**ORIGINAL RESEARCH** 





# Cohomology bounds and Chern class inequalities for stable sheaves on a smooth projective variety

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Abstract We give effective upper bounds for dimensions of the (n - 1)-th cohomology groups of  $\mu$ -semistable torsion-free sheaves on a smooth projective variety of dimension n defined over an algebraically closed fieled of characteristic zero. As a corollary to this result, we obtain bounds for the dimension of the moduli space of  $\mu$ -stable vector bundles. We also prove Bogomolov-Gieseker type inequalities for the fourth Chern classes  $c_4(E)$  of  $\mu$ -semistable vector bundles E on a smooth projective fourfold.

**Keywords** Bogomolov-Gieseker type inequality  $\cdot \mu$ -semistable sheaves  $\cdot$  Moduli spaces

Mathematics Subject Classification 14J60 · 14F05 · 14J32

## **1** Introduction

Let X be a smooth projective variety defined over an algebraically closed field of characteristic 0 and let H be an ample line bundle on X. The classical Bogomolov-Gieseker inequality states that  $\Delta_H(E) = (2rc_2(E) - (r - 1)c_1(E)^2) \cdot H^{n-2} \ge 0$  for any torsion-free sheaf E of rank r and Chern classes  $c_i(E)$  on X which is  $\mu$ -semistable with respect to H. Recently, some conjectures for the third Chern character  $ch_3(E)$  of  $\mu$ -stable sheaves E on a threefold have been proposed ([1], [2]). We gave explicit bounds for the cohomology groups for  $\mu$ -semistable sheaves E on a threefold and applied them to obtain inequalities for  $ch_3(E)$  in [6], [7]. On the other hand, it seems that no Bogomolov-Gieseker type inequality has been known for the top Chern class  $c_n(E)$  for  $\mu$ -semistable sheaves E on a variety of dimension  $n \ge 4$ .

In this note we give an effective bound for the dimension of the cohomology group  $\text{Ext}^1(E, E_1)$  for  $\mu$ -semistable torsion-free sheaves E and  $E_1$  on a smooth projective variety of dimension  $n \ge 3$ . As in [7], we prove this by reducing the problem to the three dimensional case using the restriction theorem due to A.Langer ([4], [5]) and a vanishing theorem of H.Sun ([8]). As a corollary, we obtain upper bounds for the dimension of the moduli of  $\mu$ -stable vector bundles. We also obtain explicit upper bounds for  $c_4(E)$  of  $\mu$ -semistable bundles E in terms of r,  $c_i(E)$  ( $1 \le i \le 3$ ),  $c_i(X)$  and H on a smooth projective fourfold.

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#### 2 Notations and Preliminaries

In what follows all varieties will be assumed to be defined over an algebraically closed field of characteristic 0. Let X be a smooth projective variety of dimension  $n \ge 3$  and let H be an ample line bundle on X. Let  $K_X$  denote the canonical bundle of X and let  $A^i(X)$  denote the codimension *i* Chow group of X. For a torsion-free sheaf E on X, the *slope*  $\mu_H(E)$  is defined to be the following number

$$\mu_H(E) := \frac{c_1(E) \cdot H^{n-1}}{\operatorname{rk} E}.$$

A torsion-free sheaf *E* on *X* is said to be  $\mu$ -stable(resp. $\mu$ -semistable) with respect to *H* (or simply *H*-(semi)stable) if, for any coherent subsheaf  $F \subset E$  with 0 < rk F < rk E, we have  $\mu_H(F) < \mu_H(E)$  (resp. $\mu_H(F) \le \mu_H(E)$ ).

The *discriminant*  $\Delta(E) \in A^2(X)$  of *E* is defined as follows.

$$\Delta(E) = 2rc_2(E) - (r-1)c_1(E)^2.$$

We set  $\Delta_H(E) := \Delta(E) \cdot H^{n-2}$ . We recall the following results concerning the restriction of  $\mu$ -(semi)stable sheaves to divisors ([5]).

**Proposition 1** Let X be a smooth projective variety X of dimension  $n \ge 2$  and let H be a very ample line bundle on X. Let E be an H-semistable torsion-free sheaf of rank  $r \ge 2$  on X. Let a be an integer with

$$\binom{a+n}{n} > \frac{1}{2} \left( \max\{\frac{r^2-1}{4}, 1\}H^n + 1 \right) \Delta_H(E) + 1.$$

Then, for general  $D \in |aH|$ , the restriction  $E_{|D}$  is an  $H_D$ -semistable torsion-free sheaf.

We also need the following vanishing result due to H.Sun which has been proved by techniques of tilt stability ([8, Corollary 1.9]).

**Proposition 2** Let X be a smooth projective variety X of dimension  $n \ge 2$  and H an ample line bundle on X. Let E be an H-semistable torsion-free sheaf of rank  $r \ge 2$  and Chern classes  $c_i(E) = c_i$  on X. Let

$$\overline{\Delta}_{H}(E) := (c_{1}(E) \cdot H^{n-1})^{2} - 2H^{n}rch_{2}(E) \cdot H^{n-2}$$
$$= (c_{1}(E) \cdot H^{n-1})^{2} + H^{n}(\Delta_{H}(E) - c_{1}(E)^{2} \cdot H^{n-2}).$$

Then, for any integer l with

$$l > \frac{\Delta_H(E)}{H^n} - \frac{\mu_H(E)}{H^n},$$

we have

$$H^{n-1}(X, E(K_X + lH)) = 0.$$

Let X be a smooth projective variety of dimension  $n \ge 2$ . For a coherent sheaf E of rank r and Chern classes  $c_i$  and a very ample line bundle H on X, we define the following numbers depending only on r,  $c_i(E)$  (i = 1, ..., n) and H.

$$a(E, H) := \min\{a \in \mathbb{N} | \binom{a+n}{n} > \frac{1}{2} \left( \max\{\frac{r^2 - 1}{4}, 1\} H^n + 1 \right) \Delta_H(E) + 1\},$$
  
$$c(E, H) := \lfloor \frac{\overline{\Delta}_H(E)}{H^n} - \frac{\mu_H(E)}{H^n} \rfloor + 1.$$

Here, for a real number x,  $\lfloor x \rfloor$  denotes the largest integer less than or equal to x. Let

$$a_0(E, H) := \lfloor \left\{ \frac{n!}{2} (\max\{\frac{r^2 - 1}{4}, 1\} H^n + 1) \Delta_H(E) + 1 \right\}^{\frac{1}{n}} \rfloor + 1.$$



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We see that  $a_0 := a_0(E, H) \ge a(E, H)$  since

$$\binom{a_0+n}{n} > \frac{(a_0+1)^n}{n!} > \frac{a_0^n}{n!} > \frac{1}{2}(\max\{\frac{r^2-1}{4},1\}H^n+1)\Delta_H(E) + 1.$$

Let X be a smooth projective threefold and let H be a very ample line bundle on X. Let  $E_1$  be an H-semistable vector bundle of rank  $r_1$  on X. In the rest of this section, we recall the upper bound of dim  $\text{Ext}^1(E, E_1)$  obtained in [7] for H-semistable torsion-free sheaves E on X. We notice that we gave a bound of different type for sheaves on a Calabi-Yau threefold in [6].

For an *H*-semistable torsion-free sheaf *E* on *X* of rank  $r \ge 2$  and Chern classes  $c_i(E) = c_i$ , let  $\chi(E)$  denote the Euler characteristic of *E*. Then Riemann-Roch formula yields

$$\chi(E) = \frac{1}{6}(c_1(E)^3 - 3c_1(E) \cdot c_2(E) + 3c_3(E)) + \frac{1}{12}c_1(E) \cdot (K_X^2 + c_2(X)) + r\chi(\mathcal{O}_X).$$

For a line bundle on *L* on *X*, we set  $\alpha(E, L) := \chi(E \otimes L) - \chi(E)$ . Then we have (cf. [7]):

$$\alpha(E,L) = L \cdot \left(\frac{L^2}{6} + \frac{(2c_1(E) - rK_X) \cdot L}{4} + \frac{c_1(E) \cdot (c_1(E) - K_X)}{2} - c_2(E) + \frac{r(K_X^2 + c_2(X))}{12}\right).$$

We set  $E' = E \otimes E_1^{\vee}$  and  $l = \max\{a(E', H), c(E', H)\}$ . We divide into the following six cases.

$$\begin{array}{lll} Case \ 1-1: & (K_X + lH) \cdot H^2 < 0 & \text{and} & \mu_H(E') \ge -(K_X + lH) \cdot H^2 \\ Case \ 1-2: & (K_X + lH) \cdot H^2 < 0 & \text{and} & 0 < \mu_H(E') < -(K_X + lH) \cdot H^2 \\ Case \ 1-3: & (K_X + lH) \cdot H^2 < 0 & \text{and} & \mu_H(E') \le 0 \\ Case \ 2-1: & (K_X + lH) \cdot H^2 \ge 0 & \text{and} & \mu_H(E') < -(K_X + lH) \cdot H^2 \\ Case \ 2-2: & (K_X + lH) \cdot H^2 \ge 0 & \text{and} & -(K_X + lH) \cdot H^2 \le \mu_H(E') \le 0 \\ Case \ 2-3: & (K_X + lH) \cdot H^2 \ge 0 & \text{and} & \mu_H(E') > 0. \end{array}$$

Then we have the following bound for dim  $\text{Ext}^1(E, E_1)([7, \text{Theorem 3.3}])$ .

**Theorem 3** Let X, H, E and  $E_1$  be as above and let  $B_i := B_i(E', H, l)$ . Then we have dim  $\text{Ext}^1(E, E_1) \le B$  where

$$B = \begin{cases} B_1 + B_2 + B_3 & \text{in Case 2-2} \\ B_1 + B_3 & \text{in Case 1-1 and Case 2-3} \\ B_2 + B_3 & \text{in Case 1-3 and Case 2-1} \\ B_3 & \text{in Case 1-2.} \end{cases}$$

*Here*  $B_i$  *are defined as follows.* 

$$B_{1}(E, H, l) = rH^{3} \left( \frac{\mu_{H}(E) + (K_{X} + lH) \cdot H^{2}}{H^{3}} + f(r) + 2 \right),$$
  

$$B_{2}(E, H, l) = rH^{3} \left( -\frac{\mu_{H}(E)}{H^{3}} + f(r) + 2 \right),$$
  

$$B_{3}(E, H, l) = -\alpha(E(K_{X}), lH)$$
  

$$= -lH \cdot \left( \frac{l^{2}H^{2}}{6} + \frac{l(2c_{1}(E(K_{X})) - rK_{X}) \cdot H}{4} + \frac{c_{1}(E(K_{X})) \cdot (c_{1}(E(K_{X})) - K_{X})}{2} - c_{2}(E(K_{X})) + \frac{r(K_{X}^{2} + c_{2}(X))}{12} \right).$$



## 3 Effective bounds in dimension $n \ge 3$

We shall adopt the notations introduced in the previous section. The purpose of this section is to give effective bounds for several invariants of  $\mu$ -semistable sheaves on smooth projective variety. Let X be a smooth projective variety of dimension  $n \ge 3$  and let H be a very ample line bundle on X. For integers  $1 \le i \le n-2$  and  $l_1, l_2, \ldots, l_i$ , we denote by  $Y_i \in |l_1 H \cap \cdots \cap l_{i-1} H|$  a general smooth complete intersections of divisors  $l_1H, l_2H, \dots, l_iH$ . Let  $l_1(E, H) := \max\{a_0(E, H), c(E, H)\}$  and for  $2 \le i \le n-2$ , define

$$l_i = l_i(E, H) := \max\{a_0(E_{|Y_{i-1}}, H_{Y_{i-1}}), c(E_{|Y_{i-1}}, H_{Y_{i-1}})\}$$

For  $1 \le j \le 3$ , let  $C_j = C_j(E, H) := B_j(E_{|Y}, H_Y, l_{n-2})$  for general smooth threefold  $Y = Y_{n-3} \in |l_1 H \cap \cdots \cap l_{n-3} H|$ .

**Proposition 4** Let  $l_i$  and  $C_j$  be as above. Then

- 1. For each  $1 \le i \le n 2$ ,  $l_i$  depends only on r,  $c_1(E)$ ,  $c_2(E)$  and H.
- 2. For  $1 \le j \le 3$ ,  $C_i$  depends only on r,  $c_1(E)$ ,  $c_2(E)$ ,  $c_1(X)$ ,  $c_2(X)$  and H.

*Proof* For any integer l > 0 and general smooth  $Y \in |lH|$ , let  $\iota : Y \hookrightarrow X$  denote the inclusion. Then we have

$$\Delta_{H_Y}(E_{|Y}) = l \Delta_H(E),$$
  
$$\overline{\Delta}_{H_Y}(E_{|Y}) = l^2 \overline{\Delta}_H(E).$$

Hence we obtain

$$a_{0}(E_{|Y}, H_{Y}) = \lfloor \left\{ \frac{(n-1)!l}{2} (\max\{\frac{r^{2}-1}{4}, 1\}H^{n} + 1)\Delta_{H}(E) + 1 \right\}^{\frac{1}{n-1}} \rfloor + 1,$$
  
$$c(E_{|Y}, H_{Y}) = \lfloor \frac{l\overline{\Delta}_{H}(E)}{H^{n}} - \frac{\mu_{H}(E)}{H^{n}} \rfloor + 1.$$

By induction, the claim (1) follows immediately.

We notice that there exists the following exact sequence of tangent bundles on Y:

$$0 \to T_Y \to \iota^* T_X \to N_{Y/X} \to 0$$

where  $N_{Y/X}$  is the normal bundle of Y in X. Hence the total Chern class of  $T_Y$  is given by

. 1

$$c(T_Y) = c(\iota^* T_X) / c(N_{Y/X})$$

where

$$c(N_{Y/X}) = \prod_{i=1}^{n-3} (1 + l_i H_Y)$$

Hence the claim (2) follows.

Let  $E_1$  be an *H*-semistable vector bundle of rank  $r_1$  on *X*. We are interested in estimating dim Ext<sup>1</sup>(*E*,  $E_1$ ) from above for any *H*-semistable torsion-free sheaf *E* on *X*. Let  $E' = E \otimes E_1^{\vee}$ . Then *E'* is *H*-semistable by [3, Theorem 3.1.4]. Let  $l_i := l_i(E', H)$  for  $1 \le i \le n-2$ ,  $l := \sum_{i=1}^{n-2} l_i$  and  $C_j := C_j(E', H)$  for  $1 \le j \le 3$ . As in the case of threefolds, we consider the following six cases.

Case 1-1: 
$$(K_X + lH) \cdot H^{n-1} < 0$$
 and  $\mu_H(E') \ge -(K_X + lH) \cdot H^{n-1}$   
Case 1-2:  $(K_X + lH) \cdot H^{n-1} < 0$  and  $0 < \mu_H(E') < -(K_X + lH) \cdot H^{n-1}$   
Case 1-3:  $(K_X + lH) \cdot H^{n-1} < 0$  and  $\mu_H(E') \le 0$   
Case 2-1:  $(K_X + lH) \cdot H^{n-1} \ge 0$  and  $\mu_H(E') < -(K_X + lH) \cdot H^{n-1}$   
Case 2-2:  $(K_X + lH) \cdot H^{n-1} \ge 0$  and  $-(K_X + lH) \cdot H^{n-1} \le \mu_H(E') \le 0$   
Case 2-3:  $(K_X + lH) \cdot H^{n-1} \ge 0$  and  $\mu_H(E') > 0$ .



**Theorem 5** Let X, H, E and  $E_1$ ,  $l_i$  and  $C_i$  be as above. Then we have dim  $\text{Ext}^1(E, E_1) \leq C$  where

$$C = \begin{cases} C_1 + C_2 + C_3 & \text{in Case 2-2} \\ C_1 + C_3 & \text{in Case 1-1 and Case 2-3} \\ C_2 + C_3 & \text{in Case 1-3 and Case 2-1} \\ C_3 & \text{in Case 1-2} \end{cases}$$

*Proof* For any  $1 \le i \le n-3$  and general smooth  $Y_{i+1} \in |l_{i+1}H_{Y_i}|$ , we have the exact sequence on  $Y_i$ :

$$0 \to E_{|Y_i}(-l_{i+1}H_{Y_i}) \to E_{|Y_i} \to E_{|Y_{i+1}} \to 0.$$

By tensoring the above sequence with  $E_1^{\vee}(K_{Y_i} + l_{i+1}H)$ , we obtain the exact sequence

$$0 \to E'(K_{Y_i}) \to E'(K_{Y_i} + l_{i+1}H) \to E'(K_{Y_i} + l_{i+1}H)|_{Y_{i+1}} \to 0.$$

By Proposition 1,  $E'_{|Y_i|}$  is an  $H_{Y_i}$ -semistable sheaf on  $Y_i$ . Hence Proposition 2 yields  $H^{n-i-1}(E'(K_{Y_i}+l_{i+1}H)) = 0$ . Then we obtain the surjection

$$H^{n-i-2}(E'(K_X + l_{i+1}H)|_{Y_i}) \to H^{n-i-1}(E'(K_{Y_i})).$$

Therefore we have  $h^{n-i-1}(E'(K_{Y_i})) \le h^{n-i-2}(E'(K_{Y_i}+l_{i+1}H_{Y_i})|_{Y_{i+1}})$ . Since Serre duality yields

$$H^{n-i-1}(E'(K_{Y_i})) \cong \operatorname{Ext}^1(E_{|Y_i}, E_{1|Y_i})^{\vee},$$
  
$$H^{n-i-2}(E'(K_{Y_i}+l_{i+1}H_{Y_i})|_{Y_{i+1}}) \cong \operatorname{Ext}^1(E_{|Y_{i+1}}, E_{1|Y_{i+1}})^{\vee},$$

we obtain dim  $\operatorname{Ext}^{1}(E_{|Y_{i}}, E_{1|Y_{i}}) \leq \operatorname{dim} \operatorname{Ext}^{1}(E_{|Y_{i+1}}, E_{1|Y_{i+1}})$  for all  $1 \leq i \leq n-3$ . It follows that dim  $\operatorname{Ext}^{1}(E, E_{1}) \leq \operatorname{dim} \operatorname{Ext}^{1}(E_{|Y}, E_{1|Y})$  for general smooth  $Y = Y_{n-3} \in |l_{1}H \cap \cdots \cap l_{n-3}H|$ . We have

$$(K_Y + l_{n-2}H_Y) \cdot H_Y^2 = l'(K_X + lH) \cdot H^{n-1},$$
  
$$\mu_{H_Y}(E'_{1Y}) = l'\mu_H(E')$$

where  $l = \sum_{i=1}^{n-2} l_i$  and  $l' = \prod_{i=1}^{n-3} l_i$ . Hence, applying Theorem 3 to the threefold Y and the  $H_Y$ -semistable sheaf  $E_{|Y}$ , we obtain the claim for dim Ext<sup>1</sup>(E, E<sub>1</sub>).

We notice that the constant C in the theorem above depends only on r,  $c_i$ ,  $c_i(X)$  and H and not on the choice of E, Y.

**Corollary 6** Let X be a smooth projective variety of dimension  $n \ge 3$  and let H be a very ample line bundle on X. Let  $l_i = l_i(E(-K_X), H)$  and  $m_i = l_i(E^{\vee}, H)$  for  $1 \le i \le n-2$ .

- 1. For any *H*-semistable torsion-free sheaf *E* on *X* of rank  $r \ge 2$ ,  $c_i(E) = c_i$ , we have  $h^{n-1}(E) \le \sum_{j=1}^3 C_j$  where  $C_j = C_j(E(-K_X), H)$ .
- 2. For any *H*-semistable vector bundle *E* on *X* of rank  $r \ge 2$ ,  $c_i(E) = c_i$ , we have  $h^1(E) \le \sum_{j=1}^3 D_j$  where  $D_j = C_j(E^{\vee}, H)$ .

*Proof* By Serre duality, we have  $H^{n-1}(E) = \operatorname{Ext}^{n-1}(\mathcal{O}_X, E) \cong \operatorname{Ext}^1(E, K_X)^{\vee}$ . Hence, applying Theorem 5 to the sheaves  $E, E_1 = K_X$ , we obtain (1). If E is a vector bundle, then  $H^1(E) \cong \operatorname{Ext}^1(E^{\vee}, \mathcal{O}_X)$ . Hence we apply Theorem 3 to  $E^{\vee}$  and  $E_1 = \mathcal{O}_X$  and obtain (2).

Let X be a smooth projective variety of dimension  $n \ge 2$  and let H be a very ample line bundle on X. For a coherent sheaf E on X, the *Mukai vector* v(E) of E is the element of the rational cohomology ring  $H^*(X, \mathbb{Q}) := \bigoplus_{i=0}^4 H^{2i}(X, \mathbb{Q})$  defined as follows.

$$v(E) := \operatorname{ch}(E) \cdot \sqrt{\operatorname{td}(X)}$$

where td(X) denotes the Todd class of X. For given  $v \in H^*(X, \mathbb{Q})$ , let  $\mathcal{M}(v)$  denote the moduli space of  $\mu$ -stable torsion-free sheaves with Mukai vector v. Let  $\mathcal{M}(v)_0 \subset \mathcal{M}(v)$  denote the open subscheme of  $\mu$ -stable locally free sheaves. Let  $E_1$  be a  $\mu$ -stable rigid vector bundle on X. We define the *Brill-Noether locus*  $\mathcal{M}(v)_{i,j}$  of type (i, j) as follows.

$$\mathcal{M}(v)_{i,j} := \{E \in \mathcal{M}(v) \mid i = \dim \operatorname{Hom}(E_1, E) \text{ and } j = \dim \operatorname{Ext}^1(E, E_1)\}.$$

We are interested in the *higher dimensional Brill-Noether problem* concerning the existence of these loci. Theorem 5 yields the following



**Corollary 7** Let X be a smooth projective variety of dimension  $n \ge 3$  and let H be a very ample line bundle on X. Then  $\mathcal{M}(v)_{i,j}$  is empty if  $i \ge 0$  and j > C where C is the constant in Theorem 5.

In general, we have the following inequality ([3, Corollary 4.5.2])

$$\dim \mathcal{M}_{[E]}(v) \leq \dim \operatorname{Ext}^{1}(E, E).$$

We notice that effective bounds for dim  $\mathcal{M}_{[E]}(v)$  have been investigated for sheaves on a threefold in [7]. Applying Theorem 5 to  $E_1 = E$ , we obtain the following result in dimension  $n \ge 3$ .

**Proposition 8** Let X be a smooth projective variety of dimension  $n \ge 3$  and let H be a very ample line bundle on X. For a  $\mu$ -stable vector bundle  $E \in \mathcal{M}(v)_0$  on X, let  $l_i := l_i(\mathcal{E}ndE, H)$   $(1 \le i \le n-2)$  and let  $C_j := C_j(\mathcal{E}ndE, H)$ . Then we have dim  $\mathcal{M}_{[E]}(v) \le \sum_{j=1}^3 C_j$ .

## 4 Chern class inequalities on a fourfold

In this section we obtain an upper bound for the fourth Chern class  $c_4(E)$  of  $\mu$ -semistable bundles on a smooth projective fourfold. First, we recall the following Riemann-Roch formula for sheaves on a fourfold.

**Lemma 9** Let X be a smooth projective fourfold. Let E be a coherent sheaf of rank r with Chern classes  $c_i$  on X. Then

$$\chi(E) = \frac{1}{24} (c_1(E)^4 - 4c_1(E)^2 \cdot c_2(E) + 4c_1(E) \cdot c_3(E) + 2c_2(E)^2 - 4c_4(E)) - \frac{1}{12} (c_1(E)^3 - 3c_1(E) \cdot c_2(E) + 3c_3(E)) \cdot K_X + \frac{1}{24} (c_1(E)^2 - 2c_2(E)) \cdot (K_X^2 + c_2(X)) - \frac{1}{24} c_1(E) \cdot K_X \cdot c_2(X) + r\chi(\mathcal{O}_X).$$

We make explicit the constants  $l_i(E, H)$  and  $c_j(E, H)$  introduced in the previous section for sheaves E on a fourfold.

**Lemma 10** Let X be a smooth projective fourfold and let H be a very ample line bundle on X. Let E be a torsion-free sheaf on X. Let  $l_i := l_i(E, H)$  for i = 1, 2 and  $C_j := C_j(E, H)$  for  $1 \le j \le 3$ . Then

$$l_{1} = \max\{\lfloor\{12(\max\{\frac{r^{2}-1}{4},1\}H^{4}+1)\Delta_{H}(E)\}^{\frac{1}{4}}\rfloor, \lfloor\frac{\overline{\Delta}_{H}(E)}{H^{4}}-\frac{\mu_{H}(E)}{H^{4}}\rfloor\}+1, \\ l_{2} = \max\{\lfloor\{3(\max\{\frac{r^{4}-1}{4},1\}l_{1}H^{4}+1)l_{1}\Delta_{H}(E)\}^{\frac{1}{3}}\rfloor, \lfloor\frac{l_{1}\overline{\Delta}_{H}(E)}{H^{4}}-\frac{\mu_{H}(E)}{H^{4}}\rfloor\}+1\}$$

and

$$\begin{split} C_1 &= r l_1 H^4 \bigg( \frac{{}^{\mu_H(E) + (K_X + (l_1 + l_2)H) \cdot H^3}}{H^4} + f(r) + 2}{2} \bigg), \\ C_2 &= r l_1 H^4 \bigg( \frac{-{}^{\mu_H(E)}}{H^4} + f(r) + 2}{2} \bigg), \\ C_3 &= -l_1 l_2 H^2 \cdot \bigg( \frac{l_2^2 H^2}{6} + \frac{l_2 \{ 2c_1(E(K_X + l_1H)) - r(K_X + l_1H) \} \cdot H}{4} \\ &+ \frac{c_1(E(K_X + l_1H)) \cdot \{c_1(E(K_X + l_1H)) - (K_X + l_1H) \}}{2} \\ &- c_2(E(K_X + l_1H)) + \frac{r\{(K_X + l_1H)^2 + c_2(X) + l_1(K_X + l_1H) \cdot H\}}{12} \bigg). \end{split}$$

*Proof* Let  $\iota: Y \hookrightarrow X$  denote the inclusion map. Since we have  $K_Y = (K_X + l_1H)_{|Y}$  and  $c_2(Y) = \iota^*(c_2(X) + l_1(K_X + l_1)H) \cdot H)$ , the claim follows immediately.  $\Box$ 

Now we apply Theorem 5 to obtain a bound for the fourth Chern class of  $\mu$ -semistable bundles on a fourfold.

**Theorem 11** Let X be a smooth projective fourfold and let H be a very ample line bundle on X. Let E be an H-semistable vector bundle E on X of rank  $r \ge 2$ ,  $c_i(E) = c_i$ . Let  $l_i = l_i(E(-K_X), H)$  and  $m_i = l_i(E^{\vee}, H)$  for i = 1, 2. We define

$$F = \frac{1}{4}(c_1(E)^4 - 4c_1(E)^2 \cdot c_2(E) + 4c_1(E) \cdot c_3(E) + 2c_2(E)^2) - \frac{1}{2}(c_1(E)^3 - 3c_1(E) \cdot c_2(E) + 3c_3(E)) \cdot K_X + \frac{1}{4}(c_1(E)^2 - 2c_2(E)) \cdot (K_X^2 + c_2(X)) - \frac{1}{4}c_1(E) \cdot K_X \cdot c_2(X) + 6r\chi(\mathcal{O}_X),$$

$$C = rl_{1}H^{4} \left\{ \begin{pmatrix} \frac{\mu_{H}(E(-K_{X})) + (K_{X} + (l_{1} + l_{2})H) \cdot H^{3}}{H^{4}} + f(r) + 2 \\ 2 \end{pmatrix} + \begin{pmatrix} -\frac{\mu_{H}(E(-K_{X}))}{H^{4}} + f(r) + 2 \\ 2 \end{pmatrix} \right\}$$
$$- l_{1}l_{2}H^{2} \cdot \left( \frac{l_{2}^{2}H^{2}}{6} + \frac{l_{2}\{2c_{1}(E(l_{1}H)) - r(K_{X} + l_{1}H)\} \cdot H}{4} \\ + \frac{c_{1}(E(l_{1}H) \cdot \{c_{1}(E(l_{1}H) - (K_{X} + l_{1}H)\}}{2} \\ - c_{2}(E(l_{1}H) + \frac{r\{(K_{X} + l_{1}H)^{2} + c_{2}(X) + l_{1}(K_{X} + l_{1}H) \cdot H\}}{12} \end{pmatrix}$$

and

$$D = rm_1 H^4 \left\{ \begin{pmatrix} \frac{\mu_H(E^{\vee}) + (K_X + (m_1 + m_2)H) \cdot H^3}{H^4} + f(r) + 2\\ 2 \end{pmatrix} + \begin{pmatrix} -\frac{\mu_H(E^{\vee})}{H^4} + f(r) + 2\\ 2 \end{pmatrix} \right\}$$
$$- m_1 m_2 H^2 \cdot \left( \frac{m_2^2 H^2}{6} + \frac{m_2 \{ 2c_1(E^{\vee}(K_X + m_1H)) - r(K_X + m_1H)\} \cdot H}{4} + \frac{c_1(E^{\vee}(K_X + m_1H)) \cdot \{c_1(E^{\vee}(K_X + m_1H)) - (K_X + m_1H)\}}{2} - c_2(E^{\vee}(K_X + m_1H)) + \frac{r\{(K_X + m_1H)^2 + c_2(X) + m_1(K_X + m_1H) \cdot H\}}{12} \end{pmatrix}.$$

*Then we have*  $c_4(E) \le F + 6(C + D)$ *.* 

*Proof* By Corollary 6, we have  $h^3(E) \leq C := \sum_{j=1}^3 C_j$  and  $h^1(E) \leq D := \sum_{j=1}^3 D_j$  where  $C_j = C_j(E(-K_X), H), D_j = C_j(E^{\vee}, H)$ . Let  $F = 6\chi(E) + c_4(E)$ . This yields  $\chi(E) \geq -(C+D)$  and hence

$$c_4(E) = F - 6\chi(E)$$
  
$$\leq F + 6(C + D)$$

Therefore the claim follows from Lemma 9 and Lemma 10.

We obtain the following bound in the case of abelian fourfolds.

**Corollary 12** Let X be an abelian fourfold and let H be a very ample line bundle on X. Let E be an H-semistable vector bundle on X of rank  $r \ge 2$  on X. Let  $l_i = l_i(E, H)$  and  $m_i = l_i(E^{\vee}, H)$ . Then we have



 $c_4(E) \le F + 6(C + D)$  where

$$\begin{split} F &= \frac{1}{4} (c_1(E)^4 - 4c_1(E)^2 c_2(E) + 4c_1(E) c_3(E) + 2c_2(E)^2), \\ C &= rl_1 H^4 \left\{ \begin{pmatrix} \frac{\mu_H(E) + (l_1 + l_2)H^4}{H^4} + f(r) + 2 \\ 2 \end{pmatrix} + \begin{pmatrix} -\frac{\mu_H(E)}{H^4} + f(r) + 2 \\ 2 \end{pmatrix} \right\} \\ &- l_1 l_2 H^2 \cdot \left( \frac{l_2^2 H^2}{6} + \frac{l_2 \{ 2c_1(E(l_1H)) - rl_1H \} \cdot H}{4} \\ &+ \frac{c_1(E(l_1H)) \cdot \{c_1(E(l_1H)) - l_1^2H \}}{2} - c_2(E(l_1H)) + \frac{rl_1^2H^2}{6} \end{pmatrix}, \\ D &= rm_1 H^4 \left\{ \begin{pmatrix} \frac{-\mu_H(E) + (m_1 + m_2)H) \cdot H^3}{H^4} + f(r) + 2 \\ 2 \end{pmatrix} + \begin{pmatrix} \frac{\mu_H(E)}{H^4} + f(r) + 2 \\ 2 \end{pmatrix} \right\} \\ &- m_1 m_2 H^2 \cdot \left( \frac{m_2^2 H^2}{6} - \frac{m_2 \{ 2c_1(E^{\vee}(m_1H)) - rm_1H \} \cdot H}{4} \\ &+ \frac{c_1(E^{\vee}(m_1H)) \cdot \{c_1(E^{\vee}(m_1H)) - m_1^2H \}}{2} - c_2(E^{\vee}(m_1H)) + \frac{rm_1^2H^2}{6} \end{pmatrix}. \end{split}$$

We notice that there cannot exist an analogous upper bound for  $c_4(E)$  for *H*-semistable *torsion-free sheaves E* on a smooth projective fourfold *X*. Indeed, the following result holds in arbitrary dimension.

**Proposition 13** Let X be a smooth projective variety of dimension  $n \ge 2$  and H an ample line bundle on X. Assume that n is even (resp. odd). Then there does not exist an upper (resp. lower) bound for  $c_n(E)$  for H-semistable torsion-free sheaves E on X in terms of r,  $c_i$  for  $1 \le i \le n - 1$ , H and  $c_i(X)$ .

*Proof* Let *E* be an *H*-semistable torsion-free sheaf on *X* of rank *r*,  $c_i(E) = c_i$  and any point  $p \in X$ , let  $E_p$  denote the kernel of the natural evaluation map  $E \to \mathcal{O}_p$ . Then  $E_p$  is an *H*-semistable torsion-free sheaf of rank *r*,  $c_i(E_p) = c_i$  for  $1 \le i \le n-1$  and  $c_n(E_p) = c_n(E) - (-1)^{n+1}(n-1)!$ . Therefore the claim follows by choosing arbitrarily many points of *X*.

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