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Influence of parental sample sizes on the estimating genetic parameters in cultured clam *Meretrix meretrix* **based on factorial mating designs**

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Abstract

The precise and accurate knowledge of genetic parameters is a prerequisite for making efficient selection strategies in breeding programs. A number of estimators of heritability about important economic traits in many marine mollusks are available in the literature, however very few research have evaluated about the accuracy of genetic parameters estimated with different family structures. Thus, in the present study, the effect of parent sample size for estimating the precision of genetic parameters of four growth traits in clam *M. meretrix* by factorial designs were analyzed through restricted maximum likelihood (REML) and Bayesian. The results showed that the average estimated heritabilities of growth traits obtained from REML were 0.23–0.32 for 9 and 16 full-sib families and 0.19–0.22 for 25 full-sib families. When using Bayesian inference, the average estimated heritabilities were 0.11–0.12 for 9 and 16 full-sib families and 0.13–0.16 for 25 full-sib families. Compared with REML, Bayesian got lower heritabilities, but still remained at a medium level. When the number of parents increased from 6 to 10, the estimated heritabilities were more closed to 0.20 in REML and 0.12 in Bayesian inference. Genetic correlations among traits were positive and high and had no significant difference between different sizes of designs. The accuracies of estimated breeding values from the 9 and 16 families were less precise than those from 25 families. Our results provide a basic genetic evaluation for growth traits and should be useful for the design and operation of a practical selective breeding program in the clam *M. meretrix*.

Key words: *Meretrix meretrix*, parental sample sizes, genetic parameter, factorial design, restricted maximum likelihood, Bayesian inference

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1 Introduction

The clam *Meretrix meretrix*, which is widely distributed in the shallow seas of South and Southeast Asia, is an important commercial shellfish species in China (Liu et al., 2006). The successful artificial breeding of *M. meretrix* has promoted the development of an aquaculture industry in this species. However, a lack of high-quality seed has become a limitation to its production. Therefore, the establishment of a suitable selection program is needed to guide genetic breeding.

A sustainable genetic improvement program is largely dependent on the effective use of additive genetic variance and the accuracy of estimates of heritability. However, the accuracy of genetic parameter estimates is affected by the mating design, the number and size of families and the method of analysis (Falconer and Mackay, 1996). Typically, three different mating designs are used in genetic parameter estimation: factorial design, nested mating design and single pair design. The nested mating design is the most commonly used mating design in the genetic parameter estimation of bivalves (Mallet et al., 1986; Nguyen et al., 2011; Strömgren and Nielsen, 1989; Toro et al., 2004; Yan et al., 2014). However, many studies have shown that the factorial design has better performance in breeding programs than either the nested mating design or single pair design, as it can increase the accuracy of genetic parameter estimation (Blanc, 2003), produce a higher genetic response and lower inbreeding depression for the traits of interest (Dupont-Nivet et al., 2006; Engström et al., 1996). In addition, Busack and Knudsen (2007) also hypothesized that the factorial design could increase the effective number of breeders in fish hatchery operations.

The accurate estimation of the variance component by statistical methods is a fundamental component of the development of accurate estimates of genetic parameters and is an active area of

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research. In recent years, a major transition occurred in the statistical approaches for analyzing the variance component, shifting from analysis of variance (ANOVA) to likelihood-based methods (Littell, 2002). ANOVA has been a mainstay of statistical data analysis for several decades, but computer programs for this methodology have been encumbered with technical problems of estimation, weighting, and the handling of missing data (Littell, 2002). With the rapid development of computer technology, several methods based on maximum likelihood estimations have been developed. These include methods for the estimation of variance components, such as REML (restricted maximum likelihood), MIVQUE (minimum variance quadratic unbiased estimators) and MINQUE (minimum norm quadratic unbiased estimators). The implementation of REML estimation, which is widely used presently, heavily depends on the mixed model equations (MME) introduced by Henderson to construct the best linear unbiased predictors (BLUP) (Henderson, 1974). To accurately predict breeding values, the genetic relationships among all animals need to be accounted for. Therefore, animal models have been introduced that allow the specification of all additive variances among relatives by incorporating a random effect for the breeding value of each animal (Thompson et al., 2005). In addition to REML, Bayesian approach is another important method for analyzing genetic parameters with animal models; recently, this approach has attracted increased attention from researchers. REML is faster and easier to use than Bayesian analysis, but it has limitations. For example, parameter estimation and hypothesis testing becomes problematic when REML is used with non-Gaussian traits (Bolker et al., 2009). In contrast, these issues can be neatly solved by Bayesian inference via Markov chain Monte Carlo (MCMC) algorithms, but the computational speed can be slow and the analysis technically more challenging. For example, there are challenges in the specification of a sensible prior, which is a non-issue in REML analysis (Hadfield, 2010).

The aim of the present study was to estimate and compare the genetic parameters in three sample sizes of parents based on factorial mating designs (3×3, 4×4 and 5×5) through REML and Bayesian analysis. The accuracies of estimated breeding values (EBV) of growth traits were also compared from three families sizes using REML methods. Our results may be useful for identifying suitable mating schemes and analytical methods for a breeding improvement program of *M. meretrix*.

2 Materials and methods

2.1 *Experimental design*

The broodstock *M. meretrix* clams were the 3rd generation individuals under growth selection in the hatchery laboratory of Zhejiang Mariculture Research Institute (Wenzhou, China). The matured parent clams were treated by air-drying for 4–8 h, and each clam was placed in a 1 000 mL beaker containing 800 mL filtered seawater to induce spawning (Liu et al., 2006; Wang et al., 2011).

Twenty-five families were produced according to a factorial mating design in June 2012: typically five sires, each mated with five dams. Larvae rearing, juvenile nursing and grow-out were conducted following Wang et al. (2011). The 5×5 factorial design could be decomposed into one-hundred different 3×3 factorial designs, twenty-five different 4×4 factorial designs, thus, the three sizes of factorial designs (3×3, 4×4 and 5×5) could be used to estimate genetic parameters.

2.2 *Traits evaluated*

Four growth traits were measured at 12 months after fertilization for each size of factorial design: shell length (SL), shell height (SH), shell width (SW) and total body weight (TW). SL, SH and SW were measured using vernier calipers with each clam, and TW was measured using a digital balance. At least 25 individuals were chosen from each family.

2.3 *Genetic parameter estimation*

Genetic parameters were estimated using ASReml 3.0 (Gilmour et al., 2009) and the MCMCglmm package in R (Hadfield, 2010) with animal model. In matrix notation, the model is written as follows:

$$
y = Xb + Z_a a + Z_c c + e,
$$

where *y* is the phenotypic measure of the trait being analyzed, *b* is the vector of the fixed effects, *a* is the additive genetic effect of the individual animal, and *c* is the vector of common environment effects. \mathbf{X}, \mathbf{Z}_a and \mathbf{Z}_c are incidence matrices related to the fixed, additive genetic and common environment effects.

For the Bayesian analysis, the variance components were estimated using a Bayesian MCMC approach. The model was run with nitt=650 000, thinning interval=100, and burn-in period= 150 000. We assumed that the contribution of the genetic and residual effects were equivalent when setting the priors. Rerunning the model with a larger proportion (0.95 for the genetic effect) (Wilson et al., 2010) demonstrated that the prior had little effect on the outcome of the analysis.

Likelihood ratio tests and deviance information criterion (DIC) were used to test if the variance components of random effects were significant. For REML, we took off one random effect each time from the model above and run the programs to obtain log-likelihoods. Then compared twice the differences between these two log-likelihoods values (with and without one random effect) with Chi-squared values. For Bayesian analysis, deviance information criterion (DIC) was used to test the significance of random effects and lower DIC values indicated the random effect contributed to model.

as $h^2 = \frac{\sigma_a^2}{\sigma_a^2 + \sigma_c^2 + \sigma_e^2}$
 σ_a^2 is the additive ge netic variance, σ_{c}^{2} is the common environmental variance and σ_{e}^{2} Heritabilities for traits were calculated as from two univariate animal models. Here σ_a^2 is the additive geis the residual variance. Genetic and phenotypic correlations were estimated using the most appropriate animal model with the fixed and random effects as described above.

$$
r = \frac{\sigma_{12}}{\sqrt{\sigma_1^2} \sqrt{\sigma_2^2}}\,,
$$

where σ_{12} is the additive genetic or phenotypic covariance between the two traits, and σ_1^2 and σ_2^2 are the additive genetic or phenoypic variances of Traits 1 and 2, respectively.

2.4 *The accuracy of estimated breeding values*

The estimated breeding values (EBV) were also obtained through REML analysis. The accuracy (*r*) of each animal's EBV from each factorial design was calculated as

$$
r = \sqrt{1 - \frac{PEV}{(1 + F) \sigma_a^2}},
$$

inbreeding coefficient for each animal and σ_a^2 is the estimated where *PEV* is the prediction error variance of each EBV, *F* is the additive genetic variance obtained from the mixed model analysis (Lewis et al., 2013). ASReml 3.0 provides both the estimates of the EBV and their associated PEVs.

3 Results

3.1 *Growth traits*

The number of observations, means, standard deviations and coefficients of variation (CV) for four traits in total 25 full-sib families were shown in Table 1. The number of measured offspring was 828. After one year growth, mean shell length of the clams was 18.48 mm, mean shell height was 15.52 mm, mean shell width was 8.94 mm and mean total weight was 1.92 g with high CV (60.47%).

3.2 *Heritabilities obtained from REML*

The narrow-sense heritability $(h^2_{\;\;a})$ of four growth traits have been estimatied 100 times for 3×3 factorial design, 25 times for 4×4 factorial design and one time for 5×5 factorial design based on different parent combination data. The distribution of heritability estimates for 3×3 and 4×4 factorial designs were shown in Fig. 1 and Fig. 2, respectively. In the case of 3×3 factorial designs, the heritabilities of four growth traits ranged from 0 to 1, with the standard error (SE) ranged from 0 to 0.37 (Fig. 1), while in the

Table 1. Descriptive statistics of four growth traits from 5×5 factorial design

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Traits	N	Mean	SD.	$CV\%$	
Shell length/mm	828	18.48	5.36	29.02	
Shell height/mm	828	15.52	4.19	26.98	
Shell width/mm	828	8.94	2.81	31.47	
Total body weight/g	828	1.92	1.16	60.47	

case of 4×4 factorial designs, the heritabilities of four growth traits ranged from 0 to 0.7 (SE, 0–0.34) (Fig. 2). From these histograms, it is visualized that normal distributions might be suitable approximation. The goodness of fit tests for normal distribution indicated that with the exception of TW of 3×3 factorial designs, the heritabilities of others obey the normal distributions. Generally, the variance of estimated heritabilities decreased with the number of parents increased in factorial designs. Such as, the average estimated heritabilities of SL were 0.29 for both 3×3 and 4×4 factorial designs. But in 3×3, 90% of the values fell within the range of 0.06–0.52 and the central 50% fell within the range of 0.20–0.38, versus in 4×4, the values of 90% confidence interval ranged from 0.11 to 0.47 and the central 50% fell within the range of 0.22–0.36. When the number of parents increased to 10, the estimation of heritability of the four growth traits obtained from REML analysis were 0.19–0.22 (Table 2), these values fell within the central 55% of the empirical heritability distribution of 3×3 or 4×4 factorial designs. The log-likelihood ratio tests for REML analysis in 5×5 factorial design showed that additive genetic variance components and common environment variance components in four growth traits were significant (*P*<0.01) (Table 3). For

Fig. 1. The frequency of narrow-sense heritability estimates obtained from one-hundred 3×3 factorial designs using REML analysis.

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Fig. 2. The frequency of narrow-sense heritability estimates obtained from twenty-five 4×4 factorial designs using REML analysis.

Table 2. Narrow-sense heritability estimates (*h^a* 2) of each growth trait estimation obtained from 5×5 factorial design using either REML or Bayesian inference

Traits		REML		Bavesian		
		$h_{\alpha}^2 \pm SE$	h^2 + SE	h_a^2 (95% HPID)	h_c^2 (95% HPID)	
	SL	0.19 ± 0.10	0.20 ± 0.002	$0.13(0.05-0.64)$	$0.27(0.17-0.47)$	
5×5	SH	0.19 ± 0.10	0.20 ± 0.002	$0.16(0.05-0.61)$	$0.28(0.16-0.47)$	
	SW	0.21 ± 0.11	0.10 ± 0.002	$0.13(0.05 - 0.57)$	$0.27(0.17-0.44)$	
	TW	$0.22+0.11$	0.10 ± 0.002	$0.15(0.05-0.66)$	$0.26(0.17-0.45)$	

Note: $h_c^2=\sigma_c^2/\left(\sigma_a^2+\sigma_c^2+\sigma_e^2\right)$. The standard error (SE) is shown for REML h_a^{2} and h_c^{2} estimates. The 95% highest posterior density interval (HPDI) is shown for Bayesian h_a^2 and h_c^2 estimates.

Table 3. Log-likelihoods (LL) and deviance information criterion (DIC) for four traits with or without random effect in 5×5 factorial design

$\mathbf \sigma$ Method	Effect		Traits			
		SL	SH	SW	TW	
REML-LL	without σ_a^2	-1250.600	-1113.550	-894.390	-591.223	
MCMCglmm-DIC	with σ_a^2	-1240.610	-1102.240	-886.734	-575.947	
	without σ_c^2	-1242.670	-1104.410	-889.080	-577.951	
	with σ_c^2	-1240.610	-1102.240	-886.734	-575.947	
	without σ_a^2	4788.816	4 3 7 4 .664	3760.327	2811.288	
	with σ_a^2	4768.367	4 259.403	3704.877	2803.536	
	without σ_c^2	5079.828	4683.189	4 022.281	3 106.760	
	with σ_c^2	4788.816	4374.664	3760.327	2811.288	

Note: Significance of variance components were tested using likelihood ratio tests for REML. The values in bold mean that the random effect was significant (*P*<0.01). Significance of variance components were tested using DIC values for Bayesian and lower DIC (in bold) indicated the random effect contributed to model.

both 3×3 and 4×4 factorial designs, the common environment effects obtained from REML were about 0–0.02, which were lower than 5×5 factorial design (0.10–0.20) (Table 2).

3.3 *Heritabilities obtained from Bayesian inference*

Distribution of estimated heritabilites obtained from 3×3 and 4×4 factorial designs using Bayesian inference were shown in Figs 3 and 4. The Bayesian estimated heritabilties of 4×4 factorial designs were normally distributed, whereas 3×3 factorial designs were not strictly obey normal distribution under one sample Kolmogorov-Smirnov test. The estimated heritabilities of 4×4 factorial designs ranged from 0.04 to 0.36 (Fig. 4). For SL, SH and SW, the averages of heritabilities were 0.11, and the 90% confid-

Fig. 3. The frequency of narrow-sense heritability estimates obtained from one-hundred 3×3 factorial designs using Bayesian inference.

Fig. 4. The frequency of narrow-sense heritability estimates obtained from one-hundred 4×4 factorial designs using Bayesian inference.

ence interval of the averages values were 0.09–0.13. As for TW, the averages of heritability was 0.12±0.002, and the 90% confidence interval ranged from 0.07 to 0.17.

Compared with the REML, the distribution heritabilities of Bayesian inference were more concentrated. Take SL for example, 90% confidence interval of the averages values obtained from Bayesian analysis (0.09–0.13) were smaller than those obtained from REML (0.11–0.47) in 4×4 factorial designs. The heritabilities of four traits obtained from 5×5 designs ranged from 0.13 to 0.16 which were similar with 5×5 factorial design when using REML analysis (Table 2). Deviance information criterion for each trait also suggested that the additive genetic variance components and common environment variance components were significant and these random effects should be added into the model (Table 3).

3.4 *Correlations among growth traits*

The phenotypic and genetic correlations among the four growth traits using the two different methods of analysis are presented in Tables 4 and 5. The estimated genetic correlations of the traits were higher than the phenotypic correlations, ranging from 0.94 to 0.96 with REML analysis and 0.85 to 0.91 with Bayesian analysis in 5×5 factorial design. All these phenotypic and genetic correlations for growth traits were highly significant. Most of the phenotypic and genetic correlation values estimated from the 5×5 factorial design were slightly lower than those from the 3×3 and 4×4 factorial design with REML. For Bayesian inference, the genetic correlation values of growth traits from three factorial designs were similar except TW in 4×4 factorial design. Overall, the four growth traits were highly correlated with each

other in the three sizes of factorial designs and both two methods of analysis.

3.5 *The accuracy of estimated breeding values*

The individual estimated breeding values (EBV) of growth traits in three different sample sizes of parents were obtained through REML analysis and the mean accuracies of EBV of the four growth traits were also compared to analyze the influence of different parental sample sizes on the accuracy of EBV. The results showed that the mean accuracies of EBV of the four growth traits in the 5×5 factorial design ranged from 64.2%–65.7%, which were significantly higher ($p<0.01$) than those in 3×3 and 4×4 factorial design (Fig. 5). In addition, TW was significantly higher than (*p*<0.01) other three traits (Fig. 5) in 5×5 factorial design.

4 Discussion

4.1 *Effect of parental sample sizes on estimates of heritability*

In our study, a full factorial mating design was used to estimate the genetic parameters and breeding values. Furthermore, we compared the distribution of heritabilities of four growth traits that obtained from different sample sizes of parents. The relationship of the number of families and the accuracy of heritabilty have been studied using simulation data, such as Dupont-Nivet et al. (2002) found that the number of breeders and families seems significantly affect the accuracy of the heritabilities when the total number of offspring is held constant in fully factorial designs. That is the larger the number of families are, the higher the accuracy of the heritability estimation will be. But in experi-

Fig. 5. Mean accuracies of EBV of growth traits in three different sample sizes of parents using REML analysis.

mental practice, the number of rearing facilities and the number of progeny per dam limit the number of families to increase infinitely. What's more, as the family number increase, the environment factors are more difficult to control, so the environmental heterogeneity will influence the accuracy of genetic parameter analysis. Our result showed that several factorial crosses with smaller size for estimation heritabilty of confidence intervals perform, on the average, quite satisfactorily, though the reliability for a single experiment may be quite low. It indicates that a large number of families could be replaced by several small number of families in studies estimating heritability, as a small size of factorial design can be better controlled and easier to implement in a practical breeding program.

ies (h_D^2 >0.6, h_S^2 >0.5) for growth in both larvae and juveniles of The heritabilities at high frequency obtained from three sample sizes of parents based on factorial designs through REML and Bayesian inference ranged from 0.1 to 0.2; these were medium levels. However, we have noticed that, for most bivalves, the heritabilities estimated from nested designs are high relative to those from factorial designs. For example, Wang et al. (2011) found high-magnitude heritabilities (0.64–0.85) in 6- and 12 month-old *M. meretrix* using a nested mating design established in 2007. Strömgren and Nielsen (1989) reported high heritabilit-*Mytilus chilensis* in a nested design (27 females, 9 males). Similar values (0.62–0.92) were reported by Mallet et al. (1986), who estimated the heritabilities of shell length in juveniles and adults using 30 full-sib and 10 half-sib families. Toro et al. (2004) studied the heritabilities of larval size in *Mytilus chilensis* based on a nested design and obtained half-sib heritability estimates of shell height ranging between 0.38±0.33 and 0.84±0.45. High estimated heritabilities for growth were also found in *Ruditapes philippinarum* (0.87±0.24) through 33 full-sib families nested within 11 half-sib families generated from the cultured population (Dégremont et al., 2007).

The difference might reflect study differences in mating design, model and method of analysis. The nested design, although it has been frequently used, its additive effects may be inflated by non-additive genetic such as dominant, maternal or common environmental effects. So the proper and practical experimental designs and suitable analysis models were important when estimate genetic parameters. In the present study, we excluded the common environment effects from additive genetic effects to obtain reliable estimates of heritability using a full factorial design.

4.2 *Comparison of the REML and Bayesian analysis*

With the advances in computer technology, complex algorithms can easily be implemented. A common method for variance components estimation uses restricted maximum likelihood (REML) (Patterson and Thompson, 1971). However, Bayesian prediction of genetic parameters has also been widely employed. Mathew et al. (2012) used a Markov chain Monte Carlo sampling algorithm to estimate genetic parameters in a linear mixed model with several random effects. They observed that the Bayesian estimates of variance components were close to the REML estimates for simulated data and as accurate as the REML estimates for barley field data. Silva et al. (2013) also established a Bayesian framework for mixed models analysis and demonstrated that the Bayesian inference was as adequate as REML/ BLUP for genetic evaluation. In our study, the estimated heritabilities at highest frequency of growth traits based on REML and Bayesian methods were similar, remained approximately constant at a medium level (h^2 ranging from 0.10 to 0.20). However, when six and eight parents were used to estimate the heritabilities of growth traits, the distributions of the heritabilities obtained from Bayesian inference were more convergence than the results got from REML analysis. The results showed that Bayesian inference has better performance than REML when facing small size of mating design. When ten parents were used to produce factorial design, the heritabilities using Bayesian inference were slightly lower than using REML. In the present study, the high standard errors for REML and the wide credible intervals for Bayesian analysis were found for both the heritabilities and the correlations. These results were likely due to the limited sample size, mass spawning practices and the impacts of other environmental factors arising during rearing and grow-out.

4.3 *Prediction of breeding values*

Best linear unbiased prediction (BLUP) (Henderson, 1974) has been widely used for genetic evaluation in animal breeding programs. Many theoretical studies have compared ANOVA/ BLUE (best linear unbiased estimation), REML/BLUP and Bayesian inference in predicting genetic parameters. However, no method is clearly superior in all situations. Waldmann and Ericsson (2006) compared genetic parameters obtained with REML and Bayesian Gibbs sampling using simulated data and real data of Scots pine. They found that the REML estimates were accurate and that the mode of posterior distribution from Gibbs sampling can be overestimated depending on the heritability. Schenkel et al. (2002) found that the breeding values obtained from Bayesian and REML/BLUP did not differ over the range of simulated situations with respect to Spearman's rank correlations between true and predicted breeding values, but that the correlations were not strong (0.48–0.57) when the heritability was 0.2.

In our study, individual breeding values were estimated using REML/BLUP in three different sample sizes of families and the mean accuracies of EBV of the four growth traits were also compared to analysis the influence of different parental sample sizes on the accuracy of EBV. When using BLUP to estimate the breeding values, it is important that the pedigree and phenotype data are correct, complete and credible, and that the variance components are estimated accurately. The accuracy of heritability estimation greatly influences the breeding value estimates. From the results, we found that the mean accuracies of EBV of the four growth traits in the 5×5 factorial design were significantly higher (p <0.01) than those in 3×3 and 4×4 factorial design,

which showed good agreement with Dupont-Nivet et al. (2002). These results indicated that the 5×5 factorial design may be more reliable in its estimates of breeding value. Furthermore, the mean accuracy of EBV of TW was significantly higher (*p*<0.01) than other three traits in 5×5 factorial design, which might suggest that TW in a 5×5 factorial design should be reliable for improve the genetic progress in future studies.

5 Conclusions

In summary, we compared the genetic parameters and accuracies of EBV of four growth traits by three sizes of factorial designs in REML and Bayesian inference. The estimated heritabilities of growth traits based on Bayesian inference were a little lower than REML, but still remained at a medium level. Genetic correlations among traits were positive and high, and had no significant difference between different sizes of designs. The mean accuracies of EBV of the four growth traits estimated from 25 fullsib famlies were significantly higher than those from 9 and 16 full-sib famlies, especially the total body weight. Our results provide a basic genetic evaluation of growth traits and should be useful for the design and operation of a practical selective breeding program in the clam *M. meretrix*.

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