**ORIGINAL ARTICLE**



# **Identifcation of post‑procedural optical coherence tomography fndings associated with the 1‑year vascular response evaluated by coronary angioscopy**

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Received: 22 January 2022 / Accepted: 18 July 2022 / Published online: 2 August 2022 © The Author(s) under exclusive licence to Japanese Association of Cardiovascular Intervention and Therapeutics 2022

#### **Abstract**

Optical coherence tomography (OCT) provides higher resolution intravascular imaging and allows detailed evaluations of stent implantation sites post-percutaneous coronary intervention (PCI). Coronary angioscopy (CAS) can evaluate the vascular response after drug-eluting stent (DES) implantation. The post-PCI OCT fndings that are associated with the CAS 1-year vascular response have not been known. We enrolled 168 lesions from 119 patients who underwent OCT-guided PCI using DES and follow-up CAS observation at  $1 \pm 0.5$  year from August 2012 to December 2019. Outcome measures were sufficient neointimal coverage (NIC) defined as stent struts embedded in the neointima, subclinical intrastent thrombus, and vulnerable stented segments defned as those with angioscopic yellow or intensive yellow color 1 year after PCI. We identified the post-PCI OCT findings associated with these CAS findings. Sufficient NIC, subclinical intrastent thrombus, and vulnerable stented segment were detected in 85 lesions (51%), 47 lesions (28%), and 54 lesions (32%), respectively. A multivariate analysis demonstrated that malapposed struts were negatively associated with sufficient NIC (odds ratio 0.87; 95% CI 0.76–0.99; *p*=0.029). However, no specifc OCT fndings immediately after PCI were associated with subclinical intrastent thrombus or vulnerable stented segment. Malapposition immediately after PCI was negatively associated with sufficient NIC at 1 year even without associations between post-PCI OCT findings and subclinical intrastent thrombus or vulnerable stented segment.

**Keywords** Coronary angioscopy · Malapposition · Optical coherence tomography · Thrombus

#### **Abbreviations**

- CAS Coronary angioscopy
- CRP C-reactive protein
- DES Drug-eluting stent
- ISA Incomplete stent apposition
- LAD Left anterior descending
- NIC Neointimal coverage
- OCT Optical coherence tomography
- PCI Percutaneous coronary intervention
- SED Stent edge dissection
- ST Stent thrombosis

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## **Introduction**

The incidences of late and very late stent thrombosis (ST) after the implantation of a drug-eluting stent (DES) have been decreasing in the second-generation DES era, but the ST issue has not been resolved completely [\[1\]](#page-8-0). The main causes of late and very late ST are delayed healing and abnormal vascular response [[2](#page-8-1)]. To assess the risk of ST, it is informative to evaluate the intravascular status using intravascular imaging.

Coronary angioscopy (CAS) can evaluate vascular responses such as the neointimal coverage (NIC), the presence/absence of an intrastent thrombus, and yellow color of the stented segment with real image and full color. Classically, poor NIC (which has been judged as uncovered struts demonstrated by pathological and optical coherence tomography [OCT]) was an independent predictor of ST [\[3](#page-8-2), [4](#page-8-3)]. In a case with very late ST 5 years after frst-generation sirolimus-eluting stent, CAS demonstrated that stent struts

were uncovered and fully visible [[5\]](#page-8-4). Compared to OCT, CAS is better able to detect thrombi [[6\]](#page-9-0). Since thrombus adhesion is an initial phase of arterial repair and does not occur where arterial repair is complete, thrombus adhesion is considered an indicator of delayed arterial healing [\[7](#page-9-1), [8](#page-9-2)]. Because the intensity of yellow color is negatively correlated with the thickness of the fbrous cap, intense yellow color indicates high plaque vulnerability [\[9](#page-9-3)]. In addition, the previous article which mentioned that coronary endothelial dysfunction was associated with poor neointimal coverage after DES implantation suggested the accuracy of CAS as a surrogate marker of arterial healing [\[10](#page-9-4)].

Compared to the other types of intravascular imaging OCT provides high-resolution images, and thus OCT is useful for the guidance of percutaneous coronary intervention (PCI). In an OCT-guided PCI, the precise intravascular status immediately after the implantation of DES can be determined [\[11,](#page-9-5) [12\]](#page-9-6). Several previous studies with serial OCT observations showed that acute-phase stent fndings such as stent malapposition or protrusions were associated with chronic-phase suboptimal intrastent fndings after DES implantation [[13](#page-9-7)[–16\]](#page-9-8). However, the association between acute-phase post-PCI OCT fndings and chronic-phase vessel responses evaluated by CAS after DES implantation has not been elucidated. We conducted the present study to identify the post-PCI OCT fndings that are associated with 1-year CAS fndings.

## **Methods**

#### **Patients**

This was a single-center, retrospective observational study. We enrolled the cases of patients who received an OCTguided PCI and follow-up CAS observation  $1 \pm 0.5$  year after the PCI during the period from August 2012 to December 2019 at Kansai Rosai Hospital. All DESs were implanted in de novo lesions in native coronary arteries. We excluded the cases of patients without OCT imaging immediately after PCI and patients with poor image quality, incomplete imaging of the entire stent length, and those in whom a covered stent was used. We also excluded patients who exhibited any event of stent failure before CAS observation such as instent restenosis, and those who did not undergo a successful angioscopic evaluation (Fig. [1\)](#page-1-0).

Although CAS observation at follow-up angiography as well as staged PCI for other lesions was recommended for all patients, this was not performed when informed consent could not be obtained, or when a specialist for angioscopic evaluation was not available. A fnal total of 168 lesions from 119 patients were included in the analysis. The elective patients received ticlopidine (200 mg/day), clopidogrel (75 mg/day), or prasugrel (3.75 mg/day) in addition to aspirin (100 mg/day) at  $\geq$  1 week before their PCI. For the emergent patients, the antiplatelet drugs (aspirin 200 mg and clopidogrel 300 mg or prasugrel 20 mg) were loaded before



<span id="page-1-0"></span>Fig. 1 Study flowchart. A total of 6813 lesions from 3107 patients underwent PCI from the study period in our hospital. Of these, 5983 lesions from 2990 patients were de novo lesions, and OCT-guided PCI was performed for 841 lesions from 513 patients. Follow-up CAS observation was performed for 168 lesions from 119 patients 1±0.5 year after the PCI. *CAS* coronary angioscopy; *IVUS* intravascular ultrasound; *OCT* optical coherence tomography; *PCI* percutaneous coronary intervention

the PCI. Dual-antiplatelet therapy was continued according to the guideline at that time. The Medical Ethics Committee of Kansai Rosai Hospital approved the study (Reference number: 18D060g), and all patients provided written informed consent.

#### **OCT image acquisition and analysis**

The methodology that we used herein for the OCT evaluation was decided based on a previous report [\[17](#page-9-9), [18](#page-9-10)]. We analyzed the cross-section for every 1 mm of OCT imaging immediately after the respective patient's PCI. OCT images spanning the entire length of the stent plus 5-mm-proximal and 5-mm-distal reference segments were analyzed. The qualitative analysis included evaluations for stent edge dissection (SED), in-stent dissection, incomplete stent apposition (ISA), in-stent tissue protrusion (smooth protrusion, disrupted fbrous tissue protrusion, and irregular protrusion), and thrombus. For the qualitative analysis, we analyzed all of the cross-sectional images within the entire stent length and 5-mm peri-stent segments.

When > 2 consecutive frames were not analyzable due to residual blood or artifacts, the case was considered to have poor image quality and was, therefore, excluded. SED was defned as the disruption of the vessel luminal surface with a visible fap at the stent edge or at the 5-mm proximal and distal reference segments. When a dissection fap was visualized, the maximum fap length (mm) on the cross-sectional view and the dissection length (mm) on the longitudinal view were recorded. In-stent dissection was defned as disruption of the luminal vessel surface within the stented segment. ISA was defned as separation of the inner surface of a stent strut from the inner vessel wall, in segments without a side branch, by a distance greater than or equal to the axial resolution of OCT plus the width of the stent strut of each stent type including the polymer coating.

For quantitative parameters of ISA, we counted the number of total struts and malapposed struts and measured the strut-to-vessel wall distance (S–V distance, mm), defned as the distance between the inner surface of the stent strut and the inner surface of the vessel wall for each cross-section. The maximum S–V distance was recorded for each lesion. We recorded the malapposition area for each cross-section; the malapposition volume was defned as the sum of the malapposition areas multiplied by the analysis length. We defned '%malapposed struts' as the number of malapposed struts divided by the total number of struts.

In-stent tissue protrusion was divided into three categories: smooth protrusion, disrupted fbrous tissue protrusion, and irregular protrusion. Smooth protrusion was defned as the bowing of plaque into the lumen between stent struts, without intimal disruption, appearing as a smooth semicircular arc connecting adjacent struts, and likely representing the compression of soft plaque by the stent. Disrupted fbrous tissue protrusion was defned as disruption of underlying fbrous tissue protruding in between stent struts into the lumen. Irregular protrusion was defned as protrusion of material with an irregular surface into the lumen between stent struts. Thrombus was defned as a mass with a diameter  $\geq$  250 µm attached to the luminal surface, stent strut, or foating within the lumen. When thrombus could not be completely diferentiated from irregular protrusion, we categorized it as irregular protrusion.

For the quantitative evaluation, we analyzed cross-sectional OCT images at 1.0-mm intervals for the following parameters: maximum, minimum, and mean stent diameter; stent area; maximum, minimum, and mean lumen diameter; lumen area; maximum, minimum, and mean reference diameter (largest lumen within 5 mm of the proximal and distal edges); and the reference area (within 5 mm of the proximal and distal edges). We identifed stent underexpansion as a ratio of the minimum stent area (MSA) to the mean reference area at  $< 0.8$ .

#### **CAS follow‑up**

CAS was performed after the administration of unfractionated heparin (5000 IU) into the radial or femoral artery via the inserted sheath and the administration of isosorbide dinitrate into the coronary artery. CAS was subsequently performed using a Fullview NEO angioscopic catheter (FiberTech, Tokyo) during the period from August 2012 to September 2016. Briefy, an optical fber was placed at the distal segment of the coronary artery and manually pulled back from the distal edge of the stent to the proximal edge under careful angioscopic and angiographic guidance. Since October 2016, we have been using a smart-i angioscopic catheter (i Heart Medical, Tokyo) because the Fullview NEO was discontinued. Using guide extension catheters such as the GuideLiner (Japan Lifeline, Tokyo), Guidezilla (Boston Scientifc, Natick, MA, USA), and Guideplus (NIPRO, Osaka, Japan), we blocked blood flow by flushing with low-molecular-weight dextran. Both angioscopic images consisted of 3000 pixels with full color and were digitally stored for off-line analysis.

#### **CAS analysis**

CAS images were analyzed to determine the following: (1) the dominant degree of neointimal coverage (NIC) over the stent, (2) the presence/absence of a subclinical intrastent thrombus, and (3) the yellow color grade of the stented segment. NIC over the stent was classifed into four grades as described [\[19](#page-9-11)]: grade 0, stent struts fully visible, similar to immediately after implantation; grade 1, stent struts bulging into the lumen and although covered, still transparently

visible; grade 2, stent struts embedded in the neointima, but translucently visible; and grade 3, stent struts fully embedded and invisible on angioscopy.

NIC that was  $\geq$  grade 2 was defined as sufficient NIC. Subclinical intrastent thrombus was defned as a material adhering to the luminal surface or protruding into the lumen [\[20](#page-9-12)]. The yellow color was graded as follows: grade 0, white; grade 1, light yellow; grade 2, yellow; grade 3, intensive yellow [\[21\]](#page-9-13). Yellow color that was  $≥$  grade 2 was defined as indicating a vulnerable stented segment. As mentioned in a previous article from our institution [\[21](#page-9-13)], the estimated inter- and intra-observer k-coefficients were 0.84 and 0.95, respectively, for the dominant degree of NIC over the stent; 0.93 and 1.0 for the presence of subclinical intrastent thrombus; and 0.82 and 0.86 for the yellow color grade of the stented segment.

#### **Study outcomes**

The outcome measures were sufficient NIC, the presence/ absence of subclinical intrastent thrombus, and the presence/ absence of vulnerable stented segment(s) evaluated by CAS at 1 year post-PCI. We evaluated the post-PCI OCT fndings associated with these CAS fndings.

#### **Statistical analysis**

All results are expressed as the mean $\pm$ SD (standard deviation) unless otherwise stated. Continuous variables with and without homogeneity of variance were analyzed by Student's *t* test and Welch's *t* test, respectively. Categorical variables were analyzed with Fisher's exact test for 2×2 comparisons. The multivariate analysis was performed with a logistic regression analysis. Variables in the univariate analysis with  $p$  values < 0.05 were selected for the multivariate analysis. Statistical significance was defined as  $p < 0.05$ . All calculations were performed using the IBM SPSS Statistics package ver. 26 (IBM, Armonk, NY).

## **Results**

#### **Patients**

The patients' characteristics and medications at the time of their follow-up CAS observation are summarized in Table [1.](#page-3-0) Of the 119 patients, 107 patients (89.9%) were male, and the mean age was  $70 \pm 10$  years old. Notable comorbidities included hypertension (87 patients, 73.1%), dyslipidemia (91 patients, 76.5%), and diabetes mellitus (50 patients, 42.0%). Eighty-one patients (68.1%) were being treated with a P2Y12 inhibitor, and 82 patients (68.9%) were being

#### <span id="page-3-0"></span>**Table 1** Patient characteristics  $(n = 119)$



Data are mean  $\pm$  SD or number (%)

*DOAC* direct oral anticoagulant

<span id="page-3-1"></span>



Data are mean  $\pm$  SD or number (%)

treated with a statin. Dual-antiplatelet therapy continued for 102 patients (85.7%).

The lesion and procedural characteristics are shown in Table [2](#page-3-1). Among the 168 lesions, 76 lesions (45.2%) were left anterior descending (LAD) lesions, 134 lesions (79.8%) were Type B2/C, and 17 lesions (10.1%) were acute coronary syndrome (ACS) lesions. The following mean values were obtained: pre-dilatation balloon maximum diameter,  $2.65 \pm 0.47$  mm; maximum stent diameter,  $3.00 \pm 0.46$  mm; minimum stent diameter,  $2.93 \pm 0.48$  mm; and post-dilatation balloon maximum diameter,  $3.29 \pm 0.51$  mm. There were 36 lesions with second-generation DES (3 lesions: Promus®, Boston Scientifc; 4 lesions: Resolute®, Medtronic, Minneapolis, MN; 29 lesions: Xience®, Abbott Vascular, Abbott Park, IL), 47 lesions with third-generation DES (40 lesions: Synergy®, Boston Scientifc; 7 lesions: Ultimaster®, Terumo, Tokyo), 10 lesions with newer generation DES (Orsiro®, Biotronik, Bülach, Switzerland), and 75 lesions treated with Drug-coated stent (BioFreedom®, Biosensors Interventional Technologies, Singapore).

#### **CAS fndings**

Sufficient NIC was detected in 85 lesions  $(51\%)$ , subclinical intrastent thrombus was detected in 47 lesions (28%), and vulnerable stented segment was detected in 54 lesions (3%). Table [3](#page-5-0) lists the predictors of sufficient coverage (NIC  $\geq$  2) at 1 year after PCI. After the multivariate analysis, the %malapposed struts was negatively associated with sufficient coverage (odds ratio [OR] 0.87; 95% confdence interval [CI] 0.76–0.99;  $p = 0.029$ ). The predictors of thrombus adhesion at 1 year post-PCI are shown in Table [4](#page-6-0). Pre-PCI C-reactive protein (CRP) level was positively associated with a subclinical intrastent thrombus at 1 year. However, in terms of the OCT fndings immediately after PCI, while the malapposition volume showed a tendency for a positive correlation, no fndings immediately post-PCI predicted a subclinical intrastent thrombus at 1 year. Table [5](#page-7-0) provides the predictors of a vulnerable stented segment 1 year post-PCI. After the multivariate analysis, no fndings immediately after PCI predicted a vulnerable stented segment at 1 year. Table [6](#page-8-5) provides the diference of response after DES implantation according to the stent types. There was no signifcant diference of vascular response after DES implantation according to the stent types. Furthermore, after logistic regression analysis, no type of stents showed a signifcant diferences of vascular response after DES implantation compared to the second-generation DES (Tables [3,](#page-5-0) [4](#page-6-0), [5\)](#page-7-0). In addition, there was no signifcant association between dual-antiplatelet therapy and the presence of a subclinical intrastent thrombus (OR 0.59; 95% CI 0.23–1.52; *p*=0.27). Similarly, there was no signifcant association with a subclinical intrastent thrombus for statins (OR 1.27; 95% CI 0.50–3.21; *p*=0.61) and direct oral anticoagulant (OR 1.03; 95% CI 0.19–5.51;  $p = 0.97$ ).

## **Discussion**

Through the evaluation of second- and later generation DESs, we determined the following in this study: (1) there was a signifcant association between %malapposition immediately after PCI and sufficient NIC at 1 year post-PCI;  $(2)$  there was no association between OCT fndings immediately after PCI and the incidence of subclinical intrastent thrombus or vulnerable stented segment 1 year post-PCI.

Previous OCT studies demonstrated the association between malapposition immediately after PCI and poor strut coverage [[13](#page-9-7)[–15\]](#page-9-14). The current study in which CAS was used to evaluate the chronic-phase neointimal coverage is consistent to the previous serial OCT studies. Smooth muscle cell proliferation occurs at a stented site contacting the vessel wall  $[7]$ . It is thus difficult for neointima to cover a stent implantation site with severe malapposition, as neointima is composed mainly of smooth muscle cells, and our present analyses revealed that the %malapposition was a negative independent predictor for sufficient NIC. Insufficient NIC would have an impact on the risk of ST  $[3, 4]$  $[3, 4]$  $[3, 4]$  $[3, 4]$  $[3, 4]$ , and it is important to confrm the adequate strut apposition at the time when the PCI is performed, to obtain future better future NIC.

Subclinical intrastent thrombi observed by CAS at 9 months after the implantation of second-generation DESs was independently associated with future major adverse clinical events [\[22](#page-9-15)]. Since intrastent thrombus is a marker of endothelial dysfunction [[10](#page-9-4)], a patient's unique endothelial dysfunction would contribute to the relationship between subclinical intrastent thrombus and the future clinical outcome. Several OCT studies demonstrated that malapposition was one of the major causes of stent thrombosis [\[23–](#page-9-16)[25\]](#page-9-17). However, in our present investigation, only the malapposition volume had a tendency to be associated with the presence of a subclinical thrombus (without statistical signifcance). Lee et al. reported that OCT-guided PCI resulted in a lower proportion of uncovered struts compared to angiography-guided PCI [[26\]](#page-9-18). Since we routinely perform OCT-guided PCI and decrease the malapposition as much as possible, this could have contributed to the decrease in the absolute incidence of malapposition, which may lead to an insufficient sample size and decrease the statistical power. On the contrary, pre-PCI CRP level was positively associated with a subclinical intrastent thrombus at 1 year. Sakai et al. revealed in the article of in vivo evaluation of tissue protrusion by OCT and CAS immediately after PCI that the incidence of thrombus was most frequent in the irregular protrusion group and the increase in high-sensitivity CRP levels after stent implantation was signifcantly more frequent in the irregular protrusion than in the other protrusion groups [[27](#page-9-19)]. Judging from these fndings, there would be a relationship between thrombus adhesion and infammation. Precise mechanism of the relationship between pre-PCI CRP level and thrombus adhesion in the chronic phase is unknown, and further investigation is necessary.

Miyoshi et al. revealed the relationship between OCTtissue protrusion and the CAS-yellow plaque grade immediately after stent implantation [\[28\]](#page-9-20). Although two CAS

<span id="page-5-0"></span>



*BMI* body mass index; *CI* confdence interval; *CRP* C-reactive protein; *LDL* low-density lipoprotein; *OCT* optical coherence tomography; *OR* odds ratio; *PCI* percutaneous coronary intervention

a At the time of CAS follow-up

#### <span id="page-6-0"></span>**Table 4** Logistic regression analysis for subclinical intrastent Thrombus



*BMI* body mass index; *CI* confdence interval; *CRP* C-reactive protein; *LDL* low-density lipoprotein; *OCT* optical coherence tomography; *OR* odds ratio; *PCI* percutaneous coronary intervention

a At the time of CAS follow-up

#### <span id="page-7-0"></span>**Table 5** Logistic regression analysis for vulnerable stented segment (≥grade 2)



*BMI* body mass index; *CI* confdence interval; *CRP* C-reactive protein; *LDL* low-density lipoprotein; *OCT* optical coherence tomography; *OR* odds ratio; *PCI* percutaneous coronary intervention

a At the time of CAS follow-up

![](_page_8_Picture_384.jpeg)

<span id="page-8-5"></span>**Table 6** Diference of vascular response after DES implantation according to the stent types

*DES* drug-eluting stent

studies revealed that the yellow grade increased from the baseline to 1 year after DES implantation, which indicated the occurrence of neoatherosclerosis [[29,](#page-9-21) [30\]](#page-9-22), the incidence of neoatherosclerosis was pathologically similar between frst-generation DESs and second-generation DESs [\[31](#page-9-23)]. It is unclear whether the status of yellow color grade 1 year after stent implantation in the present study refected the underlying lipid plaque or neoatherosclerosis, but a vascular response after DES implantation would change the intravascular status and minimize the fndings immediately after PCI, which contributed to the absence of an association between OCT fndings immediately after PCI and vulnerable stented segment at 1 year in this study, contrary to the report by Miyoshi et al. We observed that the yellow color grade at 1 year, which predicts the future prognosis [[32](#page-9-24)], could not be predicted by the OCT fndings immediately after stent implantation.

#### **Limitations**

This study has several limitations. First, it was a singlecenter, non-randomized observational study. Second, in some cases, the use of CAS could not completely evaluate the whole stented segments due to limitations in the visual feld of the CAS, especially in angulated or tortuous lesions. However, in such cases, changing the guidewire sometimes improved the visual feld. Third, OCT would be more preferable device to evaluate the neointimal coverage than CAS, because it has a higher resolution power. However, in this retrospective observational study, since we extracted the data from the database of coronary angioscopy, we decided to use the angioscopic fndings for the evaluation of arterial healing in the chronic phase. Fourth, since we used two types of angioscopic catheter for the observation at diferent times, we could not completely deny the possibility that the differences between both equipment afected the assessment of intrastent fndings. Finally, although the sample size was comparable to those of previous CAS studies [[25\]](#page-9-17), it is possible that the present sample size was insufficient to detect the relationship between post-PCI OCT fndings and 1-year CAS fndings. Larger scale studies are necessary to reach any conclusion about this issue.

# **Conclusions**

Malapposition immediately after PCI was negatively associated with sufficient NIC at 1 year, although no associations were detected between post-PCI OCT fndings and subclinical intrastent thrombus or vulnerable stented segment.

#### **Funding** None.

**Data availability** Our study data will not be made available to other researchers for purposes of reproducing the results, due to institutional review board restrictions.

## **Declarations**

**Conflict of interest** O. Iida has received remuneration from Boston Scientific Japan. T. Mano has received a research grant from Abbott Medical Japan. The remaining authors have no disclosures to report.

**IRB information** Name of the ethics committee: The Medical Ethics Committee of Kansai Rosai Hospital; Reference number: 18D060g.

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