RESEARCH ARTICLE

# Metabolic phenotypes in triple-negative breast cancer

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Abstract The aim of study was to investigate the metabolism of tumor and stromal cells necessary to determine differential tumor–stroma metabolic interactions according to the molecular subtypes of triple-negative breast cancer (TNBC). Tissues from 132 patients of TNBC were prepared for use as tissue microarrays (TMA). Expression of CK5/6, EGFR, claudin 3, claudin 4, claudin7, E-cadherin, AR, GGT1, STAT1, and interleukin-8 was evaluated by immunohistochemical staining using TMA to classify molecular subtypes of TNBC. In addition, immunohistochemical staining for Glut1, CAIX, BNIP3, MCT4, Beclin-1, LC3A, LC3B, and p62 was performed. According to glycolytic status determined by the immunohistochemical expression of Glut-1 and CAIX in tumor and stroma, the metabolic phenotypes of the TNBCs were defined as follows: Warburg type (tumor: glycolysis, stroma: non-glycolysis), reverse Warburg type (tumor: nonglycolysis, stroma: glycolysis), mixed metabolic type (tumor: glycolysis, stroma: glycolysis), and metabolic null type (tumor: non-glycolysis, stroma: non-glycolysis). TNBCs were classified as follows: 79 Warburg type (59.8 %), 7 reverse Warburg type (5.3 %), 24 mixed metabolic type (18.2 %), and 22 metabolic null type (16.7 %). There was no statistical significance between the metabolic phenotypes and molecular subtypes ( $P=0.706$ ). Reverse Warburg type showed the most dysfunctional mitochondrial status for stromal cells, while Warburg type showed the most functional mitochondrial status ( $P=0.036$ ). Regarding stromal autophagy status, reverse Warburg type showed the most activated status, while all of

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the Warburg and metabolic null types showed a non-activated status ( $P<0.001$ ). In conclusion, Warburg type was the most common metabolic phenotype in TNBC, while reverse Warburg type was the most unusual. Metabolic phenotypes did not differ among the molecular subtypes of TNBCs.

Keywords Breast cancer . Reverse Warburg effect . Triple negative . Warburg effect

## Introduction

Metabolism in malignant tumors is usually described by the Warburg effect, an observation that cancer cells produce energy by glycolysis rather than by oxidative phosphorylation (OXPHOS) [\[1](#page-12-0)]. Breast cancer is a representative tumor that shows stromal heterogeneity, and tumor–stroma interaction plays an important role in tumor growth and progression. Therefore, there is a chance of such an interaction in tumor metabolism. A previous study indicated a metabolic interaction between breast cancer cells and stromal cells, the so-called reverse Warburg effect [\[2](#page-12-0)–[5\]](#page-12-0). Briefly, reactive oxygen species such as nitric oxide generated by breast cancer cells impose oxidative stress and induce glycolysis, autophagy (mitophagy), and mitochondria dysfunction in stromal cells via activation of HIF-1 $\alpha$  and NFKB. The products of glycolysis, such as ketone bodies and lactate, are transported to adjacent cancer cells and incorporated into OXPHOS to generate ATP, thereby contributing to the tumor growth and progression. Cancer-associated fibroblasts are known to be caveolin-1-deficient stromal cells that interact with breast cancer cells. Loss of caveolin-1 expression is the result of increased autophagic degradation of caveolin-[1](#page-1-0) protein  $[3,5-7]$  $[3,5-7]$  $[3,5-7]$  $[3,5-7]$ . Table 1 shows the dominant metabolism, mitochondrial status, and autophagy status of each compartment in the Warburg effect and reverse Warburg effect, respectively.

Metabolism theory	Warburg effect theory	Reverse Warburg effect theory		
<b>Site</b>	Cancer cell	Cancer cell	Stromal cell	
Metabolism	Glycolysis	<b>OXPHOS</b>	Glycolysis	
Mitochondrial status	Dysfunctional	Functional	Dysfunctional	
Autophagy status	n/a	Not activated	Activated	

<span id="page-1-0"></span>Table 1 Comparison of metabolism type, mitochondrial status, and autophagy status between Warburg effect theory and reverse Warburg effect theory

OXPHOS oxidative phosphorylation,  $n/a$  not available

Breast cancer is a representative heterogeneous tumor in its clinical, histological, and molecular genetic signatures. Through studies performed to categorize breast cancers into subtypes with similar signatures, five molecular subtypes (luminal A, luminal B, HER-2, normal breast-like, and basal-like type) were identified [[8](#page-12-0)–[10](#page-12-0)]. Aside from the five subtypes defined by their gene expression profiles, breast cancers not expressing estrogen receptor (ER), progesterone receptor (PR), or human epidermal growth factor receptor 2 (HER2) are referred to as triple-negative breast cancer (TNBC) [[11](#page-12-0)]. TNBC constitutes a heterogeneous group of breast cancer for which no effective targeted therapies are known due to its lack of ER, PR, and HER2 expression. TNBC can be subclassified into basal-like type, molecular-apocrine type, and claudin-low type groups based upon the results of gene profiling studies [\[12\]](#page-12-0). TNBC is typically characterized by high histologic grade, tumor necrosis, frequent mitosis, aggressive biologic behavior, and poor prognosis. These features of TNBC are similar to those of tumors with high metabolic activity [\[13](#page-12-0)–[16](#page-12-0)]. Indeed, tumors with high metabolic activity have been previously characterized by high histologic grade, high proliferation, poor differentiation, and poor prognosis [\[17](#page-12-0)–[20](#page-12-0)]. Accordingly, high metabolic activity is expected in triple-negative breast cancer. In the previous study [\[21](#page-12-0)], we identified that TNBC (especially basal-like TNBC) exhibited the highest Glut-1 and CAIX expression among the molecular subtypes of breast cancer. Glut-1 and CAIX are major molecules involved in aerobic glycolysis [[22](#page-12-0)]. In short, Glut-1 facilitates glucose transport across the cell membrane, and the intracellular transfer of glucose increases with the elevation of Glut-1 expression. Lactate overproduction during enhanced glycolysis leads to intracellular acidosis, which in turn increases the expression of carbonic anhydrase IX (CAIX), a pH regulator [\[22\]](#page-12-0). Therefore, the Warburg type metabolism could be considered an important metabolic phenotype of basal-like TNBC. As an extension of the previous study, we intended to further classify TNBC and then examine the expression of glycolytic-related proteins in the tumor and stroma compartment to determine the dominant metabolic phenotypes according to the TNBC subtypes.

The purpose of this study was to investigate the metabolism type, mitochondrial status, and autophagy status in tumor and stromal cells in order to determine the difference of tumor–stroma metabolic interactions according to TNBC molecular subtype.

## Materials and methods

# Patient selection

Patients who were diagnosed with TNBC and underwent surgical excision at Severance Hospital between January 2000 and December 2005 were included in the study group. Patients who received preoperative hormonal therapy or neoadjuvant chemotherapy were excluded. There were 132 TNBC cases included. This study was approved by the Institutional Review Board of Yonsei University Severance Hospital. TNBC was defined when breast cancer was negative for ER, PR, and HER2 by immunohistochemical staining as well as for HER2 amplification by fluorescence in situ hybridization (FISH). ER and PR immunohistochemistry signals were considered positive when they were expressed in more than 1 % of invasive tumor cells [[23\]](#page-12-0). HER2 staining was scored according to the American Society of Clinical Oncology (ASCO)/College of American Pathologists (CAP) guideline using the following categories: 0, no immunostaining; 1+, weak incomplete membranous staining in any proportion of tumor cells; 2+, complete membranous staining, either non-uniform or weak in at least 10 % of tumor cells; and 3+, uniform intense membranous staining in  $>30\%$  of tumor cells [[24\]](#page-12-0). Cases with 0 to 1+ were regarded as negative, and cases with 3+ were considered positive. Cases with HER-2 2+ were investigated with FISH (Vysis pathvision HER-2 kit) for HER-2 gene status. As proposed by the ASCO/CAP guideline, an absolute HER-2 gene copy number lower than 4 or HER-2 gene/chromosome 17 copy number ratio (HER-2/Chr17 ratio) of less than 1.8 was considered HER-2 negative; an absolute HER-2 copy number between 4 and 6 or HER-2/Chr17 ratio between 1.8 and 2.2 was considered HER-2 equivocal; and an absolute HER2 copy number greater than 6 or HER-2/Chr17 ratio higher than 2.2 was considered HER-2-positive [[24](#page-12-0)]. All hematoxylin and eosin (H&E) stained slides for each case were retrospectively reviewed by breast pathologists (Koo JS). The histological grade was assessed using the Nottingham grading system [[25\]](#page-12-0). Histologic findings including apocrine histology, central fibrotic zone, and lymphocyte infiltration were evaluated in all cases. The apocrine histology was defined when abundant granular eosinophilic cytoplasm, cytoplasmic vacuolization, and vesicular nuclei with prominent nucleoli were present in more than 10 % of tumor cells. Clinicopathologic parameters evaluated in each breast cancer included patient age at initial diagnosis, lymph node metastasis, tumor recurrence, distant metastasis, and patient survival.

# Tissue microarray

On H&E-stained slides of tumors, a representative area was selected, and a corresponding spot was marked on the surface of a paraffin block. Using a punch machine, the representative area of the tumor was punched out and a 3-mm tissue core was placed into a  $6 \times 5$  recipient block. Tissue of invasive tumors was then extracted. Two tissue cores were extracted to minimize extraction bias. Each tissue core was assigned a unique tissue microarray location number that was linked to a database containing additional clinicopathological data.

## Immunohistochemistry

The antibodies used for immunohistochemistry in this study are shown in Table 2. All immunohistochemical staining was performed on formalin-fixed, paraffin-embedded tissue sections. Briefly, 5-μm-thick sections were obtained with a microtome, transferred onto adhesive slides, and dried at 62 °C for 30 min. After incubation with primary antibodies, immunodetection was performed with biotinylated antimouse immunoglobulin, followed by peroxidase-labeled streptavidin using a labeled streptavidin biotin kit with 3,3′ diaminobenzidine chromogen as the substrate. The primary antibody incubation step was omitted in the negative control. Slides were counterstained with Harris hematoxylin.

Interpretation of immunohistochemical staining

All immunohistochemical markers were accessed by light microscopy. Glut1, CAIX, BNIP3, MCT4, Beclin-1, LC3A, LC3B, and p62 immunohistochemical staining results were evaluated based on the proportion of stained cells and the immunostaining intensity. The proportion of stained cells was graded from 0 through 2 (0, negative; 1, positive in less than 30 %; and 2, positive in more than 30 % of tumor cells). Immunostaining intensity was graded from 0 through 3 (0, negative; 1, weak; 2, moderate; and 3, strong). The scores for the proportion of stained cells and the staining intensity were multiplied to provide a total score: negative  $(0-1)$  or positive (2–6). The Ki-67 labeling index was defined as the percentage of tumor cells exhibiting nuclear staining versus the total number of tumor cells. Immunohistochemical staining results for EGFR and CK5/6 were considered positive when expressed in more than 1 % of tumor cells. The CD20 index



Table 2 Source, clone, and dilution of antibodies used in this study

<span id="page-3-0"></span>was defined by the percentage of area infiltrated by CD20 positive lymphocyte to entire area including both the tumor and surrounding stroma.

# Molecular classification of TNBC according to IHC

According to immunohistochemistry results, the TNBC specimens were subclassified as either basal-like type (CK5/6 positive and/or EGFR positive), molecular apocrine type (AR positive and/or GGT1 positive), claudin low type (claudin 3, claudin 4, claudin 7 negative, and/or E-cadherin negative), immune-related type (STAT1 positive and IL-8 negative), mixed type (features of more than two types), or null type (none of the above).

## Classification of tumor metabolic subtype

In this study, TNBCs were categorized into the following categories according to the immunohistochemical staining results of metabolism-related proteins (Fig. 1): glycolysis type: positive for Glut1 and/or CAIX; non-glycolysis type: negative for Glut1 and CAIX; dysfunctional mitochondria status: positive for BNIP; functional mitochondria: negative for BNIP3; activated autophagy status: positive for more than two among beclin-1, LC3A, LC3B, and p62; and nonactivated autophagy status: positive for less than two among beclin-1, LC3A, LC3B, and p62.

Metabolic phenotypes were defined as follows: Warburg type, when the tumor exhibited a glycolysis signature while the stroma did not; reverse Warburg type, when the tumor exhibited a non-glycolysis signature while the stroma exhibited a glycolysis signature; mixed metabolic type, when both the tumor and stroma exhibited a glycolysis signature; and metabolic null type, when neither the tumor nor stroma exhibited a glycolysis signature.

MCT4, GLUT-1, and CAIX are proteins involved in glycolysis. Although there was a significant correlation between the GLUT-1 and CAIX expression [\[21](#page-12-0),[26](#page-12-0)], MCT4 expression had no correlation with GLUT-1/CAIX expression. Thus, the metabolic phenotype was defined by GLUT-1 and CAIX expression, not by MCT4 expression in this study.

## Statistical analysis

Data were processed using SPSS for Windows, version 12.0 (SPSS Inc., Chicago, IL, USA). Student's t and Fisher's exact tests were used to examine any difference in continuous and categorical variables, respectively. Significance was assumed when  $P<0.05$ . Kaplan–Meier survival curves and log-rank statistics were employed to evaluate time to tumor metastasis and time to survival. Multivariate regression analysis was performed using Cox proportional hazards model.

# Results

Clinicopathological characteristics according to molecular subtype of TNBC are shown in Table [3](#page-4-0). In total, 132 TNBCs were classified into subtypes, including 55 basal-like type (41.7 %), 11 molecular apocrine type (8.3 %), 8 claudin-low type  $(6.1 \%)$ , 6 immune-related type  $(4.5 \%)$ , 29 mixed type (22.0 %), and 23 null type (17.4 %).

The 29 mixed TNBCs included 9 basal-like + claudin low, 8 basal-like + immune related, and 7 basal-like + molecular



Fig. 1 A heatmap representing status of metabolism-related proteins in tumor and stroma according to the molecular subtype of triple-negative breast cancer. T tumor, S stroma, Cy cytoplasm, Nu nucleus, Red positive, Green negative

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Table 4 Status of metabolism-related proteins according to TNBC phenotype Table 4 Status of metabolism-related proteins according to TNBC phenotype



Table 4

apocrine type tumors. Clinicopathologic factors associated with TNBC molecular subtype were lymphocytic infiltration  $(P=0.025)$ , apocrine differentiation  $(P=0.025)$ , and Ki-67 L.I.  $(P=0.005)$ . Lymphocytic infiltration was most conspicuous in immune-related type and least in metabolic null type. Apocrine differentiation was most frequently observed in molecular apocrine type and least in metabolic null type. Ki-67 L.I was highest in immune-related type and lowest in molecular apocrine type.

Expression of metabolism-related proteins according to TNBC phenotype

Metabolism-related protein expression according to the molecular subtype of TNBC is summarized in Table [4](#page-5-0), Figs. [1](#page-3-0) and [2](#page-7-0). Tumoral expression of MCT4 differed between the molecular subtypes of TNBC  $(P=0.041)$ , which was highest for the basal-like type and lowest for the metabolic null type. Even though not statistically significant, tumoral BNIP3 expression was highest in immune-related type  $(P=0.170)$ , while nuclear beclin-1 and cytoplasmic p62 expressions were highest in molecular apocrine type  $(P=0.084$  and  $P=0.140$ , respectively). Nuclear p62 expression in the stroma was highest in null type  $(P=0.147)$ .

Clinicopathologic characteristics of patients according to metabolic phenotype in TNBC

Clinicopathological characteristics according to the metabolic phenotype of TNBC are shown in Table [5](#page-8-0). TNBCs were classified into metabolic phenotypes, including 79 Warburg type (59.8 %), 7 reverse Warburg type (5.3 %), 24 mixed metabolic type (18.2 %), and 22 metabolic null type (16.7 %). Patients with Warburg type tended to be younger  $(P=0.039)$ . Metabolic phenotype-associated factors were tumor cell discohesiveness  $(P=0.016)$ , stromal mitochondrial status ( $P=0.036$ ), tumoral autophagy status  $(P=0.044)$ , and stromal autophagy status  $(P<0.001)$ . Tumor cell discohesiveness was most frequently observed in metabolic null type and never in mixed metabolic type. As for stromal mitochondrial status, reverse Warburg type showed the most dysfunctional status, while Warburg type showed the most functional status. As for tumoral autophagy status, mixed metabolic type showed the most activated status, while metabolic null type showed the least activated status. With respect to stromal autophagy status, reverse Warburg type showed the most activated status, while all of the Warburg and metabolic null type showed non-activated status.

Although there was no significant association between the metabolic phenotype and molecular subtype, we determined each molecular subtypes ' metabolic phenotype in order of frequency (1) basal-like type: Warburg type $\rightarrow$ mixed metabolic type →metabolic null type →reverse Warburg type; (2)

<span id="page-7-0"></span>

Fig. 2 Histologic and immunohistochemical features according to the metabolic phenotypes of triple-negative breast cancer. Warburg type shows expression of Glut-1 and CAIX in tumor, but reverses Warburg type in stroma, mixed metabolic type in tumor and

stroma, and metabolic null type in neither tumor nor stroma. Reverse Warburg type reveals expression of BNIP3 and beclin1 in stroma, but lower Ki-67 labeling index and CD20 positive B cell than other types

<span id="page-8-0"></span>



#### Table 5 (continued)



molecular apocrine type: Warburg type→metabolic null type and reverse Warburg type $\rightarrow$ mixed metabolic type; (3) claudin-low type: Warburg type→metabolic null type and mixed metabolic type→reverse Warburg type; (4) immune related type: only Warburg type; (5) mixed type: Warburg type→mixed metabolic type→metabolic null type→reverse Warburg type; and (6) null type: Warburg type→metabolic null type→mixed metabolic type→reverse Warburg type.

Impact of metabolism-related proteins on patient prognosis in TNBC

Univariate analysis of patient prognosis according to the expression of metabolism-related proteins indicated that there was no factor associated with shorter disease-free survival (DFS; Table [6](#page-10-0)). Even though not significant, cytoplasmic p62 expression in tumor cells was associated with shorter DFS ( $P=0.066$ ). Shorter overall survival (OS) was significantly associated with stromal MCT4 negativity  $(P=0.039)$ . The multivariate Cox analysis shows that younger age  $\leq$ 35 vs >35, odds ratio (OR), 4.361; 95 % confidence interval (CI), 1.264–15.043, P=0.020] and lymph node metastasis (N0 vs N1/2/3; OR, 6.954; 95 % CI, 1.821–26.549, P=0.005) were significant independent factors for shorter DFS. In addition, lymph node metastasis (N0 vs N1/2/3; OR, 9.581; 95 % CI, 1.852-49.577, P=0.007) was a significant independent factor associated with shorter OS according to multivariate Cox analysis (Table [7\)](#page-11-0).

## Discussion

This study examined the difference in metabolic phenotype according to the molecular subtype of TNBC and evaluated its implications. Metabolic phenotypes in TNBC were Warburg type>mixed metabolic type>metabolic null type> reverse Warburg type in order of frequency. In this study, Warburg type was defined when the tumor exhibited a glycolysis signature and the stroma exhibited a nonglycolysis signature, and thus, our results confirmed the high glycolytic activity of tumor cells in TNBC and corresponded with the result of an earlier study which reported that glycolysis-related proteins such as Glut-1 and CAIX are highly expressed in basal-like breast cancer, which comprises the large proportion of TNBCs.

On the other hand, we found that a reverse Warburg type (defined when the tumor is non-glycolysis type and the stroma is glycolysis type) comprised the smallest proportion of TNBC. A previous study that propounded a reverse Warburg effect theory used MCF-7 cells, a well-known luminal A type breast cancer cell line as an in vitro model [\[6](#page-12-0)]. TNBC is distinguished from luminal type breast cancer by its molecular and clinical features. Especially, TNBC is histologically characterized by high histologic grade, poor differentiation, increased mitosis, and tumor necrosis and thus is presumed to have high metabolic activity [\[13](#page-12-0)–[16](#page-12-0)], while luminal type usually shows a low-grade histology suggesting a different metabolic status from TNBC. In addition, glycolysis-related proteins are variably expressed depending on molecular subtype of breast cancer, according to a previous study [[21\]](#page-12-0), which may explain why the reverse Warburg type comprised the lowest proportion of TNBC in our study. The results obtained in our study indicated that the metabolic phenotypes did not differ considerably among the molecular subtypes of TNBC. In brief, Warburg type was the most common metabolic phenotype in all subtypes, while reverse Warburg type was the least in all molecular subtypes, except for molecular

<span id="page-10-0"></span>Table 6 Univariate analysis of the expression of metabolism-related proteins in breast cancers and disease-free survival or overall survival by log-rank test



## <span id="page-11-0"></span>Table 6 (continued)



apocrine type. In this study, the reverse Warburg type exhibited the highest level of stromal dysfunctional mitochondria and activated stromal autophagy, while Warburg type showed the most stromal functional mitochondria and stromal non-activated autophagy, which was compatible with the results suggested by reverse Warburg effect theory [\[2](#page-12-0)–[5\]](#page-12-0).

Several metabolic-related parameters were correlated with the clinicopathologic factors in this study. First, tumoral MCT4 expression was highest in the basal-like type and lowest in the metabolic null type  $(P=0.0.41)$ . This was in accordance with the result of a previous study, which reported that MCT1 (a member of MCT) expression was highest in

Table 7 Multivariate analysis for survival in TNBC

Parameters	Disease-free survival			Overall survival		
	Hazard ratio	95 % CI	$P$ value	Hazard ratio	95 % CI	$P$ value
Age (years)			0.020			0.205
$\leq$ 35 vs $>$ 35	4.361	1.264-15.043		2.507	$0.605 - 10.387$	
T stage			0.272			0.597
$T1$ vs $T2-3$	3.295	$0.393 - 27.640$		1.794	$0.206 - 15.617$	
N stage			0.005			0.007
$N0$ vs $N1-3$	6.954	1.821-26.549		9.581	1.852-49.577	
Histologic grade			0.832			0.854
$I/II$ vs $III$	1.143	$0.332 - 3.934$		0.882	$0.232 - 3.351$	
MCT4 in stroma			0.373			0.096
Negative vs Positive	1.709	$0.526 - 5.548$		3.866	$0.787 - 18.996$	
Cytoplasmic p62 in tumor			0.054			0.119
Negative vs positive	4.973	$0.971 - 25.471$		3.746	$0.711 - 19.732$	

TNBC triple-negative breast cancer

<span id="page-12-0"></span>basal-like subtype [\[27](#page-13-0)]. Secondly, TNBC with Warburg metabolic phenotype was associated with younger age  $(P=0.039)$ . Although there have been no previous studies about the metabolic phenotype of TNBC, renal cell carcinoma with succinate dehydrogenase (SDH) mutation occurred in younger patients as opposed to no SDH mutation. Renal cell carcinoma with SDH mutation was proposed as an aggressive example of the Warburg effect in cancer. Therefore, tumors with Warburg type metabolic phenotype are assumed to have correlation with younger age [\[28\]](#page-13-0). Lastly, stromal MCT4 negativity was associated with shorter OS according to the univariate analysis  $(P=0.039)$ , which was a contrast to the result of the previous study which reported that stromal MCT4 expression in TNBC was a predictive factor of decreased OS [[29\]](#page-13-0). MCT4 immunoexpression was graded on the 0, 1, 2, 3 scale in the previous study, which was different from the interpretive method of this study. The methodological difference could influence the conflicting results, and further study is needed to establish the MCT4 immuno-interpretation criterion.

In conclusion, Warburg type was the most common metabolic phenotype in TNBC, while reverse Warburg type was the most unusual. There was no significant difference in the metabolic phenotypes among the molecular subtypes in TNBC.

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#### Conflicts of interest None

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