

# **Lebesgue constants for the weak greedy algorithm**

**S. J. Dilworth · D. Kutzarova · T. Oikhberg**

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**Abstract** We estimate the Lebesgue constants for the weak thresholding greedy algorithm in a Banach space relative to a biorthogonal system. The estimates involve the weakness (relaxation) parameter of the algorithm, as well as properties of the basis, such as its quasi-greedy constant and democracy function.

**Keywords** Weak greedy algorithm · Biorthogonal system · Markushevitch basis · Lebesgue constant

**Mathematics Subject Classification** Primary 46B15; Secondary 41A30 · 41A65 · 46A35

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## **1 Introduction**

In this short note, we calculate the Lebesgue constants associated with the *t*-greedy, and the Chebyshev *t*-greedy, algorithms in Banach spaces (thus measuring the *efficiency* of these approximation methods, in the *worst case*).

Throughout this paper, *X* is a separable infinite dimensional Banach space. A family  $(e_i, e_i^*)_{\in \in \mathbb{N}}$  ⊂ *X* × *X*<sup>\*</sup> is called a *bounded biorthogonal system* if:

1.  $X = \text{span}[e_i : i \in \mathbb{N}].$ 

2.  $e_i^*(e_j) = 1$  if  $i = j$ ,  $e_i^*(e_j) = 0$  otherwise.

3.  $0 < \inf_i \min\{\|e_i\|, \|e_i^*\|\} \leq \sup_i \max\{\|e_i\|, \|e_i^*\|\} < \infty$ .

For brevity, we refer to  $(e_i)$  as a *basis*. Note that Condition (3) is referred to as  $(e_i)$ being *seminormalized*. In this note, only seminormalized bases are considered.

It is easy to see that, for any  $x \in X$ ,  $\lim_i e_i^*(x) = 0$ , and  $\sup_i |e_i^*(x)| > 0$ , unless  $x = 0$ .

Bases as above are quite common. It is known [\[7](#page-16-0), Theorem 1.27] that, for any *c* > 1 any separable Banach space has a bounded biorthogonal system (a *Markushevitch basis*) with  $1 \leq ||e_i||$ ,  $||e_i^*|| \leq c$ , and  $X^* = \overline{\text{span}}^{w^*}[e_i^* : i \in \mathbb{N}]$ .

To consider the problem of approximating  $x \in X$  by finite linear combinations of *e<sub>i</sub>*'s, introduce some notation. For  $x \in X$  set supp  $x = \{i \in \mathbb{N} : e_i^*(x) \neq 0\}$ . For finite  $A \subset \mathbb{N}$ , set  $P_A x = \sum_{i \in A} e_i^*(x) e_i$ . If  $A^c = \mathbb{N} \setminus A$  is finite, write  $P_A x = x - P_A c x$ .

The *best n-term approximation* for  $x \in X$  is defined as

$$
\sigma_n(x) = \inf_{|\text{supp } y| \le n} \|x - y\|,
$$

while the *best n-term coordinate approximation* is

$$
\tilde{\sigma}_n(x) = \inf_{|B| \le n} \|x - P_B x\|.
$$

It is easy to see that  $\lim_{n} \sigma_n(x) = 0$ , and

$$
\sigma_n(x) = \inf_{\text{supp } y \mid =n} ||x - y||
$$
 and  $\tilde{\sigma}_n(x) = \inf_{|B| =n} ||x - P_B x||$ 

(the second equality is due to the fact that  $\lim_i e_i^*(x) = 0$ ).

We also consider the *n term residual approximation*

$$
\hat{\sigma}_n(x) = \|x - P_{[1,n]}x\|.
$$

We say that  $(e_i)$  is a *Schauder basis* if  $\lim_n \hat{\sigma}_n(x) = 0$  for every  $x \in X$  (in this case, also  $\lim_{n} \tilde{\sigma}_n(x) = 0$ ). Many commonly used bases (such as the Haar basis or the trigonometric basis in  $L_p$ , for  $1 < p < \infty$ ) are, in fact, Schauder bases.

Note that calculating  $\sigma_n(x)$  and  $\tilde{\sigma}_n(x)$  is next to impossible, since all coordinates of *x* are in play. Therefore, one can naively look for a good *n*-term approximant of *x* by considering the *n* largest (or "nearly largest") coefficients. This is done using the *weak greedy algorithm*. To define this algorithm, fix the *relaxation parameter* *t* ∈ (0, 1]. Consider a non-zero  $x \in X$ . A set  $A \subset \mathbb{N}$  is called *t*-greedy for x if  $\inf_{i \in A} |e_i^*(x)| \ge t \sup_{i \notin A} |e_i^*(x)|$  (by the above, *A* is finite). When there is no confusion about *x*, we shorten this term to *t*-greedy set. Suppose  $\rho = \rho_x : \mathbb{N} \to \mathbb{N}$  is a *t*-greedy *ordering*—that is, { $\rho(1), \ldots, \rho(n)$ } is *t*-greedy for every *n*. In general, a *t*-greedy ordering is not unique. Note that  $\{\rho(n) : n \in \mathbb{N}\} = \mathfrak{S}_x := \{n \in \mathbb{N} : e_n^*(x) \neq 0\}$  if the set  $\mathfrak{S}_x$  is infinite. On the other hand, if  $|\mathfrak{S}_x| = m < \infty$ , then  $\{\rho(1), \ldots, \rho(m)\} = \mathfrak{S}_x$ while  $\rho(i) \notin \mathfrak{S}_x$  for  $i > m$ .

An *n*-term *t*-greedy approximant of *x* is defined as  $G_n^t(X) = P_{A_n}x$ , where  $A_n =$  $\{\rho(1), \ldots, \rho(n)\}\$ , and  $\rho$  is a *t*-greedy ordering for *x*. We define an *n*-term Chebyshev *t*-greedy approximant  $\mathbf{CG}_n^t(x)$  as  $y \in \text{span}[e_i : i \in A_n]$  so that  $||x - y||$  is minimal. We stress that these approximants are not unique, and *a fortiori*, the operators  $x \mapsto G_n^t(x)$ and  $x \mapsto \mathbf{CG}_n^t(x)$  are not linear.

For more information on greedy approximation algorithms, we refer the reader to the survey papers [\[13](#page-16-1)[,18](#page-16-2)], as well as to the recent monograph [\[14\]](#page-16-3).

When  $t = 1$ , we omit it, and use the terms "greedy set", ("Chebyshev") "greedy approximant", as well as notation  $\mathbf{G}_n(x)$  and  $\mathbf{CG}_n(x)$ . A basis  $(e_i)$  is called *quasigreedy* if its *quasi-greedy constant* is finite:

$$
\mathfrak{K} = \sup_{\|x\|=1} \sup_{n\in\mathbb{N}} \sup \|G_n(x)\| < \infty,
$$

with the inner sup taken over all realizations of  $G_n(x)$ . In [\[17\]](#page-16-4) it was shown that a basis is quasi-greedy if and only if  $\lim_{n} G_n(x) = x$  for any  $x \in X$ , and any (equivalently, some) choice of the sequence  $G_n(x)$ . By [\[9](#page-16-5)], for a quasi-greedy basis we also have  $\lim_{n}$   $G_n^t(x) = x$  for any  $x \in X$ , and any choice of the sequence  $G_n^t(x)$ .

The goal of this paper is to estimate the *efficiency* of the *t*-greedy and *t*-Chebyshev greedy methods (in the worst case), by comparing  $\|x - \mathbf{G}_n^t(x)\|$  and  $\|x - \mathbf{CG}_n^t(x)\|$ with the best *n*-term approximation  $\sigma_n(x)$ , and similar quantities. This is done through estimating the Lebesgue constants and its relatives:

The Lebesgue constant 
$$
\mathbf{L}(n, t) = \sup_{x \in X, \sigma_n(x) \neq 0} \frac{\|x - \mathbf{G}_n^t(x)\|}{\sigma_n(x)}
$$
.

*The Chebyshevian Lebesgue constant*  $\mathbf{L}_{ch}(n, t) =$  $\frac{\|x - \mathbf{CG}_n^t(x)\|}{\sigma_n(x)}.$ 

The residual Lebesgue constant 
$$
\mathbf{L}_{re}(n, t) = \sup_{x \in X, \hat{\sigma}_n(x) \neq 0} \frac{\|x - \mathbf{G}_n^t(x)\|}{\hat{\sigma}_n(x)}
$$
.

We stress that the suprema in the above inequalities are taken over all  $x \in X$ , and all possible realizations of the (Chebyshev) weakly greedy algorithm. A basis is called *greedy* if  $\sup_n L(n, 1) < \infty$ , and *partially greedy* if  $\sup_n L_{\text{re}}(n, 1) < \infty$ .

To estimate the Lebesgue constants, we quantify some properties of  $(e_i)$ . We use the *left* and *right democracy functions*  $\phi_l(k) = \inf_{|A|=k} \|\sum_{i \in A} a_i\|$  and  $\phi_r(k) =$  $\sup_{|A|=k} \|\sum_{i\in A} a_i\|$  (sometimes,  $\phi_r$  is also referred to as the *fundamental function*). We define the *democracy parameter*

*n*(*x*) *x*) *x*) *x* 

$$
\mu(n) = \max_{k \le n} \frac{\phi_r(k)}{\phi_l(k)} = \sup_{|A| = |B| \le n} \frac{\|\sum_{i \in A} e_i\|}{\|\sum_{i \in B} e_i\|}.
$$

Following [\[12](#page-16-6)], define the *disjoint democracy parameter*

$$
\mu_d(n) = \sup_{|A| = |B| \le n, A \cap B = \emptyset} \frac{\|\sum_{i \in A} e_i\|}{\|\sum_{i \in B} e_i\|}.
$$

Clearly,  $\mu_d(n) \leq \mu(n)$ . By [\[10](#page-16-7), Lemma 13],  $\mu(n) \leq 2\mathfrak{K} \mu_d(n)$ . Related to the democracy parameter of a basis (*ei*) is its *conservative parameter*:

$$
\mathbf{c}(n) = \sup \left\{ \frac{\|\sum_{i \in A} e_i\|}{\|\sum_{i \in B} e_i\|} : \max A \leq n < \min B, |A| = |B| \right\}.
$$

Clearly  $c(n) \leq \mu_d(n)$ . The norms of coordinate projections in a basis  $(e_i)$  are quantified by the *unconditionality parameter* and *complemented unconditionality parameter*: **k**(*n*) = sup<sub>|*A*| $\lt_{n}$ || $P_A$ ||, resp. **k**<sub>*c*</sub>(*n*) = sup<sub>|*A*| $\lt_{n}$ || $I - P_A$ || (clearly</sub></sub>  $|\mathbf{k}(n) - \mathbf{k}_c(n)| \leq 1$ .

The investigation of Lebesgue constants for greedy algorithms dates back to the earliest works on greedy algorithms, with some relevant ideas appearing already in [\[8](#page-16-8)]. In [\[12](#page-16-6)], the Lebesgue constants of the Haar basis in the BMO, and the dyadic BMO, were computed. More recently, in [\[15](#page-16-9)[,16](#page-16-10)], the Lebesgue constants for tensor product bases in  $L_p$ -spaces (in particular, for the multi-Haar basis) were calculated. The Lebesgue constants for the trigonometric basis  $L_p$  (which is not quasi-greedy) are also known, see e.g. [\[13,](#page-16-1) Section 1.7]. The recent paper [\[4](#page-16-11)] estimates the Lebesgue constants for bases in  $L_p$  spaces with specific properties (such as being uniformly bounded). Lebesgue constants for redundant dictionaries are studied in [\[14,](#page-16-3) Section 2.6].

This paper is structured as follows: in Sect. [2,](#page-4-0) we gather some preliminary facts about quasi-greedy bases. In Sect. [3,](#page-5-0) we estimate  $L(n, t)$  in terms of  $\mathcal{R}, \mu_d(n), k(n)$ , and  $t$ . For  $t = 1$ , related results were obtained in [\[5\]](#page-16-12). However, the Lebesgue constant was not explicitly calculated there. Retracing the computations, one obtains worse constants than those given by Theorem [3.1.](#page-5-1) Corollary [3.5](#page-6-0) gives an upper estimate for the Lebesgue constant of quasi-greedy bases in Hilbert spaces, by combining Theorem [3.1](#page-5-1) with the recent results of Garrigos and Wojtaszczyk [\[6\]](#page-16-13). Further, we estimate the Lebesgue constant for general (not necessarily quasi-greedy) systems in Proposition [3.6.](#page-7-0)

In Sect. [4,](#page-11-0) we estimate  $\mathbf{L}_{ch}(n, t)$ . The estimates involve only *t*,  $\mathcal{R}$ , and  $\mu_d(n)$ . Finally, in Sect. [5,](#page-13-0) we provide upper and lower bounds for  $L_{re}(n, t)$ , involving t, R, and  $c(n)$ . The main results are given in Theorems [4.1](#page-11-1) and [5.1,](#page-13-1) respectively.

Most of the work in this paper is done in the real case. In Sect. [5,](#page-14-0) we indicate that the complex versions of the results of this paper also hold, albeit perhaps with different numerical constants.

*Remark 1.1* After the first version of this article was circulated, the referee brought the recent paper  $[10]$  $[10]$  to the attention of the authors. There, order-of-magnitude estimates for the Lebesgue constant, and the Chebyshevian Lebesgue constant (similar to our Theorems [3.1,](#page-5-1) [4.1\)](#page-11-1) are given. Our results have the advantage of establishing the dependence of the Lebesgue constants not only of  $\mu_d(n)$  and  $k(n)$ , but also of  $\Re$  and  $t$ .

## <span id="page-4-0"></span>**2 Preliminary results**

In this section we prove two lemmas, which will be needed throughout the paper, and may be of interest in their own right. First we sharpen some results from [\[9](#page-16-5), Section 2].

**Lemma 2.1** *Suppose*  $(e_i)$  ⊂ *X is a basis with a quasi-greedy constant*  $\mathcal{R}$ *. Consider x* ∈ *X*, and let *A* be a *t*-greedy set for *x*. Then  $||P_Ax||$  <  $(1 + 4t^{-1}\mathfrak{R})\mathfrak{R}||x||$ .

*Proof* For the sake of brevity, set  $a_i = e_i^*(x)$ . Let  $M = \min_{i \in A} |a_i|$ , then  $|a_i| \le t^{-1}M$ for  $i \notin A$ . Define  $B = \{i : |a_i| > t^{-1}M\}$  and  $C = \{i : |a_i| \ge M\}$ . Then  $B \subset A \subset C$ , and  $P_Ax = P_Bx + P_{A\setminus B}x$ . By the definition of  $\mathfrak{K}, \|P_Bx\| \leq \mathfrak{K}\|x\|$ , and  $\|P_Cx\| \leq$  $\mathcal{R} \|x\|$ . Write  $P_C x = \sum_{i \in C} a_i e_i$ .

Now define the basis  $(e'_i)$  by setting

$$
e'_{i} = \begin{cases} \text{sign}(a_{i})e_{i} & i \in C \\ e_{i} & \text{otherwise.} \end{cases}
$$

As this basis has the same quasi-greedy constant as  $(e_i)$ , Lemma [6.1\(](#page-13-1)2) shows that  $M \| \sum_{i \in C} e_i' \| \leq 2\Re \|x\|.$  For  $i \in C$ , set

$$
b_i = \begin{cases} |a_i| & i \in A \backslash B \\ 0 & \text{otherwise} \end{cases}.
$$

For any *i*,  $|b_i| \le t^{-1}M$ , hence, by Lemma [6.1\(](#page-13-1)1)

$$
\left\|\sum_{i\in A\setminus B}a_ie_i\right\|=\left\|\sum_{i\in C}b_ie_i'\right\|\leq 2t^{-1}M\mathfrak{K}\left\|\sum_{i\in C}e_i'\right\|\leq 4t^{-1}\mathfrak{K}^2\|x\|.
$$

By the triangle inequality,  $||P_Ax|| \le ||P_Bx|| + ||P_A \setminus Bx||$ .

<span id="page-4-1"></span>**Lemma 2.2** *Suppose*  $(e_i)$  *is a*  $\mathcal{R}\text{-quasi-greedy basis in X. Consider  $x \in X$ , and let$  $a_i = e_i^*(x)$ , for  $i \in \mathbb{N}$ . Suppose a finite set  $A \subset \mathbb{N}$  satisfies  $\min_{i \in A} |a_i| \geq M$ . Then  $M \|\sum_{i\in A}$  sign  $(a_i)e_i\| \leq 2\mathfrak{K}^2 \|x\|$ . *Furthermore,*  $M \|\sum_{i\in A} e_i\| \leq 4\mathfrak{K}^2 \|x\|$ .

*Proof* Consider the set  $B = \{i : |a_i| \ge M\}$  (clearly  $A \subset B$ ). By [\[5,](#page-16-12) Lemma 10.1],  $\|\sum_{i\in B}$  sign  $(a_i)e_i\|$  ≤  $\mathcal{R}\|\sum_{i\in B}$  sign  $(a_i)e_i\|$ . By Lemma [6.1\(](#page-13-1)2),  $\|\sum_{i\in B}$  sign  $(a_i)e_i\|$  $\leq 2\mathfrak{K}||x||/M$ . To establish the "moreover" part, let  $A_+ = \{i \in A : \text{sign}(a_i) = 1\}$ , and  $A_{-} = \{i \in A : \text{sign}(a_i) = -1\}$ . By the above,  $M \| \sum_{i \in A_+} \text{sign}(a_i) e_i \| \leq 2\Re^2 \|x\|$ and the same holds for *A*−. Complete the proof using the triangle inequality.

We close this section with a brief discussion about the values of  $\mu_d(n)$ ,  $\mathbf{k}(n)$ , and  $\mathbf{c}(n)$ . It was shown in [\[2](#page-16-14)[,5](#page-16-12)] that, for a  $\mathcal{R}$ -quasi-greedy basis,  $\mathbf{k}(n) \leq C \log(en)$ , where the constant *C* depends on the particular basis. For bases in  $L_p$  spaces, sharper estimates were obtained in [\[6\]](#page-16-13). It is easy to see that  $\mathbf{c}(n) \leq \mu_d(n) \leq C_n$ , where C depends on a basis. These estimates are optimal: indeed, an appropriate enumeration of the canonical (normalized and 1-unconditional) basis in  $c_0 \oplus_2 \ell_1$  gives  $c(n) \geq cn$ .

## <span id="page-5-0"></span>**3 The Lebesgue constant**

<span id="page-5-1"></span>In this section, we use some of the techniques of [\[5](#page-16-12)] to estimate the Lebesgue constants  $L(n, t)$ .

**Theorem 3.1** *For any* K*-quasi-greedy basis,*

$$
\max\left\{\mathbf{k}_c(n), t^{-1}\mu_d(n)\right\} \leq \mathbf{L}(n, t) \leq 1 + 2\mathbf{k}(n) + 8t^{-1}\mathfrak{K}^3\mu_d(n).
$$

The proof of the theorem relies on several lemmas, whose proofs closely resemble those given in [\[5](#page-16-12)] (Lemma [3.4](#page-6-1) yields better upper estimates).

**Lemma 3.2** *For any*  $\mathcal{R}$ *-quasi-greedy basis,*  $L(n, t) > t^{-1} \mu_{d}(n)$ *.* 

*Proof* Fix  $n \in \mathbb{N}$  and  $\varepsilon > 0$ . Find  $A, B \subset \mathbb{N}$ , so that  $A \cap B = \emptyset$ ,  $|A| = |B| = k \le n$ , and

$$
\left\|\sum_{i\in A}e_i\right\| \geq (\mu_d(n)-\varepsilon)\left\|\sum_{i\in B}e_i\right\|.
$$

Pick a set *C*, disjoint from *A* and *B*, so that  $|C| = n - k$ . Consider

$$
x = (t + \varepsilon) \sum_{i \in B \cup C} e_i + \sum_{i \in A} e_i.
$$

Then  $(t + \varepsilon)$   $\sum_{i \in B \cup C} e_i$  is a *t*-greedy approximant of *x*, for which  $||x - G_n^t(x)|| =$  $\parallel$  ∑<sub>*i*∈*A*</sub> *e<sub>i</sub>* $\parallel$ . However,  $|A ∪ C| = n$ , hence

$$
\sigma_n(x) \leq \tilde{\sigma}_n(x) \leq ||x - P_{A \cup C} x|| = (t + \varepsilon) \Big\| \sum_{i \in B} e_i \Big\|.
$$

Thus,

$$
\mathbf{L}(n,t) \ge \frac{\|x - \mathbf{G}_n^t(x)\|}{\sigma_n(x)} = (t + \varepsilon)^{-1} \frac{\|\sum_{i \in A} e_i\|}{\|\sum_{i \in B} e_i\|} \ge \frac{\mu_d(n) - \varepsilon}{t + \varepsilon}.
$$

As  $\varepsilon$  can be arbitrarily small, the desired estimate follows.  $\square$ 

**Lemma 3.3** *For any basis,*  $L(n, t) \geq k_c(n)$ *.* 

<span id="page-6-1"></span>*Proof* Clearly  $L(n, t) \ge L(n, 1)$ . By [\[5,](#page-16-12) Proposition 3.3],  $L(n, 1) \ge k_c(n)$ . □

**Lemma 3.4** *For any*  $\mathbb{R}$ *-quasi-greedy basis,*  $\mathbf{L}(n, t) \leq \mathbf{k}(n) + \mathbf{k}_c(n) + 8t^{-1} \mathbb{R}^3 \mu_d(n)$ *.* 

*Proof* For  $x \in X$ , let  $a_i = e_i^*(x)$ , and fix  $\varepsilon > 0$ . Suppose  $A \subset \mathbb{N}$  is a *t*-greedy set for *x*, of cardinality *n*. Find  $z \in X$ , supported on a set *B* of cardinality *n*, so that  $||x - z|| < \sigma_n(x) + \varepsilon$ . Let  $M = \sup_{i \notin A} |a_i|$ , then  $|a_i| \geq tM$  whenever  $i \in A$ . By the triangle inequality,

$$
||x - P_Ax|| \le ||x - P_Bx|| + ||P_{A\setminus B}x|| + ||P_{B\setminus A}x||.
$$

We have

$$
||P_{A\setminus B}x|| = ||P_{A\setminus B}(x-z)|| \le \mathbf{k}(n)||x-z||,
$$

and

$$
||x - P_Bx|| = ||x - P_Bx + z - P_Bz|| = ||(1 - P_B)(x - z)|| \le \mathbf{k}_c(n) ||x - z||.
$$

It remains to estimate the third summand, in the non-trivial case of  $|B \setminus A| = k > 0$ . For  $i \in B \setminus A$ ,  $|a_i| \leq M$ , hence by Lemma [6.1\(](#page-13-1)1) (see also [\[3,](#page-16-15) Lemma 2.1]),

$$
||P_{B\setminus A}x|| = \Big\|\sum_{i\in B\setminus A} a_i e_i\Big\| \le 2M\mathfrak{K}\|\sum_{i\in B\setminus A} e_i\|.
$$

By Lemma [2.2,](#page-4-1)  $M \le 4t^{-1} \Re^2 ||\sum_{i \in A \setminus B} e_i||^{-1} ||x - z||$ . Thus,

$$
||P_{B\setminus A}x|| \le 2M\mathfrak{K} \Big\| \sum_{i \in B\setminus A} e_i \Big\| \le 8t^{-1}\mathfrak{K}^3 \frac{\|\sum_{i \in B\setminus A} e_i\|}{\|\sum_{i \in A\setminus B} e_i\|} \|x - z\|
$$
  

$$
\le 8t^{-1}\mathfrak{K}^3 \mu_d(n) \|x - z\|.
$$

As  $|x - z|$  can be arbitrarily close to  $\sigma_n(x)$ , we are done.

We use Theorem [3.1](#page-5-1) to estimate the Lebesgue constant for quasi-greedy bases in a Hilbert spaces. Recall that a basis (*ei*) is called *hilbertian* (*besselian*) if there exists a constant *c* so that, for every finite sequence of scalars  $(\alpha_i)$ , we have  $\sum_i |\alpha_i|^2 \ge$  $c \|\sum_i \alpha_i e_i\|^2$  (resp.  $\sum_i |\alpha_i|^2 \le c \|\sum_i \alpha_i e_i\|^2$ ).

<span id="page-6-0"></span>**Corollary 3.5** *For any quasi-greedy basis in a Hilbert space, there exists*  $\alpha \in (0, 1)$ *and*  $C > 0$  *so that, for any*  $n \in \mathbb{N}$  *and*  $t \in (0, 1)$ *,*  $\mathbf{L}(n, t) \leq C(t^{-1} + (\log(en))^{\alpha})$ *. If, moreover, the basis is either besselian or hilbertian, then there exists*  $\alpha \in (0, 1/2)$ *with the above property.*

*Proof* By [\[6](#page-16-13)], there exists  $c_1 > 0$ , and  $\alpha$  as above, so that  $\mathbf{k}(n) \leq c_1(\log(en))^{\alpha}$ . By [\[17,](#page-16-4) Theorem 3],  $\mu(n) \leq c_2$ , for some constant  $c_2$ . To finish the proof, apply Theorem [3.1.](#page-5-1)

 $\Box$ 

We conclude this section with an estimate for  $L(n, t)$  for bounded Markushevitch bases which are not necessarily quasi-greedy. Let  $1 \le p \le q \le \infty$ . We say that  $(e_i)$ satisfies weak upper  $p$ - and lower  $q$ -estimates if there exists  $K > 0$  such that for all *x* ∈ *X*,

$$
\frac{1}{K} \|(e_i^*(x))\|_{q,\infty} \le \|x\| \le K \|(e_i^*(x))\|_{p,1},
$$

where, letting  $(a_n^*)$  denote the decreasing rearrangement of the sequence  $(|a_n|)$ ,

$$
|| (a_n) ||_{q,\infty} := \sup_{n \ge 1} n^{1/q} a_n^*
$$

and

$$
|| (a_n) ||_{p,1} := \sum_{n \ge 1} n^{1/p-1} a_n^*
$$

are the usual Lorentz sequence norms. Note that  $p = 1$  and  $q = \infty$  are just the  $\ell_1$  and *c*<sup>0</sup> norms, respectively.

<span id="page-7-0"></span>The following result slightly extends [\[17](#page-16-4), Theorem 5] by incorporating the weakness parameter *t* and replacing upper  $\ell_p$ -and lower  $\ell_q$ -estimates by weaker Lorentz sequence space estimates.

**Proposition 3.6** *Suppose* (*ei*) *satisfies weak upper p- and lower q-estimates. Then there exists*  $D := D(p, q, K)$  *such that* 

$$
\mathbf{L}(n,t) \le \begin{cases} Dn^{1/p-1/q}/t, & p \ne q \\ D \log n/t, & p = q. \end{cases}
$$

*Proof* First suppose  $q > p$ . Let  $x \in X$  and set  $a_i := e_i^*(x)$ . Let *A* be a *t*-greedy set for *x*, with  $|A| = n$ , and let  $\mathbf{G}_n^t(x) := \sum_{i \in A} a_i e_i$ . Given  $\varepsilon > 0$ , choose  $B \subset \mathbb{N}$ , with  $|B| = n$ , such that  $||x - \sum_{i \in B} b_i e_i|| \le \sigma_n(x) + \varepsilon$ . For convenience, set  $b_i = 0$  if  $i \notin B$ . By the triangle inequality,

<span id="page-7-1"></span>
$$
||x - G_n^t(x)|| \le ||x - \sum_{i \in B} b_i e_i|| + ||\sum_{i \in B} b_i e_i - \sum_{i \in A} a_i e_i||
$$
  

$$
\le \sigma_n(x) + \varepsilon + ||\sum_{i \in B} b_i e_i - \sum_{i \in A} a_i e_i||. \tag{3.1}
$$

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Setting  $C = C(p, q) := (1/p - 1/q)^{1/q-1/p}$ , we obtain:

$$
\|\sum_{i\in A} (b_i - a_i)e_i\| \le K \|(b_i - a_i)_{i\in A}\|_{p,1} \le K C n^{1/p - 1/q} \|(b_i - a_i)_{i\in A}\|_{q,\infty} \le K^2 C n^{1/p - 1/q} \|x - \sum_{i\in B} b_i e_i\| \le K^2 C n^{1/p - 1/q} (\sigma_n(x) + \varepsilon).
$$
\n(3.2)

Similarly,

$$
\left\| \sum_{i \in B \setminus A} b_i e_i \right\| \le \left\| \sum_{i \in B \setminus A} (b_i - a_i) e_i \right\| + \left\| \sum_{i \in B \setminus A} a_i e_i \right\|
$$
  

$$
\le K^2 C n^{1/p - 1/q} (\sigma_n(x) + \varepsilon) + \left\| \sum_{i \in B \setminus A} a_i e_i \right\|. \tag{3.3}
$$

We clearly have  $|A \setminus B| = |B \setminus A|$ . As *A* is *t*-greedy set for *x*, we have min<sub>*A* $\setminus B |a_i| \ge$ </sub> *t* max $B \setminus A$  |a<sub>i</sub>|. Therefore,

<span id="page-8-0"></span>
$$
\|\sum_{i \in B \setminus A} a_i e_i\| \le K C n^{1/p - 1/q} \| (a_i)_{i \in B \setminus A} \|_{q, \infty}
$$
  
\n
$$
\le \frac{K C n^{1/p - 1/q}}{t} \| (a_i)_{i \in A \setminus B} \|_{q, \infty}
$$
  
\n
$$
\le \frac{K^2 C n^{1/p - 1/q}}{t} \| x - \sum_{i \in B} b_i e_i \|
$$
  
\n
$$
\le \frac{K^2 C n^{1/p - 1/q}}{t} (\sigma_n(x) + \varepsilon).
$$
 (3.4)

Since  $\varepsilon > 0$  is arbitrary, combining [\(3.1\)](#page-7-1)–[\(3.4\)](#page-8-0) gives

$$
||x - G_n^t(x)|| \le \left(1 + 2K^2C + \frac{K^2C}{t}\right)n^{1/p - 1/q} \sigma_n(x),
$$

and hence  $\mathbf{L}(n, t) \leq \left(1 + 2K^2C + \frac{K^2C}{t}\right)n^{1/p - 1/q}$ . The case  $p = q$  is similar except  $Cn^{1/p-1/q}$  is replaced by  $1 + \log n$  throughout.

<span id="page-8-1"></span>**Corollary 3.7** *Let*  $1 \leq p \leq \infty$  *and let* (*e<sub>i</sub>*) *be a bounded Markushevitch basis such that*  $\phi_r(k) \leq Ck^{1/p}$  *for some*  $C > 0$ *. Then*  $\mathbf{L}(n, t) \leq C'n^{1/p}/t$ *, for some constant*  $C'$ *.* 

*Proof* Any basis satisfies the lower  $\infty$ -estimate. In order to apply Proposition [3.6,](#page-7-0) we need to show that  $(e_i)$  has a weak upper *p*-estimate.

By the triangle inequality  $\|\sum_{i\in A} \pm e_i\| \leq 2Cn^{1/p}$  for all  $A \subset \mathbb{N}$  with  $|A| = n$ . Suppose, for  $x \in X$ , the sequence  $a_n = e_n^*(x)$  satisfies  $\sum_n n^{1/p-1} a_n^* = \gamma$ . Let  $(n_i)$ be a non-decreasing enumeration of this sequence – that is,  $|a_{n_i}| = a_i^*$  for every *i*.

Set  $\varepsilon_i = \text{sign}(a_{n_i}), c_i = a_i^* - a_{i+1}^*$ , and  $y_i = \sum_{j=1}^i \varepsilon_j e_{n_j}$ . Note that, for every *i*,  $i^{1/p} - (i-1)^{1/p} \le i^{1/p-1}$ , hence

$$
(2C)^{-1} \sum_{i} |c_i| \|y_i\| \le \sum_{i} (a_i^* - a_{i+1}^*) i^{1/p} = \sum_{i} a_i^* (i^{1/p} - (i-1)^{1/p}) \le \gamma.
$$

Consequently,  $\sum_i c_i y_i$  converges in *X*. For every *i*, we have  $e_i^*(\sum_i c_i y_i) = e_i^*(x)$ , hence  $\sum_i c_i y_i = x$ . By the above,  $||x|| \le 2C\gamma$ .

*Remark 3.8* The estimates of Proposition [3.6](#page-7-0) and Corollary [3.7](#page-8-1) are sharp, even for unconditional (hence quasi-greedy) bases. For  $q > p$ , consider the canonical basis of  $\ell_q \oplus_q \ell_p$  ( $c_0 \oplus_\infty \ell_p$  if  $q = \infty$ ). This basis clearly possesses the lower  $q$ - and upper *p*-estimates, with constant 1. Denote the bases of  $\ell_q$  and  $\ell_p$  by  $(e_i)$  and  $(f_i)$ respectively. Fix  $c > 1$ , and let  $x = \sum_{i=1}^{n} (cte_i + f_i)$ . One possible realization of the *t*-greedy algorithm gives  $G_m^t(x) = ct \sum_{i=1}^n e_i$ , hence  $||x - G_m^t(x)|| = n^{1/p}$ . On the other hand,  $\sigma_n(x) \leq \tilde{\sigma}_n(x) \leq ||ct \sum_{i=1}^n e_i|| = ctn^{1/q}$ . As *c* can be arbitrarily close to 1, we obtain  $\mathbf{L}(n, t) \ge n^{1/p-1/q}/t$ , showing the optimality of Proposition [3.6.](#page-7-0) Note that  $\phi_r(k) = k^{1/p}$ , hence, for  $q = \infty$ , we witness the optimality of Corollary [3.7.](#page-8-1)

We can also show the optimality of Proposition [3.6](#page-7-0) for  $p = q = 2$ , once more for quasi-greedy basis. By [\[6,](#page-16-13) Theorem 3.1 and Corollary 3.11], there exists a quasigreedy democratic basis in  $c_0 \oplus \ell_1 \oplus \ell_2$ , so that  $\phi_r(n) \sim \phi_l(n) \sim \sqrt{n}$ . The weak upper 2-estimate follows from the proof of Corollary [3.7,](#page-8-1) whereas the weak lower 2-estimate follows from Lemma [6.1\(](#page-13-1)2). Furthermore, [\[6](#page-16-13), Corollary 3.11] gives  $\mathbf{k}(n) > c \log n$ for this basis (*c* is a constant). By Theorem [3.1,](#page-5-1)  $\mathbf{L}(n, t) \geq \mathbf{k}(n) - 1$ .

*Remark 3.9* We also present two examples of sharpness of Proposition [3.6](#page-7-0) for bases which are not quasi-greedy. Throughout, we use some well-known facts about Lorentz spaces, see e.g. the survey [\[1\]](#page-16-16).

First pick  $p \in (1, 2)$ . Set  $q = p/(p - 1)$  and  $\gamma = 2/p - 1$  (so  $1/p = (1 + \gamma)/2$ , and  $1/q = 1 - 1/p = (1 - \gamma)/2$ ). Consider the measures  $\mu$  and  $\nu$  on  $[-\pi, \pi]$ , by setting  $d\mu = |t|^{-\gamma} dt$  and  $dv = |t|^{\gamma} dt$ . The trigonometric system forms a non-quasi-greedy Schauder basis in both  $L_2(\mu)$  and  $L_2(\nu)$ , see e.g. [\[11](#page-16-17)]. Denote by  $e_1, e_2, \ldots$  ( $f_1, f_2, \ldots$ ) the trigonometric basis in  $L_2(\mu)$  (resp.  $L_2(\nu)$ ), enumerated as 1,  $e^{it}$ ,  $e^{-it}$ ,  $e^{2it}$ ,  $e^{-2it}$ , ....

First concentrate on the basis  $(e_i)$  in  $L_2(\mu)$ . Clearly this basis satisfies the lower 2-estimate:

$$
\Big\| \sum_{i} \alpha_{i} e_{i} \Big\|_{L_{2}(\mu)} \geq \pi^{-\gamma} \left( \int_{-\pi}^{\pi} \big| \sum_{i} \alpha_{i} e_{i} \big|^{2} dt \right)^{1/2} = \sqrt{2} \pi^{1/2 - \gamma} \left( \sum_{i} |\alpha_{i}|^{2} \right)^{1/2}.
$$

Next show that  $\phi_r(n) \sim n^{1/p}$  (once this is established, the weak upper *p*-estimate will follow, as in the proof of Corollary [3.7\)](#page-8-1). The lower estimate on  $\phi_r$  is proved in [\[6](#page-16-13), Lemma 3.7]. For the upper estimate, recall the well-known fact that  $\int |\phi \psi| \leq$  $\int \phi^* \psi^*$  ( $\phi^*$  and  $\psi^*$  are decreasing rearrangments of  $\phi$  and  $\psi$  respectively). Consequently, if *f* is a function of  $[0, \pi]$  with  $0 \le f \le n^2$ , and  $\int f(t) dt = n$ , then  $\int f(t)t^{-\gamma} dt \leq n^{1+\gamma}/(1-\gamma)$  (the equality is attained when  $f(t) = n^2 \mathbf{1}_{[0,1/n]}$ ). Now

suppose  $A \subset \mathbb{N}$  has cardinality *n*. Applying our observation to  $f = |\sum_{j \in A} e_j|^2$ , we obtain  $\sum_{j\in A} e_j \mathbb{I}_{L_2(\mu)} \prec n^{(1+\gamma)/2} = n^{1/p}$ .

Use  $[6, \text{Lemma 3.7}]$  $[6, \text{Lemma 3.7}]$  to find  $\varepsilon_1, \ldots, \varepsilon_{2n+1} \in \{-1, 1\}$  so that  $\|\sum_i \varepsilon_i e_i\|_{L_2(\mu)} \sim \sqrt{n}$ , while  $\| \sum_i e_i \|_{L_2(\mu)} \sim n^{1/p}$ . Let *B* = {*i* :  $\varepsilon_i$  = 1} and *C* = {*i* :  $\varepsilon_i$  = −1}. For  $\varepsilon > 0$  set  $x = (1 + \varepsilon) \sum_{i \in B} e_i - \sum_{i \in C} e_i$ . For  $\varepsilon < 1/n$  we have  $||x|| \sim \sqrt{n}$ , yet  $||x - G_{|B|}(x)|| = ||\sum_{i \in C} e_i|| \sim n^{1/p}$ . Consequently, **L**(|*B*|, 1) ≻ |*B*|<sup>1/*p*−1/2</sup>. By the above,  $|B| \sim n$ . Thus, the estimates on  $\mathbf{L}(n, t)$  obtained in Proposition [3.6](#page-7-0) are optimal for this basis.

In the second example the optimality of these estimates is shown for a basis with a weak upper *p*-estimate, and a weak lower *q*-estimate. Following [\[6](#page-16-13), Section 3], define the Schauder basis  $(g_j)$  in  $L_2(\mu) \oplus_2 L_2(\nu)$  by setting, for  $k \in \mathbb{N}$ ,  $g_{2k-1} =$  $(e_k + f_k)/\sqrt{2}$  and  $g_{2k} = \frac{(e_k - f_k)}{\sqrt{2}}$ . By the proof of [\[6](#page-16-13), Proposition 3.10], for any odd *n* we can have  $\|\sum_{k=1}^{2n} g_k\| \sim n^{1/q}$ , yet  $\|\sum_{n=1}^{n} g_{2k-1}\| \sim n^{1/p}$ . As in the previous paragraph, we conclude that  $L(n, 1) > n^{1/p-1/q}$ .

Next show that  $(g_i)$  satisfies the weak upper *p*-estimate, and the weak lower *q*estimate. Consider

<span id="page-10-1"></span>
$$
x = \sum_{k} \left( \alpha_{k} g_{2k-1} + \beta_{k} g_{2k} \right) = \frac{1}{\sqrt{2}} \left( \sum_{k} (\alpha_{k} + \beta_{k}) e_{k} \right) \oplus \left( \sum_{k} (\alpha_{k} - \beta_{k}) f_{k} \right). \tag{3.5}
$$

<span id="page-10-2"></span>We have to show that

 $\|(\alpha_1, \beta_1, \alpha_2, \beta_2, \ldots)\|_{a,\infty} \leq \|x\| \leq \|(\alpha_1, \beta_1, \alpha_2, \beta_2, \ldots)\|_{p,1}.$  (3.6)

<span id="page-10-0"></span>Start by recalling that, for any sequence  $(\gamma_i)$ ,

$$
\|(\gamma_i)\|_{q,\infty} \prec \left(\sum_i |\gamma_i|^2\right)^{1/2} = \|(\gamma_i)\|_2 \prec \|\gamma_i\|_{p,1}.
$$
 (3.7)

The basis  $(f_k)$  satisfies the upper 2-estimate:

$$
\Big\| \sum_i \alpha_i f_i \Big\|_{L_2(\nu)} \leq \pi^{\gamma} \bigg( \int_{-\pi}^{\pi} \big| \sum_i \alpha_i f_i \big|^2 dt \bigg)^{1/2} = \sqrt{2} \pi^{1/2 + \gamma} \bigg( \sum_i |\alpha_i|^2 \bigg)^{1/2}.
$$

Thus, by [\(3.7\)](#page-10-0), [\(3.5\)](#page-10-1), and the triangle inequality for  $\|\cdot\|_{p,1}$ ,

$$
||x|| \prec ||(\alpha_k + \beta_k)||_{p,1} + ||(\alpha_k - \beta_k)||_2 \prec ||(\alpha_k + \beta_k)||_{p,1} + ||(\alpha_k - \beta_k)||_{p,1}
$$
  
 
$$
\sim ||(\alpha_k)||_{p,1} + ||(\beta_k)||_{p,1} \prec ||(\alpha_1, \beta_1, \alpha_2, \beta_2, \ldots)||_{p,1},
$$

yielding the right hand side of [\(3.6\)](#page-10-2).

Next note that  $(f_i)$  satisfies the weak lower *q*-estimate. Indeed, the functions  $f'_i(t) = e_i(t)|t|^\gamma$  are biorthogonal to  $(e_i)$  in  $L_2(\mu)$ . By duality, the sequence  $(f'_i)$ satisfies the weak lower *q*-estimate. Now observe that  $U: L_2(\mu) \to L_2(\nu): f'_i \to f_i$ 

is an isometry. Moreover, (*ei*) satisfies the lower 2-estimate, hence the weak lower *q*-estimate as well. As  $\|\cdot\|_{q,\infty}$  is a quasi-norm, we obtain

$$
||x|| > ||(\alpha_k + \beta_k)||_2 + ||(\alpha_k - \beta_k)||_{q,\infty} > ||(\alpha_k + \beta_k)||_{q,\infty} + ||(\alpha_k - \beta_k)||_{q,\infty} \sim
$$
  

$$
||(\alpha_k)||_{q,\infty} + ||(\beta_k)||_{q,\infty} > ||(\alpha_1, \beta_1, \alpha_2, \beta_2, \ldots)||_{q,\infty}.
$$

This yields the left hand side of  $(3.6)$ .

#### <span id="page-11-1"></span><span id="page-11-0"></span>**4 The Chebyshevian Lebesgue constant**

**Theorem 4.1** *For any* K*-quasi-greedy basis,*

$$
\frac{\mu_d(n)}{2t\Re} \leq \mathbf{L}_{\text{ch}}(n, t) \leq \frac{20\Re^3 \mu_d(n)}{t}.
$$

As a corollary, we recover a result from [\[2\]](#page-16-14).

**Corollary 4.2** *Any almost greedy basis is semi-greedy.*

Recall that  $(e_i)$  is *almost greedy* if there exists a constant *C* so that  $||x - G_n(x)|| \le$  $C\tilde{\sigma}_n(x)$  for any  $n \in \mathbb{N}$  and  $x \in X$ , and *semi-greedy* if there exists a constant *C* so that  $||x - \mathbf{CG}_n(x)|| \leq C\sigma_n(x)$ , for any *n* and *x*.

*Proof* By [\[2\]](#page-16-14), a basis is almost greedy if and only if it is quasi-greedy and democratic (that is,  $\sup_n \mu(n) < \infty$ ). In this case  $\sup_n \mathbf{L}_{ch}(n, 1) < \infty$ , hence the basis is semigreedy. greedy.  $\Box$ 

Below, we shall use the "truncation function"

$$
\mathbf{F}_M : \mathbb{R} \to \mathbb{R} : t \mapsto \begin{cases} -M & t < -M \\ t & -M \leq t \leq M \\ M & t > M \end{cases}.
$$

Abusing the notation slightly, we shall write

$$
\mathbf{F}_M(x) = x - \sum_i \left( e_i^*(x) - \mathbf{F}_M(e_i^*(x)) \right) e_i.
$$

The sum above converges, since the set  $\{i \in \mathbb{N} : |e_i^*(x)| > M\}$  is finite. Moreover,  $\mathbf{F}_M(x)$  is the only element  $y \in X$  with the property that, for every  $i, e_i^*(y) = \mathbf{F}_M(e_i^*(x))$ . By [\[2](#page-16-14), Proposition 3.1],  $\|\mathbf{F}_M(x)\| \leq (1 + 3\mathfrak{R}) \|x\|.$ 

*Proof* (The upper estimate in Theorem [4.1\)](#page-11-1) For  $x \in X$  let  $a_i = e_i^*(x)$ , and fix  $\varepsilon > 0$ . Suppose a set  $A \subset \mathbb{N}$  of cardinality *n* is *t*-greedy for *x*. Let  $M = \max_{i \notin A} |a_i|$ , then  $\min_{i \in A} |a_i| \geq t M$ . We have to show that there exists  $w \in X$  so that supp $(x - w) \subset A$ , and  $||w|| \leq 20t^{-1} \mathfrak{K}^3 \mu_d(n) (\sigma_n(x) + \varepsilon).$ 

Pick  $z = \sum_{i \in B} b_i e_i$ , where  $|B| \le n$ , and  $||x - z|| < \sigma_n(x) + \varepsilon$ . Set  $y = x - z$  and

$$
y_i = e_i^*(y) = \begin{cases} a_i - b_i & i \in B \\ a_i & i \notin B \end{cases}.
$$

We claim that  $w = P_A F_M(y) + P_{A^c} x$  has the desired properties. Indeed,  $x - w$  is supported on *A*. To estimate  $||w||$ , note that, for  $i \notin B$ ,  $y_i = a_i$ . For  $i \notin A$ ,  $\mathbf{F}_M(a_i) = a_i$ , hence, for  $i \notin A \cup B$ ,  $a_i = \mathbf{F}_M(\mathbf{y}_i)$ . Thus,

$$
w = \mathbf{F}_M(y) + \sum_{i \in B \setminus A} (a_i - \mathbf{F}_M(y_i))e_i.
$$
 (4.1)

<span id="page-12-1"></span>We use  $[2,$  $[2,$  Proposition 3.1] to estimate on the first summand:

$$
\|\mathbf{F}_M(y)\| \le (1+3\mathfrak{K})\|y\| = (1+3\mathfrak{K})\|x-z\|.
$$
 (4.2)

To handle the second summand, set  $k = |B \setminus A|$ . For  $i \in B \setminus A$ ,  $|a_i| \leq M$ , hence  $|a_i - F_M(y_i)|$  ≤ 2*M*. By Lemma [6.1\(](#page-13-1)1),

$$
\left\| \sum_{i \in B \setminus A} (a_i - \mathbf{F}_M(y_i)) e_i \right\| \le 4M\Re \left\| \sum_{i \in B \setminus A} e_i \right\|.
$$
 (4.3)

<span id="page-12-0"></span>On the other hand, for  $i \in A \setminus B$ ,  $a_i = y_i$ , and  $|a_i| \ge tM$ , hence by Lemma [2.2,](#page-4-1)

$$
M \leq t^{-1} \frac{4\mathfrak{K}^2 ||x - z||}{\|\sum_{i \in A \setminus B} e_i\|}.
$$

Plugging this into [\(4.3\)](#page-12-0), we get:

$$
\left\|\sum_{i\in B\setminus A}(a_i-\mathbf{F}_M(y_i))e_i\right\|\leq \frac{16}{t}\frac{\|\sum_{i\in B\setminus A}e_i\|}{\|\sum_{i\in A\setminus B}e_i\|}\mathfrak{K}^3\|x-z\|\leq \frac{16}{t}\mu_d(n)\mathfrak{K}^3\|x-z\|.
$$

Together with [\(4.2\)](#page-12-1), we obtain:

$$
||w|| \le \left(\frac{16}{t}\mu_d(n)\mathfrak{K}^3 + 1 + 3\mathfrak{K}\right)||x - z|| \le \frac{20\mathfrak{K}^3\mu_d(n)}{t}(\sigma_n(x) + \varepsilon).
$$

As  $\varepsilon$  can be arbitrarily close to 0, we are done.

*Proof* (The lower estimate in Theorem [4.1\)](#page-11-1) Fix  $n \in \mathbb{N}$  and  $\varepsilon > 0$ . Find  $A, B \subset \mathbb{N}$ , so that  $A \cap B = \emptyset$ ,  $|A| = |B| = k \leq n$ , and

$$
\left\|\sum_{i\in A}e_i\right\| \geq (\mu_d(n)-\varepsilon)\left\|\sum_{i\in B}e_i\right\|.
$$

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Pick a set *C*, disjoint from *A* and *B*, so that  $|C| = n - k$ . Consider

$$
x = (t + \varepsilon) \sum_{i \in B \cup C} e_i + \sum_{i \in A} e_i.
$$

We can find a Chebyshev *t*-greedy approximant  $\mathbf{CG}_n^t(x)$  supported on  $B \cup C$ , and then  $y = x - \mathbf{CG}_n^t(x) = \sum_{i \in A} e_i + \sum_{i \in B \cup C} y_i e_i$ . Let  $D = \{i \in B \cup C : |y_i| \ge 1\}$ .<br>Both  $\sum_{i \in A} e_i + \sum_{i \in D} y_i e_i$  and  $\sum_{i \in D} y_i e_i$  are greedy approximants of y, hence

$$
\max \left\{ \left\| \sum_{i \in A} e_i + \sum_{i \in D} y_i e_i \right\|, \left\| \sum_{i \in D} y_i e_i \right\| \right\} \leq \mathfrak{K} \|y\|.
$$

By the triangle inequality,  $\|\sum_{i \in A} e_i\| \leq 2\Re \|y\|$ . Thus,

$$
||x - \mathbf{CG}_n^t(x)|| \ge \frac{1}{2\Re} \left\| \sum_{i \in A} e_i \right\| \ge \frac{\mu_d(n) - \varepsilon}{2(t + \varepsilon)\Re} \left\| (t + \varepsilon) \sum_{i \in B} e_i \right\|
$$
  
=  $\frac{\mu_d(n) - \varepsilon}{2(t + \varepsilon)\Re} ||x - P_{A \cup C}x|| \ge \frac{\mu_d(n) - \varepsilon}{2(t + \varepsilon)\Re} \tilde{\sigma}_n(x) \ge \frac{\mu_d(n) - \varepsilon}{2(t + \varepsilon)\Re} \sigma_n(x)$ 

(since  $|A \cup C| = n$ ). As  $\varepsilon$  can be arbitrarily small, we are done.

## <span id="page-13-0"></span>**5 The residual Lebesgue constant**

<span id="page-13-1"></span>**Theorem 5.1** *For any* K*-quasi-greedy basis,*

$$
t^{-1}
$$
**c** $(n) \leq$ **L**<sub>re</sub> $(n, t) \leq 1 + 4\mathfrak{K}^2 + 8t^{-1}\mathfrak{K}^3$ **c** $(n)$ .

*Proof* (The upper estimate in Theorem [5.1\)](#page-13-1) For  $x \in X$  set  $a_i = e_i^*(x)$ . Suppose A is a *t*-greedy subset of N, of cardinality *n*, and set  $B = [1, n]$ . Let  $M = \min_{i \in A} |a_i|$ , then  $|a_i|$  ≤  $t^{-1}M$  for  $i \notin A$ . By the triangle inequality,

$$
||x - G_n^t(x)|| = ||P_{A^c}x|| \le ||x - P_Bx|| + ||P_{A \setminus B}x|| + ||P_{B \setminus A}x||. \tag{5.1}
$$

<span id="page-13-2"></span>Let  $y = P_B c x$ , then  $||y|| = \hat{\sigma}_n(x)$ . For  $i \in A \setminus B$ , we have  $|e_i^*(y)| \geq M$ , hence by Lemma [2.2,](#page-4-1)  $M \| \sum_{i \in A \setminus B} e_i \| \leq 4\Re^2 \|y\|$ . By Lemmas [2.2](#page-4-1) and [6.1\(](#page-13-1)1),

$$
\|P_{B\setminus A}x\| \leq 2t^{-1}M\widehat{\mathcal{R}}\bigg\|\sum_{i\in B\setminus A}e_i\bigg\| \leq 2t^{-1}M\widehat{\mathcal{R}}\mathbf{c}(n)\bigg\|\sum_{i\in A\setminus B}e_i\bigg\| \leq 8t^{-1}\widehat{\mathcal{R}}^3\mathbf{c}(n)\|y\|.
$$

Plug the above results into [\(5.1\)](#page-13-2) to obtain the upper estimate for  $\mathbf{L}_{\text{re}}(n, t)$ .

*Proof* (The lower estimate in Theorem [5.1\)](#page-13-1) Fix  $\varepsilon > 0$ , and find sets  $A \subset [1, n]$  and  $B \subset [n+1,\infty)$  so that  $|A| = k = |B|$ , and

$$
\mathbf{c}(n)-\varepsilon < \frac{\|\sum_{i\in A}e_i\|}{\|\sum_{i\in B}e_i\|}.
$$

Consider  $x = \sum_{i=1}^{n} e_i + (t + \varepsilon) \sum_{i \in B} e_i$ . Then  $B \cup ([1, n] \setminus A)$  is a *t*-greedy set for *x*, hence one can run the *t*-greedy algorithm in such a way that  $\Vert x - G_n^t(x) \Vert =$  $\|\sum_{i\in A} e_i\|$ . On the other hand,  $\hat{\sigma}_n(x) = \|P_{[n+1,\infty)}x\| = (t+\varepsilon)\|\sum_{i\in B} e_i\|$ . The lower estimate follows from comparing these two quantities.

#### <span id="page-14-0"></span>**Appendix: The complex case**

The results above are stated for the real case. The complex case is similar, but the constants are different. As customary, we set

$$
sign z = \begin{cases} z/|z| & z \neq 0 \\ 0 & z = 0 \end{cases}.
$$

The following result is present (implicitly or explicitly) in [\[5,](#page-16-12) Appendix] (the betterknown real case is in  $[3,$  Lemmas 2.1 and 2.2]):

**Lemma 6.1** *Suppose*  $(e_i)$  *is a*  $\mathbb{R}$ *-quasi-greedy basis in a Banach space* X.

- *1. If A is a finite set, then*  $\|\sum_{i\in A} a_i e_i\| \leq 4\sqrt{2} \mathfrak{K} \max_i |a_i| \|\sum_{i\in A} e_i\|$ *. Moreover, if*  $\int_{a}^{b} a_i$ 's are real, then  $\|\sum_{i \in A} a_i e_i\| \leq 2\Re \max_i |a_i| \|\sum_{i \in A} e_i\|.$
- *2. Suppose A is a greedy set for*  $x \in X$ *. Let*  $M = \min_{i \in A} |e_i^*(x)|$ *. Then*

$$
\frac{M}{8\sqrt{2}\mathfrak{K}^2} \Vert \sum_{i \in A} e_i \Vert \leq \frac{M}{2\mathfrak{K}} \Vert \sum_{i \in A} \mathrm{sign}\left(e_i^*(x)\right) e_i \Vert \leq \Vert x \Vert.
$$

For  $M > 0$ , define

$$
\mathbf{F}_M : \mathbb{C} \to \mathbb{C} : z \mapsto \begin{cases} \text{sign}(z)M & |z| > M \\ z & |z| \le M \end{cases}.
$$

For  $x \in X$ , we set  $\mathbf{F}_M(x) = x - \sum_i (e_i^*(x) - \mathbf{F}_M(e_i^*(x)))e_i$  (the sum converges, and  $e_i^*(\mathbf{F}_M(x)) = \mathbf{F}_M(e_i^*(x))$  for every *i*). As in [\[2,](#page-16-14) Proposition 3.1], one can prove:

**Lemma 6.2** *In the above notation,*  $||\mathbf{F}_M(x)|| \leq (1 + 3\Re) ||x||$ .

As in Sect. [2,](#page-4-0) we obtain:

**Lemma 6.3** *Suppose*  $(e_i) ⊂ X$  *is a basis with a quasi-greedy constant*  $\mathcal{R}$ *, and a set A* is t-greedy for  $x \in X$ . Then  $||P_Ax|| \leq (1 + 8\sqrt{2}t^{-1}\Re) \Re ||x||$ .

**Lemma 6.4** *Suppose*  $(e_i)$  *is a*  $\mathcal{R}\text{-quasi-greedy basis in X. Consider  $x \in X$ , and let$  $a_i = e_i^*(x)$ , for  $i \in \mathbb{N}$ . Suppose a finite set  $A \subset \mathbb{N}$  satisfies  $\min_{i \in A} |a_i| \geq M$ . Then  $M \|\sum_{i\in A}$  sign  $(a_i)e_i\| \leq 2\mathfrak{K}^2 \|x\|$ . *Furthermore,*  $M \|\sum_{i\in A} e_i\| \leq 8\mathfrak{K}^2 \|x\|$ .

*Proof* Consider  $C = \{i : |a_i| \geq M\}$  (note that  $A \subset C$ ). For the brevity of notation, let  $e'_i = \text{sign}(a_i)e_i$  (if  $a_i = 0$ , let  $e'_i = e_i$ ). Clearly the basis  $(e'_i)$  is  $\Re$ -quasi-greedy. Set  $y = \sum_{i \in C} e'_i$ . By Lemma [6.1\(](#page-13-1)2),  $M||y|| \le 2 \Re ||x||$ . For  $\varepsilon > 0$ , let

$$
y_{\varepsilon} = \sum_{i \in A} e'_i + (1 + \varepsilon) \sum_{i \in C \setminus A} e'_i = \sum_{i \in C} e'_i + \varepsilon \sum_{i \in C \setminus A} e'_i.
$$

By the triangle inequality,  $||y_{\varepsilon}|| \le ||y|| + \varepsilon \sum_{i \in C \setminus A} ||e_i||$ . Furthermore,  $||\sum_{i \in A} e_i'|| \le$  $\mathcal{R}||y_{\varepsilon}||$ . As  $\varepsilon$  is arbitrary, we establish the first statement of the lemma.

The reasoning above also shows that  $M \|\sum_{i \in B} e_i' \| \leq 2\mathcal{R}^2 \|x\|$  for any  $B \subset A$ . Let *S* be the absolute convex hull of the elements  $\sum_{i \in B} e'_i$ —that is,

$$
S = \left\{ \sum_{B \subset A} t_B \sum_{i \in B} e'_i : \sum_{B \subset A} |t_B| \le 1 \right\}.
$$

We claim that  $\sum_{i \in A} e_i = \sum_{i \in A} \omega_i e_i' \in 4S$  here  $|\omega_i| = 1$ . Otherwise, by Hahn-Banach Separation Theorem, there exists a sequence  $(b_i)_{i \in A} \in \mathbb{C}^{|A|}$  so that  $|\sum_{i \in B} b_i| < 1$ whenever  $B \subset A$ , yet  $|\sum_{i \in A} \omega_i b_i| > 4$ . Let  $B_+ = \{i \in A : \Re b_i \ge 0\}$  and  $B_- = \{i \in A : \Re b_i \ge 0\}$  $A : \Re b_i < 0$ .

$$
\sum_{i \in B_+} \Re b_i \le \left| \sum_{i \in B_+} b_i \right| \le 1,
$$

and similarly,  $\sum_{i \in B_{-}} (-\Re b_i) \leq 1$ . Therefore,

$$
\sum_{i\in A} |\Re b_i| = \sum_{i\in B_+} |\Re b_i| + \sum_{i\in B_-} |\Re b_i| \le 2.
$$

The same way, we show that  $\sum_{i \in A} |\Im b_i| \leq 2$ . Consequently,

$$
\left|\sum_{i\in A}\omega_i b_i\right| \leq \sum_{i\in A}|b_i| \leq \sum_{i\in A}\left(|\Re|b_i| + |\Im b_i|\right) \leq 4,
$$

yielding a contradiction. This establishes the second statement of our lemma.

These results allow us to emulate the proofs of previous sections, and to estimate the Lebesgue constants:

**Theorem 6.5** *Suppose* (*e<sub>i</sub>*) *is a*  $\mathcal{R}$ *-quasi-greedy basis in a complex Banach space X. Then:*

*1.*

$$
\max\left\{\mathbf{k}_c(n), t^{-1}\mu_d(n)\right\} \le \mathbf{L}(n, t) \le 1 + 2\mathbf{k}(n) + 32\sqrt{2}t^{-1}\mathfrak{K}^3\mu_d(n).
$$

*2.*

$$
\frac{\mu_d(n)}{2t\Re} \leq \mathbf{L}_{\text{ch}}(n, t) \leq \frac{100\Re^3 \mu_d(n)}{t}.
$$

*3.*

$$
t^{-1}
$$
**c**(n)  $\leq$  **L**<sub>re</sub>(n, t)  $\leq$  1 + 8 $\hat{\kappa}^2$  + 32 $\sqrt{2}t^{-1}\hat{\kappa}^3$ **c**(n).

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