ORIGINAL ARTICLE

Tumor SUVmax Normalized to Liver Uptake on ¹⁸F-FDG PET/CT Predicts the Pathologic Complete Response After Neoadjuvant Chemoradiotherapy in Locally Advanced Rectal Cancer

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Abstract

Purpose This study investigates the feasibility of using ¹⁸F-fluorodeoxyglucose positron emission tomography/computed tomography (¹⁸F-FDG PET/CT) to predict the pCR (pathologic complete response) rate after neoadjuvant chemoradio-therapy (NCRT) in patients with locally advanced rectal cancer.

Methods A total of 88 patients with locally advanced rectal cancer were retrospectively analyzed. All patients were treated with NCRT, followed by radical surgery, and ¹⁸F-FDG PET/CT was performed before and after NCRT. For a semiquantitative assessment, a volume of interest was drawn, including the whole tumor region, and the maximum SUV (SUVmax), SUVmax normalized to liver uptake (SLR), SUVmax normalized to blood pool uptake (SBR), the metabolic tumor volume at SUV 2.0 (MTV[2.0]), SUV 2.5 (MTV[2.5]), and SUV 3.0 (MTV[3.0]) were measured. In addition, their percentage changes after NCRT were assessed. The pCR was verified through a histologic examination of postsurgical specimens. A receiver operating characteristic curve analysis was conducted to predict the pCR by using these PET parameters.

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Results The pCR was predicted in 17 patients (19 %). The values of the area under the curve (AUC) for predicting the pCR were 0.774 for SUVmax after NCRT, 0.826 for SLR after NCRT, 0.815 for SBR after NCRT, 0.724 for MTV(2.5) after NCRT, 0.729 for the percentage change in SUVmax, 0.700 for the percentage change in SLR, and 0.749 for the percentage change in MTV (2.5). Among these PET parameters, SLR after NCRT showed the highest AUC value. The optimal criterion, sensitivity, specificity, and accuracy of SLR after NCRT for predicting the pCR were ≤ 1.41 , 88 %, 65 %, and 68 %, respectively.

Conclusions F-FDG PET was found to be useful for predicting the pCR after NCRT in patients with locally advanced rectal cancer. Among various PET parameters, SUVmax normalized to liver uptake after NCRT was the best predictor of the pCR.

Keywords Rectal Cancer \cdot Chemoradiotherapy \cdot Response \cdot ¹⁸F-FDG \cdot Positron emission tomography

Introduction

In the last decade, there has been a sharp increase in colorectal cancer in Korea [1]. This cancer is now the second most common cancer among men and the third most common cancer among women in the country [2]. The surgical resection of the tumor is the most important treatment method in colorectal cancer [3]. In locally advanced rectal cancer, neo-adjuvant chemoradiotherapy (NCRT) increases the rate of tumor regression and sphincter preservation [4] and reduces the rate of local recurrence [5]. Therefore, NCRT followed by radical surgery has become the standard treatment method for patients with locally advanced rectal cancer [6].

Patients with the pathologic complete response (pCR) to NCRT generally show better long-term outcomes than those without [7], and only 15-30 % of patients with rectal cancer achieve pCR to NCRT [8, 9]. Although accurately predicting the pCR before radical surgery can facilitate the choice between sphincter-sparing surgery and aggressive surgery [10, 11], the histologic tumor response can be assessed only after surgical resection. Several imaging modalities, such as computed tomography (CT), magnetic resonance imaging (MRI), and endorectal ultrasound (EUS), have been used to predict responses to NCRT in rectal cancer, but these conventional types of morphological imaging modalities show limited accuracy-ranging from 30 % to 60 %-in terms of predicting the tumor response [12]. This limited accuracy is due to inflammation, edema, and fibrosis resulting from NCRT [13, 14].

¹⁸F-Fluorodeoxyglucose positron emission tomography (¹⁸F-FDG PET) can provide images of viable tumor tissue by reflecting glucose metabolism [15] and produce semiquantitative data [16]. Therefore, ¹⁸F-FDG PET has been widely used to diagnose, stage, restage, and monitor treatment responses in many types of malignancies [17, 18]. Many studies have reported the usefulness of ¹⁸F-FDG PET for predicting responses to neoadjuvant therapy in rectal cancer [12]. In general, these studies have used various metabolic parameters such as the maximum standardized uptake value (SUVmax), metabolic tumor volume (MTV), total lesion glycolysis (TLG), and changes in PET parameters [19–21], but optimal parameters for predicting the pCR have yet to be defined.

Several studies have reported the usefulness of SUVmax normalized to liver for a semiquantitative assessment of lesions [22–24]. This method can provide reliable data across diverse PET scanners [25] and improve accuracy for the characterization of tumors [26, 27]. Therefore, this study examines the usefulness of PET parameters normalized to liver obtained by ¹⁸F-FDG PET/CT before and after NCRT for predicting the pCR in patients with locally advanced rectal cancer.

Materials and Methods

Patient Population

¹⁸F-FDG PET/CT images and medical records of 162 consecutive patients with rectal cancer who received NCRT at the authors' institute from June 2005 to March 2013 were retrospectively reviewed. In this study, patients were enrolled if they met all of the following inclusion criteria: (1) newly diagnosed and histologically proven rectal cancer, (2) the completion of chemotherapy with 5-fluorouracil and leucovorin [11], (3) the surgical resection of the rectal tumor after the completion of NCRT, and (4) ¹⁸F-FDG PET/CT before and after NCRT. As a result, those patients who did not undergo surgery (n=19), those who had no ¹⁸F-FDG PET/ CT before or after NCRT (n=54), and a patient who received capecitabine as chemotherapy (n=1) were excluded, and therefore a total of 88 patients were enrolled in the study.

The Institutional Review Board of the institute approved the study, and no informed consent was required.

¹⁸F-FDG PET/CT Acquisition

PET/CT images were acquired on the Biograph6 PET/CT scanner (Siemens Medical Solution, Knoxiville, TN, USA). All patients fasted for at least 6 h before the intravenous injection of ¹⁸F-FDG (7.4 MBq/kg of body weight). In patients with a blood glucose level above 7.2 mmol/l, the injection was delayed until the level decreased below 7.2 mmol/l, and the blood glucose level did not exceed 7.2 mmol/l at the time of the ¹⁸F-FDG injection in any patient. PET/CT imaging from the skull base to the upper thigh (five to six bed positions) started about 60 min after the injection of ¹⁸F-FDG. During the PET/CT scan, CT images with no intravenous iodinated contrast were acquired using a six-slice helical CT scanner (130 kVp, 30 mA, 0.6-s/CT rotation, pitch of 6). Then PET emission images were acquired over the corresponding area with a 16.2 cm axial field of view at 3.5 min per bed position. These CT images were used for attenuation correction, and image reconstruction was performed using a conventional iterative algorithm (ordered-subset expectation maximization, 2 iterations and 8 subsets).

Imaging Analysis and the Determination of Parameters

All PET/CT images were reviewed on e-soft workstations (Siemens Medical Systems, Iselin, NJ). An ellipsoid volume of interest including the whole rectal tumor was drawn by not including the adjacent urinary bladder potentially showing high ¹⁸F-FDG uptake. Then the SUVmax and MTV of each dataset were measured. In the case of no discernible focal uptake in the rectum after NCRT, a circular region of interest 2 cm in diameter was drawn at the site corresponding to rectal tumor on PET/CT before NCRT.

MTV was automatically calculated using thresholds of SUV 2.0, SUV 2.5, and SUV 3.0, and outcomes were designated MTV(2.0), MTV(2.5), and MTV(3.0), respectively [21, 28]. To normalize the FDG uptake of the rectal tumor, a circular region of interest (ROI) 3 cm in diameter was drawn in the right lobe of the liver showing homogeneous FDG uptake. In addition, a circular ROI 1 cm in diameter was also drawn in the lumen of descending thoracic aorta to measure FDG uptake of the blood pool [29]. Also, the mean SUV (SUVmean) of the liver and that of the blood pool were measured, respectively. Then the ratio of the SUVmax of the rectal tumor to the SUVmean of the

liver (SLR) and the ratio of SUVmax of the rectal tumor to the SUVmean of blood pool (SBR) were calculated, respectively.

The SUVmax, SLR, SBR, and MTV of PET before NCRT were defined as SUV1, SLR1, SBR1, and MTV1, respectively, and the SUVmax, SLR, SBR, and MTV of PET after NCRT, as SUV2, SLR2, SBR2, and MTV2, respectively. Percentage changes in PET parameters were calculated as follows:

$$\Delta SUV(\%) = (SUV1-SUV2) \times 100/SUV1$$
$$\Delta SLR(\%) = (SLR1-SLR2) \times 100/SLR1$$
$$\Delta SBR(\%) = (SBR1-SBR2) \times 100/SBR1$$
$$\Delta MTV(\%) = (MTV1-MTV2) \times 100/MTV1$$

Pathologic Assessment

An experienced pathologist analyzed the surgical specimens containing the primary tumor area and the circumferential resection margin. Pathologic responses to NCRT were classified to two groups. The pCR group was defined as no residual malignant cell other than fibrosis in the surgical specimen (ypT0N0), and the non-pCR group, as any evidence of residual malignant cells in the surgical specimen [30]. According to tumor regression grade (TRG) by Mandard et al. [31], patients with TRG1 were classified as the pCR group, while patients with TRG2-5 were classified as the non-pCR group.

Statistical Analysis

The results are presented as frequency and percentages for categorical variables and the mean±SD for continuous variables. The Mann–Whitney test was employed to compare PET parameters of the pCR and non-pCR groups. The paired samples *t*-test was used to compare the SUVmean of liver and blood pool before and after NCRT. The receiver operating characteristic (ROC) curve was analyzed to determine the ability of each parameter to predict the pCR. The pairwise comparisons of ROC curves for PET parameters were performed with the method of Hanley and McNeil [32]. The multi-ROC analysis was performed to determine whether combining PET parameters can improve the diagnostic performance for predicting the pCR [33].

All statistical analyses were conducted using MedCalc 13.0 (MedCalc Software, Belgium). Two-tailed p values of <0.05 were considered statistically significant.

 Table 1
 Patient characteristics (n=88)

Characteristics	Value
Age (years, mean±SD)	59.2±11.1
Sex, <i>n</i> (%)	
Male	64 (72.7)
Female	24 (27.3)
Distance from the anal verge (cm, mean±SD)	4.5±2.7
Clinical T stage, n (%)	
cT1	0 (0)
cT2	4 (4.5)
cT3	75 (85.2)
cT4	9 (10.2)
Clinical N stage, n (%)	
cN0	24 (27.3)
cN1	39 (44.3)
cN2	25 (28.4)
Operation, n (%)	
Low anterior resection	34 (38.6)
Ultralow anterior resection	25 (28.4)
Abdominoperineal resection	28 (31.8)
Hartmann's operation	1 (1.1)
Pathologic response, n (%)	
Complete response	17 (19.3)
Non-complete response	71 (80.7)
End of NCRT to the operation interval (days, mean±SD)	53.2 ± 9.0

NCRT neoadjuvant chemoradiotherapy

Results

Patient Characteristics

Table 1 shows the characteristics of the 88 patients. The mean patient age was 59.2±11.1, and 73 % were men. The mean distance from the anal verge to the tumor was 4.5 ± 2.7 cm. All patients received a total radiation dose of 5,040 cGy in 28 fractions. The mean interval from PET before NCRT to the initiation of NCRT was 11±6.7 days, and that from the end of NCRT to PET after NCRT was 42.3±7.8 days. The mean interval from the end of NCRT to surgery was 53.2 ± 9.0 days. Based on a histologic examination of surgical specimens, 17 (19 %) patients were classified as the pCR group, and 71 (81 %), as the non-pCR group. The mean values of SUVmean of liver before NCRT and after NCRT were 2.6 ± 0.4 and $2.6\pm$ 0.5, respectively. The mean values of SUV mean of blood pool before NCRT and after NCRT were 2.0 ± 0.3 and 1.9 ± 0.3 , respectively. Also, there was no significant difference in the SUVmean of liver (p=0.70) and the SUVmean of blood pool (p=0.65) between before and after NCRT.

A total of 40 (45 %) patients had no discernible focal uptake in the rectum after NCRT, and the mean value of

SUV2 measured at the corresponding site of the rectal tumor identified on PET before NCRT was 3.3 ± 1.2 in these patients.

Comparison of PET Parameters Between pCR and Non-pCR Groups

Figure 1 compares the PET parameters between the pCR and non-pCR groups. There were no significant differences in SUV1 (p=0.89), SLR1 (p=0.35), SBR1 (p=0.33), and MTV1 (2.5) (p=0.14) between the two groups. The pCR group showed significantly lower SUV2 (p=0.0005), SLR2 (p<0.0001), SBR2 (p=0.0001), and MTV2 (2.5) (p=0.0040) and significantly higher Δ SUV (p=0.0035), Δ SLR (p=0.010), Δ SBR (p=0.044), and Δ MTV (2.5) (p=0.0015) than the non-pCR group.

ROC Curve Analysis for Predicting the pCR

The ability of the PET parameters to predict the pCR was calculated using their ROC curves (Fig. 2 and Table 2). Before NCRT, only MTV1 (2.0) was a significant predictor of the pCR (AUC, 0.645; p=0.029). By contrast, SUV1 (AUC 0.510; p=0.89), SLR1 (AUC 0.573; p=0.35), SBR1 (AUC 0.581; p=0.23), MTV1 (2.5) (AUC 0.617; p=0.085),

and MTV1 (3.0) (AUC 0.602; p=0.13) were not significant predictors of the pCR. After NCRT, SUV2 (AUC 0.774; p <0.0001), SLR2 (AUC 0.826; p < 0.0001), SBR2 (AUC 0.815; p < 0.0001), MTV2 (2.0) (AUC 0.724; p <0.0001), MTV2 (2.5) (AUC 0.724; p < 0.0001), and MTV2 (3.0) (AUC 0.722; p < 0.0001) were significant predictors of the pCR. In terms of percentage changes in the PET parameters, Δ SUV (AUC 0.729; p=0.0011), Δ SLR (AUC 0.701; p=0.0060), Δ SBR (AUC 0.664; p= 0.025), ΔMTV (2.0) (AUC 0.687; p=0.0055), ΔMTV (2.5) (AUC 0.749; p < 0.0001), and Δ MTV (3.0) (AUC 0.713; p =0.0004) were significant predictors of the pCR. The comparisons of ROC curves for the PET parameters are summarized in Table 3. There were significant differences between SLR2 and SUV2 (p=0.044), SLR2 and Δ SLR (p=0.019), SLR2 and \triangle SBR (p=0.0068), SBR2 and \triangle SLR (p=0.0024), SBR2 and Δ SBR (p=0.0047), Δ SUV and Δ SBR (p=0.037), respectively. By contrast, there was no significant difference between other PET parameters. Among all PET parameters, SLR2 showed the highest AUC value for predicting the pCR. Based on the Yuden index and the ROC curve, the optimal criterion, sensitivity, specificity, and accuracy of SLR2 for predicting the pCR were ≤ 1.41 , 88.2 %, 64.8 %, and 68.2 %, respectively.







Fig. 2 ROC curves of SUV2 (a), SLR2 (b), SBR2 (c), MTV2 (2.5) (d), Δ SUV (e), Δ SLR (f), Δ SBR (g), and Δ MTV (2.5) (h) for the prediction of the pCR. Based on AUC values, all PET parameters predicted the pCR

For the combination of the PET parameters, we selected only SLR2 and Δ MTV (2.5), which showed the highest AUC among the PET parameters after NCRT and percentage changes of the PET parameters, respectively. Among all possible combinations in multi-ROC analysis, combined criterion of SLR2 \leq 1.01 and Δ MTV (2.5)>98.1 showed the highest sum of sensitivity and specificity (Fig. 3 in Supplementary Material online). With this criterion, the AUC, sensitivity, specificity, and accuracy for predicting the pCR were 0.759 (p= 0.0007), 58.8 %, 93.0 %, and 86.4 %, respectively. However, it showed no significant difference compared with SLR2 (p= 0.27) or Δ MTV (2.5) (p=0.90). As a result, the combined PET parameters failed to improve the diagnostic performance for predicting the pCR (Fig. 4 in Supplementary Material online).

Discussion

There are two major findings in the current study. First, PET parameters obtained by ¹⁸F-FDG PET/CT before and after NCRT predicted the pCR in patients with locally advanced rectal cancer. Second, among the PET parameters, SLR2 was the most useful parameter for predicting the pCR.

Several imaging modalities have been used for predicting responses to neoadjuvant therapy in patients with rectal cancer, but limited accuracy has prevented the determination of changes in the surgical approach or additional therapy. EUS is an examiner-dependent modality [34], and the reported accuracy of EUS varies from 48 % to 62 % [35]. CT accuracy has been reported to range from 46 % to 65 % [36, 37], and MRI accuracy, from 47 % to 54 % [38–40]. Although the degree of

Table 2	PET parameter values	-
for predi	cting the pCR	1
		-

AUC area under the curve, SUV maximum SUV of tumor, SLR ratio of tumor SUVmax to the mean liver SUV, SBR ratio of tumor SUVmax to the mean blood pool SUV, MTV metabolic tumor volume

Variable	AUC	AUC p value	Cutoff	Sensitivity (%)	Specificity (%)	Accuracy (%)
SUV2	0.774	< 0.0001	≤4.36	100	53.5	62.5
SLR2	0.826	< 0.0001	≤1.41	88.2	64.8	68.2
SBR2	0.815	< 0.0001	≤1.99	94.1	57.7	64.8
MTV2 (2.5)	0.724	< 0.0001	≤0.45	100	56.3	64.8
ΔSUV	0.729	0.0011	>60.7 %	88.2	56.3	61.4
Δ SLR	0.700	0.0060	>65.2 %	82.4	59.2	62.5
Δ SBR	0.664	0.0245	>62.8 %	82.4	52.1	58.0
Δ MTV (2.5)	0.749	< 0.0001	>97.6 %	88.2	57.7	62.5

 Table 3 P values for pairwise comparison of ROC curves

	SLR2	SBR2	MTV2 (2.5)	ΔSUV	Δ SLR	Δ SBR	ΔMTV (2.5)
SUV2	0.0441	0.1132	0.3949	0.4206	0.2192	0.0924	0.0652
SLR2		0.5043	0.0504	0.0654	0.0192	0.0068	0.1233
SBR2			0.0794	0.0803	0.0239	0.0047	0.1984
MTV2 (2.5)				0.9462	0.7404	0.4366	0.6021
ΔSUV					0.2810	0.0368	0.7588
Δ SLR						0.2457	0.4674
Δ SBR							0.2291

SUV Maximum SUV of tumor, SLR Ratio of tumor SUVmax to the mean liver SUV, SBR Ratio of tumor SUVmax to the mean blood pool SUV, MTV Metabolic tumor volume

accuracy varies according to the design and setting of the study, these morphological imaging modalities generally overstage the rectal tumor after neoadjuvant therapy [36, 40].

¹⁸F-FDG PET has been reported to be more accurate than morphological imaging modalities in predicting responses to neoadjuvant therapy in rectal cancer [37, 41]. Many studies have demonstrated the usefulness of ¹⁸F-FDG PET in terms of various metabolic parameters for predicting responses to NCRT in locally advanced rectal cancer [12]. The results of the present study are generally consistent with the findings of previous studies. However, the results are inconsistent in terms of accuracy and optimal cutoff values. These differences may be due the definition of endpoints. In the present study, endpoints were set as the pCR, not as the responder [19, 42, 43], because of the pCR is closely correlated with the local control and better prognosis [11, 44].

SUVmax is a widely used quantitative parameter of ¹⁸F-FDG PET, and other volume-based PET parameters such as MTV and TLG are dependent on SUVmax [45]. These PET parameters are limited in terms of a straightforward assessment of metabolic characteristics because various factors such as body weight, obesity, the blood glucose level, and the postinjection uptake time can affect SUVmax [46]. To overcome this problem, normalized SUVmax has been used, and the liver is widely used as a reference region [25]. Several studies have reported the usefulness of ¹⁸F-FDG PET for predicting treatment responses by using the tumor-to-liver ratio of FDG uptake in malignant patients [22, 23, 47]. In this study, SLR2 was the best predictor of the pCR. It is conceivable that normalized SUVmax is less affected by abovementioned factors than other PET parameters. This suggests that SLR2 is not only useful for predicting the pCR but also applicable to various PET scanners.

Among the PET parameters before NCRT, only MTV1 (2.0) predicted the pCR in this study. MTV differs from other PET parameters in reflecting the tumor volume, which is an important independent factor in determining radiotherapy outcome [48]. In addition, MTV represents real tumor burden more accurately than the tumor volume

measured by CT [49]. Therefore, the rectal tumor with a larger MTV1 can be more resistant to the standard radiotherapy.

The generally recommended interval between radiotherapy and restaging ¹⁸F-FDG PET is at least 3 months to avoid interference by radiation-induced inflammation [50]. However, it is practically inappropriate in a neoadjuvant setting. Many previous studies for rectal cancer performed restaging ¹⁸F-FDG PET about 4–6 weeks after neoadjuvant radiotherapy [12]. And there were several reports that inflammation was not a significant problem at this time [41, 51, 52]. In this study, mean interval from NCRT to restaging ¹⁸F-FDG PET was 6.1 ± 1.2 weeks, and the shortest interval was 4 weeks. Therefore, we postulated that radiation-induced inflammation had a minimal effect on restaging ¹⁸F-FDG PET in the current study.

Although the accuracy of SLR2 for predicting the pCR was superior to other PET parameters, SLR2 plays a limited role in clinical decision making because of its suboptimal accuracy. The gastrointestinal tract can show variable ¹⁸F-FDG uptake without pathologic condition [53]. For this reason, physiological ¹⁸F-FDG uptake in the rectum may mask residual malignant lesion. In addition, the metabolism of a shrunken rectal tumor after NCRT can be affected by the partial volume effect. These factors can cause false-positive for the pCR, and lead to the relative lower specificities of the PET parameters including SLR2. In this regard, a combination of ¹⁸F-FDG PET/CT and other morphological imaging modalities or biochemical markers is expected to improve its accuracy for predicting the pCR in rectal cancer [54, 55].

This study has several limitations. First, the study was designed as a retrospective observational study. Second, survival data on enrolled patients were not considered. Third, it was difficult to promptly apply the suggested optimal cutoff criteria for PET parameters in a clinical setting because they were based on a single institutional data set. Fourth, we did not correct the partial volume effect for the rectal tumor after NCRT.

Conclusions

The results suggest that SLR2 is more useful for predicting the pCR than PET parameters without liver normalization in patients with locally advanced rectal cancer. This new parameter may help clinicians plan surgery and adjuvant therapy.

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Conflict of Interest Jihyun Park, Kyoung Jin Chang, Young Seok Seo, Byung Hyun Byun, Joon Ho Choi, Hansol Moon, Ilhan Lim, Byung Il Kim, Chang Woon Choi, and Sang Moo Lim declare no conflict of interest.

Ethics Statement This study was approved by the Institutional Review Board at Korea Cancer Center Hospital (IRB No. K-1403-002-032), and was performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. Acquisition of informed consent was exempted by the board because of retrospective nature of the study. Details that might disclose the identity of the subjects were omitted.

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