

# A paradigm shift for extracellular vesicles as small RNA carriers: from cellular waste elimination to therapeutic applications

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**Abstract** RNA interference (RNAi) is an important avenue for target-specific gene silencing that is mainly performed by either small interfering RNAs (siRNAs) or microRNAs (miRNAs). This novel method is rapidly becoming a powerful tool for gene therapy. However, the rapid degradation of siRNAs and miRNAs and the limited duration of their action in vivo call for an efficient delivery technology. Recently, increasing attention has been paid to the use of extracellular vesicles (EVs) as delivery systems. The use of EVs as small RNA carriers has multiple advantages over conventional delivery systems. In this review, we summarize recent findings regarding the potential application of EVs as small RNA delivery systems. Moreover, we focus on some of the obstacles to EV-based therapeutics.

**Keywords** Extracellular vesicles · Exosome · RNAi · miRNA · siRNA · Drug delivery system

## Introduction

Therapeutic strategies are essential for curing human diseases [1]. Therefore, a continuous search for new approaches to tackle human diseases is important. The discovery of RNAi, which is mainly performed by either small interfering RNAs (siRNAs) that degrade mRNA or microRNAs (miRNAs) that attenuate translation, for target-specific gene silencing has

rapidly created a powerful tool for the exploration of pathogenesis of human disease [2–4]. The identification of these remarkable molecular pathways has manifested a new field of gene therapy. However, the clinical use of miRNA or siRNA entails at least two critical steps: delivery of miRNA or siRNA to the appropriate tissues and subsequent maintenance and expression. A key goal of target-specific RNAi delivery technology for several diseases is the development of delivery systems directed at the target tissues only. Currently, there are many types of drug delivery systems. However, these methods have several limitations such as the lack of delivery systems that are safe, efficient, tissue specific and that do not cause immune and inflammatory responses when they are used in vivo.

It has been well known for decades that miRNAs can be detected in human body fluids such as plasma, saliva, and breast milk, although ribonucleases circulate throughout the body [5–9]. This finding suggested that miRNAs are inserted into RNase-resistant lipid vesicles before secretion. Indeed, it has been reported that miRNAs exist in extracellular vesicles such as exosomes and microvesicles [10]. Exosomes and microvesicles are produced by many cell types such as cancer cells [11], dendritic cells [12], intestinal epithelial cells [13], T cells [14], and B cells [15]. One current definition is that exosomes are small membrane vesicles (40–100 nm) from multivesicular endosomes, whereas microvesicles (50–1,000 nm) are generated by budding at the plasma membrane [16]. However, no current consensus exists on the precise definition of exosomes and microvesicles. Therefore, we used “extracellular vesicles (EVs)” as all types of vesicles in the extracellular space throughout the paper as recommended by the “International Society for Extracellular Vesicles,” which is the international exosomes and microvesicles community. Trams et al. initially reported in 1981 that exfoliated membrane vesicles with 5′-nucleotidase activity can detect from various normal and neoplastic cell lines (Table 1) [17].

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**Table 1** Historical key developments in studies of the EVs after 1980s

| Year | Milestone discovery   | References |
|------|---|------------|
| 1981 | EVs were reported   | [17]       |
| 1985 | EVs were detected by electron microscopy                                      | [18]       |
| 1996 | EVs presenting immune antigen were discovered                                 | [15]       |
| 1998 | RNAi was discovered   | [3]        |
| 2007 | Valadi et al. first reported that EVs contain mRNA and miRNA                  | [10]       |
| 2010 | Three groups confirmed that miRNAs in EVs functionally transfer between cells | [20–22]    |
| 2011 | Delivery of siRNA by EVs in vivo was demonstrated                             | [29]       |

Moreover, Pan et al. demonstrated the existence of 50-nm membrane vesicles secreted from sheep reticulocytes using electron microscopy [18]. Since then, EVs have been considered as waste disposal agents for cells because EVs are similar to apoptotic blebs. However, apoptotic blebs are rapidly cleared in circulation by phagocytosis due to phosphatidylserine exposure [19]. In addition, EVs became of interest for immunologists in the 1990s. Raposo et al. showed that EVs derived from both human and murine B lymphocytes activated T cell immune responses [15]. Most importantly, Valadi et al. demonstrated that EVs derived from various cell types contain RNA including mRNA and miRNA in 2007 [10]. In addition, three groups independently discovered that EVs contain miRNA transferred between cells and subsequently suppress the target genes in recipient cells [20–22]. They demonstrated that miRNAs traveled between cells using EVs, and these RNAs are functional in transmitted recipient cells [20–22]. In particular, Pegtel et al. demonstrated that total RNAs from  $2 \times 10^4$  cells of monocyte-derived dendritic cells (MoDC) co-cultured with EBV-transformed lymphoblastoid B cells (LCL) contained over thousands of individual EBV-miRNA copies as little as 500 pg of exosomal RNA from LCL cells [20]. This finding indicates that 500 pg of EVs was physiologically relevant because at least 100 miRNA copies could suppress target mRNAs in mammalian cells [23]. These

reports raised the idea that EVs are small RNA carriers and can be used as a source of effective delivery strategies. There are many reports showing the concept of using EVs for RNA delivery based on the discovery of miRNA transfer (Table 2). In this article, we review the latest reports regarding EVs and the potential for small RNA delivery using EVs. The relevance of EVs and their therapeutic uses are then considered.

### Small RNA delivery with EVs

As shown above, miRNA can be delivered by EVs. Currently, it is well known that miRNA profiling is a valuable diagnostic and prognostic tool for characterizing a wide range of pathologies [24]. Similarly, targeting aberrantly expressed miRNAs offers new therapeutic possibilities [25]. For instance, we demonstrated that injection of miR-16 in tumor-bearing mice suppressed prostate tumor growth by regulating the expression of genes associated with cell cycle control and cellular proliferation such as CDK1 and CDK [26]. In addition, Kota et al. demonstrated that systemic administration of miR-26a in hepatocellular carcinoma-bearing mice using adeno-associated virus suppressed tumor growth without toxicity by downregulating cyclins D2 and E2 [4]. Although many reports show the potential of miRNA for therapeutic purposes, it is quite difficult to decide which types of delivery methods are most appropriate for miRNA because of the limitation in conventional methods such as stability and immunogenicity. Thus, EVs have considerable promise as new delivery systems. There are many reports demonstrating EVs as therapeutic miRNA carriers. We previously demonstrated that the culture supernatant of normal epithelial prostate cells inhibited proliferation of prostate cancer cells in vitro and in vivo [27]. In particular, miR-143, which is a tumor-suppressive miRNA, could induce growth inhibition through downregulation of KRAS and ERK5 expression in prostate cancer cells. Interestingly, the surface of EVs can be modified by the genetic engineering of donor cells for efficient delivery of EVs to target cells. Ohno et al. generated modified EVs derived from

**Table 2** List of successful small RNA deliveries by EVs in both basic and clinical research

| Small RNA | Target gene    | Donor cells                                      | Recipient cells or tissue                               | Small RNA loading methods                                       | References |
|-----------|----------------|--|---|---|------------|
| miR-143   | KRAS<br>ERK5   | Human normal prostate cell line                  | Human prostate cancer cell line                         | Stable cell lines over-expressing of miRNA by expressing vector | [27]       |
| let-7a    |                | Human embryonic kidney cell lines                | Human breast cancer cell lines                          | Lipofection of synthetic miRNA to donor cells                   | [28]       |
| siRNA     | GAPDH<br>BACE1 | Murine dendritic cells<br>Murine dendritic cells | Neurons, microglia, oligodendrocytes<br>Cortical tissue | Electroporation<br>Electroporation                              | [29]       |
| siRNA     | HCV<br>CD81    | Human hepatoma cell lines<br>Human B lymphocytes | Human hepatoma cell lines<br>Mouse liver cells          | Stable cell lines over-expressing of shRNA by expressing vector | [30]       |
| siRNA     | MAPK1          | Human peripheral blood cells                     | Human T cells, monocyte                                 | Electroporation   | [31]       |

the human embryonic kidney cell line HEK293 with the GE11 peptide, which specifically binds to epidermal growth factor receptor (EGFR), or EGF, on their surfaces. These modified EVs can efficiently deliver let-7a miRNA to EGFR-expressing xenografted breast cancer tissue in immunodeficient mice [28]. These observations suggested that EVs can be used for miRNA replacement therapy by restoring the expression of miRNA, which is downregulated in target cells. Conversely, siRNA has been shown to have more specific inhibition compared to miRNA because miRNAs generally have the ability to bind many target mRNAs. Our groups previously reported that not only cellular miRNA but also exogenous siRNA, which is artificial small RNA, can be transported into recipient cells using EVs. This finding suggested that the loading mechanisms of siRNA into EVs are similar to miRNA [22]. Based on these findings, Alvarez-Erviti et al. generated EVs derived from murine immature dendritic cells expressing the membrane protein Lamp2 fused to the neuron-specific rabies viral glycoprotein peptide. Therefore, modified EVs are used as delivery tools into the mouse brain [29]. Interestingly, siRNA against GAPDH can be delivered specifically to neuron microglia and oligodendrocytes in the mouse brain via EVs. Moreover, nonspecific uptake in other tissues was not observed. Furthermore, C57BL/6 normal mice were injected intravenously with siRNA encapsulated in EVs targeting BACE1, which is a strong candidate for anti-Alzheimer's disease. The strong mRNA (60 %) and protein (62 %) knock-down of BACE1 was observed in cortical tissue. Pan et al. showed that transmission of siRNA targeting HCV or CD81 was partially mediated by EVs in both human and mouse liver cells *in vitro* [30]. More importantly, siRNA transmission *in vivo* was confirmed using immunodeficient mice engrafted with human hepatoma cells producing CD81 siRNA, and consequently, suppression of CD81 expression in mouse hepatocytes was observed. Additionally, Wahlgren et al. showed that MAPK1 siRNA was loaded into the EVs derived from the peripheral blood of healthy donors by electroporation. Then, they confirmed that MAPK1 siRNA in EVs were transferred to human blood cells such as T cells and monocytes [31]. Taken together, these reports suggest that EVs are a promising application of small RNA delivery. However, further investigation is needed because we are still at an early stage of investigation regarding the nature of EVs.

### Potential of EVs to overcome the flaws of conventional delivery systems

It is conceivable that using EVs as delivery systems has some advantages over conventional carrier systems. First, the contents of EVs can be modified with genetic engineering of the parent cells for efficient delivery of EVs to target cells if required. Kim et al. reported that EVs derived from genetically modified bone

marrow-derived dendritic cells expressing FasL, which induces significant antiarthritic effects in mouse, can inhibit inflammation in a murine footpad model of delayed-type hypersensitivity [32]. Secondly, EVs compensate for the shortcomings of conventional drug delivery systems. Adeno-associated virus (AAV) vector is a promising gene delivery vector [33]. However, AAV tends to transduce into liver cells after intravenous delivery, reducing its usefulness for targeted sites [34]. Maguire et al. found that the AAV capsid existed not only as a free particle but also inside EVs, which are termed as “vectosomes,” in culture medium after transfection of AAV into 293T cell lines [35]. Furthermore, vectosomes could enhance the gene transfer in human glioblastoma cell lines compared to conventionally purified AAV vectors. Additionally, vectosomes with magnetic beads can be attracted to a magnetized area in human glioblastoma culture cells. These findings suggest that the use of a combination of conventional delivery systems and EVs can be a powerful tool for therapy. Sun et al. demonstrated that the formation of EV–curcumin complexes leads to an increase in the stability of curcumin *in vitro* and in bioavailability *in vivo* compared to curcumin only. It is quite interesting to find new therapeutic methods, such as combinations of conventional delivery systems and EVs, for improved therapies.

### Critical points that need to be solved in EV-based therapeutics

Although EVs constitute a novel application for small RNA delivery, some features of EV biology remain unclear.

#### Stability of EVs

It is well known that naked siRNA is generally difficult to deliver primarily due to the rapid clearance and the limited serum half-life of 5–60 min of unmodified siRNA [36, 37]. It has been suggested that EVs are relatively stable in the blood because extracellular miRNAs stably exist in human body fluid [6]. In fact, Chen et al. demonstrated that miRNAs in normal human serum under harsh conditions including ten freeze–thaw cycles, low (pH=1) or high (pH=13) pH solution treatment, and DNase treatment yield no significant differences compared to nontreated serum samples [38]. Moreover, Koga et al. reported that miRNAs in EVs were conserved under RNase (5 µg/ml) treatment for 30 min, whereas naked miRNAs were degraded within 30 min [39]. However, there is one evidence that murine melanoma-derived EVs are rapidly cleared from the blood circulation with a half-life of approximately 2 min after systemic administration [40]. Therefore, it is essential to know the half-life of EVs in the bloodstream so that the measurement of sequential plasma concentrations of EVs after administration can be used to establish dosage regimens that are

likely to produce the desired therapeutic levels for appropriate periods of time without the risk of toxicity in EVs.

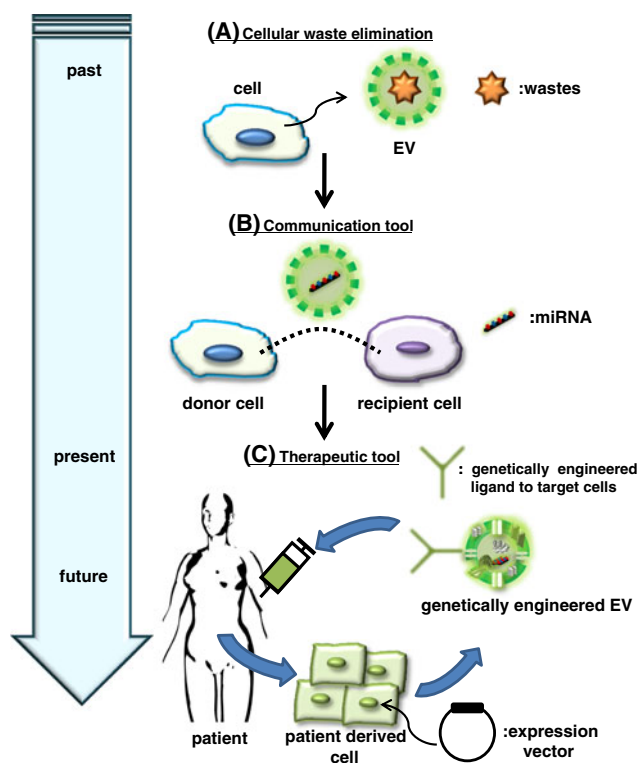
### Components of EVs

It is important to identify endogenous miRNAs in EVs, which could result in side effects, because EVs contain many kinds of miRNAs [20]. In fact, we previously demonstrated that exosomal miR-210 from metastatic cancer cells could lead the microenvironment of endothelial cells to the benefit of cancer cells promoting cancer metastasis [41]. Moreover, the expression profiles of miRNAs in EVs obtained from metastatic cancer cells demonstrated that a set of angiogenic miRNAs was highly concentrated compared to normal cells. On the other hand, as shown above, our groups reported that supernatant from normal cells inhibited the proliferation of cancer cells [27]. In particular, miR-143 in culture supernatant can induce inhibition of cancer cell growth. From these observations, we propose that enrichment of tumor-suppressive miRNAs can ignore the effects of cancer-promoting miRNAs in EVs. For this reason, knowing the expression profile of miRNAs in EVs is essential. It is also essential that the protein content in EVs be determined by proteomic analysis to identify any endogenous proteins that may mediate potential unwanted side effects. ExoCarta, which is an EV database (<http://exocarta.org>), provides the exosomal contents that were identified in some organisms. Most exosomal proteins identified thus far are conserved between cell types [42]. In particular, the tetraspanin family including CD9, CD63, CD81, and CD82 has been found in EVs derived from many cell types. However, proteomic analysis by many researchers has proven that the protein content of EVs may vary depending on the host cells. For instance, Blanchard et al. demonstrated that EVs derived from human T cells upon TCR stimulation contain CD3 as a specific marker [14]. If these types of EVs are used for therapeutic purposes, this could induce unwanted immune activation. On the other hand, EVs may contain high amounts of proteins with tumor suppressor properties. In fact, Putz et al. demonstrated that PTEN protein, a tumor suppressor protein, was secreted in EVs, and secreted PTEN was functionally transferred to recipient cells, resulting in the inhibition of cell proliferation through reduction of Akt phosphorylation [43]. These findings indicate that EVs can package specific proteins, which are downregulated in disease and may be used as therapeutic delivery tools. Although the functions of most proteins in EVs are still unknown, further proteomic analysis is important for preventing the harmful side effects of EVs as therapeutic tools.

### Purification methods of EVs

To use EVs as delivery systems for therapy, it is very important to examine the purity of EVs because non-exosomal

proteins induce unwanted immune responses. Rood et al. demonstrated that proteins may also contaminate isolated EVs after ultracentrifugation or nanomembrane ultrafiltration preparations from human urine samples [44]. Moreover, Gyorgy et al. reported that preparation of EVs isolated by ultracentrifugation can detect contaminants such as immune complex proteins [45]. Electroporation of EVs with siRNA is accompanied by extensive siRNA aggregation and non-capsulated siRNA from EV surface that cannot be removed before mouse in vivo experiments [46]. Therefore, purification methods such as sucrose cushion, sucrose-iodixanol gradients, or RNase treatment are essential before mouse injection to exclude excess siRNA [47]. In general, EVs are isolated by ultracentrifugation although the yield of EVs is quite low. However, large amounts of EVs are required if EVs are to be used as a therapy resource. Indeed, Mitchell et al. reported a novel culture system by using the CELLLine Adhere 1000 (CLAD1000) flask to obtain a high amount of EVs from adherent and nonadherent tumor cells compared to conventional methods [48]. Development of useful and easy methods for significant increasing quantity of EVs is important for usage of EVs as drug delivery cargo. Taken together, it is essential to develop novel isolation methods instead of



**Fig. 1** Schematic representation of the paradigm shift in EVs. Since their discovery, EVs were initially considered to solely be cellular waste elimination systems (a). However, EVs have recently been found to contain both mRNA and miRNA. Additionally, EVs can be transferred between cells, and exosomal miRNAs were functional in recipient cells (b). Therefore, many researchers hope that EVs can be used as novel RNAi delivery systems for human therapy in the near future (c)

ultracentrifugation to prevent potentially harmful immune responses and to increase the yield of EVs.

#### Internalization of EVs

To avoid unwanted delivery, therapeutic EV application requires knowledge of EV uptake mechanisms. There are two possible mechanisms of EV uptake: endocytosis and fusion [49]. However, whether EVs enter cells via endocytosis or fusion remains controversial. Barres et al. demonstrated that uptake of rat reticulocyte EVs by macrophages decreases upon adding galectin-5 [50]. This finding suggests that galectin-5 associates with EV uptake through the endocytic pathway. Moreover, Tian et al. used live-cell microscopy to show that EVs derived from rat pheochromocytomas were internalized by resting rat cells through the endocytosis pathway [51]. Feng et al. reported that EVs from K562 human erythroleukemia or MT4 HTLV-transformed T cell leukemia are taken up more efficiently by phagocytic cells than non-phagocytic cells because macrophage cells expressed TIM-4, which is one of the receptors to phagocytose EVs [52]. Moreover, dynamin2, which is an important regulator of phagolysosomes, is required for EV uptake. In addition, Svensson et al. found that uptake of EVs from glioblastoma cells was negatively regulated by the lipid raft-associated protein caveolin-1 through regulation of the ERK1/2 signaling pathway [53]. Conversely, Parolini et al. reported that EVs derived from melanoma cells enter melanoma cells through membrane fusion [54]. Further investigation is needed to explain the discrepancies observed in different studies.

#### Induction of immune response by EVs

It is essential to investigate whether host-derived EVs induce an immune response with subsequent side effects. Interestingly, there are some evidences that viruses exploit the EV biogenesis pathway for disease spreading. Indeed, Feng et al. reported that the hepatitis A virus uses the host-derived membrane resembling EVs, protecting the virus particles from antibody neutralization and promoting virus spread within the liver [55]. These findings support that host-derived EVs may escape from the immune response. In addition, Narayanan et al. demonstrated that HIV-1-infected cells produced EVs containing trans-activation response element (TAR) RNA, which is viral miRNAs. They also showed that TAR RNA in EVs inhibited apoptosis by down-regulation of Bim, which is a pro-apoptotic protein, in recipient cells [56]. However, there is some evidence regarding patient-derived EV-induced immune response. Alvarez-Erviti et al. reported that both siRNA with EVs or in vivo transfection reagents induce a low immune response in vivo [29]. Moreover, Sun et al. also demonstrated that production levels of IL-6 and TNF- $\alpha$  in the supernatant after stimulation with LPS display no difference between EVs and PBS [57]. In general, induced pluripotent stem cells (iPSCs) cause no immune response

because iPSCs are generated from patient cells [58]. However, Zhao et al. reported that the transplantation of immature iPSCs induced a T cell-dependent immune response even in a syngenic mouse [59]. These findings suggested that patient-derived cells have the potential for abnormal gene expression under culture conditions. Therefore, the immunogenicity of therapeutically valuable EVs derived from patient cells should be examined before the initiation of EV clinical applications. Taken together, further studies will be needed to characterize EVs for RNAi therapeutic applications further, and better knowledge of EVs may solve these problems.

#### Conclusion

Since EVs were first discovered over 30 years ago, the characterization of their cellular origin and function has been gradually revealed. In particular, the discovery of EVs as natural carriers of miRNA led to the possibility that they can be used as vehicles for the delivery of exogenous therapeutic cargoes. In this review, we summarize the most recent findings regarding EVs for small RNA delivery. Although there are growing concerns about EV small RNA delivery potential, there also exist some limiting factors in clinical translation. However, EVs have some advantages for use as a therapeutic application for small RNA delivery compared to conventional therapy if several issues are resolved (Fig. 1). In conclusion, it is highly likely that EVs will be the application used for novel RNAi delivery systems for human therapy in the near future.

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