#### **ORIGINAL ARTICLE**



# Prognostic Roles of ZNF703 and SMAD4 Expression in Patients with Papillary Thyroid Cancer and Association with Nodal Metastasis

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#### Abstract

It is important to detect novel predictive biomarkers of cervical lymph node metastasis (CLNM) in papillary thyroid carcinoma (PTC) to help the surgeons in making early decision of performing central lymph node dissection and aggressive management strategies in selected high-risk patients, thus improving their prognosis. Zinc finger protein 703 (ZNF703) is a member of the neutrophil extracellular trap (NET) transcription factors family which has roles in proliferation and invasion of cancer cells. SMAD4 is a protein that has a role in cellular processes including cell proliferation, invasion, and metastasis through many genes' transcription. In this study, we aimed to assess the expression of ZNF703 and SMAD4 in PTC and evaluated the correlation between its expression, clinicopathological features of PTC cases, and prognostic parameters of patients to evaluate their roles in PTC progression. This is a retrospective study which included 40 cases with PTC. For immunohistochemistry, tissues stained their paraffin blocks with ZNF703 and SMAD4. We followed patients to detect disease progression and recurrence. Positive ZNF703 expression and negative SMAD4 expression were associated with higher incidence of CLNM, advanced stage and large tumor size, higher incidence of disease progression, recurrence, unfavorable PFS, and unfavorable OS rates. The higher ZNF703 expression and the lower SMAD4 expression were significantly increased in PTC patients with cervical LNM compared with those without. ZNF703 over expression and downregulation SMAD4 expression was significantly increased in PTC patients. Elevated expression of ZNF703 in tumor tissue with CLNM can be considered a predictive factor for the development of metastasis.

Keywords Papillary thyroid cancer · ZNF703 and SMAD4 · Immunohistochemistry · Prognosis

### Introduction

Incidence of malignant thyroid tumors becomes the 4th commonest cancer worldwide [1], and the 5th commonest cancer in Egypt [2]. Papillary thyroid carcinoma (PTC) forms

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Osama Abd-Elaziz osama.abdelaziz@yahoo.com the commonest histopathological subtype of these cancers [3]. Cells of PTC have a higher ability of lymphatic vessels penetration which lead to occurrence of cervical lymph node metastasis (CLNM) which was found in 12.3–64.1% of PTC patients at the time of disease diagnosis and worsening

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patients' outcome [4]. Moreover, one of the most important causes of PTC progression is occurrence of distant metastasis [3].

Role of radiological evaluation CLNM assessment is limited and less sensitive [5]. It is important to detect novel predictive biomarkers of CLNM and distant metastasis in PTC to help the surgeons in making early decision of performing central lymph node dissection and aggressive management strategies in selected high-risk patients, thus improving their prognosis [3, 4].

Zinc finger protein 703 (ZNF703) is a member of the neutrophil extracellular trap (NET) transcription factors family which has roles in regulation of many developmental processes [1]. Abnormal ZNF703 expression was found in many malignant tumors and it was incriminated in occurrence of nodal metastasis and tumor progression [6, 7].

SMAD4 is a protein that has a role in controlling cell cycle and apoptosis through many genes transcription [8]. SMAD4 protein has tumor suppressor roles in many malignant tumors and loss of its function is associated with their progression [9–11].

ZNF703 was related to proliferation, apoptosis, and invasion of PTC cells by controlling levels of E2F1, MMP9, and p27 expression in PTC cells [1].

SMAD4 expression in thyroid cells increased TGF- $\beta$  signaling, resulting in mesenchymal conversion, E-cadherin loss, and EMT [8, 9].

However, detailed roles of ZNF703 and SMAD4 expression in PTC are unclear. Their predictive roles in lymph node metastasis and association with disease recurrence, progression, and patient's survival have not been reported yet.

In this study, we aimed to assess the expression of ZNF703 and SMAD4 in PTC and evaluated the correlation

between its expression, clinicopathological features of PTC cases, and prognostic parameters of patients to evaluate their roles in PTC progression (Figs. 1 and 2).

## **Material and Methods**

This is a retrospective study which included 40 paraffin blocks with PTC. Patients were surgically operated in the Department of General Surgery, Faculty of Medicine, Zagazig University Hospitals.

Performed surgical procedures:

Total thyroidectomy was performed in cases of clinical absence of cervical lymph nodes metastases, subtotal thyroidectomy was performed in cases with small-sized tumors and micro-carcinoma while total thyroidectomy with block neck dissection was performed in cases with clinical evidence of cervical lymph nodes metastases.

Surgically removed tissues were sent to Pathology Department, Faculty of Medicine, Zagazig University, where they have been processed, diagnosed, subtyped, and staged with other clinicopathological features (Table 1). Follow-up and survival date were collected from Clinical Oncology and Nuclear Medicine Department, Faculty of Medicine, Zagazig University (Table 2). The study was performed in the period from July 2015 to July 2020. The mean follow-up of cases is 30 months ranging from 10 to 40. The study was approved by the Ethical Committee of Faculty of Medicine, Zagazig University.

Fig. 1 Immunohistochemical expression of ZNF703 in papillary thyroid carcinoma (PTC) tissues. A Strong diffuse nuclear expression in high-grade conventional PTC with positive cervical lymph node metastases × 400. B Moderate diffuse nuclear expression in highgrade PTC (follicular variant) with positive cervical lymph nodes metastases × 400. C Weak nuclear expression in low-grade PTC (follicular variant) with negative cervical lymph nodes metastases × 400. **D** Negative nuclear expression in low-grade conventional PTC with negative cervical lymph nodes metasta $ses \times 400$ 

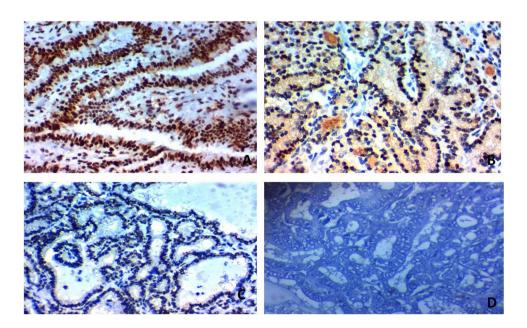


Fig. 2 Immunohistochemical expression of SMAD4 in papillary thyroid carcinoma (PTC) tissues. A Diffuse positive nuclear expression in adjacent non-neoplastic thyroid tissues to PTC × 400. B Moderate diffuse nuclear expression in low-grade PTC (follicular variant) with positive cervical lymph nodes metastases × 400. C Negative nuclear expression in highgrade conventional PTC with positive cervical lymph nodes metastases × 400

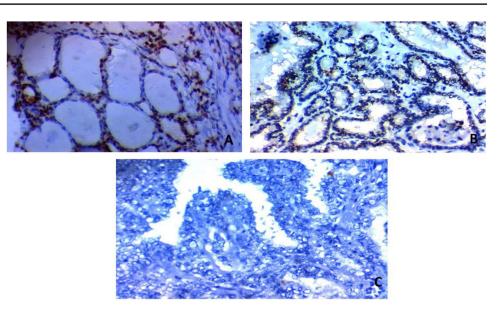


Table 1 Association of clinicopathological features with ZNF703 and SMAD4 expression in PTC patients (N=40)

N=40			ZNI	703			р	SMAD4				р
			Negative		Positive			Negative		Positive		
			N=21		N=19			N=25		N=15		
Age. Group	<40y	20	7	33.3%	13	68.4%	0.027	15	60.0%	5	33.3%	0.102
	=>40y	20	14	66.7%	6	31.6%		10	40.0%	10	66.7%	
Sex	М	11	6	28.6%	5	26.3%	0.873	7	28.0%	4	26.7%	0.927
	F	29	15	71.4%	14	73.7%		18	72.0%	11	73.3%	
Histopathological subtype	Conventional PTC	36	20	95.2%	16	84.2%	0.246	22	88.0%	14	93.3%	0.586
	PTC follicular variant	4	1	4.8%	3	15.8%		3	12.0%	1	6.7%	
Stage	Ι	7	7	33.3%	0	0.0%	< 0.001	3	12.0%	4	26.7%	0.044
	II	11	9	42.9%	2	10.5%		4	16.0%	7	46.7%	
	III	14	2	9.5%	12	63.2%		12	48.0%	2	13.3%	
	IV	8	3	14.3%	5	26.3%		6	24.0%	2	13.3%	
Tumor size	<4 cm	25	17	81.0%	8	42.1%	0.011	12	48.0%	13	86.7%	0.014
	= >4 cm	15	4	19.0%	11	57.9%		13	52.0%	2	13.3%	
Surgery (thyroidectomy)	Total	20	10	47.6%	10	52.6%	0.121	14	56.0%	6	40.0%	0.094
	Subtotal	7	6	28.6%	1	5.3%		2	8.0%	5	33.3%	
	Total + BND	12	4	19.0%	8	42.1%		9	36.0%	3	20.0%	
	Lobectomy	1	1	4.8%	0	0.0%		0	0.0%	1	6.7%	
LN involvement	NO	16	12	75%	4	25%	< 0.001	6	37.5%	10	62.5%	0.008
	N1	24	6	28.6%	18	94.7%		19	76.0%	5	33.3%	

### **Inclusion Criteria**

Cases with a sure diagnosis of PTC, cases with complete clinical and follow-up data, cases with sufficiency histo-pathological specimens in the paraffin blocks.

Histopathology slides have been reviewed by two pathologists.

### **Exclusion Criteria**

Cases with doubtful PTC diagnosis or with other histopathological types of thyroid malignancy and cases with incomplete data were excluded.

Included cases are classified according to WHO guidelines [12]. Table 2Association of outcomeof patients with ZNF703 andSMAD4 expression in PTCpatients (N=40)

	ZNF703						SMAD4					
		$\frac{\text{Negative}}{N=21}$		$\frac{\text{Positive}}{N=19}$		р	$\frac{\text{Negative}}{N=25}$		$\frac{\text{Positive}}{N=15}$		р	
Response	PD	0	0.0%	0	0.0%	0.564	0	0.0%	0	0.0%	0.692	
	SD	0	0.0%	1	5.3%		1	4.0%	0	0.0%		
	PR	1	4.8%	1	5.3%		1	4.0%	1	6.7%		
	CR	20	95.2%	17	89.5%		23	92.0%	14	93.3%		
Overall response	No CR	1	4.8%	2	10.5%	0.489	2	8.0%	1	6.7%	0.877	
	CR	20	95.2%	17	89.5%		23	92.0%	14	93.3%		
Recurrence	No	15	71.4%	5	26.3%	0.006	9	36.0%	11	73.3%	0.02	
	Yes	5	23.8%	12	63.2%		14	56.0%	3	20.0%		
Outcome	Censored	19	90.5%	14	73.7%	0.163	19	76.0%	14	93.3%	0.162	
	Died	2	9.5%	5	26.3%		6	24.0%	1	6.7%		

#### Immunohistochemistry

For immunohistochemistry, we used streptavidin–biotin technique as previously detailed [13]. Slides are with primary monoclonal rabbit anti-ZNF703 antibody (1:50, ABCAM Technology) and primary monoclonal mouse anti-SMAD4 antibody (1:100, Santa Cruz Biotechnology).

# Evaluation of ZNF703 and SMAD4 Expression in the Studied Samples

We evaluated both ZNF703 and SMAD4 expression in the nuclei of PTC cells.

We evaluated their expression by combined assessment of the stain intensity and extent in tumor cells.

Stain extent was classified into four classes: 0 if the markers were found in  $\leq 10\%$  of nuclei of the tumor cells, 1 if the markers were found in 11-30% of nuclei of the tumor cells, 2 if the markers were found in 31-50% of nuclei of the tumor cells, and 3 if the markers were found in > 50% of nuclei of the tumor cells. Stain intensity was classified into three classes: 0 if no stain was detected; 1 in the case of faint weak stain, 2 in the case of moderate yellowish-brown stain, and 3 in the case of strong brown stain.

To reach the final stain score of both ZNF703 and SMAD4 expression, we evaluate the sum of scores of intensity and extent, reaching values from 0 to 7 and to make statistical analysis, we consider 2 as the cut point where values  $\geq 2$  were considered positive expression while values below 2 were considered negative for both markers [1, 8].

Sections from adenocarcinoma of the colon and from normal colonic mucosa were used as positive control for ZNF703 and SMAD4 respectively. Negative controls were done by removal of the primary antibodies replacing them by phosphate-buffered saline. We focused on relations of ZNF703 and SMAD4 expression, pathological findings, disease progression, recurrence, progression-free survival, and overall survival rates (Figs. 3 and 4).

#### **Statistical Analysis**

Statistical analysis was performed using the SPSS program (SPSS Inc., Chicago, IL, USA). Continuous variables were presented as the medians with interquartile ranges and categorical variables as numbers with percentages. The Wilcoxon signed-rank test and Fisher's exact test were used to evaluate the clinicopathological variables associated with marker expressions. Cox regression analyses were used to quantify the independent risk factors for progression of PTC cases. All *p* values were two sided. A *p* value of < 0.05 was considered significant.

### Results

# Expression of ZNF703 in Cells of PTC and Association with Clinicopathological Findings (Table 1)

Positive ZNF703 expression was positively associated with higher incidence of cervical LNM (P < 0.001), advanced stage (P < 0.001), and tumor diameter (P = 0.011).

We found no significant associations between ZNF703 expression, patients' age or gender, or PTC histopathological subtype.

# Expression of ZNF703 in Cells of PTC and Association with Prognostic and Follow-up Findings (Tables 2, 3)

Positive ZNF703 expression was positively associated with higher incidence of disease progression, recurrence, unfavorable PFS, and unfavorable OS rates (P = 0.01).

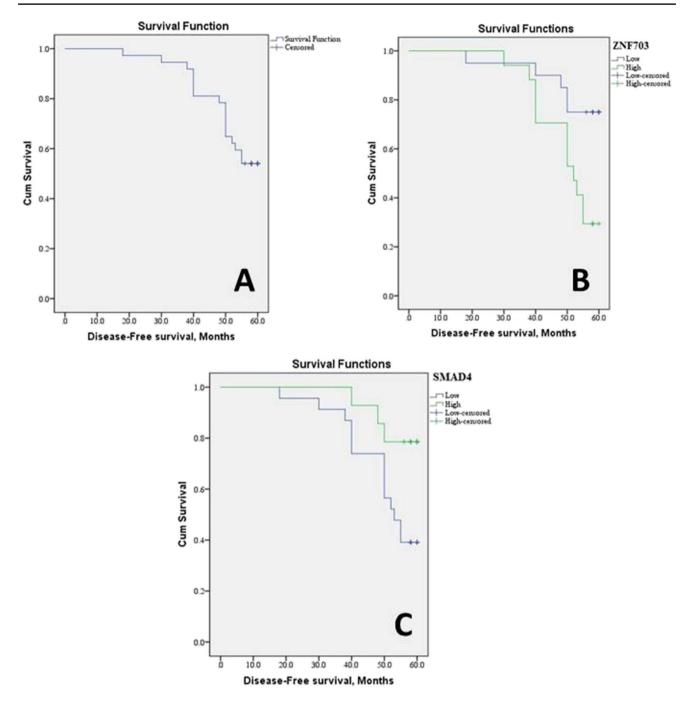


Fig. 3 Kaplan–Meier survival curves of disease-free survival (DFS) rate of papillary thyroid carcinoma (PTC) patients. A DFS rate of all PTC patients. B Stratified according to expression of ZNF703 in PTC patients. C Stratified according to expression of SMAD4 in PTC patients

# Expression of SMAD4 in Cells of PTC and Association with Clinicopathological Findings (Table 1)

Positive SMAD4 expression was negatively associated with higher incidence of cervical LNM (P = 0.008), advanced stage (P = 0.04), and tumor diameter (P = 0.014).

We found no significant association between SMAD4 expression, patients' age or gender, or PTC histopathological subtype.

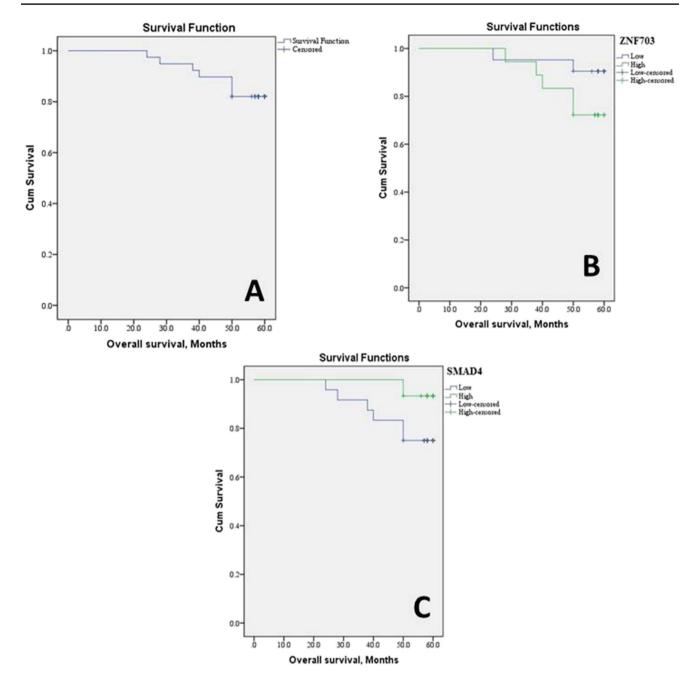


Fig. 4 Kaplan–Meier survival curves of overall survival (OS) rate of papillary thyroid carcinoma (PTC) patients. A OS rate of all PTC patients. B OS rate stratified according to expression of ZNF703 in PTC patients. C OS rate stratified according to expression of SMAD4 in PTC patients

# Expression of SMAD4 in Cells of PTC and Association with Prognostic and Follow-up Findings (Table 2, 3)

Positive SMAD4 expression was negatively associated with; higher incidence of disease progression, recurrence, unfavorable PFS, and unfavorable OS rates (P = 0.03).

### Univariate and Multivariate Cox Regression Analyses of Different Prognostic Factors for Disease-Free Survival (Table 5)

Univariate analysis showed that lymph node involvement (P = 0.019), tumor size (p = 0.02), higher expressions of

Table 3Survival analysis inwhole PTC patients, ZNF703and SMAD4 expression in PTCpatients

		Survival tin	Survival	Р				
		$Means \pm SE$	(95% CI)	Median ±	SE (95% CI)	rate (%)		
ZNF703 Negative		57.8±1.7	(54.45–61.17)	NR		90.5	0.149	
	Positive	$54.8 \pm 2.2$	(50.4–59.16)	NR		72.2		
SMAD4	Negative	$54.6 \pm 2.2$	(50.34–58.83)	NR		75	0.142	
	Positive	59.3 <u>±</u> 0.6	(58.07-60.6)	NR		93.3		
Overall		$56.4 \pm 1.4$	(53.66–59.16)	NR		82.1		
ZNF703	Negative	55.3±2.3	(50.85-59.75)	NR		75	0.01	
	Positive	$50.2 \pm 2.2$	(45.85–54.5)	$52 \pm 5.4$	(41.51-62.49)	29.4		
SMAD4	Negative	$50.5 \pm 2.3$	(45.95–55.01)	$53\pm3$	(47.13–58.87)	39.1	0.03	
	Positive	57±1.6	(53.81-60.19)	NR		78.6		
Overall		$52.9 \pm 1.6$	(49.72-56.17)	NR		54.1		

NR, not reached

 Table 4
 Univariate Cox regression analyses of prognostic factors for

 PTC patients overall survival (OS) rate

	HR	95.0% CI	Р
Age < 40 vs > 40Y	2.37	0.46-12.19	0.303
Sex M vs F	0.55	0.12-2.44	0.427
Histopathological subtype	1.35	0.16-11.21	0.782
ZNF703, negative ow vs. positive	3.1	0.6-16.01	0.176
SMAD4, negative ow vs. positive	0.24	0.03-1.97	0.183
Stage	1.45	0.66-3.17	0.357
Surgery	1.45	0.68-3.09	0.332
Tumor size	0.02	0.001-12.5	0.239

HR, hazard ratio; CI, confidence interval

ZNF703 (p=0.02), downregulation SMAD4 (P=0.05), and advanced stage (P=0.001) were the risk factors for progression in patients with PTC, while multivariate analysis revealed that higher ZNF 703 expression and LN metastasis were the only independent risk factors for progression in patients with PTC (P=0.048).

## Discussion

Detecting accurate and sensitive predictive factors for cervical LNM is a very essential aim in improving management of PTC and patient's outcome, as most of established prognostic clinicopathological parameters were not found to be accurate predictors of cervical LNM (Table 4) [14].

The recently described oncogene; ZNF703, is a transcription factor which regulated many genes expression; those genes have roles in transcriptional regulation, modulation of stem cells, proliferation, and invasion of cancer cells [15].

In the current study, we assessed the expression of ZNF703 in PTC cases that showed high positive expression was positively associated with unfavorable pathological, prognostic parameters of patients. Our results were in agreement with [1] which suggested that increased ZNF703 expression is associated with disease progression and poor prognosis of PTC (Table 5).

Moreover, our results are consistent with results of [16], who demonstrated that high expression of ZNF703

Table 5Univariate andmultivariate Cox regressionanalyses of prognostic factorsfor PTC patients' disease-freesurvival (DFS) rate

	Univari	iate		Multivariate (stepwise)			
	HR	95.0% CI	Р	HR	95.0% CI	Р	
Age < 40 vs > 40Y	1.67	0.63-4.39	0.302				
Sex M vs F	1.05	0.37-2.99	0.924				
Histopathological subtype	1.14	0.26-5.01	0.857				
ZNF703, negative vs. positive	3.48	1.22-9.92	0.02	6.23	1.64-4.38	0.04	
SMAD4, negative vs. positive	0.29	0.08 - 1	0.05				
LN involvement	3.31	1.22-9.01	0.019	3.06	1.01-6.29	0.038	
Stage	2.92	1.51-5.63	0.001				
Surgery	1.14	0.65-2	0.641				
Tumor size	3.21	1.17-8.78	0.023				

HR, hazard ratio; CI, confidence interval

Table 6Correlations betweencervical lymph node metastasisand marker expression in PTCcases

		Negative lymph nodes	Positive lymph nodes	Р
Total numbers		16	24	
ZNF703,	Negative	12 (75%)	6 (25%)	0001
	Positive	4 (25%)	18 (75%)	
SMAD4,	Negative	6 (37.5%)	19 (79.2%)	0.008
	Positive	10 (62.5%)	5 (20.8%)	

was positively associated with unfavorable pathological parameters of PTC as large tumor size and positive lymph nodes status.

Additionally, increased expression of ZNF703 was found to be related to bad clinical, pathological, and prognostic parameters of many tumors as in breast cancer [17], gastric cancer [18], and cholangiocarcinoma [19]. All these results collectively showed that ZNF703 expression has transforming and oncogenic roles in many tumors [9]

Wang et al. [15] explained the role of ZNF703 in oral squamous cell carcinoma progression by that it promotes proliferation, invasion, and metastasis of malignant cells through activation of PI3K/AKT/GSK-3 $\beta$  signaling pathway.

Moreover, ZNF703 inhibits transforming growth factor beta (TGF- $\beta$ ) receptor activity, decreased E-cadherin expression, and increased expression of pro-migratory P120 catenin, which lead to increasing cell proliferation and decreasing intercellular adhesion which lead to malignant invasion and metastasis [20].

Furthermore, high expression of ZNF703 altered phosphorylation of retinoblastoma-associated protein (RB1), decreased P27kip1 protein expression, and upregulating E2F1 and cyclin E1 expression which induces cells to escape from restriction point in the G1 phase increasing cell proliferation [20].

To clarify roles of ZNF703 in PTC progression, we assessed the expression of SMAD4 protein that plays an essential role in TGF- $\beta$  signal transduction and was found to be related to cell proliferation and migration [21].

We showed that SMAD4 was downregulated in PTC tissues and its low expression was associated with unfavorable pathological parameters and dismal outcome which was consistent with results of [22]. Our results observed low SMAD4 expression was found in PTC patients with cervical LNM in comparison with those with negative cervical LNM.

Ormanns et al. [23] reported similar results in pancreatic cancer that loss of SMAD4 expression was related to poor prognosis and it is deleted in most cases of pancreatic cancer and called DPC4 (deleted in pancreatic cancer).

SMAD4 loss leads to inactivation of TGF- $\beta$  signaling pathway and mediates malignant invasion [20] and increase rate of growth of PTC cancer cells [24]. Mutations of SMAD4 were frequently detected in PTC [25].

We found a significant positive correlation between the high ZNF703 expression, low SMAD4 expression, and cases of PTC with positive CLNM than those without. So, our results provide strong evidences for a critical role of ZNF703 and SMAD4 in mediating pathway in lymph node metastasis of PTC (Table 6). Thus, ZNF307 and SMAD4 might be beneficial in prediction of cervical LNM and progression in PTC [25].

### Conclusion

We concluded that the overexpression of ZNF703 and lower expression of SMAD4 are significantly associated with progression, CLNM, and poor prognosis in PTC patients mainly through impairment of the TGF- $\beta$ -SMAD-dependent pathway. Thus, ZNF703 and SMAD4 proteins may be considered potential therapeutic targets for metastatic PTC.

### **Limitations of the Study**

The current study included little number of patients as it was performed in a single center and we used only a single method for assessment of tissue protein expression of ZNF703 and SMAD4 in PTC which is immunohistochemistry.

### Recommendations

Future reports are needed to further investigate levels of ZNF703 and SMAD4 by mRNA analysis and a western blot for a more accurate evaluation of their roles. Moreover, we recommend evaluation of marker expression on larger numbers of patients.

### Declarations

Conflict of Interest The authors declare no competing interests.

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