

## System Identification Modeling of Ship Manoeuvring Motion in 4 Degrees of Freedom Based on Support Vector Machines\*

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### ABSTRACT

Based on support vector machines, three modeling methods, i.e., white-box modeling, grey-box modeling and black-box modeling of ship manoeuvring motion in 4 degrees of freedom are investigated. With the whole-ship mathematical model for ship manoeuvring motion, in which the hydrodynamic coefficients are obtained from roll planar motion mechanism test, some zigzag tests and turning circle manoeuvres are simulated. In the white-box modeling and grey-box modeling, the training data taken every 5 s from the simulated 20°/20° zigzag test are used, while in the black-box modeling, the training data taken every 5 s from the simulated 15°/15°, 20°/20° zigzag tests and 15°, 25° turning manoeuvres are used; and the trained support vector machines are used to predict the whole 20°/20° zigzag test. Comparisons between the simulated and predicted 20°/20° zigzag tests show good predictive ability of the proposed methods. Besides, all mathematical models obtained by the proposed modeling methods are used to predict the 10°/10° zigzag test and 35° turning circle manoeuvre, and the predicted results are compared with those of simulation tests to demonstrate the good generalization performance of the mathematical models. Finally, the proposed modeling methods are analyzed and compared with each other in aspects of application conditions, prediction accuracy and computation speed. The appropriate modeling method can be chosen according to the intended use of the mathematical models and the available data needed for system identification.

**Key words:** *ship manoeuvring; 4 degrees of freedom; system identification; support vector machines*

### 1. Introduction

For warship and other ships with low metacentric height (e.g., container ship), ship manoeuvring motion is usually accompanied by roll motion of large amplitude. The roll motion not only affects the tactical and technical performance of warship, but also has direct impacts on ship navigation safety. Investigation of ship manoeuvring motion with the influences of the roll motion being taken into

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account is one of the research hotspots. Son and Nomoto (1982) investigated the sway-yaw-roll coupling motion of a container ship on the basis of captive model tests, and a 4 degrees of freedom (4-DOF) motion equation including roll motion was established in modular mathematical model. Fossen (1994) simulated the 4-DOF ship manoeuvring motion using hydrodynamic coefficients from Son and Nomoto's tests. Blanke *et al.* (1997, 2002) presented a nonlinear whole-ship mathematical model for a container ship based on the experimental results obtained with the 4-DOF roll planar motion mechanism (RPMM) facility.

The application of System Identification (SI) method based on free-running model tests or full-scale trials plays an important role in modeling of ship manoeuvring motion (ITTC, 2005). Various SI methods are applied in modeling of ship manoeuvring motion. Model reference method (Hayes, 1971), extended Kalman filter method (Abkowitz, 1980; Revestido and Velasco, 2012), maximum likelihood method (Åström and Källström, 1976), recursive prediction error method (Källström and Åström, 1981; Zhou and Blanke, 1989), least square method (Rhee *et al.*, 1998), frequency domain identification method (Bhattacharyya and Haddara, 2006; Perez and Fossen, 2011), neural network (Haddara and Wang, 1999), etc. have been used to identify the hydrodynamic coefficients in the mathematical models. Among them, neural network can not only be used for parametric identification, but they are more suitable for nonlinear regression. Artificial neural network was adopted to regress the nonlinear dynamic model of a large tanker (Rajesh and Bhattacharyya, 2008); recursive neural network was applied to simulate the ship manoeuvring motion (Hess and Faller, 2000; Moreira and Guedes Soares, 2003). In the 1990s, support vector machines (SVM), a novel method of modern artificial intelligence technology, was proposed (Vapnik, 2000). Compared with neural network, SVM is directed at finite samples and has good generalization performances and global optimal extremum. By using the least squares support vector machines (LS-SVM, Luo and Zou, 2009) and  $\varepsilon$ -support vector machines ( $\varepsilon$ -SVM, Zhang and Zou, 2011), the hydrodynamic coefficients of Abkowitz model were identified.

In this paper, three modeling methods, i.e., white-box modeling, grey-box modeling and black-box modeling of ship manoeuvring motion in 4-DOF based on LS-SVM are investigated. With the nonlinear whole-ship model proposed by Blanke *et al.* (1997, 2002),  $10^\circ/10^\circ$ ,  $15^\circ/15^\circ$ ,  $20^\circ/20^\circ$  zigzag tests and  $15^\circ$ ,  $25^\circ$ ,  $35^\circ$  turning circle manoeuvres are simulated. In white-box modeling and grey-box modeling, the simulation data of  $20^\circ/20^\circ$  zigzag test taken every 5 s are used to train the support vectors; while in black-box modeling, the simulation data of  $15^\circ/15^\circ$ ,  $20^\circ/20^\circ$  zigzag tests and  $15^\circ$ ,  $25^\circ$  turning manoeuvres are used; and the trained support vector machines is used to predict the whole  $20^\circ/20^\circ$  zigzag test. Besides, all mathematical models obtained by the proposed modeling methods are used to predict  $10^\circ/10^\circ$  zigzag test and  $35^\circ$  turning circle manoeuvre. The predicted results are compared with those of simulation tests to demonstrate the good predictive ability and generalization performance of the mathematical models. The modeling methods are analyzed and compared with each other in aspects of application conditions, prediction accuracy and computation speed. The appropriate modeling method can be chosen according to the intended use of the mathematical models and the available data needed for system identification.

## 2. Mathematical Model

Generally, the 4-DOF manoeuvring motion of a surface vessel can be described by the equations in the following form (Son and Nomoto, 1982):

$$\begin{cases} m(\dot{u} - vr - x_G r^2 + z_G rp) = X \\ m(\dot{v} + ur + x_G \dot{r} - z_G \dot{p}) = Y \\ I_{zz} \dot{r} + mx_G (\dot{v} + ur) = N \\ I_{xx} \dot{p} - mz_G (\dot{v} + ur) = K - W \cdot \overline{GM} \cdot \phi \end{cases} \quad (1)$$

where  $u$ ,  $v$ ,  $r$ , and  $p$  denote the surge speed, sway speed, yaw rate and roll rate, respectively;  $m$  is the mass of the ship;  $I_{xx}$  and  $I_{zz}$  are the moments of inertia about the longitudinal and vertical axes;  $x_G$  and  $z_G$  are the longitudinal and vertical coordinates of the ship's center of gravity;  $X$  and  $Y$  are the longitudinal and lateral hydrodynamic force components;  $N$  is the hydrodynamic yaw moment;  $K$  is the roll moment;  $W$  is the weight of the ship;  $\overline{GM}$  is the metacentric height; and  $\phi$  is the roll angle.

Expanding the hydrodynamic forces and moments in Eq. (1) by Taylor series expansion, Eq. (1) can be written as (Blanke *et al.*, 1997, 2002):

$$\begin{bmatrix} m - X_{\dot{u}} & 0 & 0 & 0 \\ 0 & m - Y_{\dot{v}} & mx_G - Y_{\dot{r}} & -mz_G - Y_{\dot{p}} \\ 0 & mx_G - N_{\dot{v}} & I_{zz} - N_{\dot{r}} & -N_{\dot{p}} \\ 0 & -mz_G - K_{\dot{v}} & -K_{\dot{r}} & I_{xx} - K_{\dot{p}} \end{bmatrix} \begin{bmatrix} \dot{u} \\ \dot{v} \\ \dot{r} \\ \dot{p} \end{bmatrix} = \begin{bmatrix} f_1 \\ f_2 \\ f_3 \\ f_4 \end{bmatrix} \quad (2)$$

$$\begin{aligned} f_1 = & X_u u_a + X_{uu} u_a^2 + X_{uuu} u_a^3 + X_{vr} vr + X_{rr} r^2 + X_{vv} v + X_{vv} v^2 + X_{v\phi} v\phi + X_{\phi\phi} \phi^2 + X_{pp} p^2 + X_{ppu} p^2 u_a \\ & + X_{\delta} \delta + X_{\delta\delta} \delta^2 + X_{\delta u} \delta u_a + X_{\delta\delta u} \delta^2 u_a + X_{v\delta} v\delta + X_{v\delta\delta} v\delta^2 + mvr + mx_G r^2 - mz_G rp; \end{aligned} \quad (3)$$

$$\begin{aligned} f_2 = & Y_v v + Y_{vv} v^2 + Y_{v|v|} v|v| + Y_{v|r|} v|r| + Y_{vrr} vr^2 + Y_r r + Y_{r|r|} r|r| + Y_{rrr} r^3 + Y_{r|v|} r|v| + Y_{rvv} rv^2 + Y_p p + Y_{ppp} p^3 + Y_{pu} pu_a \\ & + Y_{pu|pu|} pu_a |pu_a| + Y_{\phi} \phi + Y_{v\phi} v\phi + Y_{v\phi\phi} v\phi^2 + Y_{\phi v} \phi v^2 + Y_0 + Y_{0u} u_a + Y_{\delta} \delta + Y_{\delta\delta} \delta^2 + Y_{\delta\delta\delta} \delta^3 + Y_{\delta v} \delta v + Y_{\delta v} \delta v^2 \\ & + Y_{\delta u} \delta u_a + Y_{\delta\delta u} \delta^2 u_a + Y_{\delta\delta\delta u} \delta^3 u_a - mur; \end{aligned} \quad (4)$$

$$\begin{aligned} f_3 = & N_v v + N_{vv} v^2 + N_{v|v|} v|v| + N_{v|r|} v|r| + N_{vrr} vr^2 + N_r r + N_{r|r|} r|r| + N_{rrr} r^3 + N_{r|v|} r|v| + N_{rvv} rv^2 + N_p p + N_{ppp} p^3 \\ & + N_{pu} pu_a + N_{pu|pu|} pu_a |pu_a| + N_{\phi} \phi + N_{v\phi} v\phi + N_{v\phi\phi} v\phi^2 + N_{\phi v} \phi v^2 + N_0 + N_{0u} u_a + N_{\delta} \delta + N_{\delta\delta} \delta^2 + N_{\delta\delta\delta} \delta^3 \\ & + N_{\delta v} \delta v + N_{\delta v} \delta v^2 + N_{\delta u} \delta u_a + N_{\delta\delta u} \delta^2 u_a + N_{\delta\delta\delta u} \delta^3 u_a - mx_G ur; \end{aligned} \quad (5)$$

$$\begin{aligned} f_4 = & K_v v + K_{vv} v^2 + K_{v|v|} v|v| + K_{v|r|} v|r| + K_{vrr} vr^2 + K_r r + K_{r|r|} r|r| + K_{rrr} r^3 + K_{r|v|} r|v| + K_{rvv} rv^2 + K_p p + K_{p|p|} p|p| \\ & + K_{ppp} p^3 + K_{pu} pu_a + K_{pu|pu|} pu_a |pu_a| + K_{v\phi} v\phi + K_{v\phi\phi} v\phi^2 + K_{\phi v} \phi v^2 + K_0 + K_{0u} u_a + K_{\delta} \delta + K_{\delta\delta} \delta^2 \\ & + K_{\delta\delta\delta} \delta^3 + K_{\delta v} \delta v + K_{\delta v} \delta v^2 + K_{\delta u} \delta u_a + K_{\delta\delta u} \delta^2 u_a + K_{\delta\delta\delta u} \delta^3 u_a - W \overline{GM} \phi + mz_G ur, \end{aligned} \quad (6)$$

where  $u_a$  is the relative surge speed defined as  $u_a = U - U_{\text{nom}}$ ,  $U = \sqrt{u^2 + v^2}$  is the ship's absolute speed,  $U_{\text{nom}}$  is the nominal speed;  $X_u$ ,  $Y_v$ ,  $N_r$ ,  $K_p$  etc. are the hydrodynamic coefficients;  $\delta$  is the

rudder angle.

### 3. Least Square Support Vector Machines

LS-SVM is an improved type of SVM. To avoid the uncertainties in selecting structural parameters, some improvements (Suykens and Vandewalle, 1999) have been made. Because of the choice of square loss function, the sparse solution feature is lost. But it does not heavily influence the precision of the results; in contrast, it transfers the solution of quadratic optimization problem to solving a linear system of equations, which immensely saves the computational time.

The feature space representation of LS-SVM can be described as

$$y(\mathbf{x}) = \mathbf{w}^T \Phi(\mathbf{x}) + b \quad (\mathbf{x} \in \mathbf{R}^{m_x}, y \in \mathbf{R}^n) \quad (7)$$

where  $y(\mathbf{x})$  is the scalar output of the system;  $\Phi(\mathbf{x})$  is a high-dimensional feature space to approximate the hidden mapping contained in the original training samples;  $\mathbf{x}$  is the input vector of the system;  $\mathbf{w}$  is the weight matrix;  $b$  is the bias (constant);  $\mathbf{R}$  is the Euclidean space;  $m_x$  and  $n$  are the dimensions of the Euclidean space.

The optimization problem is

$$\min_{\mathbf{w}, e} J(\mathbf{w}, e) = \frac{1}{2} \mathbf{w}^T \mathbf{w} + \frac{1}{2} C \sum_{i=1}^n e_i^2 \quad (8)$$

subject to

$$y_i = \mathbf{w}^T \Phi(x_i) + b + e_i \quad (i = 1, 2, \dots, n) \quad (9)$$

where  $C$  is the penalty factor, and  $e$  is the regression error.

The following Lagrangian function is defined for objective function and constraint conditions

$$L_a(\mathbf{w}, e, \beta, b) = J(\mathbf{w}, e) - \sum_{i=1}^n \beta_i [\mathbf{w}^T \Phi(x_i) + b + e_i - y_i], \quad (10)$$

where  $\beta_i$  is the Lagrangian multiplier.

Taking the partial derivatives of  $L_a$  with respect to  $\mathbf{w}$ ,  $e$ ,  $\beta$  and  $b$ , and setting the derivatives to be zero, respectively, we have

$$\frac{\partial L_a}{\partial \mathbf{w}} = 0 \rightarrow \mathbf{w} = \sum_{i=1}^n \beta_i \Phi(x_i); \quad (11)$$

$$\frac{\partial L_a}{\partial e_i} = 0 \rightarrow \beta_i = C e_i; \quad (12)$$

$$\frac{\partial L_a}{\partial \beta_i} = 0 \rightarrow \mathbf{w}^T \Phi(x_i) + b + e_i - y_i = 0; \quad (13)$$

$$\frac{\partial L_a}{\partial b} = 0 \rightarrow \sum_{i=1}^n \beta_i = 0. \quad (14)$$

Substituting Eqs. (11) and (12) into Eq. (13), subject to Eq. (14), gives

$$\begin{bmatrix} \mathbf{0} & \mathbf{E} \\ \mathbf{E}^T & \Omega + C^{-1}I \end{bmatrix} \begin{bmatrix} b \\ \beta \end{bmatrix} = \begin{bmatrix} \mathbf{0} \\ \mathbf{y} \end{bmatrix} \quad (15)$$

where  $\mathbf{y} = [y_1, \dots, y_n]^T$ ,  $\mathbf{E} = [1, \dots, 1]^T$ ,  $\boldsymbol{\beta} = [\beta_1, \dots, \beta_n]^T$ ,  $\boldsymbol{\Omega} = \boldsymbol{\Phi}(x_i)^T \boldsymbol{\Phi}(x_j) = K(x_i, x_j)$ ,  $\mathbf{0} = [0, \dots, 0]^T$ .  $K(x_i, x_j)$  is the kernel function. The regression estimation function can be obtained, once Eq. (15) is solved:

$$y = \sum_{i=1}^n \beta_i K(x_i, x) + b \tag{16}$$

### 4. Modeling Method

There are three kinds of system identification modeling method, i.e., white-box modeling, grey-box modeling, and black-box modeling. In white-box modeling, the motion law of the system is analyzed based on the structure of the system; the mathematical model of the system is derived. White-box modeling is also known as the mechanism modeling. Black-box modeling is a modeling method using only the input-output data of the system, even if both the structure and parameters of the system are unknown at all. Black-box modeling aims at an appropriate approximation of the actual system. Grey-box modeling is a hybrid modeling method combining the white-box modeling and black-box modeling for the system which is not fully known.

#### 4.1 White-Box Modeling

For the purpose of parametric identification and computer simulation, the continuous equation of motion is discretized by using Euler’s stepping method as:

$$\begin{cases} \dot{u}(k) = [u(k+1) - u(k)] / h \\ \dot{v}(k) = [v(k+1) - v(k)] / h \\ \dot{r}(k) = [r(k+1) - r(k)] / h \\ \dot{p}(k) = [p(k+1) - p(k)] / h \end{cases} \tag{17}$$

where  $h$  is the sampling interval,  $k$  and  $k+1$  are the adjacent sampling time steps.

Substituting Eq. (17) into Eqs. (2)–(6), we can obtain the identification formulas in the nondimensional form as follows:

$$\begin{cases} \mathbf{C}_{X_w} \cdot \mathbf{X}_w = L^2 \left[ (m' - X'_u) \frac{u(k+1) - u(k)}{LhU^2(k)} - m' \frac{v(k)r(k)}{LU^2(k)} - m'x'_G \frac{r^2(k)}{U^2(k)} + m'z'_G \frac{r(k)p(k)}{U^2(k)} \right] \\ \mathbf{C}_{Y_w} \cdot \mathbf{Y}_w = L^2 \left[ (m' - Y'_v) \frac{v(k+1) - v(k)}{LhU^2(k)} + (m'x'_G - Y'_r) \frac{r(k+1) - r(k)}{hU^2(k)} + (-m'z'_G - Y'_p) \frac{p(k+1) - p(k)}{hU^2(k)} + m' \frac{u(k)r(k)}{LU^2(k)} \right] \\ \mathbf{C}_{N_w} \cdot \mathbf{N}_w = L^2 \left[ (m'x'_G - N'_v) \frac{v(k+1) - v(k)}{LhU^2(k)} + (I'_{zz} - N'_r) \frac{r(k+1) - r(k)}{hU^2(k)} + (-N'_p) \frac{p(k+1) - p(k)}{hU^2(k)} + m'x'_G \frac{u(k)r(k)}{LU^2(k)} \right] \\ \mathbf{C}_{K_w} \cdot \mathbf{K}_w = L^2 \left[ (-m'z'_G - K'_v) \frac{v(k+1) - v(k)}{LhU^2(k)} + (-K'_r) \frac{r(k+1) - r(k)}{hU^2(k)} + (I'_{xx} - K'_p) \frac{p(k+1) - p(k)}{hU^2(k)} - m'z'_G \frac{u(k)r(k)}{LU^2(k)} \right] \\ + W'GM'\phi(k) \end{cases} \tag{18}$$

where coefficient vectors  $\mathbf{C}_{X_w}$ ,  $\mathbf{C}_{Y_w}$ ,  $\mathbf{C}_{N_w}$ , and  $\mathbf{C}_{K_w}$  and variable vectors  $\mathbf{X}_w$ ,  $\mathbf{Y}_w$ ,  $\mathbf{N}_w$ , and  $\mathbf{K}_w$  are given as:

$$\begin{aligned}
\mathbf{C}_{X_w} &= \left[ X'_u, X'_{uu}, X'_{uuu}, X'_{uv}, m', X'_{rr} + m'x'_G, X'_v, X'_{vv}, X'_{v\phi}, X'_\phi, X'_{\phi\phi}, X'_{pp}, X'_{ppu}, X'_\delta, X'_{\delta\delta}, X'_{\delta u}, X'_{\delta\delta u}, X'_{v\delta}, X'_{v\delta\delta} \right]_{1 \times 18}; \\
\mathbf{C}_{Y_w} &= \left[ Y'_v, Y'_{vv}, Y'_{|v|}, Y'_{|v|^2}, Y'_{vr}, Y'_r, Y'_{r|v|}, Y'_{rrr}, Y'_{r|v|^2}, Y'_{rvv}, Y'_p, Y'_{ppp}, Y'_{pu}, Y'_{p|v|}, Y'_\phi, Y'_{v\phi}, Y'_{v\phi\phi}, Y'_{\phi vv}, Y'_0, Y'_{0u}, Y'_\delta, Y'_{\delta\delta}, Y'_{\delta\delta\delta}, Y'_{\delta v}, \right. \\
&\quad \left. Y'_{\delta vv}, Y'_{\delta u}, Y'_{\delta\delta u}, Y'_{\delta\delta\delta u} \right]_{1 \times 28}; \\
\mathbf{C}_{N_w} &= \left[ N'_v, N'_{vv}, N'_{|v|}, N'_{|v|^2}, N'_{vr}, N'_r, N'_{r|v|}, N'_{rrr}, N'_{r|v|^2}, N'_{rvv}, N'_p, N'_{ppp}, N'_{pu}, N'_{p|v|}, N'_\phi, N'_{v\phi}, N'_{v\phi\phi}, N'_{\phi vv}, N'_0, N'_{0u}, \right. \\
&\quad \left. N'_\delta, N'_{\delta\delta}, N'_{\delta\delta\delta}, N'_{\delta v}, N'_{\delta vv}, N'_{\delta u}, N'_{\delta\delta u}, N'_{\delta\delta\delta u} \right]_{1 \times 28}; \\
\mathbf{C}_{K_w} &= \left[ K'_v, K'_{vv}, K'_{|v|}, K'_{|v|^2}, K'_{vr}, K'_r, K'_{r|v|}, K'_{rrr}, K'_{r|v|^2}, K'_{rvv}, K'_p, K'_{p|v|}, K'_{ppp}, K'_{pu}, K'_{p|v|^2}, K'_{v\phi}, K'_{v\phi\phi}, K'_{\phi vv}, K'_0, K'_{0u}, K'_r, \right. \\
&\quad \left. K'_\delta, K'_{\delta\delta}, K'_{\delta\delta\delta}, K'_{\delta v}, K'_{\delta vv}, K'_{\delta u}, K'_{\delta\delta u}, K'_{\delta\delta\delta u} \right]_{1 \times 28}; \\
\mathbf{X}_w &= \left[ u_a(k), u_a^2(k), u_a^3(k), v(k)r(k), r^2(k), v(k), v^2(k), v(k)\phi(k), \phi(k), \phi^2(k), p^2(k), p^2(k)u_a(k), \delta(k), \right. \\
&\quad \left. \delta^2(k), \delta(k)u_a(k), \delta^2(k)u_a(k), v(k)\delta(k), v(k)\delta^2(k) \right]_{1 \times 18}^T; \\
\mathbf{Y}_w &= \left[ v(k), v^2(k), v(k)|v(k)|, v(k)|r(k)|, v(k)r^2(k), r(k), r(k)|r(k)|, r^3(k), r(k)|v(k)|, r(k)v^2(k), p(k), \right. \\
&\quad \left. p^3(k), p(k)u_a(k), p(k)u_a(k)|p(k)u_a(k)|, \phi(k), v(k)\phi(k), v(k)\phi^2(k), \phi(k)v^2(k), 1, u_a(k), \delta(k), \delta^2(k), \right. \\
&\quad \left. \delta^3(k), \delta(k)v(k), \delta(k)v^2(k), \delta(k)u_a(k), \delta^2(k)u_a(k), \delta^3(k)u_a(k) \right]_{1 \times 28}^T; \\
\mathbf{N}_w &= \left[ v(k), v^2(k), v(k)|v(k)|, v(k)|r(k)|, v(k)r^2(k), r(k), r(k)|r(k)|, r^3(k), r(k)|v(k)|, r(k)v^2(k), p(k), \right. \\
&\quad \left. p^3(k), p(k)u_a(k), p(k)u_a(k)|p(k)u_a(k)|, \phi(k), v(k)\phi(k), v(k)\phi^2(k), \phi(k)v^2(k), 1, u_a(k), \delta(k), \delta^2(k), \right. \\
&\quad \left. \delta^3(k), \delta(k)v(k), \delta(k)v^2(k), \delta(k)u_a(k), \delta^2(k)u_a(k), \delta^3(k)u_a(k) \right]_{1 \times 28}^T; \\
\mathbf{K}_w &= \left[ v(k), v^2(k), v(k)|v(k)|, v(k)|r(k)|, v(k)r^2(k), r(k), r(k)|r(k)|, r^3(k), r(k)|v(k)|, r(k)v^2(k), p(k), \right. \\
&\quad \left. p(k)|p(k)|, p^3(k), p(k)u_a(k), p(k)u_a(k)|p(k)u_a(k)|, v(k)\phi(k), v(k)\phi^2(k), \phi(k)v^2(k), 1, u_a(k), \delta(k), \right. \\
&\quad \left. \delta^2(k), \delta^3(k), \delta(k)v(k), \delta(k)v^2(k), \delta(k)u_a(k), \delta^2(k)u_a(k), \delta^3(k)u_a(k) \right]_{1 \times 28}^T.
\end{aligned}$$

The above coefficient vectors can be identified by using LS-SVM. By selecting the linear kernel function  $K(x, x') = (x x')$ , Eq. (16) is rewritten as:

$$y = \sum_{i=1}^n \beta_i x_i + b. \quad (19)$$

According to Eq. (19), if LS-SVM has approximated the objective function well,  $\sum_{i=1}^n \beta_i x_i$  are considered as the identified hydrodynamic coefficients.

The process of white-box modeling and prediction of ship manoeuvring motion by using LS-SVM is depicted in Fig. 1.

#### 4.2 Grey-Box Modeling

By substituting Eq. (17) into Eqs. (2)–(6), the output at  $k+1$  time step can be rearranged as:

$$\begin{cases} u(k+1) = C_{X_g} \cdot X_g \\ v(k+1) = C_{Y_g} \cdot Y_g \\ r(k+1) = C_{N_g} \cdot N_g \\ p(k+1) = C_{K_g} \cdot K_g \end{cases} \quad (20)$$

where coefficient vectors  $C_{X_g}$ ,  $C_{Y_g}$ ,  $C_{N_g}$ , and  $C_{K_g}$  and variable vectors  $X_g$ ,  $Y_g$ ,  $N_g$ , and  $K_g$  are

$$C_{X_g} = [a_1, a_2, \dots, a_{19}]_{1 \times 19}, \quad C_{Y_g} = [b_1, b_2, \dots, b_{29}]_{1 \times 29}, \quad C_{N_g} = [c_1, c_2, \dots, c_{29}]_{1 \times 29}, \quad C_{K_g} = [d_1, d_2, \dots, d_{29}]_{1 \times 29},$$

$$X_g = [u_a(k), u_a^2(k), u_a^3(k), v(k)r(k), r^2(k), v(k), v^2(k), v(k)\phi(k), \phi(k), \phi^2(k), p^2(k), p^2(k)u_a(k), \delta(k), \delta^2(k), \delta(k)u_a(k), \delta^2(k)u_a(k), v(k)\delta(k), v(k)\delta^2(k), r(k)p(k)]_{1 \times 19}^T;$$

$$Y_g = [v(k), v^2(k), v(k)|v(k)|, v(k)|r(k)|, v(k)r^2(k), r(k), r(k)|r(k)|, r^3(k), r(k)|v(k)|, r(k)v^2(k), p(k), p^3(k), p(k)u_a(k), p(k)u_a(k)|p(k)u_a(k)|, \phi(k), v(k)\phi(k), v(k)\phi^2(k), \phi(k)v^2(k), 1, u_a(k), \delta(k), \delta^2(k), \delta^3(k), \delta(k)v(k), \delta(k)v^2(k), \delta(k)u_a(k), \delta^2(k)u_a(k), \delta^3(k)u_a(k), u(k)r(k)]_{1 \times 29}^T;$$

$$N_g = Y_g; \quad K_g = Y_g.$$

The process of grey-box modeling and prediction of ship manoeuvring motion by using LS-SVM is depicted in Fig. 2.

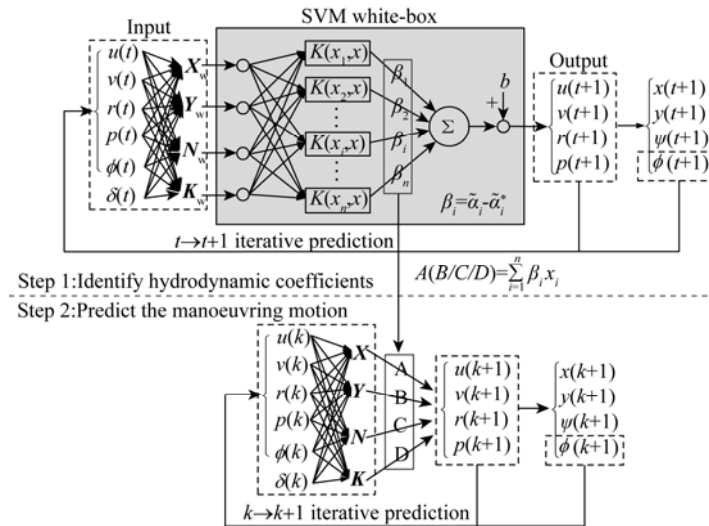


Fig. 1. Process of white-box modeling and motion prediction by using LS-SVM.

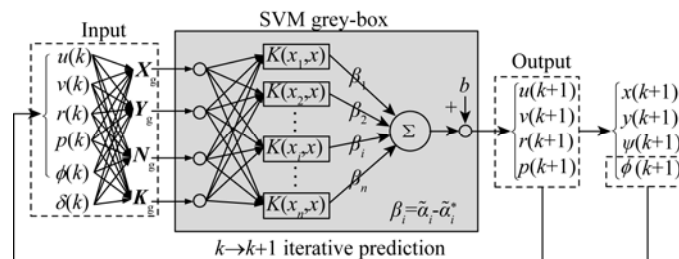


Fig. 2. Process of grey-box modeling and motion prediction by using LS-SVM.

**4.3 Black-Box Modeling**

From Eq. (20), it can be seen that  $u(k+1)$ ,  $v(k+1)$ ,  $r(k+1)$ , and  $p(k+1)$  are the functions of  $u(k)$ ,  $v(k)$ ,  $r(k)$ ,  $p(k)$ ,  $\phi(k)$ , and  $\delta(k)$ . These equations can be rewritten as:

$$\begin{cases} u(k+1) = g_1 [u(k), v(k), r(k), p(k), \phi(k), \delta(k)] \\ v(k+1) = g_2 [u(k), v(k), r(k), p(k), \phi(k), \delta(k)] \\ r(k+1) = g_3 [u(k), v(k), r(k), p(k), \phi(k), \delta(k)] \\ p(k+1) = g_4 [u(k), v(k), r(k), p(k), \phi(k), \delta(k)] \end{cases} \quad (21)$$

The process of black-box modeling and prediction of ship manoeuvring motion by using LS-SVM is depicted in Fig. 3.

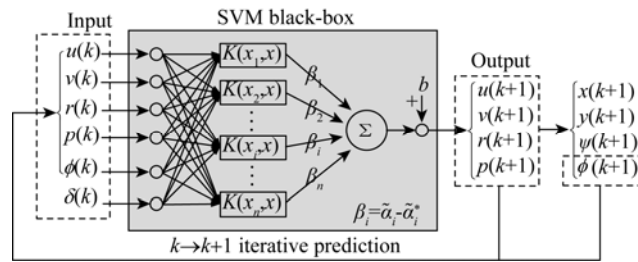


Fig. 3. Process of black-box modeling and motion prediction by using LS-SVM.

**5. Prediction and Generalization Verification**

**5.1 Prediction**

A model of container ship (Blanke et al., 1997, 2002) is taken as the study object. The principal dimensions of the ship are given in Table 1.

Parameter	Magnitude	Parameter	Magnitude
Length ( $L$ )	230.66 m	$x$ -coordinate of CG ( $x_G$ )	-0.46 m
Beam ( $B$ )	32 m	$z$ -coordinate of CG ( $z_G$ )	-3.54 m
Draught ( $D$ )	10.7 m	Metacentric height ( $\overline{GM}$ )	0.83 m
Displacement volume ( $\nabla$ )	46070 m <sup>3</sup>	Block coefficient ( $C_B$ )	0.56
Non-dimensional mass ( $m'$ )	750.81×10 <sup>-5</sup>	Propeller diameter ( $D_p$ )	8 m
Non-dimensional inertia in roll ( $I'_{xx}$ )	1.30×10 <sup>-5</sup>	Rudder rate ( $\dot{\delta}_{max}$ )	2.3 °/s
Non-dimensional inertia in yaw ( $I'_{yy}$ )	43.25×10 <sup>-5</sup>	Nominal speed ( $U_{nom}$ )	12.7 m/s

Firstly, 10°/10°, 15°/15°, 20°/20° zigzag tests and 15°, 25°, 35° turning circle manoeuvres are simulated by using the hydrodynamic coefficients obtained from RPMM test (Blanke et al., 1997, 2002), as given in Table 2. The simulation sampling interval is 0.05 s. Surge speed  $u$ , sway speed  $v$ , yaw rate  $r$ , roll rate  $p$  etc. are obtained from the simulation.



**Table 2** Comparison of identified nondimensional hydrodynamic coefficients ( $\times 10^{-5}$ ) with RPMM test data

Accel. -coef.	RPMM	$X$ -coef.	RPMM	Identified	$Y$ -coef.	RPMM	Identified	$N$ -coef.	RPMM	Identified	$K$ -coef.	RPMM	Identified
$X'_u$	-124.4	$X'_v$	-24.0	-24.0	$Y'_v$	-725.0	-725.0	$N'_v$	-300.0	-300.0	$K'_v$	25.0	25.0
$Y'_v$	-878.0	$X'_{vv}$	-1.0	-0.9	$Y'_{vv}$	98.6	98.6	$N'_{vv}$	-0.6	-0.6	$K'_{vv}$	0.0	0.0
$Y'_r$	-48.1	$X'_{\delta}$	-1.4	-1.4	$Y'_{ \dot{r} }$	-5801.5	-5801.7	$N'_{ \dot{r} }$	-712.9	-712.9	$K'_{ \dot{r} }$	99.2	99.2
$Y'_\rho$	23.3	$X'_{\delta\delta}$	-116.8	-116.8	$Y'_\delta$	248.1	248.1	$N'_\delta$	-128.9	-128.9	$K'_\delta$	-6.5	-6.5
$N'_v$	42.3	$X'_u$	-226.2	-226.1	$Y'_{\delta\delta}$	13.4	13.4	$N'_{\delta\delta}$	-11.9	-11.9	$K'_{\delta\delta}$	-0.8	-0.8
$N'_r$	-30.0	$X'_{uu}$	-64.5	-63.3	$Y'_{\delta\delta\delta}$	-193.0	-193.1	$N'_{\delta\delta\delta}$	101.4	101.4	$K'_{\delta\delta\delta}$	4.1	4.1
$N'_\rho$	0.2	$X'_{uuu}$	-137.2	-124.2	$Y'_{\delta u}$	-379.4	-379.4	$N'_{\delta u}$	196.9	196.9	$K'_{\delta u}$	8.9	8.9
$K'_v$	0.0	$X'_{v\delta}$	124.5	124.4	$Y'_{\delta\delta u}$	-55.6	-55.6	$N'_{\delta\delta u}$	12.8	12.8	$K'_{\delta\delta u}$	1.3	1.3
$K'_r$	-1.0	$X'_{v\delta\delta}$	-341.0	-340.9	$Y'_{\delta\delta u}$	232.3	231.9	$N'_{\delta\delta u}$	-125.4	-125.2	$K'_{\delta\delta u}$	-4.8	-4.8
$K'_\rho$	-0.7	$X'_{\delta u}$	-17.2	-17.2	$Y'_0$	4.7	5.0	$N'_0$	-0.6	-0.6	$K'_0$	-0.1	-0.1
		$X'_{\delta u}$	224.9	224.8	$Y'_{0u}$	-5.3	-5.9	$N'_{0u}$	6.5	6.5	$K'_{0u}$	1.1	1.1
		$X'_\phi$	-5.9	-5.9	$Y'_{\delta v}$	-100.0	-100.0	$N'_{\delta v}$	-24.6	-24.6	$K'_{\delta v}$	5.4	5.4
		$X'_{\phi\phi}$	-42.2	-42.2	$Y'_{\delta v}$	189.2	190.2	$N'_{\delta v}$	-349.1	-349.1	$K'_{\delta v}$	-0.9	-0.9
		$X'_{v\phi}$	108.1	108.1	$Y'_\phi$	37.7	37.7	$N'_\phi$	-17.9	-17.9	$K'_{ \dot{r} }$	-1.0	-1.0
		$X'_{rr}$	4.4	4.5	$Y'_{v\phi}$	144.9	144.9	$N'_{\phi\phi}$	17.8	17.8	$K'_{v\phi}$	-14.7	-14.7
		$X'_{vr}$	-24.0	-24.0	$Y'_{v\phi\phi}$	2459.3	2458.0	$N'_{v\phi\phi}$	-0.9	-0.8	$K'_{v\phi\phi}$	-103.9	-103.9
		$X'_{pp}$	7.2	7.2	$Y'_{\phi v}$	177.2	180.6	$N'_{\phi v}$	-933.9	-934.0	$K'_{\phi v}$	-6.2	-6.3
		$X'_{ppu}$	3.9	4.0	$Y'_r$	118.2	118.2	$N'_r$	-290.0	-290.0	$K'_r$	0.8	0.8
					$Y'_{ \dot{r} }$	0	0	$N'_{ \dot{r} }$	0.0	0.0	$K'_{ \dot{r} }$	-20.0	-20.0
					$Y'_{rrr}$	-158.0	-156.9	$N'_{rrr}$	-224.5	-224.2	$K'_{rrr}$	0.0	0.0
					$Y'_{ \dot{r} }$	-409.4	-409.5	$N'_{ \dot{r} }$	-778.8	-778.7	$K'_{ \dot{r} }$	41.1	41.1
					$Y'_{rvv}$	-994.6	-995.3	$N'_{rvv}$	-1287.2	-1286.8	$K'_{rvv}$	-34.6	-34.6
					$Y'_{ \dot{r} }$	-1192.7	-1192.7	$N'_{ \dot{r} }$	-174.7	-174.7	$K'_{ \dot{r} }$	10.4	10.4
					$Y'_{vrr}$	-1107.9	-1107.4	$N'_{vrr}$	36.8	37.4	$K'_{vrr}$	22.2	22.2
					$Y'_\rho$	-3.4	-3.4	$N'_\rho$	-8.0	-8.0	$K'_\rho$	-3.0	-3.0
					$Y'_{ppp}$	-9.3	-9.3	$N'_{ppp}$	0.0	0	$K'_{ppp}$	0.0	0.0
					$Y'_{pu}$	23.6	23.6	$N'_{pu}$	12.8	12.8	$K'_{pu}$	0.0	0.0
					$Y'_{p \dot{r} }$	-52.5	-59.9	$N'_{p \dot{r} }$	0.0	1.3	$K'_{p \dot{r} }$	0.0	0.2

In white-box modeling and grey-box modeling, the training samples are taken from the simulation data of 20°/20° zigzag test every 5 s. In the process of identification, the linear kernel function is selected, and penalty factor  $C = 10^6$  is chosen. For white-box modeling, the training sample couples consist of:

Input:  $[X_w, Y_w, N_w, K_w]$

$$\text{Output: } L^2 \left[ (m' - X'_u) \frac{u(k+1) - u(k)}{LhU^2(k)} - m' \frac{v(k)r(k)}{LU^2(k)} - m' x'_G \frac{r^2(k)}{U^2(k)} + m' z'_G \frac{r(k)p(k)}{U^2(k)} \right];$$

$$L^2 \left[ (m' - Y'_v) \frac{v(k+1) - v(k)}{LhU^2(k)} + (m' x'_G - Y'_r) \frac{r(k+1) - r(k)}{hU^2(k)} + (-m' z'_G - Y'_\rho) \frac{p(k+1) - p(k)}{hU^2(k)} + m' \frac{u(k)r(k)}{LU^2(k)} \right];$$

$$L^2 \left[ (m'x'_G - N'_v) \frac{v(k+1) - v(k)}{LhU^2(k)} + (I'_{zz} - N'_r) \frac{r(k+1) - r(k)}{hU^2(k)} + (-N'_p) \frac{p(k+1) - p(k)}{hU^2(k)} + m'x'_G \frac{u(k)r(k)}{LU^2(k)} \right];$$

$$L^2 \left[ (-m'z'_G - K'_v) \frac{v(k+1) - v(k)}{LhU^2(k)} + (-K'_r) \frac{r(k+1) - r(k)}{hU^2(k)} + (I'_{xx} - K'_p) \frac{p(k+1) - p(k)}{hU^2(k)} - m'z'_G \frac{u(k)r(k)}{LU^2(k)} \right]$$

$$+ W'GM'\phi(k).$$

The hydrodynamic coefficient vectors are identified by Eq. (19) and the results are given in Table 2 in comparison with the RPMM test data. Note that the acceleration coefficients are not identified. They are treated as known constants during identification.

It can be seen from Table 2 that the identification results of the hydrodynamic coefficients are in good agreement with the RPMM test data. This shows that the proposed white-box modeling by using LS-SVM is an effective method to identify the hydrodynamic coefficients.

In the grey-box modeling, the training sample couples consist of

$$\text{Input: } [X_g, Y_g, N_g, K_g]$$

$$\text{Output: } [u(k+1), v(k+1), r(k+1), p(k+1)]$$

In order to make Eq. (21) have the same nonlinear mapping capability as Eq. (20), the kernel function of the black-box modeling must be nonlinear. The RBF kernel function, one of the commonly used nonlinear functions,  $K(x, x') = \exp(-\|x - x'\|^2 / (2\sigma^2))$  is chosen, where  $\sigma$  is the width parameter which is taken as 30. SVM has a strong ability to learn from a small sample compared with neural networks, however, the sample is required to fully reflect the input and output mappings. The roll rate changes more rapidly than surge speed, sway speed and yaw rate, it is difficult to achieve better forecasting results only relying on a certain motion (such as a zigzag test or a turning circle manoeuvre). Refer to the simulation of ship motion using recurrent neural network (Hess and Faller, 2000), the training samples are taken from the simulation data of 15°/15°, 20°/20° zigzag tests and 15°, 25° turning circle manoeuvres every 5 s. The training sample couples consist of

$$\text{Input: } [u(k), v(k), r(k), p(k), \phi(k), \delta(k)]$$

$$\text{Output: } [u(k+1), v(k+1), r(k+1), p(k+1)]$$

Comparisons of the hydrodynamic forces and moments predicted from white-box modeling with simulated results are depicted in Fig. 4. Fig. 5 shows the comparisons of the predicted motions using the mathematical models obtained by white-box modeling, grey-box modeling, and black-box modeling with those of simulation. A satisfactory agreement demonstrates the validity of the proposed modeling methods.

## 5.2 Generalization Verification

To verify the generalization performance of the proposed methods using white-box modeling, grey-box modeling, and black-box modeling, 10°/10° zigzag test and 35° turning circle manoeuvre are predicted.

Comparisons of the hydrodynamic forces and moments predicted from white-box modeling with simulated results are depicted in Fig. 6 and Fig. 8. Comparisons of the predicted motions with

simulated results are shown in Fig. 7 and Fig. 9. As it can be seen from these figures, good agreements are achieved, which demonstrates that all the modeling methods have a good generalization capability.

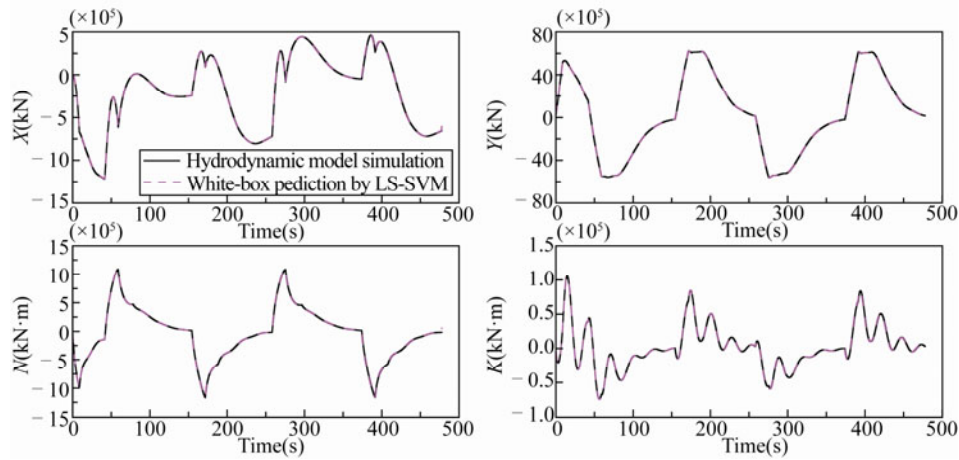


Fig. 4. Comparisons of the predicted hydrodynamic forces and moments with simulated results, 20°/20° zigzag test.

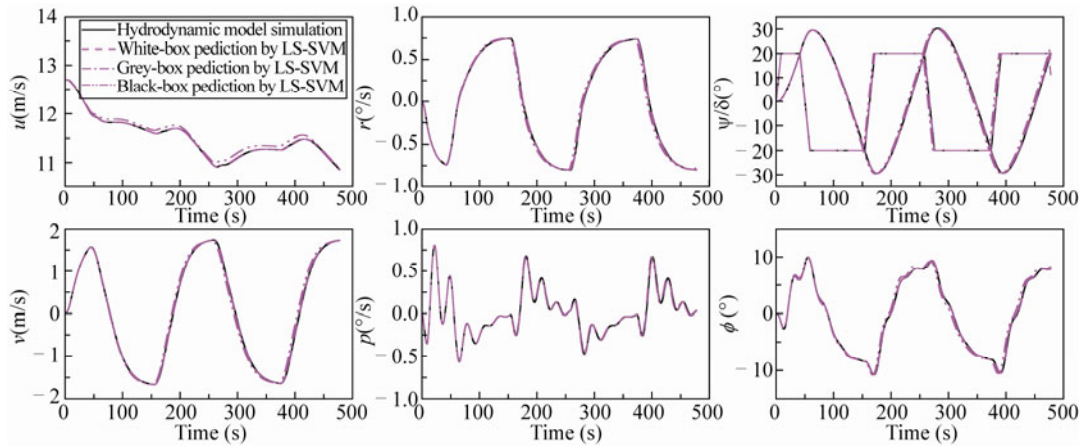


Fig. 5. Comparisons of the predicted motions with simulated results, 20°/20° zigzag test.

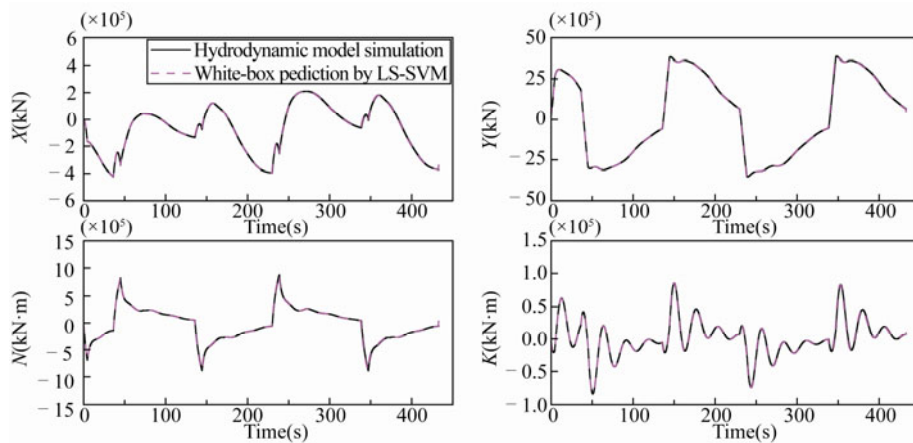


Fig. 6. Comparisons of the predicted hydrodynamic forces and moments with simulated results, 10°/10° zigzag test.

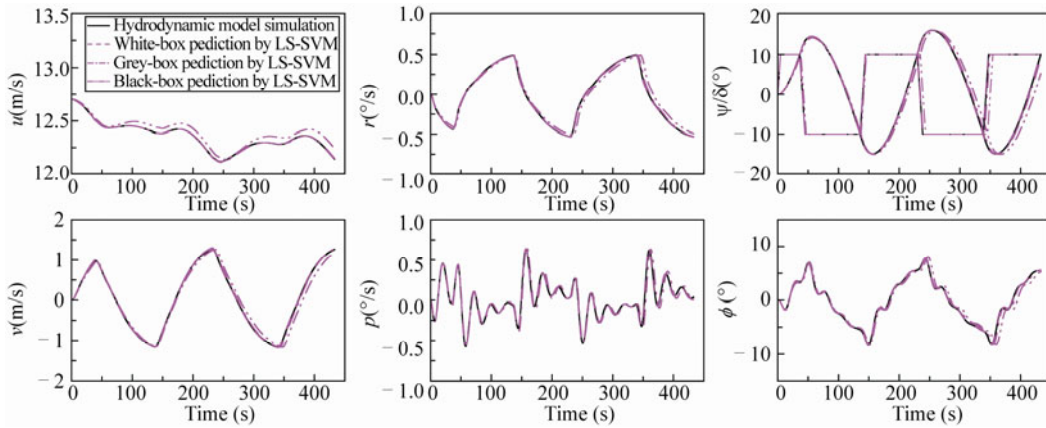


Fig. 7. Comparisons of the predicted motions with simulated results, 10°/10° zigzag test.

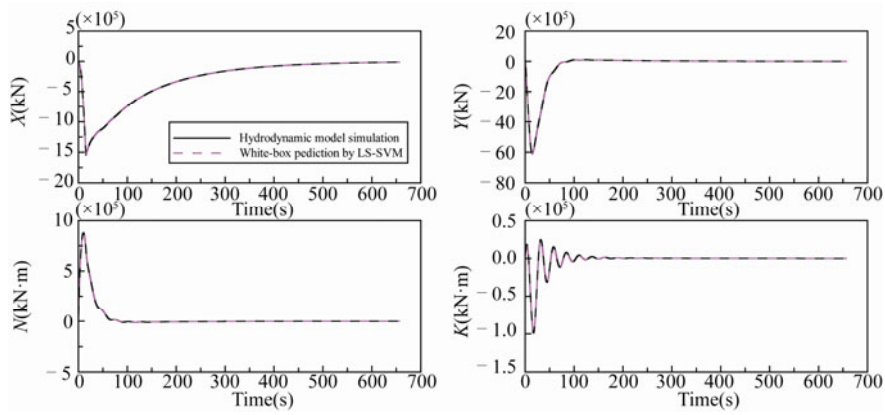


Fig. 8. Comparisons of the predicted hydrodynamic forces and moments with simulated results, 35° turning circle manoeuvre.

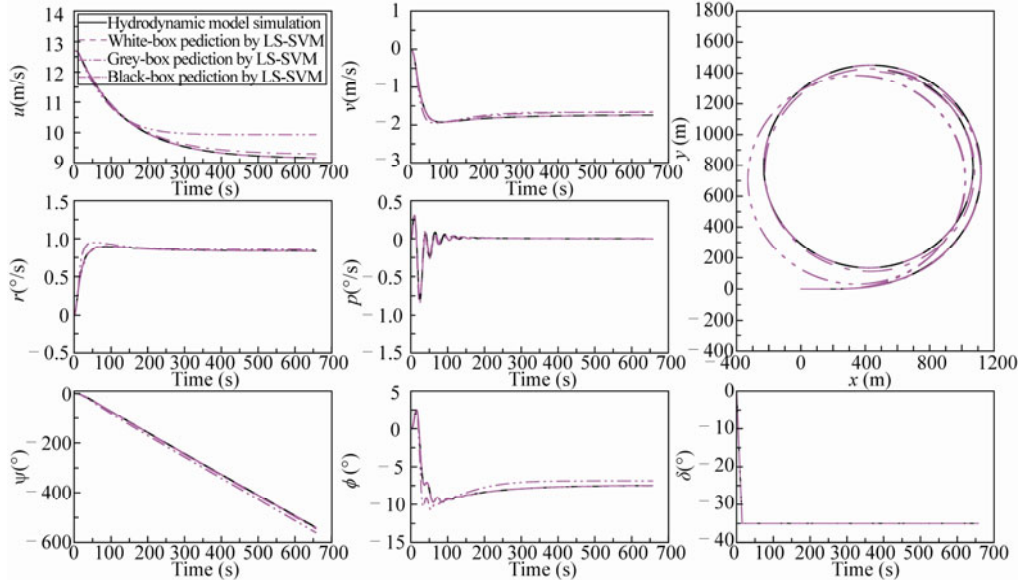


Fig. 9. Comparisons of the predicted motions with simulated results, 35° turning circle manoeuvre.

## 6. Comparison of the Modeling Methods

### 6.1 Required Known Conditions and Outputs

Requirements on the known conditions and the output results of the three modeling methods are listed in Table 3. According to the intended use of the mathematical models and the available data needed for system identification, the appropriate modeling method can be chosen. If the hydrodynamic coefficients are to be determined, only white-box modeling should be chosen; the hydrodynamic forces and moments can be predicted by white-box modeling from Eqs. (3)–(6); however, much more known data are required. When only the structures of the equations of ship manoeuvring motion are known, the grey-box modeling is a better choice. When neither the principal ship parameters, nor the structures of the equations of ship manoeuvring motion are known, black-box modeling is the only choice for modeling of ship manoeuvring motion.

**Table 3** Requirements on the known conditions and the outputs of the modeling methods

Method	Requirements on known conditions	Outputs
White-box modeling	Mathematical model of ship motion	
	Principal ship parameters: $m, L, x_G$ etc.	Hydrodynamic coefficients: $X'_u, Y'_v, N'_r, K'_p$ etc
	Acceleration coefficients: $X'_u, Y'_v, N'_r, K'_p$ etc.	Hydrodynamic forces and moments: $X, Y, N$ and $K$
	Motion parameters: $u(k), v(k), r(k)$ and $p(k)$	Motion parameters: $u(k+1), v(k+1), r(k+1)$ and $p(k+1)$
	Rudder angle and roll angle: $\delta(k)$ and $\phi(k)$	
Grey-box modeling	Mathematical model of ship motion	
	Motion parameters: $u(k), v(k), r(k)$ and $p(k)$	Motion parameters: $u(k+1), v(k+1), r(k+1)$ and $p(k+1)$
	Rudder angle and roll angle: $\delta(k)$ and $\phi(k)$	
Black-box modeling	Motion parameters: $u(k), v(k), r(k)$ and $p(k)$	Motion parameters: $u(k+1), v(k+1), r(k+1)$ and $p(k+1)$
	Rudder angle and roll angle: $\delta(k)$ and $\phi(k)$	

### 6.2 Comparison of Prediction Accuracy

Usually, Mean Square Error (MSE) and Correlation Coefficient (CC) are two evaluation criterions used to measure the prediction accuracy. All the predictions using the mathematical models obtained by the proposed modeling methods are carried out in the same computational environment. The MSE and CC of  $u, v, r$  and  $p$  are listed in Table 4.

Table 4 demonstrates that all the proposed modeling methods have high prediction accuracy. However, the accuracy of white-box modeling and grey-box modeling is significantly higher than that of black-box modeling. The reason is that the inputs of white-box modeling and grey-box modeling are both high-dimensional vectors and hence can better reflect the system characteristics; while the input of black-box modeling is only one-dimensional vector. White-box modeling and grey-box modeling have a stronger nonlinear mapping ability than black-box modeling, although the RBF kernel function is chosen in black-box modeling.

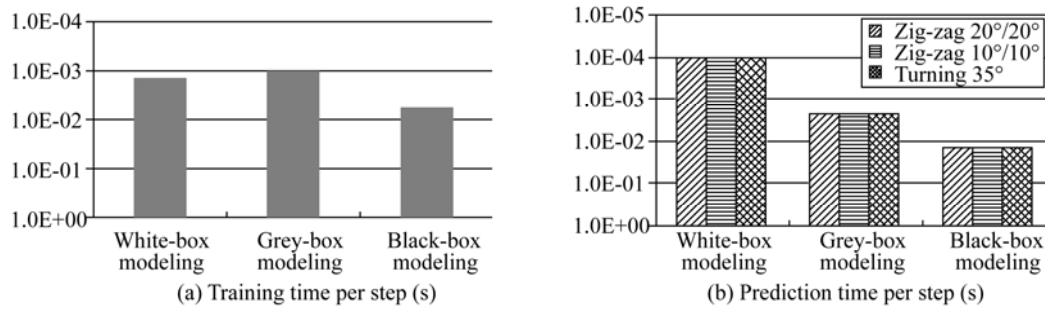
### 6.3 Comparison of Prediction Speed

The training time and prediction time per step of each manoeuvre are plotted in Fig. 10 for visual comparison. Fig. 10 demonstrates that all the proposed modeling methods have a fast prediction speed. However, white-box modeling costs much less computation time than grey-box modeling and black-

box modeling. The reason is that the prediction based on white-box modeling is by iterative computations through hydrodynamic coefficients and Eq. (17) and hence is the fastest; on the other hand, prediction based on grey-box modeling involves a high dimensional nonlinear input and hence costs a large amount of computation; although the input of black-box modeling is very simple, the RBF kernel function is quite memory- and CPU time consuming.

**Table 4** Comparison of the prediction accuracy

Manoeuvres	Evaluation criterion	White-box modeling	Grey-box modeling	Black-box modeling
20°/20° zigzag	MSE	$u: 3.0909 \times 10^{-6}$	$u: 4.1396 \times 10^{-5}$	$u: 4.25845 \times 10^{-3}$
		$v: 6.1478 \times 10^{-5}$	$v: 8.3322 \times 10^{-4}$	$v: 1.0200 \times 10^{-2}$
		$r: 5.2143 \times 10^{-9}$	$r: 6.9638 \times 10^{-8}$	$r: 8.0767 \times 10^{-7}$
		$p: 1.2093 \times 10^{-8}$	$p: 1.6670 \times 10^{-7}$	$p: 1.7154 \times 10^{-6}$
	CC	$u: 0.9999$	$u: 0.9999$	$u: 0.9981$
		$v: 0.9999$	$v: 0.9998$	$v: 0.9968$
10°/10° zigzag	MSE	$u: 1.4038 \times 10^{-6}$	$u: 1.7008 \times 10^{-5}$	$u: 2.4381 \times 10^{-3}$
		$v: 7.6193 \times 10^{-5}$	$v: 9.1550 \times 10^{-4}$	$v: 1.4682 \times 10^{-2}$
		$r: 5.8705 \times 10^{-9}$	$r: 7.7978 \times 10^{-8}$	$r: 1.1006 \times 10^{-6}$
		$p: 5.0966 \times 10^{-8}$	$p: 1.2304 \times 10^{-6}$	$p: 7.6239 \times 10^{-6}$
	CC	$u: 0.9999$	$u: 0.9997$	$u: 0.9814$
		$v: 0.9999$	$v: 0.9993$	$v: 0.9883$
35° turning	MSE	$u: 6.1803 \times 10^{-8}$	$u: 7.7087 \times 10^{-3}$	$u: 2.5632 \times 10^{-1}$
		$v: 8.0514 \times 10^{-7}$	$v: 4.8715 \times 10^{-3}$	$v: 7.6885 \times 10^{-3}$
		$r: 2.0996 \times 10^{-12}$	$r: 8.9307 \times 10^{-9}$	$r: 4.3855 \times 10^{-7}$
		$p: 4.8442 \times 10^{-11}$	$p: 1.8975 \times 10^{-7}$	$p: 8.2096 \times 10^{-7}$
	CC	$u: 0.9999$	$u: 0.9998$	$u: 0.9741$
		$v: 0.9999$	$v: 0.9917$	$v: 0.9697$
		$r: 0.9999$	$r: 0.9989$	$r: 0.9598$
		$p: 0.9999$	$p: 0.9741$	$p: 0.9381$



**Fig. 10.** Comparison of the prediction speed.

## 7. Conclusions

Based on LS-SVM, this paper has dealt with three system identification modeling methods for ship manoeuvring motion in 4-DOF, i.e., white-box modeling, grey-box modeling and black-box modeling. The conclusions can be summarized as follows:

(1) Good predictive ability and generalization performance of the proposed modeling methods are demonstrated by comparing the predicted results with those of simulation tests.

(2) Appropriate modeling method can be chosen according to the intended use of the mathematical models and the available data needed for system identification: When the hydrodynamic coefficients are to be determined, only white-box modeling should be chosen; when only the structures of the equations of ship manoeuvring motion is known, the gray-box modeling is a better choice; when both the principal ship parameters and the equations of ship manoeuvring motion are unknown, black-box modeling is the only choice for modeling of ship manoeuvring motion, but training samples from more manoeuvring types are needed.

(3) By comparing the MSE and CC between the prediction results and simulation data, it is shown that the accuracy of white-box modeling and grey-box modeling is significantly higher than that of black-box modeling.

(4) It is shown that all the modeling methods have fast prediction speed because of the SVM characteristics. In comparison, white-box modeling needs much less computation time than grey-box modeling and black-box modeling.

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