#### **ORIGINAL ARTICLE**



# **Machine learning techniques in estimation of eggplant crop evapotranspiration**

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#### **Abstract**

This study predicted the daily evapotranspiration of eggplant (*Solanum melongena* L.) under full and defcit irrigation in the Bafra district of Samsun province, Turkey, using machine learning methods. Artifcial neural networks (ANNs), deep neural networks (DNN), M5 model tree (M5Tree), random forest (RF), support vector machine (SVM), *k*-nearest neighbor (kNN), and adaptive boosting were investigated as machine learning approaches. Determination of evapotranspiration in this study consists of three methods: (i) The reference evapotranspiration  $(ET<sub>o</sub>)$  was obtained from the Food and Agriculture Organization-56 Penman–Monteith equation, (ii) the values of evapotranspiration  $(ET_c)$  calculated by multiplying the reference evapotranspiration by the crop coefficient  $(K_c)$ , and (iii) the values of evapotranspiration (ET<sub>a</sub>) measured using soil water balance between successive soil water measurements as the outputs. The model's performance in  $ET_0$  estimation was higher when minimum and maximum temperature ( $T_{\text{max}}$  and  $T_{\text{min}}$ ), wind speed ( $u_2$ ), average relative humidity (RH<sub>avg</sub>), solar radiation  $(R_s)$ , and days of the year were used as inputs. The best performance was obtained in the ANN model with a coefficient of determination ( $R^2$ ) value of 0.984, a mean absolute error (MAE) of 0.098 mm d<sup>-1</sup>, a root-mean-square error (RMSE) of 0.153 mm d<sup>-1</sup>, and Nash–Sutcliffe efficiency of 0.983. The model's performance in ET<sub>c</sub> estimation was significantly improved with the addition of leaf area index (LAI) and crop height  $(h<sub>c</sub>)$  to the climate parameters (MAE and RMSE values decreased by 22.6 and 23.2%, respectively). The accuracy of  $ET_c$  estimation for some plant traits ( $h_c$  and LAI) and average temperature ( $T_{\text{avg}}$ ) was sufficient. The best statistical performance in estimating  $ET_a$  was obtained by the RF model  $(T_{\text{avg}}, u_2, \text{RH}_{\text{avg}})$  and  $R_s$ ) using climate parameters. DNN proved to be the least successful model compared to the other six models in predicting  $ET_0$ ,  $ET_c$ , and  $ET_a$ .

**Keywords** Artifcial neural network · Machine learning · Crop evapotranspiration · Eggplant · Semi-humid region





## **Introduction**

Evapotranspiration (ET) is the sum of water lost by transpiration from leaf surface and evaporation from soil surface (Allen et al. [1998](#page-27-0)). ET is a key component of the regional water budget and plays an important role in controlling interactions between the atmosphere, soil, and vegetation (Liu et al. [2013\)](#page-28-0). Therefore, the correct calculation of ET is an important issue for the successful management of water resources in irrigated agriculture. The accurate determination of ET helps to plan and manage the irrigation and drainage systems and increases the irrigation water efficiency (Elbeltagi et al. [2020\)](#page-28-1).

Direct and indirect methods are used to determine crop evapotranspiration. Direct methods include lysimeter, experimental feld plots, moisture reduction control, and measurement of runoff into and out of the basin. Indirect methods consist of the aerodynamic method, energy balance, and their combination. Direct methods are costly and time-consuming compared to indirect methods. The crop evapotranspiration  $(ET_c)$  estimate is based on the correct calculation of the reference evapotranspiration  $(ET_0)$ . In this way, however,  $ET_c$  and  $ET_o$  can be estimated by multiplying the crop coefficient  $(K_c)$  (Jensen [1968](#page-28-2)). Many methods have been developed to estimate the  $ET_0$  based on available meteorological data (Hargreaves and Samani [1985](#page-28-3); Monteith [1965;](#page-28-4) Allen et al. [1998](#page-27-0); Odili et al. [2023](#page-29-0)). The equations for temperature-based regional evapotranspiration (Thornthwaite [1948;](#page-29-1) Blaney and Criddle [1950](#page-27-1); Doorenbos and Pruitt [1977;](#page-28-5) Hargreaves and Samani [1985\)](#page-28-3), radiationbased (Makkink [1957;](#page-28-6) Turc [1961](#page-29-2), Priestly and Taylor [1972](#page-29-3)), mass transfer-based (Monteith [1965;](#page-28-4) Penman [1948\)](#page-29-4), and combination based (the Food and Agriculture Organization (FAO) 56 Penman–Monteith, Allen et al. [1998\)](#page-27-0) models were developed. The accuracy of FAO-56 Penman–Monteith method (FAO-56 PM), which incorporates thermodynamic and aerodynamic effects, is superior to other empirical models. FAO suggested that the (FAO-56 PM) be utilized as the standard method to assess  $ET_{o}$ . Major difficulties of FAO-56 PM method are the requirement of a large amount of meteorological data (Feng et al. [2017](#page-28-7); Fan et al. [2018](#page-28-8)), the high cost of climate data measurement equipment, and the difficulties in measurement of the climate data required by the method at every station. However, the calculation of ET can be considered as a complex and nonlinear regression process as it depends on a large number of meteorological variables. It is very difficult to develop empirical models that will accurately represent all these complex processes. Some researchers have suggested that machine learning methods can be used to predict plant water consumption due to their ability to successfully process nonlinear data (Wang et al. [2017](#page-29-5); Mokari et al. [2022](#page-28-9)).

Machine learning techniques have been extensively proposed recently in estimation of  $ET_0$ ,  $ET_c$ , and  $ET_a$ , including support vector machine (SVM) with the rapid development of machine learning algorithms. However, machine learning models have been used more frequently in estimation of  $ET_0$ compared to the  $ET_c$  and  $ET_a$  predictions (Chen et al. [2020](#page-28-10); Wu et al. 2021; Dong et al. [2022](#page-28-11)). Ferreira et al. ([2019](#page-28-12)) used artifcial neural network (ANN) and SVM methods to estimate the  $ET_0$  with limited meteorological data in Brazil. In the machine learning models, the meteorological data of the previous days were used along with data of the current day as input. The performance of ANN model with temperature and relative humidity data from the previous four days was better than the SVM. The performance of the ANN and M5 tree models was compared in estimating the reference evapotranspiration in an arid region by Rahimikhoob ([2014](#page-29-6)) who stated that both models were well suited to the study area, while ANN  $ET_0$  predicted the  $ET_0$  better than the M5 tree model. The comparison of CatBoost, RF, and SVM methods to estimate  $ET_0$  in a humid environment showed that the CatBoost model had a much lower computational cost and outperformed the other two models when complete input data were available (Huang et al.  $2019$ ). The FAO-56  $K_c$ approach has been used to estimate daily and monthly  $ET_c$ with different machine learning models (Tang et al. [2018](#page-29-7); Granata [2019](#page-28-14); Yamaç and Todorovic [2020;](#page-29-8) Gong et al.  $2021$ ). Abrishami et al. [\(2019\)](#page-27-2) estimated the ET<sub>c</sub> of wheat and maize with the ANN model. Meteorological variables, leaf area index (LAI), and crop height were used as inputs to the models.

The researchers indicated that ANNs with two hidden layers are effective in estimating the  $ET<sub>c</sub>$  of wheat and maize. Han et al. [\(2021](#page-28-16)) compared the back-propagation neural network with the multiple linear regression method to estimate  $ET_c$  measured by eddy covariance. It is observed that the combination of the eddy covariance method and BP model achieved a higher coefficient of determination  $(0.87)$  and accuracy (91.44%). Chen et al. [\(2020\)](#page-28-10) compared the performance of SVM and RF with empirical methods using three deep learning methods, including deep neural network (DNN), transient convolutional neural network (TCN), and long short-memory neural network (LSTM). The TCN

and LSTM models outperformed when temperature-based features are available, and all the proposed deep learning and machine learning models outperformed the radiation or humidity-based empirical equations when radiation- or humidity-based features are available. Tang et al. ([2018\)](#page-29-7) compared genetic algorithm-optimized artifcial neural network (GANN) and SVM models to simulate the  $ET_a$  in a rainfed cornfeld using climate data, LAI, and crop height as the input parameters. In their study, the GANN models performed slightly better than the SVM models. Granata et al. [\(2020\)](#page-28-17) compared RF, kNN, additive regression of decision stump (ARDS), and multilayer perceptron (MLP) in estimating the  $ET_a$ , and obtained the best performance with RF.

There are few studies on applying different machine learning models to predict  $ET_0$ ,  $ET_c$ , and  $ET_a$  of eggplant that is grown under drip irrigation in feld conditions in a semihumid region. In this study, the prediction performances of seven diferent machine learning models include ANN, deep neural networks (DNN), M5 model tree (M5Tree), support vector regression (SVM), *k* nearest neighbors (kNN), random forest (RF), and adaboost (AB) were used to estimate  $ET_{o}$ ,  $ET_{c}$ , and  $ET_{a}$  during the eggplant growing period. In addition, soil conditions such as volumetric soil moisture content (SWC), crop characteristics such as crop height  $(h_c)$ , LAI, canopy temperature  $(T_c)$ , and climate parameters such as air temperature, relative humidity, wind speed, and solar radiation were used to estimate  $ET_0$ ,  $ET_c$ , and  $ET_a$ . The effects of different input variables consisting of different combinations on the performance of the models were also investigated. This study revealed that feld measurements that require a long time, labor, and cost could be obtained using models with high predictive power in a short time and low cost. In addition, the effects of these three factors on crop evapotranspiration were evaluated by using combinations of soil, crop, and climate variables, which are important parameters in irrigation scheduling. This study covers a comprehensive understanding of ET, and it will provide a good source of information for engineers and scientists.

## **Materials and methods**

#### **Study area and soil measurements**

The study was carried out in the experimental station of the Black Sea Agricultural Research Institute located in the Central Black Sea Region of Turkey during eggplant growing seasons in 2015, 2016, and 2017. The experimental station is present between 41° 36′ 8″ N and 35° 55′ 8″ E coordinates (elevation 17 m). The climate is semi-humid with average annual precipitation, temperature, and relative humidity of 715.5 mm, 14.46  $\degree$ C, and 75.40%, respectively (MGM, 2018). Cereals (rice, corn, and wheat) and

vegetables (eggplant, red pepper, tomato, cabbage, and watermelon) are predominantly grown in the Bafra Plain of Samsun province in Turkey. Soils in the experimental feld formed over alluvial deposits.

Three disturbed and undisturbed soil samples were taken from 0–30, 30–60, 60–90, and 90–120 cm depths. Bulk density, soil texture, feld capacity, and wilting point moisture content were determined using the methods explained in the literature (Blake and Hartge [1986;](#page-27-3) Bouyoucos [1951](#page-27-4); Meyer and Gee [1999](#page-28-18)). Available phosphorus content was determined using the method described by Olsen ([1954](#page-29-9)). Available micronutrients were determined using the method of Lindsay and Norvel [\(1978](#page-28-19)). Soil texture was clayey (36% silt and 45% clay) in 0–90 cm and clayey loam in 90–120 cm of soil profle (33% silt and 36% clay). Soil bulk density values varied between 1.26 and 1.35  $\text{g cm}^3$ . Field capacity (0.33 kPa) moisture content varied between 0.277 and  $0.308 \text{ m}^3/\text{m}^3$ , and moisture content at permanent wilting point (1500 kPa) varied between 0.163 and 0.197  $\text{m}^3/\text{m}^3$ . Before the drip irrigation system was installed, the infltration rate of the experimental area was determined as 10 mm/h using a double-ring infltrometer according to the methodology described by Reynolds et al. [\(2002](#page-29-10)).

#### **Crop management**

Aykara F1 eggplant (*Solanum melongena* L.) cultivar was used as the plant material. Eggplant seeds were sown in viols at the beginning of April in each study year. When the seedlings reached to 15–18 cm in height, they were transplanted to the feld on May 15 in 2015 and 2017 and on May 22 in 2016 depending on the rainfall schedule. According to laboratory soil results, a total of 100 kg N/ha and 60 kg  $P_2O_5/ha$ were applied on soil before transplanting. All of  $P_2O_5$  and half of N were applied at transplanting, and the remaining half of N was applied in the later stages of crop growth.

#### **Irrigation treatments and experimental design**

Experimental data were obtained during a 3-year feld study to investigate the most suitable irrigation program for eggplant in semi-humid climatic conditions. Five diferent water management strategies were investigated in the study. The irrigation levels were full irrigation  $(I_1)$ , 75% of full irrigation  $(I_2)$ , 50% of full irrigation  $(I_3)$ , 25% of full irrigation  $(I_4)$ , and rainfed-based irrigation  $(I_5)$ . The amount of water applied in the full irrigation treatment was calculated as the amount of water required to bring the current moisture in the root zone to the feld capacity. The amount of irrigation water applied to other treatments was gradually reduced. However, the actual evapotranspiration values considered in this study were obtained from full irrigation treatment  $(I_1)$ applied throughout the entire growing season.

Soil water content (SWC) was determined with a neutron meter (Model 503 DR, Campbell Pacific Nuclear, Martinez, CA), which was calibrated gravimetrically in each layer (0–30, 30–60, 60–90, 90–120, and 120–150 cm) before the season. The moisture content during the growing season was measured with the help of aluminum tubes placed 10 cm away from the crop rows, in the middle of each plot. In addition, the soil moisture content in the first 30 cm depth of the soil surface was determined by the gravimetric method (Köksal et al. [2011](#page-28-20)).

Total size of the experimental field was  $990 \text{ m}^2$ . The layout of the experiment was randomized blocks with three replications, and the treatments were placed in 15 plots. Each plot consisted of 10 rows with 7 m width and 7.2 m length. The plantings of seedlings were carried out with 0.70 m interrow and 0.60 m intra row spacing. Middle 6 rows were used for measurements to avoid the side effects. In addition, eggplant seedlings were planted between the plots to prevent the advection effect. In the transmission unit of the drip irrigation system, the main line consisting of PE pipes was used as manifold and lateral pipes. The diameter of the lateral pipes was 16 mm with 2 l h<sup>-1</sup> in-line drippers spaced at 25 cm. One lateral was placed in each crop row. Water application in each treatment was controlled by a valve on the manifold pipelines where water was supplied to the laterals, and each treatment had a different valve. In the control unit of the system, a fertilizer tank, sand-gravel filter, disc filter, manometer, water meter, valves, and fittings were used. The irrigation was carried out twice a week during the experiment.

### **Estimation of reference evapotranspiration (ET<sub>o</sub>)**

The FAO-56 Penman–Monteith (FAO-56 PM) method was used to calculate the daily  $ET_0$ . The FAO-56 PM method for the estimation of daily  $ET_0$  is described by Eq. ([1\)](#page-3-0) (Allen et al. [1998](#page-27-0); ASCE-EWRI [2005](#page-27-5)).

$$
ET_o = \frac{0.408\Delta (R_n - G) + \gamma \frac{900}{T + 273} u_2 (e_a - e_d)}{\Delta + \gamma (1 + 0.34 u_2)}
$$
(1)

where  $ET_0$  is the daily reference evapotranspiration (mm/d); *G* is soil heat flux density;  $R_n$  is the net radiation at the crop surface  $(MJ/m<sup>2</sup> d)$ ; *T* is the mean daily air temperature at 2 m height (°C);  $u_2$  is the wind speed at 2 m height (m/s);  $e_s$ is the saturated vapor pressure (kPa);  $e_a$  is the actual vapor pressure (kPa);  $e_s - e_a$  is the saturated vapor pressure deficit (kPa);  $\Delta$  is the slope of vapor pressure curve (kPa/ $\rm ^{\circ}C$ ); and *γ* is the psychometric constant (kPa/°C).

# **Crop evapotranspiration (ETc) and crop coefficient**  $(K_c)$

The crop coefficient approach was used to calculate  $ET_c$ . The  $ET_c$  in the FAO-56 can be estimated by multiplying the  $ET<sub>o</sub>$ value by the single crop coefficient  $(K_c)$  (Eq. [2](#page-3-1)).

<span id="page-3-1"></span>
$$
ET_c = K_c \times ET_o \tag{2}
$$

The  $K_c$  values of FAO-56 method were adapted considering the climate data. The  $K_{c<sub>ini</sub>}$  was also determined according to FAO-56 (Allen et al. [1998](#page-27-0)). The  $K_{c_{\text{max}}}$  and  $K_{c_{\text{max}}}$  values were adapted to the region using Eqs. [3](#page-3-2) and [4.](#page-3-3)

$$
K_{c_{\rm mid}} = K_{c_{\rm mid\text{-}FAO56}} + [0.04(u_2 - 2) - 0.004(\text{RH}_{\rm min} - 45)] \left[\frac{h}{3}\right]^{0.3} \tag{3}
$$

<span id="page-3-3"></span><span id="page-3-2"></span>
$$
K_{c_{\text{end}}} = K_{c_{\text{end-FAO56}}} + [0.04(u_2 - 2) - 0.004(\text{RH}_{\text{min}} - 45)] \left[\frac{h}{3}\right]^{0.3} (4)
$$

where  $K_{c_{\text{mid FAO56}}}$  is mid-period  $K_c$  values in the FAO 56;  $K_{c_{\text{end}}_{FAO56}}$  is the last period  $K_c$  values in the FAO-56;  $u_2$  is the wind speed measured at 2 m height (m/s);  $RH_{min}$  is the lowest mean relative humidity at the relevant period (%); and *h* is average crop height in the relevant period (m). The length of phenological stages was recorded regularly during the experiment. The initial stage in 2015 was 31 days, the development phase was 40 days, the mid-stage was 39 days, and the late phase was 40 days. Total growing season was 146 days, which were consisting of 24, 39, 39, and 40 days of diferent periods in 2016. Total growing season in 2017 was 140 days (30, 38, 40, and 28 days). The  $K_{c_{FAO-56}}$  values calculated for each period considering relative humidity, wind speed, and crop height values were identified. The  $K_{c}$ ,  $K_{c_{\text{max}}}$ , and  $K_{c_{\text{max}}}$  values in 2015 were 0.80, 1.07, and 0.88, respectively. Similarly, the values were 0.65, 1.08, and 0.85 in 2016 and 0.75, 1.04, and 0.87 in 2017.

#### Actual evapotranspiration (ET<sub>a</sub>)

<span id="page-3-0"></span>The  $ET_a$  was determined using the direct measurement method. The soil water balance method based on the principles of conservation of mass was used as the direct measurement method. The water balance method assumes that the diference between the amount of water entering and leaving a certain soil volume in a certain period of time is equal to the change in the soil water volume in the same time interval. The soil water balance equation used to estimate the daily crop evapotranspiration that uses diferent components of the soil water balance is explained in Eq. [\(5](#page-3-4)) (Jensen et al. [1990;](#page-28-21) Allen et al. [1998;](#page-27-0) Evett [2002](#page-28-22)).

<span id="page-3-4"></span>
$$
P + I - D_r - R_o - ET \pm (S_e - S_b) = 0
$$
\n(5)

where *P* is precipitation (mm); *I* is the irrigation water applied (mm);  $D_r$  is the drainage (mm);  $R_o$  is the runoff (mm); ET is actual evapotranspiration (mm);  $S_e$  is soil moisture content at the end of the time interval between two irrigations (mm); and  $S<sub>b</sub>$  is soil moisture content at the beginning of the time interval between two irrigations (mm). The actual evapotranspiration value obtained with Eq. ([5\)](#page-3-4) was expressed as  $ET_a$ , throughout the paper. The effective root depth of eggplant was considered as 60 cm in the calculations. Drip irrigation method was used in the study. The deficient soil water in  $I_1$  treatment (full irrigation) was completed to the feld capacity, and the irrigation water application rate was lower than the infltration rate. Therefore, runoff did not occur and water was not drained deeper than 60 cm in irrigations. There was a 3-m-deep drainage system in the study area, and groundwater close to the crop root zone was not observed during the experiment.

## **Measurement of crop height (***h***c) and leaf area index (LAI)**

The  $h_c$  was measured at 15-day intervals during the eggplant growing season. The  $h_c$  was measured 8 times in both the years 2015 and 2016 and fve times in 2017. The distance from soil surface to the top of the crop was measured with a ruler in 3 plants marked on each plot, and the average of  $h_c$ values was recorded as the crop height.

The LAI is directly measured in the feld. The destructive harvesting method was carried out 4 times in 2015 (22 June, 15 July, 12 August, and 10 September) and 2016 (26 July, 09 and 31 August, and 08 September) and 7 times in 2017 (18 July, 01 and 15 August, 08 and 26 September, 06 and 13 October). Leaves were removed from the plant for each treatment, placed on an A4 white plain paper, and transferred to the computer as a scanned image. The images were then digitized in the AutoCAD (Version 2016, Autodesk, San Rafael, CA, ABD), and the total leaf area of each treatment was determined. Crop area was calculated by the ratio of total leaf area to the canopy projection measured at 12:00 during the day. The LAI is a dimensionless quantity that characterizes crop canopies (LAI=leaf area/crop area or LAI=leaf area/canopy projection area).

#### **Calculation of growing degree days (GDD)**

The growing degree day (GDD) is a weather-based indicator to assess crop development. The GDD is defned as the mean daily temperature (average of daily maximum and minimum temperatures) above a certain base temperature accumulated on a daily basis over a period of time. The base temperature varies among crops, and the value

is derived from the growth habits of each specifc crop. In this study, the base temperature was considered as 10 °C for eggplant (Fereres et al. [2012\)](#page-28-23). The GDD is calculated using Eq.  $(6)$  $(6)$ :

<span id="page-4-0"></span>For 
$$
T_{base} \le ((T_{max} + T_{min})/2) \le TG_{max} \Rightarrow GDD
$$
  
= 
$$
\sum_{i=1}^{n} [((T_{max} + T_{min})/2) - T_{base}]
$$
 (6)

where  $n$  is the number of days from seedling planting to harvest;  $T_{base}$  is the base temperature for eggplant;  $T_{max}$  is the daily maximum air temperature;  $T_{\text{min}}$  is the daily minimum air temperature and;  $TG<sub>max</sub>$  is the average air temperature at which the crop growth stops.

## **Canopy temperature measurements (***T***c) and vapor pressure defcit (VPD)**

Thermal images were captured using a thermal imager (Testo 875-2i, Testo, Germany) measuring in the spectrum range of 8–14 µm, with 32°\*23°/0.1 m lens, 160\*120 pixel resolution detector, 3.3 mrad geometric resolution, and  $\leq 0.08$  °C thermal sensitivity. Canopy temperatures obtained with thermal images were measured once in two irrigations between 10:00 and 14:00 before the irrigation. The thermal camera was positioned in four directions, perpendicular and parallel to the crop row, covering the crop and the soil in view. The average temperature of the top leaves in the four directions was recorded as the canopy temperature of the eggplant. Average canopy temperature was determined after masking all other elements, such as soil in the image. Since the temperature of crop surface was measured, the emissivity value of the instrument was used as 0.9 (Jones et al. [2002](#page-28-24)). Wet and dry leaf surfaces were used as the reference surfaces (Leinonen and Jones [2004](#page-28-25)). Canopy and air temperature difference  $(T_c-T_a)$  was used as an input for the estimation of the ET<sub>a</sub>.

Vapor pressure deficit (VPD) is a climatic variable related to ambient temperature and relative humidity. The difference between the saturated water vapor pressure and the actual VPD at a given temperature is an important indicator of atmospheric water demand for plants (Rawson et al. [1977\)](#page-29-11). Increasing the VPD increases atmospheric demand for water. Although ET is expected to increase with the increase in atmospheric demand, plants can reduce ET by closing their stomata in response to increased VPD (Massmann et al. [2019\)](#page-28-26). The changes in VPD directly or indirectly affect the plant water consumption; therefore, the variable VPD was also used as an input to estimate the  $ET_a$ .

# **Machine learning models used in estimation of evapotranspiration**

ANN is a mathematical model that emulates the ability of human brain to learn from experience (Haykin [1998\)](#page-28-27). The ANN method can learn and predict complex processes with high accuracy. There are several types of ANN techniques available to model various agricultural and environmental problems. In this study, a feedforward MLP with an input layer, a hidden layer, and an output layer was used to model evapotranspiration during the eggplant growing period. Hornik et al. [\(1989](#page-28-28)) suggested that a single hidden layer may be sufficient for accurate model prediction. In this study, the number of neurons in the hidden layer was determined by trial-and-error approach. The neural network was trained using the Levenberg–Marquardt training algorithm. Furthermore, tangent sigmoid (tansig) and linear (purelin) activation functions were used in the input and output layers.

Deep learning has been used recently for agricultural applications in a variety of felds (Manikumari et al. [2022](#page-28-29); Khaki et al. [2020\)](#page-28-30). Dechter [\(1986](#page-28-31)) pioneered the use of deep neural network (DNN), which is a machine learning computation method and follows a similar technique as in ANN model. However, more hidden layers are used in the DNN model (Kamilaris and Prenafeta-Boldú [2018](#page-28-32)). In this study, three or four hidden layer DNN models with ReLu activation functions were created, and the traditional gradient-descent method was used to minimize the loss. Chen et al. [\(2020\)](#page-28-10) provided comprehensive details on the DNN model and calculation procedure.

The M5 model tree (M5Tree), introduced by Quinlan [\(1992](#page-29-12)), is a subset of machine learning techniques. Decision tree-based methods are among the most well-known machine learning methods. The M5Tree predicts target variables as output in a model with a tree structure based on input data. Detailed information on the M5Tree model can be obtained from Quinlan ([1992\)](#page-29-12).

Random forest (RF) is an ensemble learning algorithm that can be used to model complex processes (Breiman [2001\)](#page-27-6). In the RF, all trees are dependent on a set of random variables, and many of the regression trees of forest are brought together to form a community. Before running the RF model, the number of trees to be created in the forest to run and the number of attributes to be used in each tree creation process must be set. The accuracy of the estimation model is primarily assessed by these two parameters (Zhang and Wang [2009](#page-29-13)).

Support vector machine (SVM), introduced by Vapnik [\(2013\)](#page-29-14), is a supervised learning model used for classifcation and regression operations. In SVM, linear or nonlinear models project the input vectors into a high-dimensional feature space by defning complex input–output relationships in a relatively simple way (Wu et al. [2008a](#page-29-5)). The nonlinear

radial basis function (RBF) kernel function, which performs better than other kernel functions (Yamaç [2021\)](#page-29-15), was used to estimate evapotranspiration in this study. The accuracy of the prediction model depends on the selection of the optimal hyperparameters  $(C, \gamma)$  for the kernel operation. In this study, parameter *C* was determined through trial and error. The detailed information on the SVR model can be found in Vapnik [\(2013](#page-29-14)).

The *k*-Nearest neighbor (kNN) method is one of the most basic nonparametric machine learning methods for classifcation and regression problems (Cover and Hart [1967](#page-28-33)). Because the kNN algorithm is based on calculating the distances between two points within a data set, a method for calculating this distance is required. The Euclidean distance measure was used to calculate the distance between two points. The optimal choice of *k* depends on the data used in the model. For this reason, the optimum *k* value of diferent models created with diferent input parameters was diferent.

The adaptive boosting (AB) algorithm, proposed by Freund and Schapire [\(1997](#page-28-34)), is one of the most widely used ensemble learning methods due to its simplicity, speed, and ease of implementation (Wu et al. [2008a](#page-29-5), [b](#page-29-16)). The AB algorithm operates by ftting a primary prediction function to the sum of the original data, calculating a prediction error, and then applying a weighted vector to the data based on the prediction error. The detailed information on the AB model can be found in Freund and Schapire ([1997\)](#page-28-34).

In this study,  $ET_0$ ,  $ET_c$ , and  $ET_a$  parameters for eggplant grown in semi-humid climatic conditions were estimated by using seven diferent machine learning methods using diferent input variables and combinations (climate, soil, and crop properties). The hyperparameter settings of the ANN, DNN, kNN, SVM, M5Tree, RF, AB methods, and the models with diferent input datasets (Model 1–15) are provided in Table [7](#page-26-0) in Appendix.

## **Selection of input parameters and data normalization**

The success of machine learning models is directly related to factors variables such as input combination, model structure, basic parameters, and performance criteria. The frst step in developing a prediction model is to identify the input variables. Many factors afect plant water consumption, including climate, soil properties, and plant characteristics, and all these three factors were used in the study to create a simple and applicable approach for  $ET_0$ ,  $ET_c$ , and  $ET_a$ . The most important plant factors afecting ET are crop type, plant growth stage, LAI, and  $h_c$  (Liu et al. [2020](#page-28-35)). Different combinations of input variables were used to achieve the best prediction. The input combinations used to estimate the  $ET_{o}$ ,  $ET_{c}$  and  $ET_{a}$  parameters to evaluate the performance

Variables	Models	Input combinations	Model abbreviation									
			<b>ANN</b>	<b>DNN</b>	M5Tree	<b>SVM</b>	kNN	RF	AB			
$ET_{0}$	Model 1	$T_{\text{min}}$ , $T_{\text{max}}$ , $T_{\text{avg}}$ , $u_2$ , $RH_{\text{avg}}$ , $R_s$ , DOY	ANN1	DNN1	M5Tree1	SVM1	KNN1	RF1	AB1			
	Model 2	$T_{\min}$ , $T_{\max}$ , $T_{\text{avg}}$ , $u_2$ , $\text{RH}_{\text{avg}}$ , DOY	ANN <sub>2</sub>	DNN <sub>2</sub>	M5Tree2	SVM <sub>2</sub>	KNN <sub>2</sub>	RF <sub>2</sub>	AB <sub>2</sub>			
	Model 3	$T_{\text{avg}}$ , $u_2$ , $RH_{\text{avg}}$ , $R_s$ , DOY	ANN3	DNN3	M5Tree3	SVM3	KNN3	RF3	AB3			
	Model 4	$T_{\text{avg}}$ , RH <sub>avg</sub> , DOY	ANN4	DNN4	M5Tree4	SVM4	KNN4	RF4	AB4			
$ET_c$	Model 5	$h_c$ , LAI, $T_{avg}$ , $u_2$ , RH <sub>avg</sub> , $R_s$	ANN <sub>5</sub>	DNN <sub>5</sub>	M5Tree5	SVM <sub>5</sub>	KNN <sub>5</sub>	RF5	AB5			
	Model 6	$T_{\text{avg}}$ , $u_2$ , RH <sub>avg</sub> , $R_s$ , CGDD	ANN <sub>6</sub>	DNN <sub>6</sub>	M5Tree6	SVM <sub>6</sub>	KNN <sub>6</sub>	RF <sub>6</sub>	AB6			
	Model 7	$T_{\text{avg}}$ , $u_2$ , RH <sub>avg</sub> , $R_s$	ANN7	DNN7	M5Tree7	SVM7	KNN7	RF7	AB7			
	Model 8	$h_c$ , LAI, $T_{\text{avg}}$	ANN <sub>8</sub>	DNN8	M5Tree8	SVM <sub>8</sub>	KNN8	RF8	AB8			
$ET_a$	Dataset 1											
	Model 9	$T_c - T_a$ , VPD, SWC	ANN <sub>9</sub>	DNN <sub>9</sub>	M5Tree9	SVM9	KNN <sub>9</sub>	RF9	AB9			
	Model 10	$T_c - T_a$ , SWC	ANN10	DNN10	M5Tree10	SVM <sub>10</sub>	KNN10	<b>RF10</b>	AB10			
	Model 11	$T_c - T_a$ , VPD	ANN11	DNN11	M5Tree11	SVM11	KNN11	<b>RF11</b>	AB11			
	Model 12	VPD, SWC	ANN <sub>12</sub>	DNN12	M5Tree12	SVM <sub>12</sub>	KNN12	RF12	AB12			
	Dataset 2											
	Model 13	$h_c$ , LAI, $T_{avg}$ , $u_2$ , RH <sub>avg</sub> , $R_s$	ANN <sub>13</sub>	DNN13	M5Tree13	SVM <sub>13</sub>	KNN13	RF13	AB13			
	Model 14	$T_{\text{avg}}$ , $u_2$ , RH <sub>avg</sub> , $R_{\text{s}}$	ANN14	DNN14	M5Tree14	SVM14	KNN14	RF14	AB14			
	Model 15	$h_c$ , LAI, $T_{\text{avg}}$	ANN <sub>15</sub>	DNN <sub>15</sub>	M5Tree15	SVM <sub>15</sub>	KNN15	RF15	AB15			

<span id="page-6-0"></span>**Table 1** Different input combinations used in the estimation of  $ET_{\alpha}$ ,  $ET_{\gamma}$ , and  $ET_{\alpha}$  with different machine learning methods

of seven diferent machine learning models under diferent input parameters are presented in Table [1](#page-6-0).

All input and output variables were normalized in the range of 0–1 to meet the requirements of the machine learning models before the training and testing phases using Eq. ([7\)](#page-6-1).

$$
X_{\text{norm}} = \frac{X_{\text{a}} - X_{\text{min}}}{X_{\text{max}} - X_{\text{min}}} \tag{7}
$$

where  $X_{\text{norm}}$  is the normalized value of a variable;  $X_{\text{a}}$  is the measured value of a variable and;  $X_{\text{max}}$  and  $X_{\text{min}}$  are the measured maximum and minimum values of a variable.

The data used in machine learning models were collected during eggplant growing seasons in 2015, 2016, and 2017. The data collected during the experiment were taken as a whole, randomly partitioned as 70% for training and 30% for testing using *k*-fold cross-validation. In *k*-fold cross-validation technique, original dataset was randomly divided into *k* equally sized subsets (*k*-folds). Of the *k* partitions, a single subset was designated as the validation data to evaluate the model performance, and the remaining *k*−1 subsets were used as training data. This process was repeated *k* times, and the average cross-validation error was used as the performance indicator. The *k* value was set to 10 in this study. The detailed information on this procedure can be obtained from Cemek et al. [\(2020\)](#page-28-36).

#### **Evaluation of statistical model performance**

A number of performance assessment methods are used to assess the precision of estimations and to compare the models. Statistical model performance criteria used in this study were coefficient of determination  $(R^2)$ , root-mean-square error (RMSE), mean absolute error (MAE), and Nash-Sutcliffe efficiency (NSE) (Nash and Sutclife [1970](#page-29-17)). The equations of the model performance criteria were defned as presented in Eqs. [\(8](#page-6-2)) to [\(11\)](#page-6-3) (Waller [2003\)](#page-29-18).

<span id="page-6-2"></span><span id="page-6-1"></span>
$$
R^{2} = \frac{\sum_{i=1}^{n} \left[ \left( Z_{i^{*}} - \overline{Z_{i^{*}}} \right) \left( Z_{i} - \overline{Z_{i}} \right) \right]^{2}}{\sum_{i=1}^{n} \left( Z_{i^{*}} - \overline{Z_{i^{*}}} \right)^{2} \sum_{i=1}^{n} \left( Z_{i} - \overline{Z_{i}} \right)^{2}}
$$
(8)

$$
RMSE = \sqrt{\frac{\sum (Z_{i^*} - Z_i)^2}{n}}
$$
\n(9)

$$
MAE = \frac{1}{n} \sum_{i=1}^{n} |Z_{i^*} - Z_i|
$$
 (10)

<span id="page-6-3"></span>
$$
NSE = 1 - \frac{\sum_{i=1}^{n} (Z_i - Z_{i^*})^2}{\sum_{i=1}^{n} (Z_i - \overline{Z_i})^2}
$$
(11)

where  $Z_i$  is the measured value;  $Z_i$ <sup>\*</sup> is the estimated value;  $\overline{Z}_i$  is the average value measured;  $\overline{Z}_{i^*}$  is the average value estimated and; *n* is the number of data. In addition, Taylor diagrams were exploited to investigate the standard deviation  $(SD)$  and correlation coefficients  $(R)$  between the modelestimated and measured values.

# **Results**

### **Soil water content and evapotranspiration**

The changes in precipitation, applied irrigation, and soil water content in 60 cm effective root zone for full irrigation application  $(I_1)$  during 2015, 2016, and 2017 growing seasons are presented in Fig. [1.](#page-7-0) Total amount of irrigation water applied to eggplants under full irrigation application during the growing season in 2015 was measured as 487 mm. Less irrigation water was applied (310 mm) in 2016 since it was much rainier in that year compared to other two years. In 2017, a total of 416 mm of irrigation water was applied to the plant during the growing season. Because of the variation in the amount of precipitation each year, the total amount of irrigation water applied to the plant varied greatly in three growing seasons. Thus, the total amount of irrigation water applied to the parcels with full irrigation in 2016 was 36 and 25% less than in 2015 and 2017, respectively. During the season, 83, 323, and 157 mm of precipitation occurred in 2015, 2016, and 2017. In 2016, 42% of precipitation during the season occurred at the beginning of the trial and 40% occurred at the end of the season (Fig. [1\)](#page-7-0). As a result, soil preparation and transplanting were delayed compared to other two seasons.

The diurnal variations of  $ET_0$ , which is calculated with climatic parameters and refects the climatic conditions of the trial years, during the growing season in 2015, 2016, and 2017, are presented in Fig. [2.](#page-8-0) The calculated daily



<span id="page-7-0"></span>**Fig. 1** Variation of soil water content as a function of irrigation and rainfall at 60 cm efective rooting depth under full irrigation conditions. *DAT* Days after transplantation, *MAD* management allowed depletion, *FC* feld capacity, *PWP* permanent wilting point



<span id="page-8-0"></span>**Fig. 2** Temporal variation of reference evapotranspiration  $(ET_0)$  values in 2015, 2016, and 2017 growing seasons



<span id="page-8-1"></span>**Fig. 3** Temporal variation of crop evapotranspiration  $(ET_c)$  values in 2015, 2016, and 2017 growing seasons

ET<sub>o</sub> values varied between 1.91 and 5.29 mm d<sup>-1</sup> in 2015, between 1.71 and 4.99 mm d<sup>-1</sup> in 2016, and between 2.19 and 5.6 mm d<sup>-1</sup> in 2017. The seasonal total  $ET_0$  values in 2015, 2016, and 2017 were found as 560, 544, and 525 mm, respectively. The reason for the relatively lower  $ET_0$  value in 2017 compared to other years is due to the shorter vegetation period (the vegetation period was 150 days in 2015, 150 days in 2016 and 139 days in 2017).

The daily changes of  $ET_c$  values calculated for the eggplant by the FAO-56 PM method during the growing season in 2015, 2016, and 2017 are shown in Fig. [3.](#page-8-1) The calculated daily ET<sub>c</sub> values varied between 1.43 and 6.14 mm d<sup>-1</sup> in 2015, between 1.36 and 5.49 mm  $d^{-1}$  in 2016, and between 1.87 and 6.16 mm d<sup>-1</sup> in 2017. Seasonal total  $ET_c$  values for eggplant in 2015, 2016, and 2017 were determined as 564, 491, and 544 mm, respectively.

The daily changes of  $ET_a$  values determined according to the water budget method during the growing season in 2015, 2016, and 2017 are shown in Fig. [4](#page-8-2). The measured daily ET<sub>a</sub> values varied between 1.35 and 5.56 mm d<sup>-1</sup> in 2015, between 1.25 and 4.87 mm  $d^{-1}$  in 2016, and between 1.93 and 6.47 mm d<sup>-1</sup> in 2017. The seasonal total  $ET_a$  values measured for eggplant in 2015, 2016, and 2017 were 563,



<span id="page-8-2"></span>**Fig. 4** Temporal variation of actual crop evapotranspiration  $(ET_a)$  values in 2015, 2016, and 2017 growing seasons



<span id="page-8-3"></span>**Fig. 5** Correlation of actual  $ET_a$  measured with water budget versus  $ET_c$  calculated with the FAO-56 PM method using three-year data

487, and 558 mm, respectively. The variation in  $ET_c$  between the three growing seasons may be due to variation in the amount and distribution of precipitation, which has diferent efects on soil wetting and consequent evaporation losses and water uptake by the plant (Dar et al. [2017](#page-28-37)). The threeyear average seasonal evapotranspiration amount (533 mm) calculated by the FAO-56 PM method was found to be very close to the three-year average seasonal evapotranspiration amount (536 mm) measured according to the water budget method.

When the scatter plot created using 3-year data was examined, a good agreement was observed between the  $ET_c$  calculated by the FAO-56 PM method and the  $ET_a$  measured by the water budget, with a high coefficient of determination  $(R<sup>2</sup>=0.84)$  and the slope of the high linear regression curve (0.95) (Fig. [5\)](#page-8-3).

#### **Modeling database**

The descriptive statistics for soil and climate parameters determined during the experiment, as well as data for



<span id="page-9-0"></span>ence evapotranspiration;  $ET_c$ , Crop evapotranspiration;  $ET_a$ , Actual crop evapotranspiration

daily climate, crop and soil conditions used in modeling with machine learning are given in Table [2](#page-9-0). Daily reference evapotranspiration  $(ET_0)$  values for the training and test datasets ranged from 0.55 to 6.45 mm and 0.33 to 6.52 mm, respectively. Values between May 1 and November 30 in all three years were used to model the daily  $ET_0$ . Crop evapotranspiration values  $(ET_c)$  calculated by the FAO-56 PM method ranged between 1.36 and 5.50 mm in the training data set and between 1.57 and 6.16 mm in the test data set (Table [2\)](#page-9-0). The daily actual evapotranspiration  $(ET<sub>a</sub>)$  values measured by the water budget method, on the other hand, ranged between 1.26–5.50 and 1.56–6.47 mm in the training and test datasets, respectively (for scenario 2). In the 1st scenario created for  $ET_a$ ,  $ET_a$  values of full and deficit irrigation issues were used. Therefore,  $ET_a$ values for scenario 1 varied between 0.99 and 5.61 mm d−1 in the training dataset and between 0.97 and 5.94 mm d−1 in the test dataset.

# **Estimation of ET<sub>o</sub> using different machine learning models**

The  $ET_0$  values estimated by seven different machine learning models were compared to the  $ET_0$  values obtained by the empirically calculated using the FAO-56 PM method. The training and testing accuracies of the ANN, DNN, M5Tree, SVM, kNN, RF, and AB models with four diferent input combinations in  $ET_0$  estimation are given in Table [3.](#page-10-0)

<span id="page-10-0"></span>**Table 3** Statistical results of four diferent input combinations and seven diferent machine learning models during the training and testing in estimation of  $ET_0$  (bolded values are the models that presented the best results)

Input/model	Training			Testing					
	$R^2$	$MAE$ (mm $d^{-1}$ )	RMSE (mm $d^{-1}$ )	<b>NSE</b>	$R^2$	$MAE$ (mm $d^{-1}$ )	RMSE (mm $d^{-1}$ )	<b>NSE</b>	
		Model 1: $T_{\text{min}}$ , $T_{\text{max}}$ , $T_{\text{avg}}$ , $u_2$ , $RH_{\text{avg}}$ , $R_s$ , DOY							
ANN1	0.987	0.081	0.141	0.987	0.984	0.098	0.153	0.983	
DNN1	0.953	0.232	0.300	0.942	0.931	0.264	0.350	0.911	
M5Tree1	0.976	0.130	0.194	0.976	0.975	0.127	0.189	0.974	
SVM1	0.973	0.114	0.207	0.972	0.973	0.103	0.195	0.972	
KNN1	0.975	0.143	0.199	0.975	0.933	0.223	0.309	0.931	
RF1	0.992	0.087	0.121	0.991	0.902	0.251	0.378	0.896	
AB1	0.980	0.161	0.190	0.977	0.906	0.264	0.373	0.899	
		Model 2: $T_{\text{min}}$ , $T_{\text{max}}$ , $T_{\text{avg}}$ , $u_2$ , $RH_{\text{avg}}$ , DOY							
ANN <sub>2</sub>	0.918	0.265	0.357	0.918	0.858	0.316	0.443	0.858	
DNN <sub>2</sub>	0.879	0.333	0.434	0.879	0.809	0.384	0.514	0.808	
M5Tree2	0.885	0.308	0.423	0.885	0.840	0.358	0.473	0.838	
SVM <sub>2</sub>	0.857	0.340	0.483	0.850	0.816	0.364	0.516	0.807	
KNN <sub>2</sub>	0.951	0.197	0.277	0.951	0.827	0.381	0.492	0.825	
RF <sub>2</sub>	0.983	0.126	0.172	0.981	0.787	0.380	0.544	0.786	
AB <sub>2</sub>	0.949	0.255	0.300	0.942	0.781	0.406	0.554	0.777	
Model 3: $T_{avg}$ , $u_2$ , $RH_{avg}$ , $R_s$ , DOY									
ANN3	0.973	0.146	0.206	0.973	0.959	0.176	0.241	0.958	
DNN3	0.960	0.198	0.265	0.955	0.921	0.261	0.352	0.910	
M5Tree3	0.965	0.171	0.234	0.965	0.932	0.216	0.309	0.931	
SVM3	0.942	0.214	0.310	0.938	0.908	0.235	0.364	0.904	
KNN3	0.969	0.160	0.222	0.968	0.924	0.233	0.325	0.923	
RF3	0.993	0.081	0.112	0.992	0.893	0.254	0.393	0.888	
AB3	0.975	0.175	0.207	0.973	0.900	0.271	0.385	0.893	
Model 4: $T_{avg}$ , RH <sub>avg</sub> , DOY									
ANN4	0.888	0.309	0.418	0.888	0.802	0.401	0.531	0.796	
DNN4	0.874	0.342	0.448	0.871	0.770	0.421	0.575	0.760	
M5Tree4	0.886	0.317	0.422	0.886	0.802	0.407	0.527	0.798	
SVM4	0.842	0.361	0.511	0.832	0.772	0.399	0.576	0.760	
KNN4	0.891	0.312	0.415	0.889	0.779	0.413	0.556	0.776	
RF4	0.981	0.136	0.180	0.979	0.723	0.442	0.620	0.721	
AB4	0.929	0.291	0.344	0.924	0.747	0.442	0.591	0.747	

The performance of machine learning models in training and testing phases was evaluated using  $R^2$ , MAE, RMSE, and NSE values as the variability of performances among the models with diferent inputs and estimation models (Table [3\)](#page-10-0). The ANN model outperformed the other models, while the performances of AB and RF models were the lowest for all input combinations. The estimation of ANN4 and M5Tree4 models in the 3-input models was very close. The highest performance in the training phase was recorded with the RF model, while it was poor in the testing phase. The result indicated a certain degree of over-ftting in the RF models.

The highest prediction performance was obtained in Model 1 ( $T_{\text{min}}$ ,  $T_{\text{max}}$ ,  $T_{\text{avg}}$ ,  $u_2$ ,  $RH_{\text{avg}}$ ,  $R_s$ , and DOY), while the lowest prediction performance was obtained in Model 4, which used three inputs  $(T_{\text{avg}}, RH_{\text{avg}},$  and DOY). The comparison of Model 4 and Model 3 showed that the inclusion of  $u_2$  and  $R_s$  to the models significantly improved the prediction performance. The MAE and RMSE values in the ANN model decreased from 0.401 to 0.176 (56.1%) and from 0.531 to 0.241 (54.6%), respectively, and the  $R^2$  and NSE values increased from 0.802 to 0.959 (19.6%) and 0.796 to 0.958 (20.4%), respectively. The removal of  $R_s$  from the variable input list in the  $ET_0$  estimation (Model 2) caused a significant decrease in the  $R^2$  (12.8%) and NSE (12.7%) compared to ANN2, while a signifcant increase in RMSE (65.5%). The  $ET_0$  estimation without  $R_s$  as an input variable had a negative impact on the estimation performance of the model. Granata et al. [\(2020\)](#page-28-17) reported similar fndings related to the  $R<sub>s</sub>$  as an input variable. When Model 3 and Model 1 are compared in terms of ANN, the RMSE decreases by 36.5%, while the  $R^2$  increases by 2.6%.

The addition of  $T_{\text{min}}$  and  $T_{\text{max}}$  variables to the models improved the accuracy compared to the use of  $T_{\text{avg}}$  input alone. The results demonstrated that addition of the maximum and minimum temperatures is preferable to the use of only the average temperature. Lu et al. ([2018](#page-28-38)) reported similar results in the estimation of daily pan evaporation. The comparison of the model in which only  $T_{\text{avg}}$  was the only input variable in the estimation of evaporation with the models with  $T_{\text{min}}$  and  $T_{\text{max}}$  input variables revealed that the RMSE value decreased by 41.7% in the 2-input model. Therefore, the ANN1 model is the best machine learning model in the estimation of the  $ET_0$ . However, collection of climate data throughout the experiments may not always be possible; thus, limited data are used in the estimation. For instance, the ANN4 model with 3 input variables provided quite reliable estimation of the  $ET_0$  (NSE = 0.796).

The test phase scatter of  $ET_0$  values estimated by seven machine learning models versus FAO-56 PM values under four diferent input combinations and the line graphs of the best model is shown in Fig. [6.](#page-12-0) The  $ET_0$  values estimated by the ANN, M5Tree, and kNN models had a distribution similar to the  $ET_0$  values calculated using the FAO-56 PM equation. The results suggested that the performance of these three models in estimating the  $ET_0$  is better. The RF, AB, DNN, and SVM models produced more scattered estimations than the other machine learning models. The highest estimation performance with all meteorological variables was obtained in Model 1 compared to the other input combinations (Fig. [6\)](#page-12-0). The estimation accuracy of Model 3 and Model 1 was similar in the absence of all meteorological variables. Machine learning models produced more difuse predictions and moved away from the ftted line in the absence of  $R_s$  in Model 2 and  $u_2$  and  $R_s$  in Model 4. The line graphs revealed that the predicted series overlap better with the observed series when all meteorological variables are used in the models, while the predicted series fuctuate more when fewer input variables are used (Fig. [6\)](#page-12-0). The lack of parameters reduced the estimation performance of models, while the addition of the  $R_s$  parameter improved the model performances.

The Taylor diagram was used for the comparison between the machine learning models (Fig. [7\)](#page-13-0). The radial axis in a Taylor diagram represents the standard deviations, while the angular axis represents the correlation coefficients (Taylor [2001\)](#page-29-19). Each point on the diagram represents the performance of a specifc model, and the model closest to the reference point is considered estimating more accurately. The Taylor diagrams comparing various input combinations and machine learning models in estimating the  $ET_0$  during the testing phase are shown in Fig. [7.](#page-13-0) The ANN model is placed much closer to the reference points than the other machine learning models. The RF and AB models are placed at the furthest location from the observed values for all input combinations. Therefore, the worst predictive models were the RF and AB models. The Model 1, in which all meteorological variables were used as the input data, was the closest model to the observed values in terms of standard deviation, correlation, and RMSE (Fig. [7\)](#page-13-0).

## **Estimation of ET<sub>c</sub> using different machine learning models**

The  $ET_c$  value was calculated by multiplying  $ET_o$  by the crop coefficient  $(K_c)$  as reported by Allen et al. [\(1998\)](#page-27-0). Soil water and salinity stress, plant density, disease and pests, weed infestation, or low productivity did not cause any restrictions on plant growth and evapotranspiration. Meteorological conditions such as climate data, and some plant growth indicators such as crop height, LAI, and CGDD were used as the inputs in the estimation of ETc. Four different input datasets consisting of diferent combinations were prepared to estimate the  $ET_c$ . The performances of ANN, DNN, M5Tree, SVM, kNN, RF, and AB models during training and testing phases in  $ET_c$  estimation are

<span id="page-12-0"></span>**Fig. 6** Scatter and line graphs ET o values calculated using FAO-56 PM during the test phase versus estimated by the seven machine learning models





<span id="page-13-0"></span>**Fig. 7** Taylor diagram of  $ET_0$  estimated with 7 different machine learning models using different input parameters during the test phase

presented in Table [4.](#page-14-0) The performance of various machine learning models and models with diferent input variables varied signifcantly. The prediction performance of the RF and ANN models in Model 5 and Model 6 was very close to each other (Table [4](#page-14-0)). The ANN models, on the other hand, had the best predictive performance (i.e., maximum  $R<sup>2</sup>$  and NSE and minimum MAE and RMSE values) during the testing phase for Model 7 and 8 compared to other models.

ANN7 model performed better when  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>, and  $R<sub>s</sub>$  variables were used as the inputs, while RF6 (ANN6 very close) model performed better when  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>,  $R_s$ , and CGDD variables were used as the inputs. The performance of models was improved by including cumulative growing degree days (CGDD) in addition to climate data. Including the CGDD variable in the  $ET_c$  estimation increased the  $R^2$ and NSE of the model from 0.660 to 0.774 and 0.656 to 0.771, respectively, while decreasing the MAE and RMSE values from 0.503 to 0.395 and 0.676 to 0.551, respectively.

<span id="page-14-0"></span>

Granata [\(2019](#page-28-14)) compared the performances of machine learning models in  $ET_c$  estimation and indicated that the accuracy of RF model including  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>, and  $R_s$  as the input variables was the highest. In addition, M5Tree model provided the best performance when soil moisture and sensible heat fux variables were added to the model. In this study, adding LAI and crop height variables signifcantly improved the performance of the model. MAE and RMSE values in Model 5 (eggplant characteristics were used as input variables) were 27.8 and 26.8% lower than those obtained in the Model 7 (only climatic parameters). The comparison of prediction performances of Model 5 (with the highest number of variables) and Model 8 (with the lowest number of variables) revealed that the RF5 model had 4.01% higher  $R^2$  and 5.4% higher NSE values (ANN5 model is very close). The  $ET_c$  was estimated with sufficient accuracy using fewer climatic parameters (only average temperature) in addition to the plant characteristics (crop height, LAI). In general, the prediction performance of RF with high number of input variables was very close to that of the ANN in models, while the prediction performance of RF decreased with the decrease in the number of input variables. The performance of RF in the  $ET_0$  prediction was the highest in the training phase of all models. However, after testing, the best

<span id="page-15-0"></span>**Fig. 8** Scatter and line graphs of the estimated crop evapotranspiration  $(ET_c)$  values by machine learning models compared with measured values for the testing phase



prediction performance was obtained from the ANN and RF, while the model with the lowest prediction accuracy was obtained from the SVM.

Comparisons of measured and estimated  $ET_c$  values during the testing phase of seven diferent machine learning models and four diferent input combinations are presented in the scatter plots, and the model with the most accurate estimation is presented in a line chart (Fig. [8](#page-15-0)). The ANN model provided the best estimates with the lowest scattering for all other input combinations except Models 5 and 6. The prediction performances of the RF and ANN models were similar in Models 5 and 6. In addition, almost all



<span id="page-16-0"></span>Fig. 9 Taylor diagram of ET<sub>c</sub> estimated by seven different machine learning models using different input combinations in the testing phase

Model 6

Measured ≵

್ಲೆ

660

5

 $1.8$ 

kNN6

SVM<sub>6</sub>

RF<sub>6</sub> ┻ MSTREE6

AR6

1.6

Model 8

≵ Measu kNN<sub>8</sub>

SVM8

 $RF8$ 

AB<sub>8</sub>

 $+$  AB8<br> $+$  DNN8<br> $+$  ANN8

 $1.4$ 

M5TREE8

 $1.6$ 

ري

660

5  $\frac{1}{1.8}$ 

 $\frac{1}{\sqrt{2}}$  DNN6 DNN6

 $1.4$ 

n coefficient

machine learning models predicted  $ET_c$  values greater than 5.5 mm d<sup>-1</sup>. Yamaç and Todorovic  $(2020)$  used three different machine learning methods to estimate  $ET$  ( $ET<sub>c</sub>$ ) for potatoes and indicated that the  $ET_c$  values estimated by the AB and kNN methods were lower than the measured  $ET_c$ values and higher than the  $ET_c$  values estimated by the ANN method. In addition, the predicted series overlap better with the measured series when all meteorological variables and plant characteristics are used in the models (Model 5), while they disperse when less input variables are used (Model 7).

The Taylor diagrams comparing various input combinations and machine learning models in the estimation of eggplant plants' evapotranspiration  $(ET<sub>c</sub>)$  value are given in Fig.  $9$ . The correlation coefficients of Models 5 and 6 are close, and the ANN models have a standard deviation closer to the reference point (Fig. [9\)](#page-16-0). The ANN had the lowest RMSE and the highest correlation coefficients for Models 7 and 8 in all machine learning models. The prediction performance criteria and visual inspections differed depending on the input variables and the machine learning models employed. Machine learning models provided highly accurate predictions with fewer climate parameters in the presence of crop height and LAI data.

# **Estimation of ET<sub>a</sub> using different machine learning models**

In this section, the actual evapotranspiration values measured with the soil water balance approach during the 3-year research under feld conditions were estimated and compared with seven diferent machine learning models. Transpiration and evaporation are both combined in a single  $K_c$  coefficient, which relates to the plant properties and takes average soil evaporation into the account. The average  $K_c$  coefficient is more useful than the  $K_c$  calculated in daily time frames using separate plant and soil coefficients for the development of basic irrigation programs and many hydrological balance studies. Therefore,  $ET_a$  was estimated by using not only

<span id="page-17-0"></span>**Table 5** The estimation performances of four different input combinations and seven different machine learning models during training and testing phases in the estimation of  $ET_a$  for the dataset 1 (bolded values are the models that presented the best results)

Input/model	Training			Testing					
	$\overline{R^2}$	$MAE$ (mm $d^{-1}$ )	RMSE (mm $d^{-1}$ )	<b>NSE</b>	$R^2$	$\text{MAE}\ (\text{mm}\ \text{d}^{-1})$	RMSE (mm $d^{-1}$ )	<b>NSE</b>	
Model 9: $T_c - T_a$ , VPD, SWC									
ANN9	0.993	0.064	0.093	0.993	0.988	0.099	0.137	0.987	
DNN9	0.710	0.433	0.611	0.709	0.596	0.618	0.777	0.595	
M5Tree9	0.752	0.453	0.563	0.752	0.736	0.535	0.635	0.730	
SVM9	0.720	0.502	0.630	0.690	0.666	0.596	0.731	0.641	
KNN9	0.908	0.277	0.366	0.896	0.881	0.349	0.438	0.871	
RF9	0.984	0.114	0.150	0.982	0.889	0.306	0.412	0.886	
AB9	0.976	0.164	0.192	0.971	0.901	0.290	0.393	0.896	
Model 10: $T_c - T_a$ , SWC									
ANN10	0.827	0.387	0.474	0.825	0.846	0.417	0.494	0.836	
DNN10	0.770	0.429	0.552	0.762	0.683	0.544	0.692	0.679	
M5Tree10	0.738	0.458	0.579	0.738	0.718	0.542	0.654	0.713	
SVM10	0.718	0.504	0.631	0.689	0.664	0.599	0.733	0.639	
KNN10	0.812	0.402	0.492	0.811	0.784	0.457	0.574	0.779	
<b>RF10</b>	0.968	0.168	0.207	0.966	0.788	0.446	0.586	0.770	
AB10	0.941	0.253	0.281	0.938	0.809	0.418	0.558	0.791	
Model 11: $T_c - T_a$ , VPD									
ANN11	0.911	0.275	0.337	0.911	0.933	0.251	0.320	0.931	
DNN11	0.270	1.148	1.349	$-0.422$	0.410	1.191	1.437	$-0.385$	
M5Tree11	0.752	0.453	0.563	0.752	0.736	0.535	0.635	0.730	
SVM11	0.747	0.662	0.817	0.479	0.727	0.784	0.920	0.433	
KNN11	0.885	0.304	0.388	0.882	0.878	0.342	0.441	0.869	
RF11	0.974	0.147	0.185	0.973	0.840	0.377	0.492	0.838	
AB11	0.955	0.212	0.243	0.954	0.842	0.370	0.486	0.842	
Model 12: VPD, SWC									
ANN12	0.558	0.584	0.752	0.558	0.568	0.642	0.812	0.558	
DNN12	0.236	0.790	1.001	0.218	0.192	0.885	1.106	0.180	
M5Tree12	0.458	0.661	0.838	0.452	0.378	0.788	0.969	0.369	
SVM12	0.260	0.832	1.023	0.182	0.219	0.925	1.119	0.160	
KNN12	0.460	0.655	0.840	0.449	0.518	0.738	0.872	0.490	
RF12	0.927	0.255	0.341	0.909	0.495	0.735	0.901	0.455	
AB12	0.804	0.449	0.534	0.778	0.547	0.701	0.852	0.512	

climate parameters but also soil and plant-specifc data to determine  $ET_a$  with machine learning models.

The prediction performances of two diferent data sets and seven diferent input combinations were compared in  $ET_a$  estimation with machine learning models. The first data set included  $T_c - T_a$ , VPD, and SWC and the second data set included  $h_c$ , LAI,  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>, and  $R_s$  (Table [1](#page-6-0)). The  $ET_a$  was estimated in the first data set using four different input combinations. The training and testing accuracies of ANN, DNN, M5Tree, SVM, kNN, RF, and AB models for  $ET_a$  prediction using the first dataset are given in Table [5.](#page-17-0) Overall, the ANN models outperformed the other seven machine learning methods in estimation performance during the testing phase and followed by the AB and kNN methods. The lowest estimation performances were obtained in SVM and DNN models. The results revealed that the decrease in the number of input variables caused a decrease in the performance of the DNN method while an increase in the estimation error. The accuracy of the models increased with an addition of a new variable as input, and the highest accuracy in  $ET_a$  prediction was

obtained in Model 9, which included all three variables. The inclusion of the VPD variable in the model during the testing phase caused a 76 and 72% decrease in MAE and RMSE values of the ANN9 model, respectively. At the same time,  $R^2$  increased from 0.846 to 0.988 (16.8%). The addition of the SWC variable to the model caused a 60% and 57% decrease in MAE and RMSE, respectively, and the  $R^2$  value increased from 0.933 to 0.993 (6%) (comparing ANN11 to ANN9). The RMSE value decreased from 0.812 to 0.137 (83%) and the NSE increased from 0.558 to 0.987 (76.8%) when  $T_c$ – $T_a$  was added to the model compared to the ANN9 model. The results showed that the  $T_c$ – $T_a$  variable is the most effective in the estimation of  $ET_a$ , followed by the VPD and SWC.

The performances of three diferent input combinations and seven diferent machine learning methods in  $ET_a$  estimation were compared in the second data set. Using the second dataset, the performance criteria of different machine learning methods between measured and predicted  $ET_a$  in both training and testing phases are summarized in Table [6](#page-18-0). When only climate parameters were

<span id="page-18-0"></span>**Table 6** The estimation performances of three diferent input combinations and seven diferent machine learning models during training and testing phases in the estimation of  $ET_a$  for the dataset 2 (bolded values are the models that presented the best results)

Input/model	Training			Testing						
	$R^2$	$MAE$ (mm $d^{-1}$ )	RMSE (mm $d^{-1}$ )	<b>NSE</b>	$R^2$	$MAE$ (mm $d^{-1}$ )	RMSE (mm $d^{-1}$ )	<b>NSE</b>		
Model 13: $h_c$ , LAI, $T_{avg}$ , $u_2$ , RH <sub>avg</sub> , $R_s$										
ANN13	0.807	0.334	0.516	0.791	0.742	0.413	0.601	0.698		
DNN13	0.712	0.503	0.652	0.665	0.723	0.489	0.631	0.667		
M5Tree13	0.667	0.491	0.660	0.657	0.628	0.530	0.706	0.584		
SVM13	0.668	0.473	0.676	0.640	0.622	0.507	0.712	0.576		
KNN13	0.779	0.391	0.529	0.779	0.747	0.412	0.553	0.745		
<b>RF13</b>	0.906	0.180	0.347	0.905	0.764	0.401	0.533	0.763		
AB13	0.845	0.338	0.444	0.845	0.737	0.439	0.563	0.735		
Model 14: $T_{\text{avg}}$ , $u_2$ , RH <sub>avg</sub> , $R_s$										
ANN14	0.713	0.461	0.609	0.707	0.679	0.473	0.643	0.654		
DNN14	0.703	0.498	0.647	0.670	0.669	0.522	0.677	0.617		
M5Tree14	0.668	0.496	0.659	0.657	0.611	0.554	0.723	0.563		
SVM14	0.667	0.496	0.683	0.633	0.617	0.523	0.706	0.584		
KNN14	0.729	0.438	0.586	0.729	0.696	0.469	0.610	0.689		
<b>RF14</b>	0.894	0.204	0.370	0.892	0.711	0.459	0.606	0.694		
AB14	0.821	0.381	0.478	0.820	0.667	0.484	0.634	0.664		
Model 15: $h_c$ , LAI, $T_{avg}$										
ANN15	0.683	0.489	0.648	0.669	0.575	0.564	0.741	0.541		
DNN15	0.546	0.622	0.767	0.537	0.551	0.612	0.761	0.516		
M5Tree15	0.500	0.650	0.802	0.494	0.438	0.665	0.835	0.418		
SVM15	0.460	0.673	0.846	0.436	0.492	0.638	0.793	0.475		
KNN15	0.613	0.575	0.706	0.607	0.545	0.618	0.777	0.495		
<b>RF15</b>	0.895	0.215	0.366	0.894	0.570	0.588	0.738	0.545		
AB15	0.772	0.439	0.544	0.767	0.544	0.607	0.763	0.513		

<span id="page-19-0"></span>**Fig. 10** Scatter and line plots of  $ET_a$  values estimated by seven diferent models versus actual evapotranspiration  $(ET_a)$ measured for dataset 1 during the testing phase



<span id="page-20-0"></span>

used in Model 14 ( $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>,  $R_s$ ), RF produced the most accurate prediction. The RF model outperformed other machine learning methods in  $ET_c$  prediction when the same input variables were used. The Model 13, which included all six variables of the second data set, provided the highest accuracy in  $ET_a$  estimation. The MAE value was 14.5% higher and the  $R^2$  value was 7% lower in Model 14 (which included only climate parameters) compared to the Model 13, which included crop height and LAI as well as climate parameters. The value of MAE was 40.4% lower, and the values of  $R^2$  and NSE were 33% and 42.6% higher, respectively, for model 13 ( $h_c$ , LAI,  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>, and  $R_s$ ) compared with model 15 ( $h_c$ , LAI, and *T*avg). The climate parameters increased the accuracy of models in  $ET_a$  estimation. Finally, the evaluation of both datasets revealed that the ANN9 model with  $T_c - T_a$ , VPD, and SWC inputs produced the best estimation results. The RF14 model provided adequate accuracy in estimation of  $ET_a$  when only climatic data were available.

The scatter and line graphs created for the  $ET_a$  values measured during the testing phase and estimated with different machine learning models are shown in Fig. [10](#page-19-0). The



<span id="page-21-0"></span>**Fig. 12** Taylor diagram of ET<sub>a</sub> estimated with 7 different machine learning models using different input parameters during the testing phase (dataset 1)

scatter and line graphs created for the second data set are shown in Fig. [11](#page-20-0). The estimations of ANN model for all scenarios are less dispersed than the other methods. The accuracy of ANN9 model for the first data set and ANN13 model for the second data set were significantly better than other machine learning methods (Fig. [10\)](#page-19-0). The ANN9 model, on the other hand, had a distribution just above the fit line. In addition, the methods that provided the most scattered results were DNN and SVM. The estimated  $ET_a$  values deviated from the fit line with the increase in measured  $ET_a$  values in both datasets. Therefore, the ANN9 model had slightly less estimation accuracy for  $ET_a$  with high values compared to low values.

A Taylor diagram was used to analyze the standard deviation, RMSE, and correlation coefficient between the measured and estimated  $ET_a$  values during the testing phase for the ANN, DNN, M5Tree, SVM, kNN, RF, and AB models (Figs. [12](#page-21-0), [13](#page-22-0)). The ANN model had a standard deviation closer to the measured value compared to the other machine learning methods and had the highest correlation coefficient and the lowest RMSE value. The



<span id="page-22-0"></span>Fig. 13 Taylor diagram of ET<sub>a</sub> estimated with 7 different machine learning models using different input parameters during the testing phase (dataset 2)

results indicated that the ANN9 model ( $T_c - T_a$ , VPD, and SWC) provided the closest estimation of the  $ET_a$  values to the measured values.

# **Comparison of the stability of diferent input combinations and various machine learning methods in estimation of evapotranspiration**

Four input combinations were developed to estimate the  $ET<sub>o</sub>$ , four to estimate the  $ET<sub>c</sub>$  using the crop coefficient approach, and seven to estimate the  $ET_a$  using the soil water balance. A heat map comparison of the RMSE

a) ET <sub>o</sub>			Training		<b>RMSE</b>		Testing					<b>RMSE</b>	
						$-1.0$							$-1.0$
ANN	0.141	0.357	0.206	0.418			ANN	0.153	0.443	0.241	0.531		
<b>DNN</b>	0.300	0.434	0.265	0.448		$-0.8$	<b>DNN</b>	0.350	0.514	0.352	0.575		$-0.8$
<b>M5TREE</b>	0.194	0.423	0.234	0.422		$-0.6$	M5TREE	0.189	0.473	0.309	0.527		$-0.6$
	SVM - 0.207 0.199	0.483 0.277	0.310 0.222	0.511 0.415		$-0.4$	<b>SVM</b>	0.195 0.309	0.516 0.492	0.364 0.325	0.576 0.556		$-0.4$
KNN $RF -$	0.121	0.172	0.112	0.180			KNN RF	0.378	0.544	0.393	0.620		
AB	0.190	0.300	0.207	0.344		$-0.2$	AB	0.373	0.554	0.385	0.591		$-0.2$
						$-0.0$							$-0.0$
Model 1 Model 2 Model 3 Model 4 Model 1 Model 2 Model 3 Model 4 <b>RMSE</b> $b) ET_c$ <b>RMSE</b>													
						- 1.0							$-1.0$
ANN	0.512	0.616	0.715	0.624			ANN <b>DNN</b> ÷	0.529 0.583	0.558 0.613	0.676 0.690	0.550 0.647		$-0.8$
<b>DNN</b>	$-0.551$	0.623	0.715	0.664		$-0.8$	<b>M5TREE</b>	0.624	0.652	0.721	0.670		
M5TREE -	0.618	0.654	0.727	0.641		$-0.6$	<b>SVM</b>	0.699	0.701	0.700	0.789		$-0.6$
<b>SVM</b>	0.751	0.756	0.757	0.813									$-0.4$
KNN	0.625	0.614	0.700	0.572		$-0.4$	KNN	0.579	0.604	0.692	0.596		
	RF - 0.501	0.504	0.523	0.510		$-0.2$	RF	0.495	0.551	0.715	0.593		$-0.2$
	AB - 0.572	0.573	0.622	0.593		0.0	AB	0.550	0.598	0.729	0.604 Model 5 Model 6 Model 7 Model 8		0.0
Model 5 Model 6 Model 7 Model 8													
c) $ET_a$ (dataset 1)				<b>RMSE</b>								<b>RMSE</b>	
ANN	0.093	0.474	0.337	0.752		$-1.4$	ANN	0.137	0.494	0.320	0.812		$-1.4$ $-1.2$
<b>DNN</b>	0.611	0.552	1.349	1.001		$-1.2$	<b>DNN</b>	0.777	0.692	1.437	1.106		$-1.0$
<b>M5TREE</b>	0.563	0.579	0.563	0.838		$-1.0$	M5TREE ۰	0.635	0.654	0.635	0.969		$-0.8$
<b>SVM</b>	0.630	0.631	0.817	1.023		$-0.8$ $-0.6$	<b>SVM</b>	0.731 ÷	0.733	0.920	1.119		$-0.6$
KNN	0.366	0.492	0.388	0.840		$-0.4$	KNN	0.438	0.574	0.441	0.872		$-0.4$
$RF -$	0.150	0.207	0.185	0.341		$-0.2$	RF	0.412	0.586	0.492	0.901		$-0.2$
AB	0.192	0.281	0.243	0.534		0.0	AB	0.393	0.558	0.486	0.852 Model 9 Model 10 Model 11 Model 12		0.0
				Model 9 Model 10 Model 11 Model 12								<b>RMSE</b>	
d) $ET_a$ (dataset 2)				<b>RMSE</b>									
ANN	0.516	0.609		0.648		1.0	ANN	0.601		0.643	0.741		$\cdot$ 1.0
<b>DNN</b>	0.652	0.647		0.767		0.8	<b>DNN</b>	0.631		0.677	0.761		$-0.8$
M5TREE -	0.660	0.659		0.802		$-0.6$	M5TREE	0.706		0.723	0.835		$-0.6$
SVM -	0.676	0.683		0.846			<b>SVM</b>	0.712		0.706	0.793		
KNN	0.529	0.586		0.706		$-0.4$	KNN	0.553		0.610	0.777		$-0.4$
$RF -$	0.347	0.370		0.366		0.2	<b>RF</b>	0.533		0.606	0.738		$-0.2$
AB	0.444	0.478		0.544			AB	0.563		0.634	0.763		
	Model 13	Model 14		Model 15		0.0		Model 13		Model 14	Model 15		$-0.0$

<span id="page-23-0"></span>**Fig. 14** RMSE values of machine learning models for different input combinations in training and testing dataset,  $\mathbf{a} E T_0$ ,  $\mathbf{b} E T_c$ ,  $\mathbf{c} E T_a$  (dataset1),  $\mathbf{d} E T_a$  (dataset 2)

values of the ANN, DNN, M5TREE, SVM, kNN, RF, and AB models during the training and testing phases is shown in Fig. [14.](#page-23-0) Different input combinations had a signifcant impact on prediction performance of each model. The models with more input variables performed better overall. The RMSE values of the Models 1 and 3 which used the net radiation  $(R_s)$  variable for  $ET_0$  estimation in both the training and testing stages were lower than the RMSE values of the models that did not use the  $R_s$ . The RMSE values of all machine learning methods were very low in the combinations of  $T_{\text{min}}$ ,  $T_{\text{max}}$ ,  $T_{\text{avg}}$ ,  $u_2$ ,  $RH_{\text{avg}}$ ,  $R_s$ , and DOY. The performance of DNN model was the lowest in 2-input models (especially in Model 11 and Model 12) with the least number of inputs (Fig. [14](#page-23-0)). In general, the RF models outperformed the other machine learning models during the training stage.

The ANN model had the best prediction performance in all models except Model 5 (RF), Model 6 (RF), Model 13 (RF), Model 14 (RF), and Model 15 (RF) during the testing stage. The RMSE increase in RF models during testing phase compared to training phase was signifcantly greater than that in other machine learning models. On the contrary, the increase in the ANN model was very small, which indicated that the ANN method is the most stable model in estimating the  $ET_{\alpha}$ ,  $ET_{\alpha}$ , and  $ET_{\alpha}$  for eggplant plant.

# **Discussion**

The  $ET_o$ ,  $ET_c$ , and  $ET_a$  prediction performances of different machine learning methods and ANN models were compared. The ANN model provided better predictions than other machine learning models, and the models used all input variables had a lower RMSE and MAE values. The RF model performed better compared to the other machine learning models in the estimation of  $ET_c (h_c, LAI,$  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>,  $R_s$ ) and ET<sub>a</sub> ( $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>,  $R_s$ ); the estimation performance of RF model was close to the ANN model. Similar to our fndings, Yamaç [\(2021\)](#page-29-15) reported that the RF method provided the best prediction performance in the estimation model using all input data for sugar beet  $ET_c$ . In addition, a strong linear relationship was recorded between the model inputs and the  $ET_c$  values. The  $ET_c$  and  $K_c$  were positively correlated with  $T_{\text{max}}$  and  $T_{\text{min}}$ , while  $T_{\text{min}}$  was negatively correlated with RH<sub>max</sub> and RH<sub>min</sub>. On the other hand, it has been reported that the prediction performance of the ANN method is better even when an input variable with a lower correlation with  $ET_c$  is entered into the model.

In addition to the accuracy of machine learning models, the stability of the model is also important for a reliable estimate of evapotranspiration. When comparing the RMSE values for the training and test data sets in this study, the largest RMSE increase in the test data set was found for the RF model for almost all models. This increase has revealed the instability of the models of RF, as the prediction accuracy decreases significantly when new data outside the training dataset are used in the test dataset. Fan et al. ([2018\)](#page-28-8) compared different machine learning methods in estimating reference evapotranspiration and reported that kernel-based models such as SVM are generally more stable than the tree-based (RF) machine learning models. Evapotranspiration is a complex, dynamic, and highly nonlinear hydrological phenomenon that is affected by a variety of meteorological factors as well as crop growth indicators (Shan et al. [2020\)](#page-29-20). The results demonstrated that the ANN models can accurately model the complex nonlinear relationships between ET and meteorological factors, as well as crop growth indicators. The ANN models obtained in this study, even in the absence of missing data, can be recommended in estimating the water consumption of eggplant grown in semi-humid regions. In addition, plant water consumption can be estimated directly without the need for machine learning methods using the crop coefficient  $(K_c)$ .

The DNN models performed worse than other machine learning models in estimating the reference plant water consumption. The result contradicts the findings of Saggi and Jain ([2019\)](#page-29-21), who reported that the DNN model outperforms the RF model in  $ET_0$  prediction. The difference in the results may be attributed to the factors such as the location of the study and the hyperparameter settings of the models, which may affect the performance of ANN and DNN models. The worst prediction performance with DNN models obtained when the number of inputs decreased (models with two inputs). The requirement of large datasets as inputs during the training phase is the most significant disadvantage of deep learning models (Kamilaris and Prenafeta-Bold [2018\)](#page-28-32). The optimization problems can arise in small datasets. Chen et al. ([2020\)](#page-28-10) compared the performance of temporal convolution network (TCN) models for the estimation of maize evapotranspiration to the long short-term memory networks (LSTM) and deep neural networks (DNN) and reported that the TCN model, which used 11 input variables, consistently outperformed the LSTM and DNN models for different input data sets. Therefore, the number of data should be increased to improve the performance of deep learning models and other deep learning techniques (such as LSTM, CNN) should also be tested in future studies.

The generalization capacity of evapotranspiration estimation models decreases with the decrease in the number of meteorological variables and crop growth indicators used as inputs in the models. The removal of  $R_s$  from the input variables in  $ET_0$  estimation significantly decreased the performance of models. The fnding, in particular, demonstrates that  $R_s$  is an important variable that should be used in estimating the reference crop water consumption using machine learning models. The result also confrms the importance of using temperature as the only input variable in reference crop water consumption estimation models currently used in water resource planning. Finally, previous studies have shown that the  $ET_c$  can be influenced by various factors including crop type, crop height, leaf area index, canopy temperature, soil temperature, and climate parameters (Chen et al. [2020\)](#page-28-10). Therefore, the prediction accuracy was improved by using eggplant plant characteristics such as crop height and leaf area index as inputs in modeling  $ET_c$ and  $ET_a$ .

Within the scope of the study, while the total seasonal  $ET_a$  amount measured with the soil water budget in 2015 was 563 mm, the  $ET_c$  calculated according to FAO-56 PM was 564 mm, which showed only 1 mm (0.2%) difference. In 2016,  $ET_a$  was measured as 487 mm and  $ET_c$ as 491 mm, and the diference was determined as 4 mm (0.8%). Similarly, while  $ET_a$  was 558 mm in 2017,  $ET_c$ was calculated as 544 mm with the diference of 14 mm (2.5%). When the seasonal total evapotranspiration values calculated by these two diferent methods (soil water balance and FAO-56 PM methods) were compared, a high coefficient of determination  $(R^2=0.84)$  was found between them. It can be assumed that the ET values calculated by both methods are similar and the diferences observed in the range of 0.2–2.5% can be negligible. Soubie et al. ([2016](#page-29-22)) reported that the measurement of evapotranspiration with the soil water budget method depends on the degree of characterization of soil heterogeneity and drainage status. Soil water budget measurements are not practical to use due to the need for expensive instrumentation, long time, difficulty in application and dependence on farm conditions. Therefore, the FAO-56 PM method, which is widely used in many parts of the world where direct measurements are not available due to complexity or cost, may be preferred for ET estimation.

# **Conclusion**

This study was performed to predict the irrigation water requirement of eggplant grown in a semi-humid region in northern Türkiye in 2015, 2016, and 2017 using machine

learning methods. In order to predict the crop evapotranspiration of eggplant, the estimation performances of seven diferent machine learning algorithms, including ANN, DNN, M5Tree, SVM, kNN, RF, and AB models, were compared by considering diferent statistical criteria and graphical methods.

This study was carried out in 3 stages. In the frst stage, climate parameters were used in the estimation of  $ET_0$ . In the second stage,  $ET_c$  was used as both climate and crop parameters, and in the estimation of  $ET_a$ , climate, plant and soil properties were used as output parameters. In the models created for  $ET_0$ , the ANN1 model, in which all input variables  $(T_{\min}, T_{\max}, T_{\text{avg}}, u_2, RH_{\text{avg}})$ *R*<sub>s</sub>, and DOY) were used, showed the best prediction performance (NSE = 0.983; RMSE = 0.153 mm d<sup>-1</sup>). In the models created for  $ET_c$ , the RF5 model (NSE = 0.816; RMSE = 0.495 mm d<sup>-1</sup>) in which the variables  $h_c$ , LAI,  $T_{\text{avg}}$ ,  $u_2$ , RH<sub>avg</sub>, and  $R_s$  were used as inputs, showed the best estimation performance. The best model performance for  $ET_a$  was obtained from the ANN9 model (NSE = 0.987; RMSE = 0.137 mm d<sup>-1</sup>) in which  $T_c$ – $T_a$ , VPD and SWC variables were used as inputs.

It is seen that the most efective variables in the estimation of  $ET_0$  are temperature and solar radiation. It has been determined that the diferent combinations of temperature and solar radiation increase the prediction performance of the models. By using the crop height and leaf area index together with the climate data in the  $ET_c$  estimation, the performance of the model (RF5) increased considerably  $(R^2)$ increased 19.6% and RMSE value decreased 26.8%).

In the estimation of  $ET_a$  values measured according to the water budget, water deficit applications were also considered as an input parameter in dataset 1 ( $T_c - T_a$ , VPD, SWC). The accuracy of the  $ET_a$  prediction models for dataset 1 increased considerably when a new variable was added as an input. When  $T_c - T_a$  data were added to the input variables used in the ANN12 model, the RMSE value of the ANN9 model decreased from 0.812 to 0.137 (83%), and the NSE increased from 0.558 to 0.987 (76.8%). This shows that the variable that are most affected on ETa was  $T_c - T_a$ .

In all three growing seasons (2015–2016–2017), total  $ET_c$ and  $ET_a$  values were close to each other and a high correlation was found between them. In addition, experimental and field data are needed to calibrate  $K_c$  in the  $ET_c$  formula to local conditions. This is where the importance of actual evapotranspiration  $(ET_a)$  comes into play. It has been concluded that machine learning models can be successfully used to predict  $ET_c$  and  $ET_a$ .

# **Appendix**

See Table [7](#page-26-0).



<span id="page-26-0"></span> $\underline{\textcircled{\tiny 2}}$  Springer

Model10 Model11 Model12 Model13 Model14

Model9

Model<sub>8</sub>

Model7

Model6

Model5

Model<sub>4</sub>

Mode<sub>13</sub>

Model<sub>2</sub>



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 $\begin{array}{c} \text{Bag size} \\ \text{AB} \end{array}$ 

**Table 7**

(continued)

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