

Vagus Nerve Stimulation Applied with a Rapid Cycle Has More Profound Influence on Hippocampal Electrophysiology Than a Standard Cycle

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Abstract Although vagus nerve stimulation (VNS) is widely used, therapeutic mechanisms and optimal stimulation parameters remain elusive. In the present study, we investigated the effect of VNS on hippocampal field activity and compared the efficiency of different VNS paradigms. Hippocampal electroencephalography (EEG) and perforant path dentate field-evoked potentials were acquired before and during VNS in freely moving rats, using 2 VNS duty cycles: a rapid cycle (7 s on, 18 s off) and standard cycle (30 s on, 300 s off) and various output currents. VNS modulated the evoked potentials, reduced total power of the hippocampal EEG, and slowed the theta rhythm. In the hippocampal EEG, theta (4–8 Hz) and high gamma (75–150 Hz) activity displayed strong phase amplitude coupling that was reduced by VNS. Rapid-cycle VNS had a greater effect than standard-cycle VNS on all outcome measures. Using rapid cycle VNS, a maximal effect on EEG

parameters was found at 300 μ A, beyond which effects saturated. The findings suggest that rapid-cycle VNS produces a more robust outcome than standard cycle VNS and support already existing preclinical evidence that relatively low output currents are sufficient to produce changes in brain physiology and thus likely also therapeutic efficacy.

Keywords Vagus nerve stimulation · Stimulation parameters · Dentate gyrus · Evoked potential · EEG · Phase amplitude coupling

Introduction

Vagus nerve stimulation (VNS) is an adjunctive treatment for pharmacoresistant epilepsy and depression [1, 2]. Since gaining regulatory approval, VNS has been used to treat >70,000 patients worldwide [3]. Despite numerous preclinical and clinical studies and its widespread application in patients, VNS remains associated with unpredictable and limited therapeutic response rates [1, 4], constituting a drawback for an invasive treatment.

Although some attempts have been made to determine whether VNS efficacy depends on stimulation parameters [5, 6], the results are generally confounded by design inconsistencies, in addition to the relatively few parameter settings investigated. Guidelines on the individual optimization of stimulation parameters to improve patient outcomes systematically are unavailable owing to the lack of studies systematically investigating dose–response relationships. Conducting systematic investigations of VNS parameter efficacy in patients is challenging and almost impossible owing to the huge variability in the treated VNS population, the numerous potential stimulation parameter

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combinations, and the typical limitations of seizure counts as an outcome parameter.

The effect of VNS on different electrophysiological phenomena is now increasingly being investigated in order to understand mechanisms of VNS at a neurophysiological level [7–12]. We previously found in rats that rapid-cycle (7 s on, 18 s off) VNS at 1000 μ A modulates dentate gyrus field-evoked potentials (EPs) induced by stimulation of the perforant path, an afferent fiber bundle projecting to the hippocampus [12]. Dentate field EPs consist of a field excitatory postsynaptic potential (fEPSP), reflecting depolarization of dentate granule cells in response to afferent stimulation. Upon reaching the dentate granule cell discharge threshold, the fEPSP is accompanied by a superimposed population spike, which is the summation of the granule cell action potentials [13]. Dentate field EPs allow us to study excitability, which is an important element in epilepsy [14]. In addition, we found that VNS decreases hippocampal EEG power in various frequency bands [12], reflecting reduced magnitude and/or synchrony of both local and global hippocampal activity [15].

In the present study, we investigated hippocampal effects of various VNS parameters by studying effects of VNS on theta–gamma phase amplitude coupling (PAC) in the hippocampal EEG. Theta–gamma PAC has been described both in human and rodent hippocampus [16, 17], and is thought to be the mechanism by which the activity of local hippocampal neurons or small neuronal assemblies (represented by gamma frequency power) is controlled by field potential changes of larger areas (represented by theta frequency phase) [15]. PAC is hypothesized to support memory encoding and retrieval [17, 18].

In addition we aimed to investigate the dose–response relationship between various VNS output currents and effects on hippocampal electrophysiology. Based on previous studies showing that output current < 1000 μ A is sufficient to recruit vagal fibers effectively [19] and reduce cortical excitability [20], we hypothesized that output currents < 1000 μ A would also be sufficient to modulate hippocampal electrophysiology. In addition, we hypothesized that a rapid cycle would be more effective than a less intense but more clinically used duty cycle.

Methods

Twenty-two male Sprague–Dawley rats (Harlan Laboratories, Indianapolis, IN, USA) were used in the present study. Temperature and humidity were controlled, and rats were housed on a 12 h/12 h light/dark cycle. The experiment was conducted after approval by the local animal experimental committee (Ghent University Hospital, Ghent, Belgium, ECD 12/63) and following European Directive 2010/63/EU.

Surgery

Animals (weighing 300–380 g) were operated under isoflurane anesthesia (5 % for induction, 2 % for maintenance). A cuff electrode was implanted around the left vagus nerve for VNS, a bipolar recording electrode in the hilus of the left dentate gyrus (3.8 mm posterior and 1.9 mm lateral relative to bregma, approximate depth of 3 mm relative to brain surface), and a bipolar stimulation electrode in the left dorsomedial perforant path (7–8 mm posterior and 3.9 mm lateral relative to bregma, approximate depth of 2.5 mm relative to brain surface) [13]. The depth of the electrodes was carefully adjusted to evoke a maximal population spike in the dentate gyrus. Bipolar recording electrodes were made from 2 twisted, polyimide-coated, stainless steel wires with a diameter of 70 μ m (0.9-mm tip separation). Bipolar stimulation electrodes were made from 2 twisted, polyimide-coated, stainless steel wires with a diameter of 125 μ m (0.9-mm tip separation). Electrode leads were collected in a custom-made connector, which was fixed to the skull with stainless steel screws and dental acrylic cement. Following surgery, animals recovered for a minimum of 6 weeks and analgesics (buprenorphine 0.03 mg/kg/day, s.c.) were administered on the 2 days following surgery.

Recording Sessions

Following recovery, animals were connected to a video/electroencephalography (EEG) setup with free movement permitted by a commutator. After habituating to the setup for 1 day, a series of recordings sessions was performed in the freely moving animals. Two electrophysiological parameters were assessed: spontaneous hippocampal EEG and field EPs in the dentate gyrus in response to electrical stimulation of the perforant path. All recording sessions consisted of a 1-h baseline and a 2-h VNS/SHAM period. The data acquisition design was dependent on the VNS duty cycle (Fig. 1). Two types of VNS duty cycles were investigated: a standard VNS duty cycle (30 s on and 300 s off) and a rapid VNS duty cycle (7 s on, 18 s off) [12, 21], which is often used to treat patients not responding to the former scheme [5, 22]. Two standard cycle conditions were included with VNS applied at intensities of 1000 μ A (S1000) and 250 μ A (S250). Additionally, 5 rapid cycle conditions with varying VNS intensities were included: 0 (RSHAM), 1000 μ A (R1000), 250 μ A (R250), 25 μ A (R25), and 25 μ A below behavioral threshold (RSUB). The behavioral VNS threshold was determined by ramping up the output current in 25 μ A increments until the point where rats displayed the first detectable behavioral reaction to the stimulation. The pulse width of the VNS pulses was 250 μ s and the frequency was 30 Hz in all cases.

In all sessions, data were acquired in the VNS off period. In standard cycle conditions, the 300-s off period was split into 12 subrecording cycles of 25 s, during which 2 EPs and a 10-s

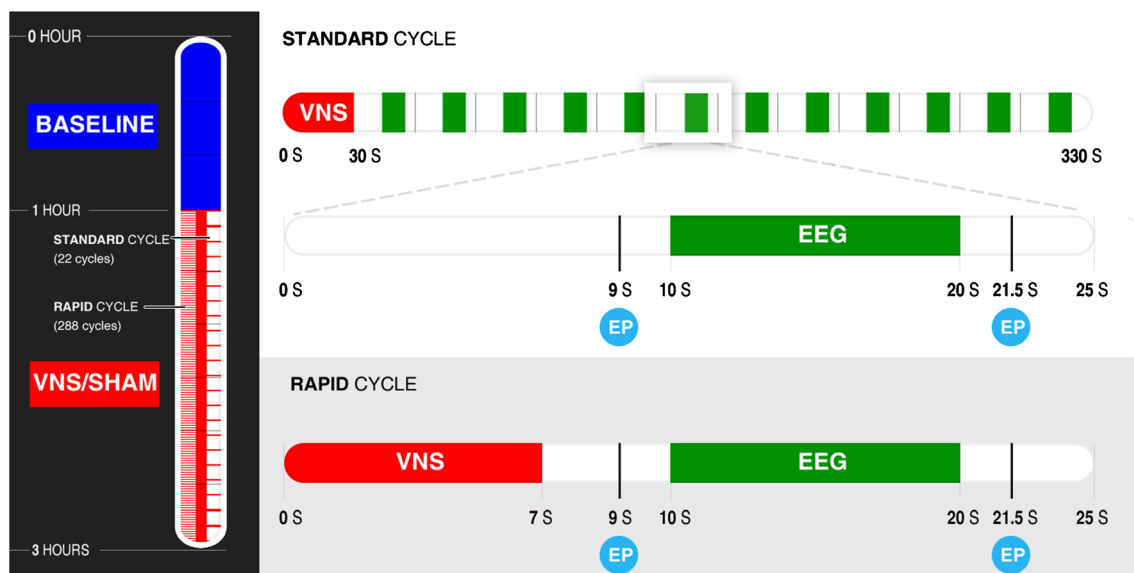


Fig. 1 A schematic illustration of the recording design in all recording conditions. Two conditions followed a standard vagus nerve stimulation (VNS) duty cycle design (VNS on 30 s, off 300 s) and 5 conditions followed a rapid duty cycle design (i.e., VNS on 7 s, off 18 s). In all sessions, the 2-h VNS/SHAM period was preceded by a 1-h baseline acquisition period. In the rapid-cycle condition, each duty cycle allowed the acquisition of 2 perforant path-evoked dentate field potentials (EPs)

sweep of EEG were acquired (Fig. 1). This resulted in the acquisition of 24 dentate field EPs and 12 sweeps of EEG between each VNS train. The rapid-cycle recording design resembled the subrecording cycle of the standard-cycle condition, allowing the acquisition of 2 dentate field EPs and a 10-s EEG sweep during each off period of the VNS duty cycle (Fig. 1). In all sessions, the same cycles for data acquisition were used for both baseline and VNS/SHAM periods, though no VNS was applied during baseline. All dentate field EPs were obtained by delivering biphasic pulses to the perforant path with a pulse width of 100 μ s at an intensity adjusted to evoke a dentate population spike of 75 % of maximal amplitude based on an input/output relationship generated prior to the recording sessions.

To establish a dose–response relationship between VNS intensity and changes in hippocampal EEG parameters, an additional experiment was carried out in a subset of 6 rats. On consecutive days, rapid cycle recording sessions were performed using VNS intensities ramped up in daily 25 μ A increments from 0 to 250 μ A (day 1–11), in daily increments of 50 μ A from 300 μ A to 500 μ A (day 12–16), and up to 1000 μ A in a final recording session (day 17). In this experiment, only hippocampal EEG was acquired.

Impedances of stimulation electrodes (both for VNS and perforant path stimulation) were assessed before all sessions. Dentate field EPs and hippocampal EEG were acquired referencing each hippocampal recording electrode to an epidural ground electrode placed above the right frontal cortex. Dentate field EPs were sampled at 20 kHz and hippocampal EEG was

and a 10-s sweep of spontaneous hippocampal field electroencephalography (EEG) during the off period of the cycle. During the standard cycle condition, the off period was split into 12 subrecording cycles of the same length as the rapid cycle, and, correspondingly, 2 EPs and a 10-s sweep of EEG were recorded. The recording cycle during the baseline period was identical to the VNS/SHAM period with exception of no VNS being applied

sampled at 1 kHz. All signals were high pass filtered at 0.1 Hz and amplified 100 times, sampling with a 16-bit dynamic and a ± 3.05 - μ V input amplitude resolution. Digitization was done with a USB-6259 National Instruments acquisition device (National Instruments, Austin, TX, USA).

Analysis of Dentate Field EPs

EPs were analyzed with Matlab (MathWorks, Inc., Natick, MA, US). Three parameters were extracted from the dentate field EPs: fEPSP slope, population spike amplitude, and population spike latency (Fig. 2a). fEPSP slope was estimated by fitting a line onto the initial positive deflection of the fEPSP prior to population spike onset, using the least square difference method. Population spike amplitude was estimated by fitting a line between the initial positive peak of the fEPSP, prior population spike onset, and the subsequent positive peak of the fEPSP waveform after the population spike. The line was made tangent to the fEPSP waveform and the population spike amplitude was calculated as the vertical distance from the negative peak of the population spike to this line. Population spike latency was defined as the duration from the stimulus artifact to the negative peak of the population spike.

Spectral Analysis of EEG

Spectral analysis of the hippocampal EEG was done in Matlab. The 2 hippocampal EEG signals were subtracted to focus on local hippocampal activity. EEG sweeps affected by

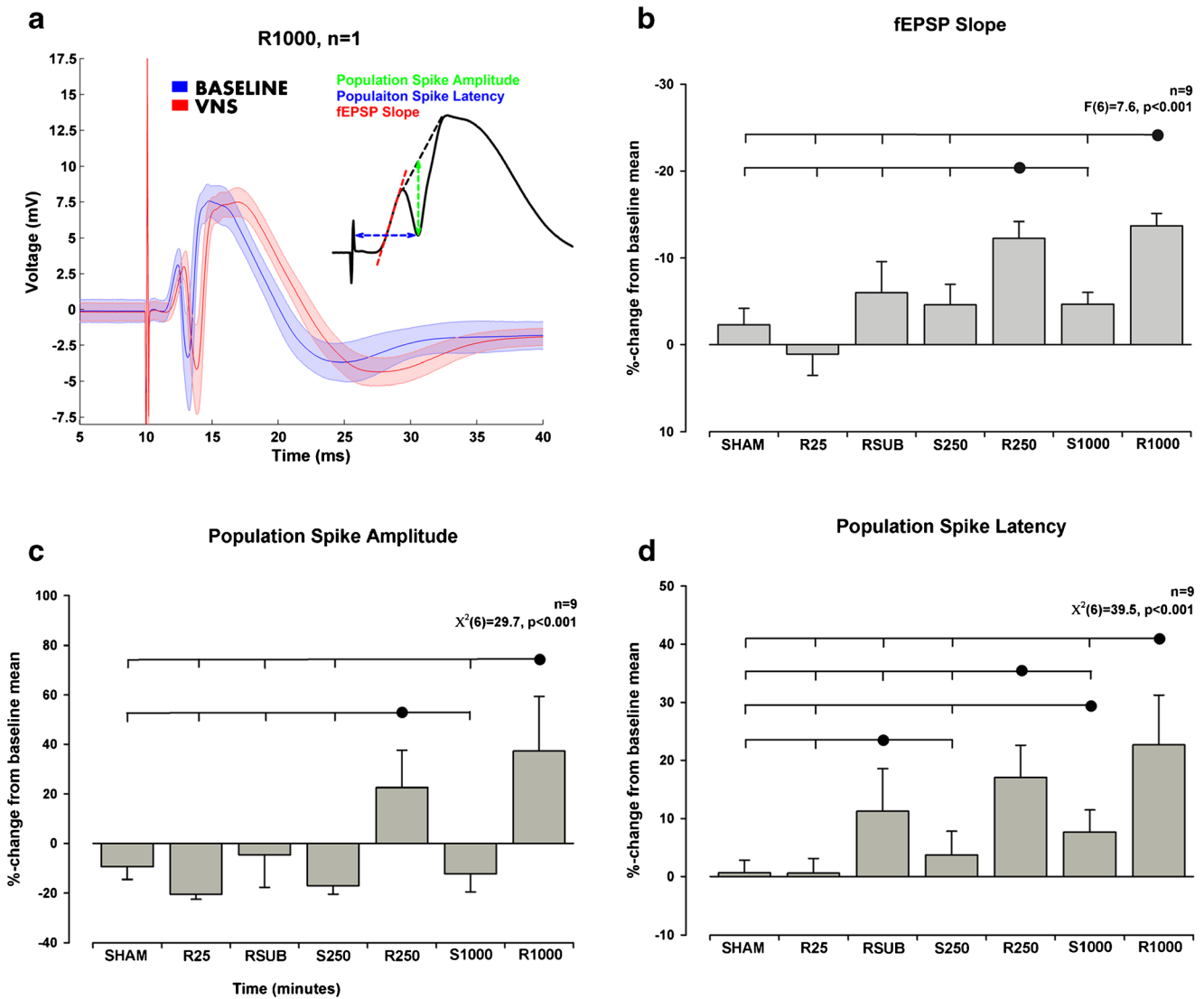


Fig. 2 Effects of vagus nerve stimulation (VNS) on field-evoked potentials (EP) in dentate gyrus in response to electrical stimulation of the perforant path with an intensity that at baseline evoked a population spike of 75 % of the maximum amplitude. In (a), an EP from a representative rat is averaged over the 1-h baseline period (blue) and the last hour of VNS (red) in the rapid cycle 1000 μ A condition (R1000). The shaded error bars denote the 95 % confidence intervals. The 3 measured outcomes—field excitatory postsynaptic potential (fEPSP) slope, population spike amplitude, and latency—are graphically illustrated in the upper right corner of (a). In (b), (c), and (d), fEPSP slope, population spike amplitude, and latency outcomes have been averaged

over the last hour of the VNS period for comparison of VNS conditions. The outcome of the 1-way repeated-measures ANOVA is noted in the upper right corner of these plots. The standard cycle (30 s on/300 s off) VNS intensities included were 250 μ A (S200) and 1000 μ A (S1000). Further, rapid cycle (7 s on/18 s off) VNS conditions with intensities of 25 μ A (R25), an intensity below the behavioral threshold (RSUB), 250 μ A (R250), and 1000 μ A (R1000) were included. Lines connecting bars denote *post-hoc* significant differences between the VNS conditions. Bars represent means \pm SEM. Note that for plot (b), the direction of the y-axis has been reversed

artifacts were detected on the basis of total power deviating > 3 SD from the mean across trials. For power spectral analysis, the EEG traces were subjected to a high pass Butterworth filter at 2 Hz before splitting the 10-s sweeps into 19 sweeps of 1 s overlapping by 0.5 s. Using the fast Fourier transform, the 1–100 Hz power spectrum was extracted at a frequency resolution of 1 Hz. The outcome was 19 power spectra, which were averaged as a representative for each 10-s EEG sweep. Further analysis focused on parameters within the 2–100 Hz power spectrum. Theta peak frequency was calculated from the mean spectrum of

the last hour of the VNS/SHAM period. Total hippocampal power was calculated for each EEG sweep by integrating the power within the 2–100 Hz spectrum and averaged over the last hour of the VNS/SHAM period subsequently.

PAC Analysis

PAC analysis was performed with Matlab. To assess PAC in the hippocampal EEG and the effects of VNS on this coupling, we used a normalized modulatory index (MI),

according to the methods of Tort et al. [16]. The MI reflects the modulation of the amplitude of faster oscillations by the phase of slower oscillations, and has previously been used to characterize coupling of theta and gamma frequencies in the hippocampal region [16, 23, 24]. To calculate the MI, hippocampal EEG was band pass filtered to isolate the frequencies of interest (see below). The phase and amplitude information was then extracted from the respective signals by calculating the phase angle and modulus of the Hilbert transform, respectively, and were used to construct a composite phase amplitude time series. The amplitude information was binned into phase bins of 20 degrees (18 bins) and the amplitude distribution was calculated by calculating the mean amplitude of each bin. The amplitude distribution was normalized by dividing each bin with the sum of all bins and finally the MI is calculated as the deviation (Kullback–Leibler distance) from a uniform distribution. To initially explore coupled frequencies, we computed a comodulogram (see Fig. 4a) pairing the phase of 2–20 Hz frequencies to the amplitude of frequencies of 15–200 Hz. Phase frequencies were narrowly band pass filtered (1 Hz width), in steps of 0.5 Hz. The amplitude frequencies were band pass filtered with a filter width of 4 Hz in steps of 2 Hz. Following identification of coupling between the phase of 4–8 Hz theta activity and the amplitude of 75–150-Hz gamma activity, band pass filters in these respective frequency ranges were applied to isolate the activity of interest. Analysis focused on coupling strength in the final hour of the VNS/SHAM period. To estimate the significance of the coupling, the MI representative of the final hour of the VNS/SHAM period in the SHAM condition was compared with coupling calculated from shuffled EEG trials (surrogate SHAM) from the same last hour of the SHAM condition. Finally, the MI of the final hour of the VNS/SHAM period was also calculated from all other VNS conditions, for comparison.

Statistical Analysis

Hippocampal EEG power and dentate field EP parameters were normalized to the mean of the baseline period. For all extracted outcomes, outliers, defined as measurements deviating >3 SD from the mean of a full session, were removed before statistical analysis. Statistical analysis was conducted with R 2.12.1 (R Development Core Team), SPSS 22.0 (IBM, Armonk, NY, USA), or SigmaPlot 11.0 (Systat Software Inc., San Jose, CA, USA). As reported previously [9, 10, 12, 21], preclinical VNS studies tend to yield an ineffectively and an effectively stimulated group for reasons yet to be elucidated. The subset of ineffectively stimulated rats was identified after performing a k-means cluster analysis on the average total hippocampal EEG power change in response to rapid-cycle

VNS at 1000 μ A, as described previously [12]. Further analysis only focused on the cluster of rats responding to rapid-cycle VNS at 1000 μ A with a reduction in hippocampal EEG power. All outcome parameters were averaged over the last hour of the VNS/SHAM period and VNS conditions were compared in a 1-way repeated measures ANOVA. In cases of normality violation, a Friedman ANOVA was applied. The type of outcome statistics, noted in figures or text, denotes the type of test used. *Post-hoc* evaluation was performed using the Student Newman–Keuls *post-hoc* test. Statistical significance was considered at $p < 0.05$.

Methods related to the creation of specific graphs are explained in the figure legends. All time graphs were created with SigmaPlot. Values are given as mean \pm SEM.

Results

Fourteen of 22 rats displayed dentate field EPs of adequate and stable quality and were used for the subsequent recordings. K-means cluster analysis revealed that VNS in the R1000 session reduced hippocampal EEG power in 9/14 rats, while no effect was seen in the remaining 5/14 rats. Further analysis focused on the 9/14 rats.

The Effect of Various VNS Parameters on Dentate Field EPs

VNS was associated with a decrease in fEPSP slope, an increase in population spike amplitude, and an increase in population spike latency (Fig. 2). Comparison of VNS conditions based on the average effect in the last hour of the VNS/SHAM period revealed a main effect of VNS condition on fEPSP slope [Fig. 2b; $F(6) = 7.6$; $p < 0.001$], population spike amplitude [Fig. 2c; $\chi^2(6) = 29.7$; $p < 0.001$], and population spike latency (Fig. 2d; $\chi^2(6) = 39.5$; $p < 0.001$). Relative to SHAM, a significant decrease in fEPSP slope was observed in the R250 and R1000 conditions. Further, decreases in fEPSP slope in R250 and R1000 were significantly more prominent than observed in any of the other conditions, including the standard-cycle conditions with equivalent or higher output currents. Similarly, significant increases in population spike amplitude, relative to SHAM, were only observed in the R250 and R1000 conditions. Compared with SHAM, population spike latency was significantly increased in the R250, R250, S1000, and R1000 conditions. Rapid-cycle conditions R250 and R1000 more prominently increased population spike latency than S250 and S1000 conditions. Relative to SHAM, no effect of VNS on any of the measured dentate field EP parameters in the R25 or S250 sessions could be demonstrated.

The Effect of Various VNS Parameters on Hippocampal EEG

VNS was associated with a general reduction in broadband 2–100 Hz hippocampal power, which was most prominent in the theta frequency band (4–12 Hz) and gamma frequency ranges (>30 Hz), while little change was observed in an intermediate 15–30 Hz band (Fig. 3a, b). VNS further reduced the theta peak frequency by 2 Hz (Fig. 3b). Shifting the entire 2–100 Hz hippocampal power spectrum obtained during VNS towards

higher frequencies, to compensate for this reduction in theta peak frequency, conserved the above-described decreases in power (Fig. 3b). An effect of stimulation condition was found on hippocampal (2–100 Hz) EEG power [Fig. 3c; $F(6)=5.8$; $p<0.001$]. Relative to SHAM, a significant decrease in hippocampal EEG power was observed in R250, S1000, and R1000 conditions. The reduction in hippocampal EEG power observed in the R1000 condition was significantly more prominent than observed in the R25, RSUB, and S250 conditions. Stimulation condition also had a significant effect on

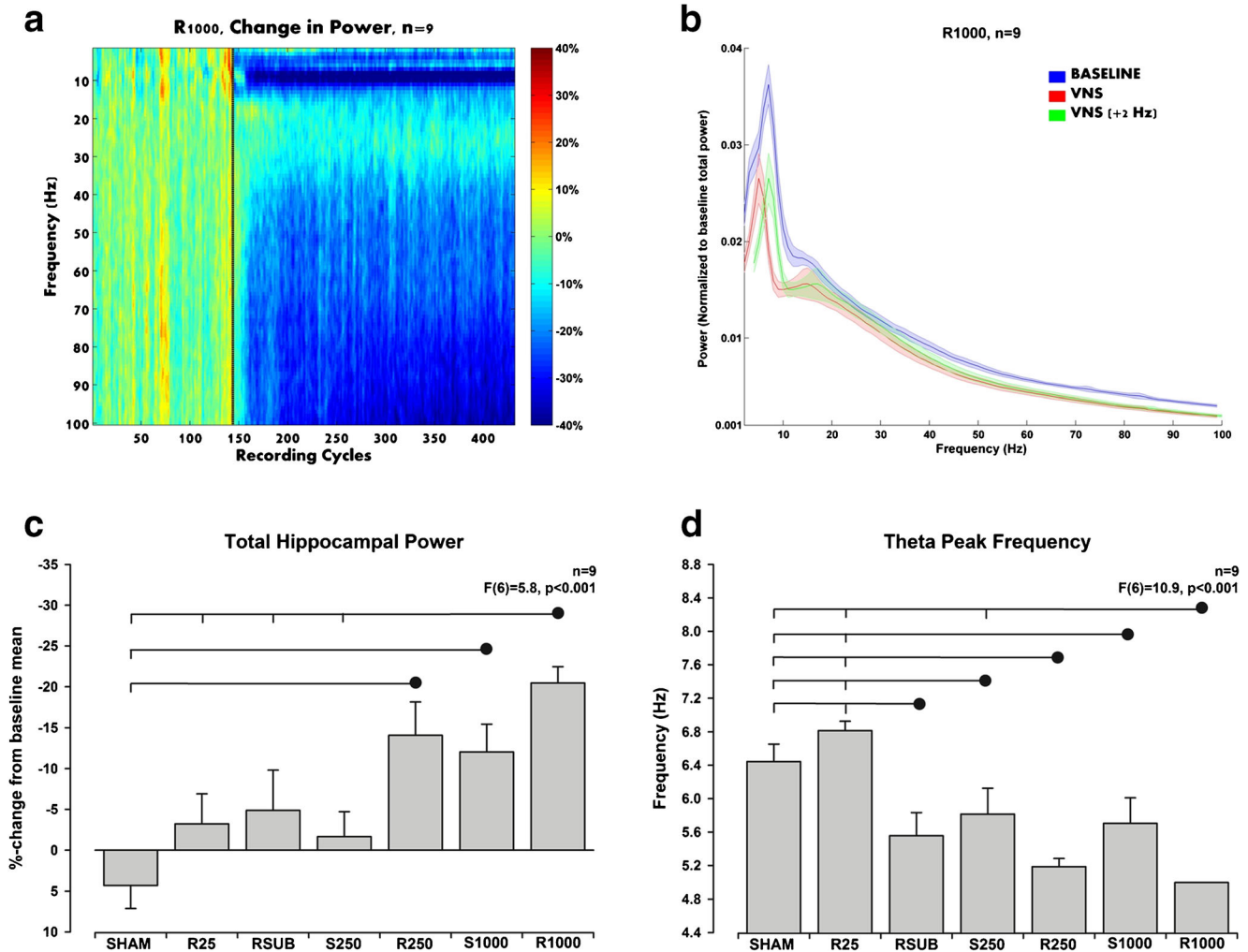


Fig. 3 Effects of vagus nerve stimulation (VNS) on hippocampal electroencephalography. In (a), the normalized change from baseline mean power within 1-Hz frequency bins has been calculated. Warm colors and cold colors represent an increase and decrease in power, respectively. The matrix from which the plot has been created has been subjected to a 3×3 Gaussian smoothing filter with a sigma of 0.7 prior to creation. In (b), the 2–100-Hz hippocampal power spectrum has been averaged across the 1-h baseline period (blue) and the last hour of the rapid cycle 1000 μ A VNS condition (red) to demonstrate the change in theta peak frequency. In order to compensate for the shift in frequency observed during VNS, an additional plot has been added where the VNS spectrum has been shifted +2 Hz (green), which shows that despite compensation for the shift in frequency, reductions in power during

VNS are conserved. The shaded bars represent the 95 % confidence intervals. In (c) and (d), the hippocampal electroencephalography power (2–100 Hz) and theta peak frequency outcomes have been averaged over the last hour of the VNS period for comparison of VNS conditions. The outcome of the 1-way repeated measures ANOVA is given in the upper right corner of these plots. The standard cycle (30 s on/300 s off) VNS intensities included were 250 μ A (S200) and 1000 μ A (S1000). Further, rapid cycle (7 s on/18 s off) VNS conditions with intensities of 25 μ A (R25), an intensity below the behavioral threshold (RSUB), 250 μ A (R250), and 1000 μ A (R1000) were included. *Post-hoc* significant differences between VNS conditions are marked with lines between the bars. All bars denote means \pm SEM. Note that for plots (c) and (d) the direction of the y-axis has been reversed

theta peak frequency [Fig. 3d; $F(6) = 10.9$; $p < 0.001$]. Relative to SHAM and R25 conditions, a significant reduction in theta peak frequency was observed in the RSUB, S250, R250, S1000, and R1000 conditions.

The hippocampal EEG displayed a strong coherence between the phase of theta oscillations in the 4–8-Hz range and the amplitude of fast gamma oscillations in the 75–150 Hz range (Fig. 4a, b). We found that the power of the fast gamma oscillation was highest at the positive peak of the theta

oscillation. This coupling was well above what can be expected at random, when comparing the data with surrogate data computed by randomly shuffling the data [Fig. 4c; $t(8) = -5$; $p < 0.001$]. Compared with SHAM, VNS significantly reduced the theta–gamma PAC but only in the R250 and R1000 conditions, with the most prominent reduction observed in the R1000 condition. The PAC in the R250 and R1000 condition was also significantly reduced compared with the other stimulation conditions.

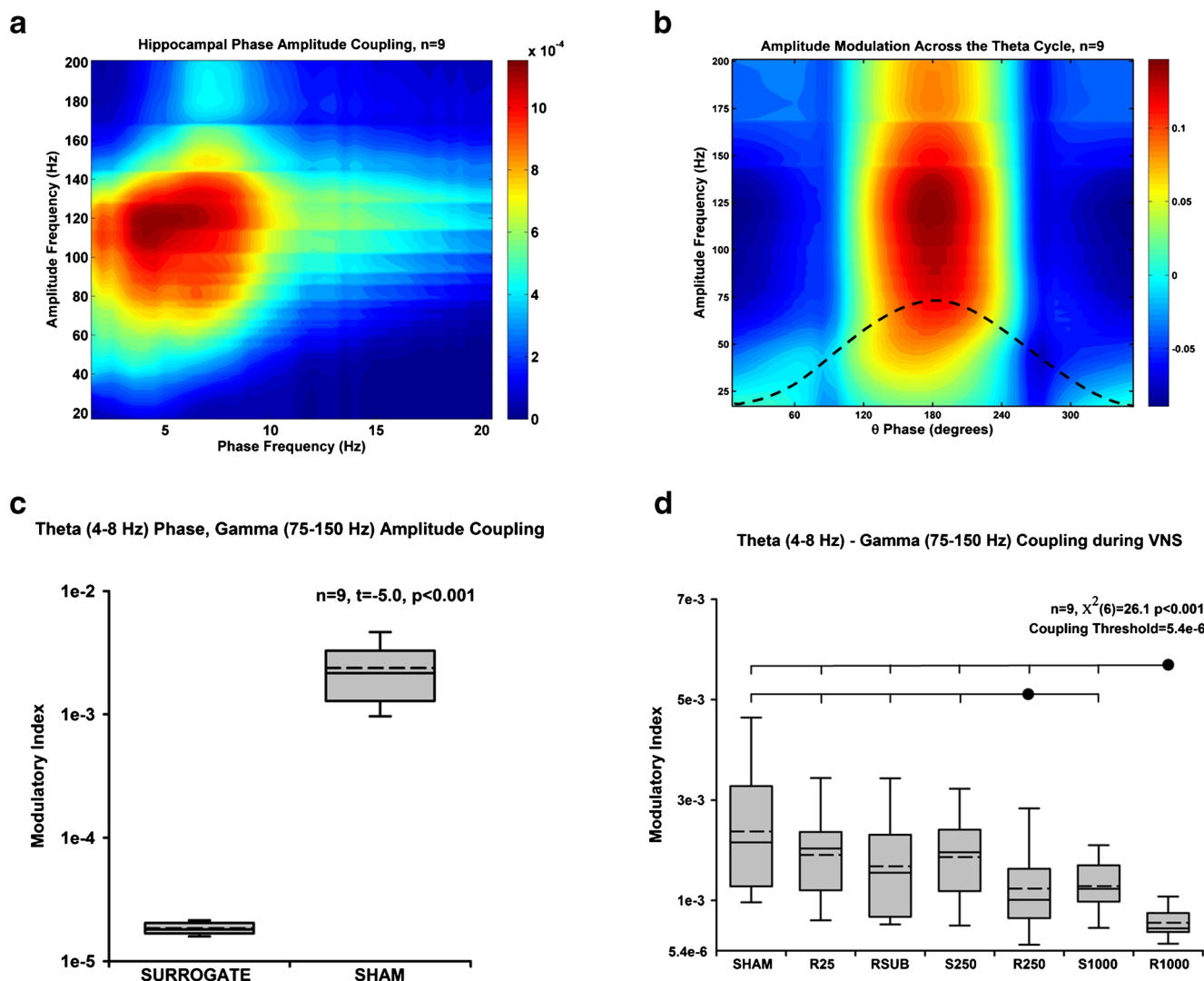


Fig. 4 Phase amplitude coupling between theta and high gamma activity is reduced in response to vagus nerve stimulation (VNS). To explore the coupling between theta and gamma activity in the hippocampal electroencephalography (EEG) initially, a comodulogram based on EEG from the entire SHAM condition was computed (**a**). The phase frequencies studied were from 2 to 20 Hz in steps of 0.5 Hz and were extracted with a band pass filter with a filter width of 1 Hz. The amplitude frequencies were filtered from 15 to 200 Hz in steps of 2 Hz and with a filter width of 4 Hz. The amplitude distributions were averaged across rats before calculating a representative modulatory index value for the group for each frequency pairing. Following identification of coupling between 4 and 8 Hz theta activity and high-frequency oscillations, the connected

high-frequency components were studied with regard to which phase of the cycle that displayed the largest amplitude (**b**). Only 1 component in the 75–150 Hz frequency range was found and used for further analysis. In (**c**), the robustness of the identified theta–gamma coupling has been studied by comparing the coupling in the SHAM condition to shuffled EEG data from the same condition. Note that in (**c**), the y axis has a logarithmic scaling. In (**d**), the modulatory index over the last hour of the VNS period (PERI) has been calculated and compared between VNS conditions in a 1-way repeated measures ANOVA. *Post-hoc* significant differences between VNS conditions are marked with lines between the bars. Solid lines in the boxplots denote the medians and dashed lines the means

To more accurately assess the influence of VNS intensity, specifically, on the hippocampal EEG outcomes, a VNS intensity input/output relationship was generated in a subset of 6 rats for the rapid-cycle VNS condition. Stimulation intensity had a significant impact on both hippocampal EEG power [$F(16)=9.6$; $p<0.001$], theta peak frequency [$F(16)=24.6$; $p<0.001$], and theta–gamma PAC [$F(16)=5.1$; $p<0.001$]. On average, maximal effect on all parameters was observed around 300 μA , beyond which further increases in VNS intensity did not yield further effect (Fig. 5).

Discussion

This study shows that, besides modulating dentate field EPs and reducing hippocampal EEG power and theta peak frequency, VNS also reduces theta–gamma phase amplitude coupling of hippocampal EEG. The present data also demonstrate that the extent by which VNS modulates hippocampal field activity depends on the type of VNS paradigm. Effects observed with rapid-cycle VNS were more pronounced compared with the standard-cycle VNS. Effects of rapid-cycle VNS at 250 μA had a greater impact on dentate field EP parameters than standard-cycle VNS at 1000 μA , while standard-cycle VNS at 250 μA induced no change. Finally, effects of rapid-cycle VNS on hippocampal EEG parameters reached maximal levels at output currents of approximately 300 μA , with no additional effect of increasing the output current.

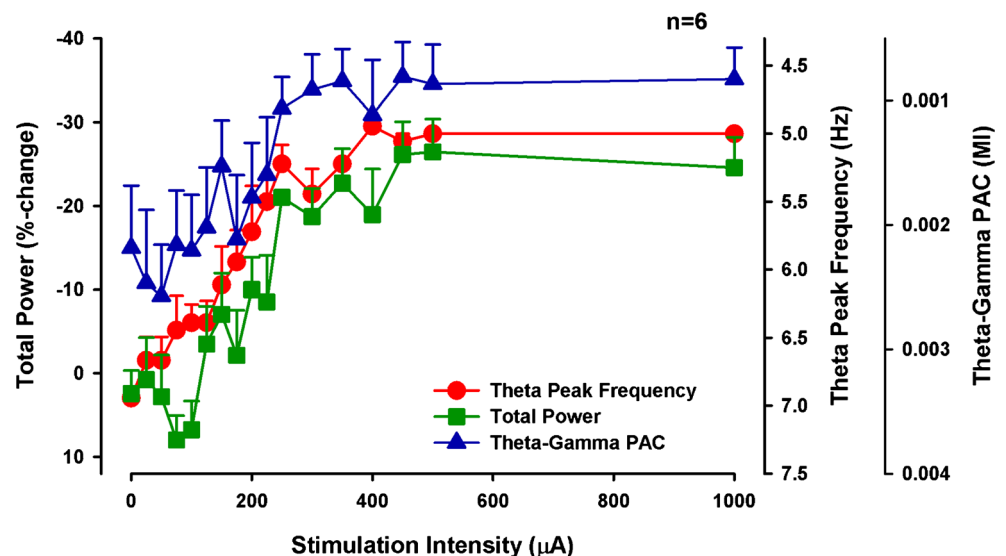
A reduction in hippocampal EEG power during VNS is in accordance with the early finding of the desynchronizing and power-suppressing effect of vagal afferent stimulation on cortical EEG in cats, though the effect at that time was not quantified with spectral analysis [25]. Owing to the association of a

reduction in EEG power and the suppression of strychnine-induced convulsive spike activity [25], EEG desynchronization or reduction in EEG power was believed to reflect the anticonvulsant efficacy of VNS [26]. In the present study, the simultaneous slowing of hippocampal theta rhythm could suggest that a general shift in power towards lower frequencies could produce the general reduction in broadband 2–100 Hz power found in the present study. However, the observation of reduced power was most pronounced around the theta peak and at gamma frequencies (>30 Hz), while little effect was observed in the 15–30-Hz range. If the drop in power during VNS alone should be explained by a shift in the spectrum, one would expect a uniform reduction in power across the whole spectrum, which is not the case. Indeed, when shifting the spectrum to compensate for the slowing observed, reductions in power remained.

The idea that decreased EEG power could reflect a decrease in excitability is supported by the notion that EEG predominantly is an aggregate of synaptic potentials [27]. This may be coupled with the decrease in fEPSP slope of the dentate field EP, which indicates decreased synaptic efficacy. Decreased synaptic efficacy further suggests decreased excitability and could thus explain an anticonvulsive effect. Shorter population spike latencies have further been described in epileptic rats [28], while VNS increased the population spike latency, constituting another effect likely to reflect anticonvulsive efficacy of VNS. The simultaneously observed increase in population spike amplitude, however, contradicts a uniform reduction in excitability during VNS, as it rather indicates the opposite and thus is unlikely to relate to any anticonvulsant effect.

PAC between theta and gamma oscillations has been described previously in different hippocampal regions of the rat [16, 23, 24]. This study shows for the first time a reduced PAC between theta and gamma oscillations during rapid-cycle

Fig. 5 Rapid-cycle vagus nerve stimulation (VNS) output currents were titrated from 25 μA to 1000 μA in a subset of 6 rats. The figure shows effects of increasing VNS intensities on hippocampal electroencephalography power (2–100 Hz), theta peak frequency, and theta–gamma coupling. The effect of VNS on the outcomes were averaged over the last hour of the VNS period. Error bars indicate the SEM. Note that the y-axis has been reversed to reflect increasing effects of VNS as the output current is increased. PAC = phase amplitude coupling



VNS. Although, in theory, the normalized MI is insensitive to absolute changes in power of theta or gamma frequency bands, previous studies reported a correlation between theta power and strength of theta-gamma PAC [16, 23]. This suggests that the amount of theta activity in the hippocampus determines to what degree gamma frequencies are modulated by this theta rhythm. The fact that the coupling strength is reduced during VNS is thus most likely an indirect consequence of the VNS-induced decrease in theta power [29, 30].

Theta-gamma PAC is considered to be supportive for memory encoding and retrieval in the hippocampus [17, 18]. The reduction of PAC in response to VNS was an unexpected finding, as several studies have reported that VNS can enhance hippocampus-dependent memory and hippocampal long-term potentiation, though mainly in an intermediate output current regimen [11, 31–33]. However, none of these studies continuously cycled VNS, as in our study. If theta-gamma PAC does, indeed, play an important role in memory, our findings suggest that continuous cycling of VNS, in particular rapid cycling, might interfere with hippocampus-dependent memory, although such an effect has not yet been reported. However, the reduced theta-gamma PAC in response to rapid-cycle VNS could be an important mechanism for suppression of seizure activity. As reduced theta-gamma PAC indicates that local neuronal populations are less recruited by the more global theta rhythm, one could imagine that VNS also prevents their recruitment by pathological seizure rhythms. Although this needs to be proven. However, our data could suggest that VNS-associated anticonvulsant and memory-enhancing effects may require different VNS parameters.

A typical clinical approach to adjustment of VNS parameters involves a continuous adjustment of the stimulator output current just below maximally tolerated levels and a “trial and error” adaptation of the duty cycle, which is typically intensified in poorly responding patients [26]. This concept means that both duty cycle and stimulation intensity are increased, which results in larger amounts of energy requirements, leading to an increased load on the stimulator battery. In the present study, however, it was apparent that lower VNS intensities can already affect brain functioning when using a heavier duty cycle, that is, the rapid cycle. For example, compared with standard-cycle VNS at 1000 μA , rapid-cycle VNS at 250 μA resulted in a similar reduction of EEG power and even more pronounced effects on dentate field EP parameters and theta-gamma PAC in the hippocampal EEG. The active stimulation period of the rapid duty cycle covers 28 % of the time, while the standard cycle, used in the present study, is on for only 9 % of the time. This ratio of 3.1/1 is compensated for by the respective currents of 250 μA and 1000 μA , yielding a power ratio of 1/16 ($\text{Watts} = \text{Current}^2 * \text{Resistance}$), and, finally, an energy ratio of $3.1/16 = 0.19$ in favor of the low-current rapid cycle, not to mention the more pronounced effects observed. Electrode safety, biocompatibility, and,

especially, battery life of implanted stimulators would be obvious benefits.

A titration of rapid-cycle VNS intensities revealed that reduction of EEG power, slowing of theta rhythm, and reduction in theta-gamma PAC seem to appear at an intensity threshold and then saturate within the range of very few intensity increments. On average, saturation was reached around 300 μA . This observation aligns with a previous observation from our laboratory that VNS at 250 μA was sufficient to reduce cortical excitability [20], while further increases did not yield additional effects. The observation that further increases in stimulation intensity produces no further effects supports the involvement of thick myelinated vagal type A afferents, which generally exhibit a more homogeneous threshold for activation than slowly conducting and less densely myelinated fiber types [34, 35]. Thick myelinated vagal afferents additionally exhibit a threshold for electrical activation similar to that of efferent motor fibers innervating the laryngeal muscle, and laryngeal muscle-evoked potentials have thus been proposed as a marker for effective recruitment of vagal afferents during VNS [36]. Interestingly, a recent study found a median recruitment threshold for the laryngeal muscle-evoked potential of 300 μA [19], which is similar to the intensity where effects of VNS on EEG saturate in the present study. Evidence from dogs further supports that maximal recruitment of thick myelinated vagal afferents is achieved at intensities around 350 μA using pulse widths of 300 μs [35]. Thus, the presented data strongly support that VNS-associated reduction of EEG power is due to recruitment of thickly myelinated A-fibers and that a saturation of A-fiber recruitment likely reflects a saturation of the observed effects on hippocampal EEG at higher VNS intensities. With no further benefit of increasing the stimulation intensity, this suggests that the current approach to adjustment of VNS parameters should be optimized by using noninvasive measurements to estimate A-fiber recruitment. This finding could further suggest that VNS output current only plays a role in effectively recruiting A-fiber afferents, which implies that beyond this point the “stimulation dose” can only be increased by intensifying the duty cycle, such as applying a rapid cycle instead of a standard cycle. Our findings do, indeed, support that intensification of duty cycle constitutes an option to obtain additional efficacy.

It should be noted, that the present study assessed effects of VNS in the healthy brain, which motivates attempts to replicate results in an epileptic state, to further correlate observed effects to anticonvulsant efficacy of VNS. In relation to this, it is relevant to assess how concomitant antiepileptic drugs influence the outcomes, as this could give information on the possible synergistic effects of antiepileptic drugs and VNS. Additionally, it is noteworthy that the present study only analyzed acute neurophysiological changes during VNS, while the chronic effects could possibly differ or be more pronounced, as it is well known that VNS efficacy tends to

increase over time [1, 6, 37]. Nevertheless, these results stress the importance of re-evaluating the current approach to VNS parameter selection. Using neurophysiological parameters, as in the present study, it may be possible to develop sophisticated algorithms to adapt VNS parameters to a specific desired clinical outcome for given individual patients.

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Compliance with Ethical Standards

Required Author Forms Disclosure forms provided by the authors are available with the online version of this article.

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