed as follows:

$$X_i = a + b \times t_i,$$
 (*i* = 1, 2, ..., *n*), (1)

where  $X_i$  is the variable (e.g., a climatic variable),  $t_i$  is the duration corresponding to  $X_i$ , n is the number of samples, and a and b are regression coefficients. The trend rate of the variables is represented by 10 times b.

#### 2.2.2 Climatic variables

To avoid the confounding influence of long-term variations, such as changes in crop management, and to focus on the relations between climatic factors and the yield, the cotton yield and climatic variables in a time series ( $T_{\rm avg}$ , DTR, and  $P_{\rm rcp}$ ) were converted to first-difference values by subtracting the prior year's value from each year (Nicholls, 1997; Lobell et al., 2008). A multiple linear regression model could be used to compute the climate-yield relation, with the



Fig. 1. Distribution of the major cotton-producing provinces in China (shaded area). The provincial ID is numbered as 0: Non-study region; 1: Xinjiang; 2: Gansu;
3: Shaanxi; 4: Shanxi; 5: Hebei; 6: Henan; 7: Shandong;
8: Sichuan; 9: Hubei; 10: Hunan; 11: Anhui; 12: Jiangxi;
13: Jiangsu; and 14: Zhejiang.

first-difference values of the climatic variables ( $\Delta T_{\text{avg}}$ ,  $\Delta \text{DTR}$ , and  $\Delta P_{\text{rcp}}$ ) as explanatory variables and the first-difference value of yield ( $\Delta \text{Yield}$ ) as the response variable.

$$\Delta \text{Yield} = \beta_o + \beta_{T_{\text{avg}}} \Delta T_{\text{avg}} + \beta_{\text{DTR}} \Delta \text{DTR} + \beta_{P_{\text{rcp}}} \Delta P_{\text{rcp}} + \varepsilon, \qquad (2)$$

where  $\Delta \text{Yield}$ ,  $\Delta T_{\text{avg}}$ ,  $\Delta \text{DTR}$ , and  $\Delta P_{\text{rcp}}$  represent the first-difference values of yield and growing-season climatic variables;  $\beta_o$  represents the model intercept;  $\beta_{T_{\text{avg}}}$ ,  $\beta_{\text{DTR}}$ , and  $\beta_{P_{\text{rcp}}}$  represent the regression coefficients for climatic variables; and  $\varepsilon$  represents the model error.

To evaluate the percentage yield change for each additional climatic variable, the percent regression coefficients were calculated by

$$\beta_{\text{percent}} = \frac{\beta}{\text{Mean}_{\text{Yield}}},$$
(3)

where  $\beta$  and  $\beta_{\text{percent}}$  represent absolute and percent regression coefficients of certain climatic variables  $(\Delta T_{\text{avg}}, \Delta \text{DTR}, \text{ and } \Delta P_{\text{rcp}})$ ; and Mean<sub>Yield</sub> represents the mean cotton yield during 1961–2010.

# 3. Results and analysis

# 3.1 Climate change during the cotton-growing season

 $T_{\rm avg}$  was higher than 15°C in the three major cotton-producing regions over the last 50 years, with the highest value appearing in the Yangtze River valley and the lowest value in the northwest region (Table 1). A general warming trend was persistent across the entire study region with a rate of 0–0.27  $^{\circ}$ C (10  $yr)^{-1}$  during the cotton-growing season of 1961–2010. Spatial distribution characteristics of the average DTR demonstrated a band shape, increasing gradually from the Yangtze River valley to the northwest region. General decreasing trends in DTR were persistent in most regions, with a rate of -0.27-0 °C  $(10 \text{ yr})^{-1}$  in the last 50 years. The average  $P_{\rm rcp}$  also showed a band shape, increasing gradually from the northwest region to the Yangtze River valley.  $P_{\rm rcp}$  showed an increasing trend in Xinjiang, Henan, Anhui, Jiangxi, and Zhejiang provinces and a decreasing trend in the other

		$T_{\mathrm{avg}}$			DTR	$P_{ m rcp}$	
	ID	Average	Trend	Average	Trend	Average	Trend
		$(^{\circ}C)$	$(^{\circ}C (10 \text{ yr})^{-1})$	$(^{\circ}C)$	$(^{\circ}C (10 \text{ yr})^{-1})$	(mm)	$(mm (10 yr)^{-1})$
Northwest region							
Xinjiang	1	17.3	$0.24^{**}$	14.3	$-0.24^{**}$	101	$5.6^{**}$
Gansu	2	15.8	0.27**	13.7	-0.04	248	-5.5
Yellow River valley							
Shaanxi	3	18.3	0.18**	10.9	0.03	587	-15.1
Shanxi	4	17.4	0.21**	13.0	0.05	433	-15.1
Hebei	5	18.9	0.24**	11.8	$-0.27^{**}$	495	-17.1
Henan	6	21.3	0.07	10.4	$-0.17^{*}$	650	1.0
Shandong	7	19.7	$0.17^{**}$	9.0	$-0.12^{*}$	620	-16.3
Yangtze River valley							
Sichuan	8	22.2	0.07	7.9	0.01	1005	-24.8*
Hubei	9	22.6	$0.16^{**}$	8.7	-0.01	950	-0.8
Hunan	10	22.8	$0.12^{**}$	8.0	-0.07	1060	-2.3
Anhui	11	21.8	$0.17^{**}$	8.9	$-0.14^{**}$	911	4.4
Jiangxi	12	23.6	0.08	8.5	-0.09*	1198	8.4
Jiangsu	13	21.8	0.24**	8.2	$-0.15^{**}$	815	-5.3
Zhejiang	14	22.7	$0.24^{**}$	7.1	$0.08^{*}$	999	8.3

Table 1. Average and linear temporal trend in climate variables during the cotton-growing season (1961–2010)

Note: \* and \*\* indicate values significant at levels of 5% and 1%, respectively.

provinces, over the last 50 years.

# 3.2 Effects of climate change on cotton yield

A positive percent regression coefficient indicates a coincident pattern between climatic variables and cotton yield, whereas a negative value reflects an opposing response of cotton yield to additional climatic variables (Fig. 2).

 $T_{\rm avg}$  showed a positive effect on cotton yields in both the northwest region and the Yellow River valley, with each additional degree celsius in  $T_{\text{avg}}$  increasing cotton yields by 0–13.7%. However, most provinces in the Yangtze River valley showed a negative effect, ranging between -18.7% and 0 (Fig. 2a). Increasing DTR led to a higher cotton yield in the northwest region and in Shanxi, Hebei, Sichuan, Hubei, and Anhui provinces, with cotton yields increasing by 0-10.8% per centigrade of DTR. An inverse effect was observed in the other provinces of the study region, where cotton yields decreased by 0-14.4% per centigrade of DTR (Fig. 2b). Cotton yield increase was associated with greater  $P_{\rm rcp}$  in the northwest region and Shanxi, Hebei, and Sichuan provinces, i.e., 0-8.9% increases per 100-mm increase in  $P_{\rm rcp}$ . However, the effects were negative in the other provinces, varying between 0 and 7.2% loss in cotton yield per 100-mm

precipitation (Fig. 2c).

The regression coefficients calculated with actual trends in  $T_{\rm avg}$ , DTR, and  $P_{\rm rcp}$  at the province level were used to evaluate the production change caused by historical accumulated change in climatic variables during 1961–2010.

The warming caused a 0–18.5% increase in cotton production in most provinces of the northwest region and the Yellow River valley during 1961–2010. However, the warming in the Yangtze River valley decreased production by 0–9.0% (Fig. 3a). For DTR, the decreasing trend in most provinces resulted in a decrease in production of –9.8–0% in the northwest region and Shaanxi, Hebei, Hubei, Anhui, and Zhejiang provinces, while other provinces in the study region showed increased production with values of 0–12.6% (Fig. 3b). Changes in  $P_{\rm rcp}$  increased production by 0– 5.4% in Xinjiang, Shannxi, Shandong, Hubei, Hunan, and Jiangsu, while  $P_{\rm rcp}$  changes in the other provinces of the study region decreased production by –6.7%–0 (Fig. 3c).

Both a negative  $T_{\rm avg}$  effect of -0.1% per centigrade and a cotton yield increase of 10.4% per centigrade increase in DTR were observed in the three major cotton-producing regions. On average, the effect of  $P_{\rm rcp}$  was 4.4% per additional 100 mm across the entire study region. The regression coefficients calculated by using observed trends in  $T_{\rm avg}$ , DTR, and  $P_{\rm rcp}$  across the entire study region were used to evaluate production changes due to historical accumulated changes in climatic variables during 1961–2010. The historical accumulated change in  $T_{\rm avg}$  decreased the cotton production, with values of approximately –0.1%. The production decreased by approximately 5.5% because of changes in DTR. Moreover, production was reduced by approximately 1.1% because of changes in  $P_{\rm rcp}$ . Therefore, DTR was the most important factor limiting cotton yield formation (Table 2).

In the northwest region, the results showed a positive  $T_{\text{avg}}$  effect of 10.0% per centigrade, and a cotton yield increase of 4.8% per centigrade increase in DTR. On average, the  $P_{\rm rcp}$  effect was approximately 14.4% per additional 100 mm. For changes in production,  $T_{\rm avg}$  caused an increase of 12.7%. However, the production decreased by 4.2% as DTR changed. Moreover, production improved by approximately 1.5% due to the changes in  $P_{\rm rcp}$ . Therefore,  $T_{\rm avg}$  was the most important factor affecting the increase in cotton yield, while DTR was the main factor limiting cotton yield formation (Table 2).

In the Yellow River valley, the results showed a positive  $T_{\rm avg}$  effect of 3.4% per centigrade, and a cotton yield increase of 2.6% per centigrade increase in DTR. On average, the  $P_{\rm rcp}$  effect was 2.5% per addi-



Fig. 2. Percent regression coefficients of (a)  $T_{\text{avg}}$  (% °C<sup>-1</sup>), (b) DTR (% °C<sup>-1</sup>), and (c)  $P_{\text{rcp}}$  (% (100 mm)<sup>-1</sup>) on cotton yield over 1961–2010.



Fig. 3. Changes in cotton production (%) caused by (a)  $T_{\text{avg}}$ , (b)DTR, and (c)  $P_{\text{rcp}}$  during 1961–2010.

**Table 2.** Average percent regression coefficients of climatic variables and average cotton production change caused by historical accumulated change in climatic variables in the three major cotton producing regions of China (1961–2010)

	Whole study	region	Northwest region		Yellow River valley		Yangtze River valley	
Climate	e Percent	Production	n Percent	Production	n Percent	Production	Percent	Production
variable	riable regression change		regression	regression change		change	regression	change
	coefficient	(%)	coefficient	(%)	coefficient	(%)	coefficient	(%)
$\Delta T_{\rm avg}$	-0.1% °C <sup>-1</sup>	-0.1	$10.0\% \ ^{\circ}\mathrm{C}^{-1}$	12.7	$3.4\% \ ^{\circ}\mathrm{C}^{-1}$	3.1	$-5.0\%$ °C $^{-1}$	-3.8
$\Delta \mathrm{DTR}$	$10.4\% \ ^{\circ}\mathrm{C}^{-1}$	-5.5	$4.8\% \ ^{\circ}\mathrm{C}^{-1}$	-4.2	2.6% °C <sup>-1</sup>	-1.5	$5.0\% \ ^{\circ}\mathrm{C}^{-1}$	-1.3
$\Delta P_{\rm rcp}$	$4.4\% (100 \text{ mm})^{-1}$	-1.1	$14.4\% (100 \text{ mm})^{-1}$	1.5	$2.5\% (100 \text{ mm})^{-1}$	-1.6	$-0.3\% (100 \text{ mm})^{-1}$	0

tional 100 mm. For changes in production,  $T_{\rm avg}$  caused an increase of 3.1%. However, it decreased by 1.5% as DTR changed. Production was reduced by approximately 1.6% because of the changes in  $P_{\rm rcp}$ . Therefore,  $T_{\rm avg}$  was the most important factor affecting the increase in cotton yield, while DTR and  $P_{\rm rcp}$  were the main factors limiting cotton yield formation in this region (Table 2).

In the Yangtze River valley, the results showed a negative  $T_{\rm avg}$  effect of -5.0% per centigrade; however, a cotton yield increase of 5.0% per centigrade increase in DTR. On average, the  $P_{\rm rcp}$  effect was -0.3% per

additional 100 mm. For changes in production,  $T_{\rm avg}$  caused a decrease of 3.8%. The production decreased by approximately 1.3% as DTR changed. However, the effect of  $P_{\rm rcp}$  on cotton production was not significant. Therefore,  $T_{\rm avg}$  was the most important factor limiting cotton yield formation in this region (Table 2).

### 4. Discussion

This study presents the general response patterns of cotton productivity to climate change, However, physiological mechanisms by which climatic variables may affect crop yields have been only partially revealed (Matsui and Hori, 1992; Tao et al., 2006, 2008b). Global warming may have harmful or beneficial impacts on crop production in low or high latitude areas depending on whether the current temperature is generally more or less than the optimal temperature for crop production (IPCC, 2001). This mechanism underlies the crop response patterns to temperature change in this paper (Fig. 2a). Growing-season average temperature was positively related to cotton yield in most provinces of the northwest region and the Yellow River valley, suggesting that the present growing-season average temperature might be still in or lower than the optimal temperature range for cotton production. Cotton yield possibly increased due to a warming trend, which is consistent with previous studies (Yang et al., 2008; Liu et al., 2010). Cotton yield in most provinces of the Yangtze River valley showed a negative relation with  $T_{\rm avg},$  suggesting that the present average temperature is at or above the optimal temperature for cotton production. This indicates that cotton yield could be reduced due to a warming trend, which is consistent with other studies (Peng et al., 2004; Sheehy et al., 2006; Tao et al., 2006).

Evaluating the effects of climate change on cotton yield should include a consideration of the effects of all climatic factors (Lobell and Oritiz-Monasterio, 2007; Zhang et al., 2010). The impact of DTR was bilateral (Fig. 2b). An increase in DTR during the growing season, which has warm days and cool nights, is beneficial for crop growth because the former increases photosynthetic rate and the latter reduces respiration rate (Leopold and Kriedemann, 1975). However, an increase in DTR may also reduce the yield because the associated increase in maximum temperature may result in decreasing net photosynthetic rates or increasing water stress (Dhakhwa and Campbell, 1998, Tao et al., 2006). In this study, the decrease in DTR during the growing season reduced cotton production in the northwest region and Hebei, Hubei, Anhui, and Zhejiang provinces, probably because of an increase in nighttime maintenance respiration rates (Ryan, 1991), and consequently, biomass consumption. The decreases in DTR during the growing season increased cotton production in the other provinces, probably because of a reduction of cold damage (Fig. 3b). Precipitation during the cotton-growing season was positively related to cotton yield in the northwest region and Shanxi, Hebei, and Sichuan provinces but was negatively related to the other provinces studied (Fig. 2c). These results are consistent with the reality observed over the past few decades. Cotton yield losses in southern China can be caused by insects, disease, or insufficient sunlight due to excessive precipitation during the growing season (Tao and Yokozawa, 2005). In northern China, because the climate is arid and semi-arid, water stress is the most important limiting factor for rainfed crop yields (Tao et al., 2003).

Comparing cotton with four other major crops studied in climate research, as presented by Tao et al. (2012), changes in temperature and precipitation are observed to adversely affect cotton yields during 1961– 2010. This is consistent with the decrease in climatedriven yield trends for various crops during 1980–2008: yield decreased by 1.27%, 1.73%, and 0.41% for wheat, maize, and soybean, respectively. However, for wheat, maize, and soybean this is also contradicted by the 0.56% increase in the climate-driven yield of rice (Tao et al., 2012). Climate warming decreases cotton yields by accelerating the plant development rate and reducing yield accumulation, probably because of increasing temperature extremes and heat stress.

The effects of climate factors are different depending on the developmental stage of the plant and should

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be studied in the future. For example, in the Yangtze River valley, temperatures in spring and autumn are suitable for cotton production, and thus, the negative effect of increasing average annual temperature is mainly due to heat stress in the summer.

Uncertainties in the results as mentioned above are possible due to several reasons. First, the data in this study are limited to the provincial scale over the past 50 years. However, researches using data collected on different spatial or temporal scales may give different conclusions (Tao et al., 2006; Liu et al., 2012). Therefore, research on the response of crop yields to changes in climatic factors should be extended to different spatial and temporal scales. Second, complete consideration of the effects of technology and management, as well as weather variability, disease, insects, and so on, occurring over five decades of observations is extremely difficult. To some extent, such effects could impact the results of trend analyses. For instance, yields may have increased due to the increasing use of modern technology and new cultivars. If so, the decreases (or increases) in crop productivity may actually be larger (or smaller) than those estimated in our study. Studies on cotton yield responses to technical advancements and economy development should be performed in the future. In addition, the effects of extreme climatic conditions on crop yields were not considered in this study. With the increasing frequency of extreme climate events and frequent agriculture-related meteorological disasters, research on the effects of such events on crop production should be emphasized in the future.

# 5. Conclusions

This study revealed the evidence of climatic effects on cotton production in China during 1961–2010 due to the global warming. The warming resulted in an increase in cotton yield in most provinces in the northwest region and the Yellow River valley but a decrease in cotton yield in the Yangtze River valley. The historical accumulated increases in  $T_{\rm avg}$  reduced cotton production, on average, by approximately 0.1% across all regions.

During the cotton-growing seasons of 1961–2010,

DTR in most provinces showed decreasing trends. The decrease in DTR during the cotton-growing seasons decreased cotton yield in the northwest region and Shaanxi, Hebei, Hubei, Anhui, and Zhejiang provinces; however, a beneficial DTR effect was observed in the other provinces. The historical accumulated changes in DTR resulted in a decreased production, on average, by approximately 5.5% across the entire studied region.

Precipitation during the cotton-growing seasons of 1961–2010 increased in some provinces but decreased in others. The change in precipitation increased cotton yield in Xinjiang, Shaanxi, Shandong, Hubei, Hunan, and Jiangsu provinces but decreased the yield in the other provinces. The average production decreased 1.1% because of historical accumulated changes in precipitation across the entire studied region.

We concluded that DTR was the most important factor limiting cotton yield formation in China.  $T_{\text{avg}}$ was the most important factor affecting cotton yield increase in the northwest region. DTR and  $P_{\text{rec}}$  were the main factors limiting cotton yield formation in the Yellow River valley.  $T_{\text{avg}}$  was the most important factor limiting cotton yield formation in the Yangtze River valley. The changes in temperature and precipitation decreased cotton yield in China as whole; however, they increased the yield in the northwest cotton-growing region.

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