# **ORIGINAL ARTICLE**



# Burnout and psychological distress between surgical and non-surgical residents

Matteo Serenari<sup>1</sup> · Alessandro Cucchetti<sup>1</sup> · Paolo Maria Russo<sup>2</sup> · Guido Fallani<sup>1</sup> · Katia Mattarozzi<sup>2</sup> · Antonio Daniele Pinna<sup>1</sup> · Valentina Colonnello<sup>2</sup> · Gilberto Poggioli<sup>1</sup> · Matteo Cescon<sup>1</sup>

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# Abstract

Surgical training is considered to be very stressful among residents and medical students choose less often surgery for their career. Our aim was to assess the prevalence of burnout and psychological distress in residents attending surgical specialties (SS) compared to non-surgical specialties (NSS). Residents from the University of Bologna were asked to participate in an anonymous online survey. The residents completed a set of questions regarding their training schedule and three standardized questionnaires: (1) the Maslach Burnout Inventory, assessing the three dimensions of burnout: emotional exhaustion (EE), depersonalization (DP), and personal accomplishment (PA); (2) the Zung Self-Rating Depression Scale; (3) the Psychosomatic Problems Scale. One-hundred and ninety residents completed the survey. Overall, the prevalence of burnout was 73% in the SS group and 56.3% in the NSS group (P=0.026). More specifically, SS reported higher levels of EE and DP compared to NSS. No significant differences between SS and NSS emerged for PA, depression, or somatic problems. The present findings indicate that burnout is more prevalent in surgical residents than in residents attending non-surgical specialties. ClinicalTrials.gov identifier: NCT03668080.

Keywords Burnout · Residents · Stress

# Introduction

During training, medical residents' physical and emotional health are challenged by numerous stressors, including inordinately long hours, sleep deprivation, and difficulty in maintaining a work–life balance. Some studies have reported that medical residents face an increased risk of developing burnout [1, 2]. Burnout is a complex syndrome of emotional distress, which affects work performance, quality of life, and patient care [3–8]. The prevalence of burnout varies greatly among medical residents, ranging from 17.6 to 76%

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Matteo Serenari matteo.serenari@gmail.com

- <sup>1</sup> Department of Medical and Surgical Sciences-DIMEC, S.Orsola-Malpighi Hospital, Alma Mater Studiorum-University of Bologna, Bologna, Italy
- <sup>2</sup> Department of Experimental, Diagnostic and Specialty Medicine-DIMES, Alma Mater Studiorum-University of Bologna, Bologna, Italy

and surgical specialties (SS) are traditionally considered to be less "lifestyle-friendly" and characterized by high levels of work-related stress [9–16]. The perception of this life as stressful has played a role in the mounting disinterest in pursuing a surgical career from graduating medical students. In Italy, medical graduates may choose to continue their education by applying to a residency program. Surgical trainings last between 4 and 5 years, depending on the specialty, and during this period, interns are required to perform/assist operations, work night shifts, be on call for emergencies, facing every day significant challenges. However, whether and to what extent burnout risk is actually higher in SS than in non-surgical specialties (NSS) is still unknown. Little is also known about what factors are associated with burnout between SS and NSS.

In this context, the present study has a threefold aim: (1) to measure the prevalence of burnout among a sample of Italian medical residents; (2) to contrast the prevalence of burnout and psychological distress in surgical and non-surgical residents, and (3) to identify the work-related factors associated with burnout between SS and NSS.

# Methods

### Participants and survey design

In October 2017, all residents attending the University of Bologna were contacted via personal emails and were asked to complete a Web-based survey. Participants were blind to the hypothesis of the study. We grounded the present work on the most recent Italian classification of medical specialties, provided by the Italian Ministerial Decree 68/2015. Respondents were classified as attending to surgical specialties (SS) or non-surgical specialties (NSS): SS included general surgery, plastic surgery, urology, vascular surgery, obstetrics and gynecology, orthopedic surgery, pediatric surgery, cardiothoracic surgery, and otolaryngology. NSS included cardiology, rheumatology, neurology, pulmonary disease, endocrinology, nuclear medicine, pediatrics, psychiatry, internal medicine, oncology, nephrology, hygiene and preventive medicine, anesthesiology, child and adolescent psychiatry, radiology, radiation oncology, infectious disease, dermatology, pathology, microbiology, hematology, gastroenterology, geriatric medicine, medical genetics, sports medicine, occupational, and environmental medicine. The study protocol was approved by the University of Bologna Ethical Committee and registered on ClinicalTrials.gov (identifier NCT03668080). Participants were informed that their participation was voluntary and that the survey was completely anonymous.

### **Outcome measures**

The survey collected the following measures:

#### Socio-demographic characteristics and work conditions

This questionnaire was used to obtain information regarding socio-demographic characteristics (age, gender), training (specialty, year of residency), and work conditions (number of monthly night shifts, number of working hours per week).

#### **Maslach Burnout Inventory**

The prevalence of burnout was measured using the Maslach Burnout Inventory, a validated 22-item questionnaire that evaluates burnout in its three dimensions: emotional exhaustion (EE), depersonalization (DP), and personal accomplishment (PA) [17]. Separated scores for each subscale were stratified into low, moderate, or high level of tertiles, as previously described [18]. Participants were considered to experience burnout symptoms if they scored in the highest tertile for EE ( $\geq$  24 points) or DP ( $\geq$  9 points) [7, 8, 12, 17].

#### Zung Self-Rating Depression Scale

The level of depressive symptoms was measured using the 20-item Zung Self-Rating Depression Scale [19]. A score  $\geq$  50 indicated the presence of at least mild-moderate depressive symptoms.

#### **Psychosomatic Problems Scale**

Psychosomatic symptoms were measured using the Psychosomatic Problems Scale [20]. Participants answering "often" or "always" on an item (difficulty concentrating, sleep problems, headache, stomachache, tensions, lack of appetite, feeling sad, dizziness) were coded as having a psychosomatic symptom.

#### Perceived time constraint

Participants indicated on a five-point Likert scale (0="not at all", 4="completely") whether their work schedule left them enough time for leisure activities, social interactions, and training, including lectures and research/studying.

#### Satisfaction with specialty

Participants answered six questions regarding the degree of satisfaction with training program, relationships with other residents and supervisors, satisfaction with career, specialty choice, and salary. Responses were rated on a five-point Likert scale (ranging from "not at all" to "completely").

## **Statistical analysis**

Data were expressed as mean  $\pm$  SD. Differences were explored by the *t* test and the Mann–Whitney *U* test, as appropriate. Categorical variables were compared using the Chi square test. Significance was defined as a *P* value  $\leq 0.05$ . Since the items regarding "*perceived time constraint*" and "*satisfaction with specialty*" were highly correlated among them (Cronbach's  $\alpha = 0.73$  and 0.70, respectively), they were summed to obtain a single measure. Of note, because the item "satisfaction with salary" was unrelated to the other items, it was not included for the computation of the "*satisfaction with specialty*" measure [21]. Spearman's correlation coefficients were computed to evaluate the relationship between burnout scores and work-related factors. The statistical software used for all analyses was IBM SPSS Statistics 20 (SPSS, Chicago, IL).

# Results

Of the 679 residents invited, 202 (29.8%) belonged to SS and 477 (70.2%) to NSS. Overall, 190 residents completed the survey (response rate: 28%). Surgical specialties and NSS accounted for 33.7% (n=64) and 66.3% (n=126) of the respondents, respectively (Table 1). The mean age was 29.1 years (range 26–35). A higher prevalence of women in NSS (67.4%) in comparison to SS (50%) was the sole difference observed among socio-demographic characteristics collected (P=0.019).

Significant differences emerged between SS and NSS regarding the work load. Surgical residents reported working on average more hours per week ( $57.1 \pm 13.2 \text{ vs. } 45.2 \pm 10.8$ , P < 0.001) and working more night shifts (92.1% vs. 39.7%, P < 0.001), with a higher mean of night shifts per month ( $2.5 \pm 1.4 \text{ vs. } 0.9 \pm 1.2$ , P < 0.001) in comparison to non-surgical residents.

#### Prevalence of burnout and psychological distress

Considering all specialties, 118 residents (62.1%) reported either a high EE (47.9%) or a high DP (50.5%), meeting the criteria for burnout. Prevalence of burnout was higher among surgical residents (73.0%) than non-surgical (56.3%) residents (P = 0.026).

The classification of burnout dimensions scores between SS and NSS is reported in Fig. 1. There were significant differences between SS and NSS for EE (P = 0.005) and DP (P = 0.003) total scores, while no significant difference between specialty groups emerged for PA dimension.

Considering all specialties, 15.7% of residents obtained a score higher than 50 in the Zung Self-Rating Depression Scale, indicating the presence of depressive symptoms but no significant differences were observed between SS and NSS.

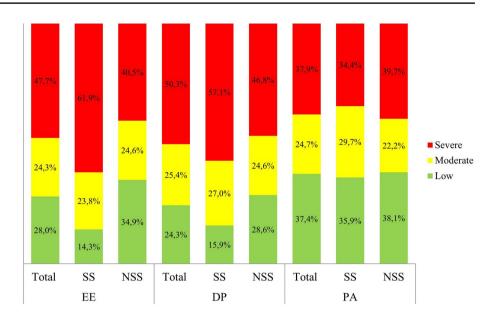
With respect to psychosomatic health complaints, both surgical and non-surgical residents reported that they frequently (often/always) had "*difficulty concentrating*" (20,1%), "*difficulty sleeping*" (14.3%), "*suffered from head-aches*" (27.3%), "*suffered from stomachaches*" (15.3%), "*felt tense*" (36.3%), "*had little appetite*" (5.8%), "*felt sad*" (19.4%), and "*felt giddy*" (6.8%) (Fig. 2a). There were no significant differences between SS and NSS.

All the residents reported no time/limited time for lecture attendance (60.5%), research/studying (65.8%), social interactions (52.6%), and extracurricular activities (69.5%) (Fig. 2b). Surgical residents reported having less time

Table 1	Socio-demographics
and work	characteristics of the
entire po	pulation and of surgical
specialtie	es (SS) and non-
surgical s	specialties (NSS)

	Total $N = 190$	SSN = 64	NSS $N=126$	Р
Socio-demographics and work characteristics				
Age, mean (SD), years	29.1 (1.9)	28.7 (1.5)	29.3 (1.9)	0.071
Gender M/F	73/117	32/32	41/85	0.019
Marital status, n (%)				
Single	113 (59.5)	42 (65.6)	71 (56.3)	0.218
Married/partnered	77 (40.5)	22 (34.4)	55 (43.7)	
Children, n (%)				
Yes	22 (11.6)	4 (6.3)	18 (14.3)	0.102
No	168 (88.4)	60 (93.7)	108 (85.7)	
At least one parent physician, n (%)				
Yes	83 (43.7)	29 (45.3)	54 (42.9)	0.747
No	107 (56.3)	35 (54.7)	72 (57.1)	
PGY, <i>n</i> (%)				
1	40 (21.1)	12 (18.8)	28 (22.2)	0.580
2	33 (17.4)	11 (17.2)	22 (17.5)	
3	65 (34.1)	25 (39.1)	40 (31.7)	
$4 \ge$	52 (27.4)	16 (24.9)	36 (28.6)	
Working hours per week, mean (SD), hours	50.7 (10.5)	57.1 (13.2)	45.2 (10.8)	< 0.001
Working night shifts, <i>n</i> (%)				
Yes	109 (57.4)	59 (92.1)	50 (39.7)	< 0.001
No	81 (42.6)	5 (7.9)	76 (60.3)	
Night shifts per month, mean (SD), n	1.4 (1.5)	2.5 (1.4)	0.9 (1.2)	< 0.001

SS surgical specialties, NSS non-surgical specialties, SD standard deviation, M male, F female, PGY postgraduate year Fig. 1 Scores obtained for the three components of burnout (emotional exhaustion EE, depersonalization DP, personal accomplishment PA) between surgical specialities (SS) and non-surgical specialties (NSS). EE = severe  $\ge 24$ ; moderate 15–23; low  $\le 14$ . DP = severe  $\ge 9$ ; moderate 4–8; low  $\le 3$ . PA = severe  $\le 29$ ; moderate 30–36; low  $\ge 37$ 



than NSS in the "perceived time constraint" total score (P < 0.001).

The 42.6% of residents reported to be not at all/little satisfied with their training program. Unsatisfying relationships with other residents and supervisors were reported in 15.8% and 25.8% of the respondents, respectively (Fig. 2c). 8.5% of the participants reported that they were not satisfied with the specialty choice, but 58.9% would have made the same career choice. About half of the residents (50.6%) considered their salary as inadequate. On the "satisfaction with specialty" total score, no significant differences between SS and NSS were found (P = 0.054).

# Factors associated with burnout

Associations among work-related factors, which significantly varied between SS and NSS, and burnout scores are reported on Table 2. Overall, the number of working hours per week and "*perceived time constraint*" were positively and moderately related to EE (r=0.37 and r=0.46, P < 0.001), while "*satisfaction with specialty*" was consistently related to PA scores (r=0.37, P < 0.001).

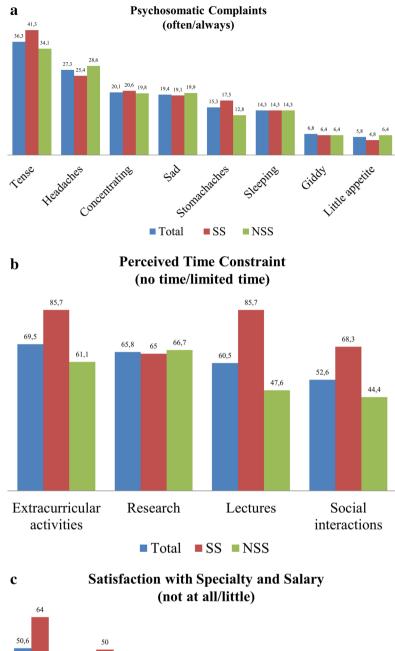
The pattern of correlation between burnout scores and work-related factors was not different among SS and NSS, with the exception of "*satisfaction with specialty*" total score which was negatively and moderately related to EE in the SS group (r = -0.48, P < 0.001), but not in the NSS group (r = -0.13; n.s.).

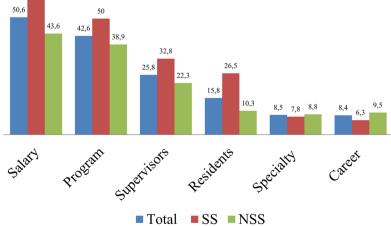
Correlations between each "satisfaction with specialty" item and EE scores were further analyzed (Table 3). Surgical and non-surgical residents differed in two items referred to climate; in particular, "having good relationship with other residents" was moderately related to EE (r = -0.50; P < 0.001) among SS but not among NSS (r = 0.08; n.s.). Similarly, "having good relationship with supervisors" was moderately related to EE (r = -0.42; P < 0.001) among SS, but not among NSS (r = -0.12; n.s.). The amount of salary itself was not found to be associated with burnout.

# Discussion

To the best of our knowledge, this is the first study comparing burnout between surgical and non-surgical residents and showed a higher prevalence of burnout among surgical specialties. More specifically, satisfaction with workplace relationships was associated with emotional exhaustion in the surgical residents only. Perceived time constraint and number of working hours were also found to be important.

Burnout, as described by Maslach, is a syndrome of depersonalization, emotional exhaustion, and a sense of low personal accomplishment that leads to decreased effectiveness at work [3, 22]. Previous studies have established that burnout is important not only because it may have a detrimental effect on the well-being of residents, but also because it has significant consequences, contributing to increased medical errors, on the health-care system and on the patients they treat [8]. In particular, surgical residents face significant challenges every day due to practice-related stress, responsibilities, and long working hours, with predictable outcomes at later stage of their career [2, 7]. A study of the American College of Surgeons shows that 40% of surgeons experience burnout, 30% symptoms of depression, and that 28% of them have a mental quality of life below that of the general population [7]. In the present sample, across different specialties types, the prevalence of burnout was 62.1%. Our results are within the range derived from previous studies on burnout in medical residents, varying between 17.6 Fig. 2 Psychosomatic complaints (a), "*perceived time constraint*" (b), "*satisfaction with specialty*" and with salary c in the overall population and between surgical specialties (SS) and non-surgical specialties (NSS)





Factors	EE			DP			PA		
	Total	NSS	SS	Total	NSS	SS	Total	NSS	SS
Nr. of working hours	0.37**	0.32**	0.25*	0.25**	0.16	0.23	0.15*	0.15	0.19
Nr. of night shifts	0.19*	0.08	0.16	0.19*	0.11	- 0.01	0.06	0.08	0.02
Perceived time constraint	0.46**	0.39**	0.51**	0.23**	0.20*	0.18	- 0.06	- 0.05	-0.07
Satisfaction with specialty	- 0.25**	- 0.13	- 0.48**	-0.07	- 0.01	- 0.15	0.37**	0.35**	0.44**

 Table 2
 Correlations between work-related factors and burnout scores, in the overall sample and in the non-surgical specialties (NSS) and surgical specialties (SS) group

EE emotional exhaustion, DP depersonalization, PA personal accomplishment

\**P*<0.05, \*\**P*<0.001

Table 3Correlations between"satisfaction with specialty"single items and emotionalexhaustion, in the overallsample and in the non-surgicalspecialties (NSS) and surgicalspecialties (SS) group

"Satisfaction with specialty" items	Emotional exhaustion				
	Total	NSS	SS		
Satisfied with career	- 0.22*	- 0.20*	- 0.28*		
Satisfied with specialty choice	- 0.15*	- 0.11	- 0.24		
Satisfied with relationships with other residents	- 0.14	0.08	- 0.50**		
Satisfied with relationships with supervisors	- 0.22*	- 0.12	- 0.42**		
Satisfied with training program	- 0.17*	- 0.08	- 0.28*		

\**P*<0.05, \*\**P*<0.001

and 86% [9, 10, 23–25]. However, these percentages have to be interpreted carefully and any comparison across studies cannot be definitive due to the differences that exist in every single aspect of each country's organizational culture or in the criteria used to define burnout [2]. Similarly, our response rate (28%), although moderate compared to other surveys, was in the range for email questionnaires [26, 27].

The second aim of the study was to contrast the prevalence of burnout and psychological distress in surgical and non-surgical residents. As suggested by the literature, chronic or overwhelming stress in the absence of adequate coping skills is believed to promote psychological distress symptoms such as depression and psychosomatic disorders. In particular, depression and burnout symptoms are similar and some people may be diagnosed with burnout when they actually are depressed. For this reason, we included the Zung Depression Scale to control this aspect but, as expected, we did not find any difference between SS and NSS, confirming that depression is a more complex syndrome than burnout. Conversely, when the prevalence of burnout was compared between SS and NSS, this was found to be significantly higher in SS. Surgeons reported working more hours per week and night shifts than their colleagues attending NSS. Given the differences in terms of workload, it was not surprising that substantial differences emerged also with respect to the work-life balance. Compared to their colleagues, surgical residents reported having less time for personal and educational pursuits and interpersonal relationships. This finding corroborates previous findings indicating that surgeons have more difficulty maintaining a work–life balance than other physicians [7, 13]. Thus, the popularity of more "controllable lifestyle" specialties (e.g., anesthesiology, radiology) has increased among the current generation of medical students at the expense of SS [28, 29]. Lifestyle represents also one of the reasons which motivates residents to change their career during the residency, with the majority of them leaving SS to pursue NSS [11, 30, 31].

To develop targeted interventions to prevent burnout among residents, associations between work-related factors and burnout scores were also examined. In this sense, our research focused especially on the correlations with emotional exhaustion (EE) dimension, since EE is indicative of clinically significant burnout and the first phase of burnout development [3, 22]. Perceived time constraint and working hours were found to be positively associated with EE for both surgical and non-surgical residents. Although the work-hour restriction mandated by the European Working Time Directive (EWTD) has reported positive benefits on residents' lifestyle, the net effects of such limitations on residents' education continue to be debated. Some studies have raised concerns about the adverse effects on clinical training and overall quality of patient care of such restrictions [32, 33]. Others proposed to give more flexibility to the residents' regimented call schedule, or to implement well-being programs [15, 34]. However, even though the EWTD dictates that "individual doctors can opt out", it is not still clear where the answer lies and we believe that residents must adhere to the EWDT directive until the effects of 2014 duty hours, with special attention to SS, are examined.

Emotional exhaustion was found to be correlated also with job satisfaction. Job satisfaction is increasingly recognized as a crucial factor for performance of teams in most organizations [27, 35–37]. Low job satisfaction has been demonstrated to have a strong impact on many aspects, such as increased overall costs, and high turnover of personnel; however, association between job satisfaction and burnout, particularly among residents, has been poorly investigated [7, 36]. Interestingly, in our study, "satisfaction with specialty" was negatively and moderately related to EE in the SS group, but not in the NSS group. More specifically, when correlations between each "satisfaction with specialty" item and EE scores were further analyzed, the most relevant difference was found with regard to relationships with other colleagues. Understanding factors which may contribute to influence training satisfaction and in turn prevent burnout is important especially in SS where a high rate of attrition is reported [11]. In this sense, positive group dynamics and relationships with other residents may be protective of burnout, especially in SS, where communication and shared decision-making are essential to conduct high-risk operations. For this reason, directors of surgical departments should focus more on cultivating positive reciprocal relationships and on working as a team, since this may have also potential effects not only on well-being of residents but also on surgical outcomes, increasingly perceived in recent years as a function of the quality of the teamwork rather than of the single operating surgeon's skills [36, 38–41]. Furthermore, residency program directors should be able also to meet the changing needs of their residents and expectations of their formation. As demonstrated by the correlation between EE and relationships with supervisors in SS, operative skills, traditionally based on a master-apprenticeship model, are central in surgery and what uniquely separates SS from NSS. Thus, supervising surgeons, who represent an essential component of surgical education, may influence job satisfaction and enthusiasm of their younger colleagues. At the same time, the perception of an effective surgical formation and mentorship may in turn reduce EE of residents, rendering the amount of time spent in the hospital more productive and satisfying.

However, it must be borne in mind that a good training in surgery relies not only on a motivated trainer, but also on a resident who wants to be trained. Thus, it would be worth exploring whether the association between burnout and poor satisfaction with working relationships may be due to the residents' personality traits and coping style, their inability to maintain a work–life balance, or due to specialty-related organizational characteristics.

This study has some limitations. First, data were collected at only one institution and participating residents in this study

were not representative of all Italian residents. Second, it is unknown whether residents who burned out were more prone to complete surveys due to greater interest in the topic than those who did not respond. Likewise, we do not know whether the main cause of this low but still acceptable response rate was due to a scarce interest in the topic or a lack of time. However, nonresponse bias must be always considered in any research with a survey design and/or personal disclosure of feelings. Third, we are aware that differences among subspecialties in terms of mental and/or physical burdens may lead to difference in the burnout rate between SS and NSS [42]; however, the actual sample size precludes any more detailed analysis. We also recognize that it is impossible to fully understand the direction of some causal-effect relationships between work-related factors and burnout; however, we believe that a lower EE is largely related to the more intangible benefits of positive workplace climates and a constructive teamwork, which is essential to the culture of well-being, especially in surgical residents.

# Conclusions

Taken together, the present findings suggest that burnout syndrome is not a negligible phenomenon among surgical residents. In particular, specialty programs should enhance medical students' and residents' awareness of the risk of stress associated with their career choice and provide them with training in the management of workplace relationships.

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#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval** All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. This article does not contain any studies with animals performed by any of the authors. Institutional review board gave ethical approval to perform this study.

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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