

# **Normal family of meromorphic functions concerning fixed-points**

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**Abstract** Let  $A > 1$  be a constant and  $\mathcal F$  be a family of meromorphic functions defined in a domain *D*. For each  $f \in \mathcal{F}$ , *f* has only zeros of multiplicity at least 3 and satisfies the following conditions: (1)  $|f'''(z)| \le A|z|$  when  $f(z) = 0$ ; (2)  $f'''(z) \ne z$ ; (3) all poles of *f* are multiple. In this paper, we characterize the non-normal sequences of *F*.

**Keywords** Normal family · Meromorphic function · Fixed-point

**Mathematics Subject Classification** 30D45

## **1 Introduction and main results**

Let  $D \subseteq \mathbb{C}$  be a domain, and  $\mathcal F$  be a family of meromorphic functions defined on  $D \mathcal F$ is said to be normal on *D*, in the sense of Montel, if for each sequence { $f_n$ }  $\subset \mathcal{F}$  there exists a subsequence  $\{f_{n_k}\}$ , such that  $\{f_{n_k}\}$  converges spherically locally uniformly on *D*, to a meromorphic function or  $\infty$  (see [\[4](#page-10-0),[9,](#page-10-1)[13\]](#page-10-2)).

The following well-known normality criterion was conjectured by Hayman [\[4](#page-10-0)], and proved by Gu [\[3](#page-10-3)].

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**Theorem A** *Let F be a family of meromorphic functions defined in a domain D, and k* be a positive integer. If for every function  $f \in \mathcal{F}$ ,  $f \neq 0$  and  $f^{(k)} \neq 1$  in D, then  $\mathcal{F}$ *is normal in D.*

This result has undergone various extensions and improvements. In [\[6](#page-10-4)] (cf. [\[8,](#page-10-5)[11\]](#page-10-6)), Pang–Yang–Zalcman obtained.

**Theorem B** *Let k be a positive integer. Let F be a family of meromorphic functions defined in a domain D, all of whose zeros have multiplicity at least k* + 2 *and whose poles are multiple. Let*  $h(z)$ ( $\neq 0$ ) *be a holomorphic functions on D. If for each*  $f \in \mathcal{F}$ *,*  $f^{(k)}(z) \neq h(z)$ , then *F* is normal in *D*.

When  $k = 1$ , an example [\[8](#page-10-5), Example 1] shows that the condition on the multiplicity of zeros of functions in  $\mathcal F$  cannot be weakened. When  $k \geq 2$ , Zhang–Pang–Zalcman [\[14](#page-10-7)] proved that the multiplicity of zeros of functions in  $\mathcal F$  can be reduced from  $k+2$ to  $k + 1$  in Theorem [C.](#page-1-0)

<span id="page-1-0"></span>**Theorem C** *Let*  $k > 2$  *be a positive integer. Let*  $\mathcal F$  *be a family of meromorphic functions defined in a domain D, all of whose zeros have multiplicity at least*  $k + 1$ *and whose poles are multiple. Let*  $h(z)$  ( $\neq 0$ ) *be a holomorphic functions on D. If for*  $\text{each } f \in \mathcal{F}, f^{(k)}(z) \neq h(z), \text{ then } \mathcal{F} \text{ is normal in } D.$ 

In [\[12\]](#page-10-8), Xu reduced the multiplicity of the zeros of functions in  $\mathcal F$  to  $k$  for the case  $h(z) = z$ , but restricting the values  $f^{(k)}$  can take at the zeros of f, as follows.

**Theorem D** *Let*  $k \geq 4$  *be a positive integer,*  $A > 1$  *be a constant. Let*  $\mathcal F$  *be a family of meromorphic functions in a domain D. If, for every function*  $f \in \mathcal{F}$ *, f has only zeros of multiplicity at least k and satisfies the following conditions:*

(a)  $f(z) = 0 \Rightarrow |f^{(k)}(z)| \le A|z|$ . (b)  $f^{(k)}(z) \neq z$ .

(c) *All poles of f are multiple .*

<span id="page-1-1"></span>*Then F is normal in D.*

**Theorem E** Let  $A > 1$  be a constant. Let  $\mathcal F$  be a family of meromorphic functions in *a domain D. If, for every function*  $f \in \mathcal{F}$ , f has only zeros of multiplicity at least 3 *and satisfies the following conditions:*

- (a)  $f(z) = 0 \Rightarrow |f'''(z)| \le A|z|$ .
- (b)  $f'''(z) \neq z$ .
- (c) *All poles of f have multiplicity at least* 3*.*

*Then F is normal in D.*

Also in [\[12\]](#page-10-8), Xu gave the following example to show that the condition (c) in Theorem [E](#page-1-1) is necessary and the number 3 is best possible.

**Example 1** (See [\[12](#page-10-8)]) *Let*  $\Delta = \{z : |z| < 1\}$ *, and let* 

$$
\mathcal{F} = \left\{ f_n(z) = \frac{(z - 1/n)^3 (z + 1/n)^3}{24z^2} \right\}.
$$

*Clearly,*

$$
f_n'''(z) = z + \frac{1}{n^6 z^5} \neq z.
$$

*For each n, f<sub>n</sub> has two zeros*  $z_1 = 1/n$  *and*  $z_2 = -1/n$  *of multiplicity 3. It's easy to see that*

$$
f_n'''\left(\frac{1}{n}\right) = \frac{2}{n}, \ f_n'''\left(-\frac{1}{n}\right) = -\frac{2}{n},
$$

 $|f_n^m(z_i)| \leq 2|z_i|(i = 1, 2)$ , then  $f_n(z) = 0 \Rightarrow |f_n^m(z)| \leq 2|z|$ . However *F* is not *normal at* 0 *since*  $f_n(1/n) = 0$  *and*  $f_n(0) = \infty$ *.* 

In this paper, inspired by the idea in  $[1,2]$  $[1,2]$  $[1,2]$ , we prove the following result, which shows that the counterexample above is unique in some sense.

**Theorem 1** Let  $A > 1$  be a constant and  $\mathcal F$  be a family of meromorphic functions *defined in D, all of whose zeros have multiplicity at least* 3 *and whose poles all are multiple, such that for each*  $f \in \mathcal{F}$ *,*  $f(z) = 0 \Rightarrow |f'''(z)| \leq A|z|$ *<i>, and*  $f'''(z) \neq z$ *. If F* is not normal at  $z_0 \in D$ , then  $z_0 = 0$  and there exist  $r > 0$  and  $\{f_n\} \subset F$  such that

$$
f_n(z) = \frac{(z - \xi_n^1)^3 (z - \xi_n^2)^3}{(z - \eta_n)^2} \hat{f}_n(z)
$$

 $on \Delta_r = \{z : |z| < r\}$ , where  $\xi_n^i/\rho_n \to c_i (i = 1, 2)$  and  $\eta_n/\rho_n \to (c_1+c_2)/2$  for some sequence of positive numbers  $\rho_n \to 0$  and two distinct constants  $c_1$  and  $c_2$ . Moreover,  $\hat{f}_n(z)$  is holomorphic and non-vanishing on  $\Delta_r$  such that  $\hat{f}_n(z) \to \hat{f}(z) \equiv 1/24$ *locally uniformly on*  $\Delta_r$ .

In this paper, we denote  $\Delta_r = \{z : |z| < r\}$  and  $\Delta'_r = \{z : 0 < |z| < r\}$ , and the number *r* may be different in different place. When  $r = 1$ , we drop the subscript.

#### **2 Lemmas**

To prove our results, we need the following lemmas.

**Lemma 1** ([\[7](#page-10-11), Lemma 2]) *Let k be a positive integer and let F be a family of meromorphic functions in a domain D, all of whose zeros have multiplicity at least k, and suppose that there exists A*  $\geq$  1 *such that*  $|f^{(k)}(z)| \leq$  *A whenever*  $f(z) = 0, f \in \mathcal{F}$ . *If F* is not normal at  $z_0 \in D$ , then for each  $\alpha$ ,  $0 \leq \alpha \leq k$ , there exist a sequence of *complex numbers*  $z_n \in D$ ,  $z_n \to z_0$ , a sequence of positive numbers  $\rho_n \to 0$ , and a *sequence of functions*  $f_n \in \mathcal{F}$  *such that* 

<span id="page-2-0"></span>
$$
g_n(\zeta) = \frac{f_n(z_n + \rho_n \zeta)}{\rho_n^{\alpha}} \to g(\zeta)
$$

*locally uniformly with respect to the spherical metric, where g is a nonconstant meromorphic function on* C*, all of whose zeros have multiplicity at least k, such that*  $g^{\#}(\zeta) \leq g^{\#}(0) = kA + 1$ *. Moreover,*  $g(\zeta)$  has order at most 2.

<span id="page-3-6"></span>**Lemma 2** ([\[12](#page-10-8), Lemma 6]) *Let f be a transcendental meromorphic function of finite order*  $\rho$ , and let  $k \geq 2$ ) *be a positive integer. If f has only zeros of multiplicity at least k, and there exists A* > 1 *such that*  $f(z) = 0 \Rightarrow |f^{(k)}(z)| \leq A|z|$ *, then*  $f^{(k)}$  *has infinitely many fix-points.*

<span id="page-3-2"></span>**Lemma 3** ([\[11](#page-10-6), Lemma 8]) *Let f be a non-polynomial rational function and k be a positive integer. If*  $f^{(k)}(z) \neq 1$ *, then* 

$$
f(z) = \frac{1}{k!}z^{k} + a_{k-1}z^{k-1} + \cdots + a_0 + \frac{a}{(z-b)^m},
$$

<span id="page-3-5"></span>*where*  $a_{k-1}, \ldots, a_0, a \neq 0$ *, <i>b* are constants and *m* is a positive integer.

**Lemma 4** ( $[12, \text{Lemma 10}]$  $[12, \text{Lemma 10}]$ ) *Let*  $k > 3$  *be a positive integer,*  $A > 1$  *be a constant. Let F* be a family of meromorphic functions in a domain D. Suppose that, for every  $f \in \mathcal{F}$ , *f has only zeros of multiplicity at least k, and satisfies the following conditions:*

- (a)  $f(z) = 0 \Rightarrow |f^{(k)}(z)| \le A|z|$ .
- (b)  $f^{(k)}(z) \neq z$ .
- (c) *all poles of f are multiple.*

<span id="page-3-0"></span>*Then*  $F$  *is normal in D*\{0}*.* 

**Lemma 5** *Let F be a family of functions meromorphic on*  $\Delta_r$ ,  $b \in \overline{C}$  *to be a constant* which satisfies  $f(z) \neq b$  on  $\Delta_r$  for each  $f \in \mathcal{F}$ . If  $\mathcal{F}$  is normal on  $\Delta'_r$ , but not normal *on*  $\Delta_r$ , *then there exists a subsequence*  $\{f_n\} \subset \mathcal{F}$  *such that*  $f_n(z) \stackrel{\chi}{\Rightarrow} b$  *on*  $\Delta'_r$ .

*Proof* Without loss of generality, we assume that  $b = 0$ . Since *F* is normal on  $\Delta'_r$ , then there exists a subsequence  $\{f_n\} \subset \mathcal{F}$  such that  $f_n(z) \to f(z)$  spherically locally uniformly on  $\Delta'_r$ . Set  $g_n(z) = 1/f_n(z)$ . Thus  $g_n(z) \to g(z) = 1/f(z)$  on  $\Delta'_r$ . Noting that  $f_n(z) \neq 0$ , it follows that  $f(z) \neq 0$  or  $f(z) \equiv 0$  by Hurwitz's theorem and  $g_n(z)$ is holomorphic on  $\Delta_r$ . If  $f(z) \neq 0$ , then the maximum modulus principle implies that  $g_n(z) \to g(z)$  on  $\Delta_r$ . Hence  $f_n(z) \to \text{on } \Delta_r$ , a contradiction. So,  $f(z) \equiv 0$ . This finishes the proof of Lemma [5.](#page-3-0)  $\Box$ 

<span id="page-3-4"></span>**Lemma 6** *Let f be a rational function, all of whose zeros are of multiplicity at least* 3. If  $f'''(z) \neq z$ , then one of the following three cases must occur:

(i)

<span id="page-3-1"></span>
$$
f(z) = \frac{(z+c)^4}{24};
$$
\n(2.1)

(ii)

<span id="page-3-3"></span>
$$
f(z) = \frac{(z - c_1)^5}{24(z - b)};
$$
\n(2.2)

 $(iii)$ 

<span id="page-4-2"></span>
$$
f(z) = \frac{(z - c_1)^3 (z - c_2)^3}{24[z - (c_1 + c_2)/2]^2},
$$
\n(2.3)

*where c is nonzero constant,*  $b \neq c_1$ *) is a constant and c<sub>1</sub>,*  $c_2$  *<i>are two distinct constants.* 

*Proof* First, suppose that *f* is a polynomial. Since  $f'''(z) \neq z$ , then  $f'''(z) = z + c$ , where  $c \neq 0$ ) is a constant. Thus,

$$
f(z) = \frac{1}{24}z^4 + \frac{c}{6}z^3 + a_1z^2 + a_2z + a_3
$$

where  $a_1$ ,  $a_2$  and  $a_3$  are three constants. Noting that f has only zeros of multiplicity at least 3, it follows that *f* has only one zero of multiplicity 4. Thus, *f* has the form  $(2.1).$  $(2.1).$ 

Then, suppose that *f* is a non-polynomial rational function. Set

$$
g(z) = f(z) - \frac{1}{24}z^4 + \frac{1}{6}z^3.
$$

Then  $g'''(z) \neq 1$ , so by Lemma [3](#page-3-2)

$$
g(z) = \frac{1}{6}z^3 + a_2z^2 + a_1z + a_0 + \frac{a}{(z-b)^m},
$$

where  $a_2$ ,  $a_1$ ,  $a_0$ ,  $a \neq 0$ , *b* are constants and *m* is a positive integer. Thus

<span id="page-4-1"></span>
$$
f(z) = p_4(z) + \frac{a}{(z - b)^m} = \frac{p_4(z)(z - b)^m + a}{(z - b)^m},
$$
\n(2.4)

where

$$
p_4(z) = \frac{1}{24}z^4 + a_2z^2 + a_1z + a_0.
$$

Let  $c_1, c_2, \ldots, c_q$  be  $q$  distinct zeros of  $p_4(z)(z - b)^m + a$ , with multiplicity  $n_1, n_2, \ldots, n_q$ . Clearly,  $n_i \geq 3$ ,  $c_i \neq b$ , and  $c_i$  is a zero of  $[p_4(z)(z - b)^m + a]$ with multiplicity  $n_i - 1 \geq 2(1 \leq i \leq q)$ . Since

<span id="page-4-0"></span>
$$
[p_4(z)(z-b)^m + a]' = (z-b)^{m-1} [p'_4(z)(z-b) + mp_4(z)], \qquad (2.5)
$$

then  $c_i$  must be a zero of  $p'_4(z)(z - b) + mp_4(z)$  with multiplicity  $n_i - 1(\geq 2)$ . Comparing the degree on both sides of [\(2.5\)](#page-4-0), it follows that  $\deg[p'_4(z)(z - b)$  +  $mp_4(z)$  = 4. Now we divide two cases:

- (a)  $p'_4(z)(z b) + mp_4(z)$  has only one zero  $c_1$  with multiplicity 4;
- (b)  $p'_4(z)(z b) + mp_4(z)$  has two distinct zeros  $c_1$  and  $c_2$  with multiplicity 2.

For case (a), it follows that  $m = 1$  and

$$
p_4(z)(z - b) + a = \frac{1}{24}(z - c_1)^5.
$$

Thus, by  $(2.4)$ ,  $f$  has the form  $(2.2)$ .

For case (b), it's easy to see that  $m = 2$  and

$$
p'_4(z)(z - b) + 2p_4(z) = \frac{1}{4}(z - c_1)^2(z - c_2)^2,
$$
  

$$
p_4(z)(z - b)^2 + a = \frac{1}{24}(z - c_1)^3(z - c_2)^3.
$$

These, together with [\(2.5\)](#page-4-0) give

$$
z - b = \frac{1}{2}(z - c_1 + z - c_2).
$$

Thus,  $b = (c_1 + c_2)/2$ . Hence, by [\(2.4\)](#page-4-1), f has the form [\(2.3\)](#page-4-2).

This completes the proof of Lemma [6.](#page-3-4)

#### **3 Proof of Theorem 1**

Since F is not normal at  $z_0$ , by Lemma [4,](#page-3-5)  $z_0 = 0$ . Without loss of generality, we assume that  $\mathcal F$  is normal on  $\Delta'$  but not normal at the origin.

Consider the family

$$
\mathcal{G} = \left\{ g(z) = \frac{f(z)}{z} : f \in \mathcal{F} \right\}.
$$

It's easy to know that  $f(0) \neq 0$  for every  $f \in \mathcal{F}$ . Thus, for each  $g \in \mathcal{G}$ ,  $g(0) = \infty$ . Furthermore, all zeros of  $g(z)$  have multiplicity at least 3. On the other hand, by simple calculation, we have

$$
g'''(z) = \frac{f'''(z)}{z} - \frac{3g''(z)}{z}.
$$
\n(3.1)

Since  $f(z) = 0 \Rightarrow |f'''(z)| \leq A|z|$ , it follows that  $g(z) = 0 \Rightarrow |g'''(z)| \leq A$ .

Clearly,  $G$  is normal on  $\Delta'$ . We claim that  $G$  is not normal at  $z = 0$ . Indeed, if  $G$ is normal at  $z = 0$ , then  $\mathcal G$  is normal on the whole disk  $\Delta$  and hence equicontinuous on  $\Delta$  with respect to the spherical distance. Noting that  $g(0) = \infty$  for each  $g \in \mathcal{G}$ , so there exists  $r > 0$  such that for every  $g \in G$  and  $|g(z)| \ge 1$  for every  $z \in \Delta_r$ . Then  $f(z) \neq 0$  on  $\Delta_r$  for all  $f \in \mathcal{F}$ . Since  $\mathcal{F}$  is normal on  $\Delta'$  but not normal on  $\Delta$ , there exists a sequence  $\{f_n\} \subset \mathcal{F}$  such that  $f_n \to 0$  on  $\Delta'_r$  according to Lemma [5.](#page-3-0) So does {*g<sub>n</sub>*} ⊂ *G*, where  $g_n(z) = f_n(z)/z$ . However  $|g_n(z)| \ge 1$  for  $z \in \Delta_r$ , a contradiction.

Then, by Lemma [1,](#page-2-0) there exist functions  $g_n \in \mathcal{G}$ , points  $z_n \to 0$  and positive numbers  $\rho_n \to 0$  such that

$$
G_n(\zeta) = \frac{g_n(z_n + \rho_n \zeta)}{\rho_n^3} \to G(\zeta),\tag{3.2}
$$

converges spherically uniformly on compact subsets of C, where *G* is a non-constant meromorphic function on C and of finite order, all zeros of *G* have multiplicity at least 3, and  $G^{\#}(\zeta) \leq G^{\#}(0) = 3A + 1$  for all  $\zeta \in \mathbb{C}$ .

By [\[12,](#page-10-8) pp. 480–482], we can assume that  $z_n/\rho_n \to \alpha$ , a finite complex number. Then

$$
\frac{g_n(\rho_n \zeta)}{\rho_n^3} = G_n(\zeta - z_n/\rho_n) \stackrel{\chi}{\to} G(\zeta - \alpha) = \widetilde{G}(\zeta)
$$

on  $\mathbb C$ . Clearly, all zeros of  $\widetilde{G}$  have multiplicity at least 3, and all poles of  $\widetilde{G}$  are multiple, except possibly the pole at 0.

Set

<span id="page-6-2"></span>
$$
H_n(\zeta) = \frac{f_n(\rho_n \zeta)}{\rho_n^4}.
$$
\n(3.3)

Then

<span id="page-6-0"></span>
$$
H_n(\zeta) = \frac{f_n(\rho_n \zeta)}{\rho_n^4} = \zeta \frac{g_n(\rho_n \zeta)}{\rho_n^3} \to \zeta \widetilde{G}(\zeta) = H(\zeta)
$$
 (3.4)

spherically uniformly on compact subsets of  $\mathbb{C}$ , and

<span id="page-6-1"></span>
$$
H_n'''(\zeta) = \frac{f_n'''(\rho_n \zeta)}{\rho_n} \to H'''(\zeta)
$$
 (3.5)

locally uniformly on  $\mathbb{C}\setminus H^{-1}(\infty)$ . Obviously, all zeros of *H* have multiplicity at least 3, and all poles of *H* are multiple. Since  $G(0) = \infty$ ,  $H(0) \neq 0$ .

**Claim** (I)  $H(\zeta) = 0 \Rightarrow |H'''(\zeta)| \le A|\zeta|$ ; (II)  $H'''(\zeta) \ne \zeta$ .

If  $H(\zeta_0) = 0$ , by Hurwitz's theorem and [\(3.4\)](#page-6-0), there exist  $\zeta_n \to \zeta_0$  such that  $f_n(\rho_n \zeta_n) = 0$  for *n* sufficiently large. By the assumption,  $|f_n'''(\rho_n \zeta_n)| \leq A |\rho_n \zeta_n|$ . Then, it follows from [\(3.5\)](#page-6-1) that  $|H'''(\zeta_0)| \leq A |\zeta_0|$ . Claim (I) is proved.

Suppose that there exists  $\zeta_0$  such that  $H'''(\zeta_0) = \zeta_0$ . By [\(3.5\)](#page-6-1),

$$
0 \neq \frac{f_n'''(\rho_n \zeta) - \rho_n \zeta}{\rho_n} = H_n'''(\zeta) - \zeta \to H'''(\zeta) - \zeta,
$$

uniformly on compact subsets of  $\mathbb{C}\setminus H^{-1}(\infty)$ . Hurwitz's theorem implies that  $H'''(\zeta) \equiv \zeta$  on  $\mathbb{C}\backslash H^{-1}(\infty)$ , and then on  $\mathbb{C}$ . It follows that *H* is a polynomial of degree 4. Since all zeros of *H* have multiplicity at least 3, we know that *H* has a single zero  $\zeta_1$  with multiplicity 4, so that  $H'''(\zeta_1) = 0$ , and hence  $\zeta_1 = 0$  since  $H'''(\zeta) = \zeta$ . But  $H(0) \neq 0$ , we arrive at a contradiction. This proves claim (II).

Then, by Lemma [2,](#page-3-6) *H* must be a rational function. Since all poles of *H* are multiple, it derives from Lemma [6](#page-3-4) that  $H(\zeta) = (\zeta + b)/24$  or

$$
H(\zeta) = \frac{(\zeta - c_1)^3 (\zeta - c_2)^3}{24[zeta - (c_1 + c_2)/2]^2},
$$

where *b* is a constant,  $c_1$  and  $c_2$  are two distinct constants. But,  $H(\zeta) = (\zeta + b)/24$ is impossible(for details, see  $[12, pp. 483-485]$  $[12, pp. 483-485]$ ). By  $(3.3)$  and  $(3.4)$ , it follows that

<span id="page-7-0"></span>
$$
\frac{f_n(\rho_n \zeta)}{\rho_n^4} \to \frac{(\zeta - c_1)^3 (\zeta - c_2)^3}{24[\zeta - (c_1 + c_2)/2]^2}.
$$
\n(3.6)

Noting that all zeros of  $f_n$  have multiplicity at least 3, there exist  $\zeta_n^1 \to c_1$ ,  $\zeta_n^2 \to c_2$ and  $\zeta_n^3 \to (c_1 + c_2)/2$  such that  $\xi_n^1 = \rho_n \zeta_n^1$  and  $\xi_n^2 = \rho_n \zeta_n^2$  are zeros of  $f_n$  with exact multiplicity 3, and  $\eta_n = \rho_n \zeta_n^3$  is the pole of  $f_n$  with exact multiplicity 2.

Now write

<span id="page-7-1"></span>
$$
f_n(z) = \frac{\left(z - \xi_n^1\right)^3 (z - \xi_n^2)^3}{\left(z - \eta_n\right)^2} \hat{f}_n(z) \tag{3.7}
$$

Then by  $(3.6)$  and  $(3.7)$ , it follows that

<span id="page-7-2"></span>
$$
\hat{f}_n(\rho_n \zeta) \to \frac{1}{24} \tag{3.8}
$$

on  $\zeta \in \mathbb{C}$ .

Next, we complete our proof in three steps.

**Step 1.** Claim that *there exists a r* > 0 *such that*  $f_n(z) \neq 0$  *on*  $\lambda_r$ .

Suppose not, taking a sequence and renumbering if necessary,  $\hat{f}_n$  has zeros tending to 0. Assume  $\hat{z}_n \to 0$  is the zero of  $\hat{f}_n$  with the smallest modulus. Then by [\(3.8\)](#page-7-2), it's easy to know that  $\hat{z}_n/\rho_n \to \infty$ .

Set

<span id="page-7-3"></span>
$$
\widehat{f}_n^*(z) = \widehat{f}_n(\widehat{z}_n z). \tag{3.9}
$$

Thus,  $\hat{f}_n^*(z)$  is well-defined on  $\mathbb C$  and non-vanishing on  $\Delta$ . Moreover,  $\hat{f}_n^*(1) = 0$ . Now let

<span id="page-7-4"></span>
$$
M_n(z) = \frac{\left(z - \xi_n^1/\hat{z}_n\right)^3 \left(z - \xi_n^2/\hat{z}_n\right)^3}{\left(z - \eta_n/\hat{z}_n\right)^2} \widehat{f}_n^*(z). \tag{3.10}
$$

According to  $(3.7)$ ,  $(3.9)$  and  $(3.10)$ , it follows that

$$
M_n(z) = \frac{\left(z\hat{z}_n - \xi_n^1\right)^3 \left(z\hat{z}_n - \xi_n^2\right)^3}{\left(z\hat{z}_n - \eta_n\right)^2} \frac{\hat{f}_n\left(\hat{z}_n z\right)}{\left(\hat{z}_n\right)^4} = \frac{f_n\left(\hat{z}_n z\right)}{\left(\hat{z}_n\right)^4}.
$$

Obviously, all zeros of  $M_n(z)$  have multiplicity at least 3 and all poles of  $M_n(z)$ have multiplicity at least 2. Since  $f_n(z) = 0 \Rightarrow |f_n'''(z)| \leq A|z|$ , it follows that  $M_n(z) = 0 \Rightarrow |M_n^{\prime\prime\prime}(z)| \le A|z|$ . Now that  $f_n^{\prime\prime\prime}(z) \ne z$ , it derives that

<span id="page-8-0"></span>
$$
M_n'''(z) - z = \frac{\left(f_n''' \hat{z}_n z\right) - \hat{z}_n z}{\hat{z}_n} \neq 0. \tag{3.11}
$$

Hence, by Lemma [4,](#page-3-5)  $\{M_n(z)\}\$ is normal on  $\mathbb{C}^* = \mathbb{C}\backslash\{0\}.$ 

Noting that

$$
\frac{\xi_n^1}{\hat{z}_n} = \frac{\xi_n^1}{\rho_n} \frac{\rho_n}{\hat{z}_n} \to 0,
$$
  

$$
\frac{\xi_n^2}{\hat{z}_n} = \frac{\xi_n^2}{\rho_n} \frac{\rho_n}{\hat{z}_n} \to 0,
$$
  
and 
$$
\frac{\eta_n}{\hat{z}_n} = \frac{\eta_n}{\rho_n} \frac{\rho_n}{\hat{z}_n} \to 0,
$$

we deduce from [\(3.10\)](#page-7-4) that  $\{\widehat{f}_i^*\}$  is also normal on  $\mathbb{C}^*$ . Thus by taking a subsequence, we assume that  $\hat{f}_n^* \to \hat{f}^*$  spherically locally uniformly on  $\mathbb{C}^*$ . Clearly,  $\hat{f}^*(z)$  has a zero at 1 with multiplicity at least 3 since  $f_n^*(1) = 0$ .

Set

<span id="page-8-1"></span>
$$
L_n(z) = M_n'''(z) - z.
$$
 (3.12)

Then  $L_n \neq 0$  from [\(3.11\)](#page-8-0).

Now we prove that  $f^*(z) \neq 0$ . Otherwise  $f^*_n(z) \to 0$ , thus  $L_n(z) \to -z$  and  $L'_n(z) \to -1$  locally uniformly on  $\mathbb{C}^*$ . By the argument principle, it derives that

$$
\left| n(1, L_n) - n\left(1, \frac{1}{L_n}\right) \right| = \frac{1}{2\pi} \left| \int_{|z|=1} \frac{L'_n}{L_n} dz \right| \to \frac{1}{2\pi} \left| \int_{|z|=1} \frac{1}{z} dz \right| = 1,
$$
\n(3.13)

where  $n(r, f)$  denotes the number of poles of f in  $\Delta_r$ , counting multiplicity. It follows that  $n(1, L_n) = 1$ . On the other hand, the poles of  $L_n(z) = M_n'''(z) - z$  have multiplicity at least 4. A contradiction.

Then  $\hat{f}_n^* \to \hat{f}^* \neq 0$  spherically locally uniformly on  $\mathbb{C}^*$ . Since  $\hat{f}_n^*$  is non-vanishing on  $\Delta$ , then  $\hat{f}_n^* \to \hat{f}^*$  on  $\Delta$  by Lemma [5.](#page-3-0) Hence,  $\hat{f}_n^* \to \hat{f}^*$  on  $\mathbb{C}$ .

By  $(3.10)$  and  $(3.12)$ , we see that

$$
L_n(z) \to L(z) = \left(z^4 \widehat{f}^*(z)\right)^{n} - z
$$

on  $\mathbb{C}^*\setminus (\widehat{f}^*)^{-1}(\infty)$ . Obviously,  $\{L_n(z)\}$  is normal on  $\Delta_r$ . If not, Lemma [5](#page-3-0) derives that  $L(z) = (z^4 \hat{f}^*(z))^m - z \equiv 0$  since  $L_n \neq 0$  on  $\mathbb{C}$ . Thus,

$$
\hat{f}^*(z) = \frac{z^4 + a_1 z^2 + a_2 z + a_3}{24z^4},
$$

where  $a_1$ ,  $a_2$  and  $a_3$  be three constants. Now that the zeros of  $\hat{f}^*(z)$  have multiplicity at least 3 and  $\hat{f}^*(1) = 0$ , then

$$
\hat{f}^*(z) = \frac{(z-1)^4}{24z^4},
$$

which is impossible since  $z^4 + a_1z^2 + a_2z + a_3 \neq (z - 1)^4$ . So  $L_n(z) \to L(z)$  on  $\mathbb{C}$ .<br>Since  $L_n(z) \neq 0$ , Hurwitz's theorem implies that either  $L(z) \equiv 0$  or  $L(z) \neq 0$ . Since  $L_n(z) \neq 0$ , Hurwitz's theorem implies that either  $L(z) \equiv 0$  or  $L(z) \neq 0$ .<br> $\hat{f}^*(1) = 0$  follows that  $L(z) \neq 0$ . On the other hand,  $\hat{f}_n^*(0) = \hat{f}_n(0) \rightarrow \hat{f}^*(0) =$ 1/24, it follows that  $L(0) = 0$ , a contradiction. The claim is completed.

**Step 2.** Show that *there exists a r* > 0 *such that*  $f_n(z)$  *is holomorphic on*  $\Delta_r$ *.* 

Since  $\{f_n\}$  and hence  $\{f_n\}$  is normal on  $\Delta'$ , taking a subsequence and renumbering, we have  $f_n \to f$  spherically locally uniformly on  $\Delta'$ .

It's easy to see that  $\hat{f}(z) \neq 0$  on  $\Delta'$ . Otherwise, we have  $f_n'''(z) \to 0$  and  $f_n^{(4)}(z) \to 0$ 0 locally uniformly on  $\Delta'$ . Then the argument principle yields that

$$
\left| n\left(\frac{1}{2}, f_n''' - z\right) - n\left(\frac{1}{2}, \frac{1}{f_n''' - z}\right) \right| = \frac{1}{2\pi} \left| \int_{|z| = \frac{1}{2}} \frac{f_n^{(4)} - 1}{f_n''' - z} dz \right| \to \frac{1}{2\pi} \left| \int_{|z| = \frac{1}{2}} \frac{1}{z} dz \right|
$$
  
= 1.

Now that  $f_n'''(z) \neq z$ , it follows that  $n(\frac{1}{2}, f_n''') = n(\frac{1}{2}, f_n''' - z) = 1$ , which is impossible. Thus,  $\hat{f}_n \to \hat{f} \neq 0$ .

Recalling that  $\hat{f}_n(z) \neq 0$ , and by Lemma [5,](#page-3-0) it gives that  $\hat{f}_n \to \hat{f}$  spherically locally uniformly on  $\Delta$ . Since  $f_n(0) \to 1/24$ , then  $f(0) = 1/24$ . Thus, there exists a positive number *r* such that *f* is holomorphic on  $\Delta_r$ . Hence  $f_n$  is holomorphic on  $\Delta_r$ .

**Step 3.** Prove that *there exists a r* > 0 *such that*  $f_n(z) \to f(z) \equiv 1/24$  *on*  $\Delta_r$ . By [\(3.7\)](#page-7-1), we get  $f_n(z) \to z^4 \hat{f}(z)$  on  $\Delta'$ . Thus

$$
f_n'''(z) - z \to \left[z^4 \hat{f}(z)\right]''' - z,\tag{3.14}
$$

on  $\Delta' \backslash \hat{f}^{-1}(\infty)$ .

Hence there exists  $r > 0$  such that  $f_n'''(z) - z \rightarrow [z^4 \hat{f}(z)]''' - z$  on  $\Delta'_r$ .

If  $\{f_n^m(z) - z\}$  is not normal on  $\Delta_r$ , combining  $f_n^{m'}(z) \neq z$  with Lemma [5,](#page-3-0) it follows that  $[z^4 \hat{f}(z)]''' - z \equiv 0$  on  $\Delta'_r$ . Hence

$$
z^4 \hat{f}(z) = \frac{1}{24} z^4 + a_1 z^2 + a_2 z + a_3
$$

on  $\Delta'_r$ . Recalling that  $f_n \to f$  on  $\Delta$  and  $f(0) = 1/24$ , so  $f_n(z) \to f(z) \equiv 1/24$  on  $\Delta_r$ .

If  $\{f_n'''(z) - z\}$  is normal on  $\Delta_r$ , then either  $[z^4 \hat{f}(z)]''' - z \equiv 0$  or  $[z^4 \hat{f}(z)]''' - z \neq 0$ according to  $f_n'''(z) \neq z$ . Noting the fact that  $[(z^4 \hat{f}(z))'' - z]|_{z=0} = 0$ , it derives that  $[z^4 \hat{f}(z)]''' - z \equiv 0$ . Similarly, it follows that  $\hat{f}_n(z) \to \hat{f}(z) \equiv 1/24$  on  $\Delta_r$ .

The proof of Theorem 1 is finished.

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#### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

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