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Microstructure and Mechanical Properties of Al/MgAl₂O₄ In Situ Composites Synthesized by Ultrasonic Cavitation

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Abstract Al-4Mg/MgAl₂O₄ composites were successfully synthesized by the in situ reaction of Al-4Mg alloy melt and H₃BO₃ precursor in the presence of ultrasonic cavitation field. Ultrasonic-assisted synthesis facilitated generation of ~ 350-nm-sized MgAl₂O₄ particles. Enhanced reaction along with dispersion of MgAl₂O₄ was perceived due to the synergetic effect of ultrasonic cavitation. The presence of MgAl₂O₄ particles resulted in 3-4 times reduction in matrix alloy grain size, and the grain refinement was further enhanced by ultrasonic treatment. Compared to unreinforced alloy, ultrasonicated Al/MgAl₂O₄ composite exhibited an improvement in ultimate tensile strength by ~ 30 MPa with $\sim 85\%$ of ductility retention. Grain boundary strengthening, Orowan dispersion strengthening, coefficient of thermal expansion mismatch strengthening and load-bearing strengthening were the anticipated mechanism for enhancement in mechanical properties.

Keywords Al–Mg alloy \cdot MgAl₂O₄ \cdot Grain refinement \cdot Ultrasonic treatment \cdot Mechanical properties

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1 Introduction

Aluminium alloys are gaining greater importance in automotive and aerospace industries since they possess low density, better specific strength and high specific stiffness. However, owing to their deficient mechanical properties, the range of application is limited. Grain refinement technique is used for improving the mechanical properties of aluminium alloys. Grain refinement technique is employed to produce uniform, equiaxed and fine grains in the aluminium alloys for numerous years [1-3]. Smaller grains provide structural uniformity and consequently better strength along with reduction in porosity, hot tearing and macro-segregation [4, 5]. Even though several grain refinement methods are widely used to refine aluminium alloys, addition of inoculants as grain refiners is used extensively to refine the grains [6]. Amongst various grain refiners, Al-Ti-B master alloys are the easily accessible potential refiners at industrial scale [7]. But release of fluoride and chloride gases and dross generation during the preparation of master alloys are of great concern to the environment [8]. In order to overcome these difficulties, addition of oxide for refinement of grain is a commercially viable and environmental friendly method. Various novel refiners were produced till date and their significance on grain refinement was investigated extensively [9, 10]. However, research on a suitable replacement for commercial refiner is still to be carried out.

Oxides are thermodynamically stable and have good lattice match with the aluminium alloy and act an effective nucleant [11, 12]. Upon introduction of ultrasonic treatment (UT), these oxides tend to turn as heterogeneous nucleation spots that contribute for grain refinement [13]. Therefore, employment of oxide particles such as MgAl₂O₄ [14], MgO [15] and Al₂O₃ [14, 15] as nucleating agents is

focused as they form oxides easily on surface of aluminium melt under different conditions. Amongst them, $MgAl_2O_4$ is chosen for the study due to its effective refiner for nucleation owing to very small lattice mismatch (1.4%) and crystal structure similar to aluminium [11].

MgAl₂O₄ can be generated in situ through addition of oxide particles to the aluminium melt. Formation of in situ $MgAl_2O_4$ in different metal matrix composites (MMCs) is extensively understood and any source of oxygen is suitable to generate MgAl₂O₄ in Al-Mg alloy [16, 17]. Researchers have reported on addition of various oxides such as Al₂O₃ [18], SiO₂ [19], TiO₂ [20], MnO₂ [21] and generation of MgAl₂O₄ particles resulting from the oxide reduction and Al and Mg consumption from matrix. Harini et al. [22] studied the efficiency of Al-MgAl₂O₄ master alloy synthesised from Al-2wt%Mg alloy and SiO₂ (5 wt%) under UT. Results revealed two- to threefold grain size reduction with increase in MgAl₂O₄ addition and 11–12-fold decrease in grain size upon UT. Horng [20] fabricated Al-4Mg/Al₂O₃ composites through vortex method and remelted the composites at various temperatures of 700 °C, 750 °C, 800 °C and 850 °C for different times to investigate the generation of MgAl₂O₄ spinel. Fine and uniform MgAl₂O₄ particles were reported to be formed over the Al₂O₃ particles.

Oxygen source like boric acid (H_3BO_3) can be added to the aluminium melt by simple addition technique which can aid in complete reaction of the oxygen source. Also H_3BO_3 is considered as a simpler and cheaper oxygen source. However, there are limited reports on H_3BO_3 as precursor for generation of MgAl₂O₄ composites. Hence, in this study, an attempt has been made to synthesize in situ Al–MgAl₂O₄ composite by addition of H_3BO_3 in Al–4Mg alloy and there by MgAl₂O₄ conversion into effective heterogeneous nucleating spots through UT. Therefore, in situ synthesis of MgAl₂O₄ can help in significant grain refinement and enhancement in mechanical properties of Al–Mg alloy.

2 Materials and Methods

EC-grade pure aluminium (0.07 wt% Si, 0.1 wt% Fe, 0.005 wt% Ti and Al-bal) and commercial grade Mg (99.92 wt%) were used as starting materials. H₃BO₃ powder with particle size of $\sim 20 \,\mu\text{m}$ was used as the oxygen source for the in situ formation of MgAl₂O₄. Firstly, Al–4Mg alloy was prepared by dissolving 4 wt% of Mg in molten Al. Secondly, H₃BO₃ powders were weighed to get different wt% (1, 2 and 3) of MgAl₂O₄ and were preheated to remove moisture and any other volatile impurities. The preheated H₃BO₃ powders were then added to the molten Al–4Mg alloy maintained at 750 °C. The

melt was maintained at 750 °C for 15 min and stirred at a regular interval of 5 min to enhance proper dissolution of H_3BO_3 powders and to assist in situ reaction. Subsequently, the melt was treated with ultrasonic waves for 5 min. High-power ultrasonic wave generated using magnetostrictive transducer (RELTECH, Russia) with a frequency of 20.1 kHz and intensity (~ 128 W/cm⁻²) were transmitted to the molten melt by using a SS304 Sonotrode (schematic illustration—Fig. 1). After ultrasonic treatment, the melt was cast into preheated cast iron moulds of diameter 20 mm and height 120 mm.

A separate set of alloy and composite melts without any ultrasonic treatment were prepared for comparison. To ensure generation of MgAl₂O₄ and to study the morphology and distribution of MgAl₂O₄, specimens from the composite were cut, ground, polished, and electrolitically etched using HBF₄ solution (with 20 V DC for 60 s) and analysed with Carl Zeiss EVO 18 SEM. The effect of MgAl₂O₄ and UT on grain refinement was analysed using a polarized light microscope. A standard tensile sample of 20 mm gauge length was prepared from the alloy and composites and tensile analysis was carried out by Instron 3366 UTM with an initial strain rate of 4.16×10^{-4} s⁻¹. An average of four set of data are shown as the tensile results.

3 Results

3.1 Role of UT on Generation of In Situ Reinforcement Particles

The in situ $MgAl_2O_4$ are generated by boric acid (H_3BO_3) reduction through the following displacement reactions.



Fig. 1 Illustration of ultrasonic setup

$$4H_3BO_3(s) \rightarrow 2B_2O_3(s) + 6H_2O(g), \quad T = 200 \ ^{\circ}C \quad (1)$$

$$B_2O_3(s) \to B_2O_3(l), \quad T = 450 \ ^\circ C$$
 (2)

$$B_2O_3(l) + 3Mg \rightarrow 3MgO + 2B, \quad T = 650 \ ^\circ C \tag{3}$$

$$\mathbf{B_2O_3}(l) + 2\mathrm{Al} + \mathrm{MgO} \rightarrow \mathrm{MgAl_2O_4} + 2\mathrm{B}, \quad T = \ 650\ ^\circ\mathrm{C}$$

Segregation of solute occurs usually on the melt surface. In Al–Mg alloys, elemental Mg gets favourably segregated and oxidizes at high temperature which is required for the formation of in situ reinforcement particles. Any specific processing temperature that is required for the continuation of reduction reaction results from series of reactions mentioned in Eqs. (1)–(4).

Microstructure of Al–4Mg/3 wt% MgAl₂O₄ composites is shown in Fig. 2. The SEM microstructure of the composites with and without UT indicates the occurrence of polygonal faceted-type MgAl₂O₄ in α -aluminium matrix. Formation of both MgO and MgAl₂O₄ crystals is perceived in the composite specimens without any ultrasonic treatment (Fig. 2a). The UT composite reveals only the occurrence of MgAl₂O₄ spinel crystals whereas the presence of MgO particles is not observed in the same. The size of MgAl₂O₄ particles in Al–4Mg/3MgAl₂O₄ composite without UT are estimated as 2.5 ± 0.65 µm while the size of MgAl₂O₄ particle in composite with UT has been found to be reduced to 315 ± 62 nm. The MgAl₂O₄ in composite specimens with UT are observed as well dispersed amongst the matrix along with the particle refinement.

3.2 Role of UT on Grain Refinement of Al-4Mg/ MgAl₂O₄ Composites and Nucleation Efficiency of MgAl₂O₄

Figure 3 shows the microstructure of Al–4Mg base matrix alloy and its composites. The microstructure reveals the formation of dendritic pattern on solidification. A substantial decrease in grain size of composites is noted in contrast to base alloy. UT is further found to extend the refinement of grain size in composite samples. Absence of columnar structure in composite specimen ensures the occurrences of heterogeneous nucleation in the sample.

It is evident from the microstructures that the presence of MgAl₂O₄ and ultrasonic treatment has substantial effect on grain refinement of the alloy. Presence of MgAl₂O₄ has been proved to induce a refinement in grain size of the alloy and the grain size is found to reduce from 1237 to $\sim 660 \ \mu\text{m}$. In case of UT, the grain size further reduces to $\sim 460 \ \mu\text{m}$. The presence of 3 wt% MgAl₂O₄ particles and ultrasonic cavitation effect reduces the grain size of alloy up to $\sim 250 \ \mu\text{m}$.



(4)

Fig. 2 SEM microstructure of Al-4Mg/3wt% MgAl₂O₄ a without UT and b with UT

Fig. 3 Optical microstructure of **a** Al-4Mg alloy, Al-4Mg/x wt% MgAl₂O₄ composite without UT, **b** 1 wt%, **c** 2 wt%, **d** 3 wt% and Al-4Mg/x wt% MgAl₂O₄ composite with UT **e** 1 wt%, **f** 2 wt%, **g** 3 wt%



3.3 Role of MgAl₂O₄ and UT on the Morphology and Size of β -Phase

Along with the refinement of Al–4Mg alloy, refinement in secondary phase (β phase) is also attained in composite specimens with and without UT (Fig. 4). The β phase particles in the alloy appear with an acicular morphology

with size and aspect ratio of $22 \pm 5.7 \,\mu\text{m}$ and 8.8 ± 0.7 , respectively. The occurrence of MgAl₂O₄ refines the β phase into small particles of size $4.47 \pm 0.67 \,\mu\text{m}$ and aspect ratio of 5.02 ± 0.98 . UT of composite further refines the secondary phases to $2.2 \pm 0.45 \,\mu\text{m}$ with an aspect ratio of 2.7 ± 1 . Microstructure shows the occurrence of MgAl₂O₄, and ultrasonic treatment is found to



Fig. 4 SEM micrograph of a Al-4Mg alloy, b Al-4Mg/3MgAl₂O₄ composite without UT and c Al-4Mg/3MgAl₂O₄ composite with UT phase

have substantial effect on generation of secondary phases. The mechanism of generation of secondary phases will be explained in the forthcoming sections.

3.4 Mechanical Properties of Al–4Mg/MgAl₂O₄ Composites

3.4.1 MicroVickers Hardness of Al–4Mg/MgAl₂O₄ Composites

The microhardness of the composite (with and without UT) is depicted in Fig. 5. It is observed that increase in wt% of MgAl₂O₄ increases the hardness of composite in both conditions of the alloy (with and without UT). The hardness is found to increase from a minimum value of 66 HV for (alloy) to a maximum value of 80 HV in the Al–4Mg/MgAl₂O₄ composite with UT which is due to more generation of MgAl₂O₄ upon H₃BO₃ addition. MgAl₂O₄ particles generally possess high hardness due to its hard ceramic phase and further, the in situ MgAl₂O₄ is



Fig. 5 Hardness survey of Al–4Mg/MgAl_2O_4 composites with and without ultrasonic treatment

extremely effective in transferring the load from matrix to reinforcement, there by resulting in higher hardness.



Fig. 6 Stress–strain behaviour of Al–4Mg alloy and Al–4Mg/ $MgAl_2O_4$ composites with and without UT

3.4.2 Room-Temperature Tensile Performance of Al-4Mg/ MgAl₂O₄ Composites

Figure 6 shows the room-temperature stress-strain curve of Al-4Mg monolithic alloy and Al-4Mg/MgAl₂O₄ composites. Monolithic Al-4Mg alloy possess an average yield strength and ultimate tensile strength (UTS) of \sim 72 MPa and \sim 178 MPa, respectively. A considerable improvement in yield strength, UTS and toughness (area under the curve) for the composites is noticed from the stress-strain curve. The composite specimen without UT exhibits average yield strength and UTS of ~ 80 MPa and 183 MPa, respectively, with a reduction in ductility. The toughness (area under the curve) of the composite samples prepared without UT is also lesser than that of monolithic alloy. The reduction in elongation at break and toughness is ascribed to the presence of and allied stress riser effect of partially converted MgO agglomerates and micron sized MgAl₂O₄ particles. UT of composite samples enhances the yield strength and UTS to \sim 87 MPa and \sim 204 MPa, respectively, with substantial retention in ductility and toughness. The retention in of ductility and toughness (area under curve) of the composite specimen with UT is ascribed to increase in conversion of MgO into MgAl₂O₄ particles, refinement in MgAl₂O₄ size and breakage and distribution of agglomerated particles by UT. The results of tensile analysis are summarized in Table 1 for quick reference.

4 Discussions

4.1 Formation of MgAl₂O₄ Particles with and Without UT

Generation of in situ $MgAl_2O_4$ in $Al-4Mg-H_3BO_3$ is discussed according to displacement reactions and cavitation

Table 1 Effect of UT on tensile properties of Al-4Mg alloy and its composites

Sample name	Yield strength (MPa)	Ultimate tensile strength (MPa)
Al–4Mg	72	178
Al–4Mg/MgAl ₂ O ₄ without UT	80	183
Al-4Mg/MgAl ₂ O ₄ with UT	87	204

implosion phenomena of ultrasonic waves. In Al-4Mg/ 3MgAl₂O₄ composite without UT, formation of both MgO and MgAl₂O₄ crystals is observed with agglomeration of MgO crystals. According to displacement reactions, when the primary layer of MgAl₂O₄ forms over MgO, the atoms of Al from matrix and O from B2O3 should diffuse at the interface in order for the reaction to proceed. The formed MgAl₂O₄ can act as a barrier to hinder the further diffusion of Al and O which may retard the displacement reactions. This occurrence can be attributed to the partial conversion of MgO-MgAl₂O₄. When ultrasonic waves are passed into the melt, they produce acoustic cavitation and streaming effects. Formation, development and breakdown of cavitation bubbles result in acoustic cavitation upon alternate acoustic pressure wave cycles [23]. Several tiny cavities are generated by the gases on oxide surface and get imploded beyond a threshold which results in the development of higher pressure and temperature at the locality of the implosion. Hence the surface reaction is improved on the melt upon the gas implosion due to cavitation bubble's growth. Liquid jets are produced simultaneously and impinges at higher speed on the surface for the removal of reaction products. Micro-jets with the velocity range of 200-700 m/s are generated upon implosion of cavitation bubbles [24]. Micro-jets of liquid can remove the layer of MgAl₂O₄ from the surface of MgO surface, and the fresh surface of MgO gets exposed in order to complete the displacement reaction. Research reveals that higher pressure resulting due to acoustic cavitation in molten aluminium can promote the cluster de-agglomeration [25]. The agglomerates of MgAl₂O₄ crystals are bonded by van der Waals force mainly to reduce the surface energy, and it could be de-agglomerated by the inducing external fields like the pressure shock waves and micro-jet streaming of cavitation implosion. Thus, the agglomerated particles are separated and turned into finer particles of size $2.5 \pm 0.65 \ \mu\text{m}$ (without UT) to $315 \pm 62 \ \text{nm}$ (with UT). In a nutshell, cavitation implosion and acoustic streaming effect of UT enhances the rate of reaction that can complete the conversion of H₃BO₃ into MgAl₂O₄ and improves the particle distribution.

4.2 Role of MgAl₂O₄ and UT on Grain Refinement of Al-4Mg/MgAl₂O₄ Composites

Substantial decrease in grain size is observed in Al-4Mg/ MgAl₂O₄ composites with and without UT. This is ascribed to the enhanced heterogeneous nucleation of α -Al on the MgAl₂O₄ particle's surface and localized undercooling effect provided by UT in the melt. Studies show that MgAl₂O₄ can operate as effective substrate for heterogeneous nucleation of aluminium grains owing to its cube-on cube orientation relationship [13, 16]. As per classical nucleation theory, this potent nucleant decreases the barrier for activation of nucleation. This activation barrier is decided by interfacial energy of the nucleant/nucleus metal for heterogeneous nucleation. The decrease in activation barrier level is influenced by the contact angle between the nucleant and the nucleating phase. The contact angle is an important parameter, and it should be low for effective nucleation [26].

Low lattice mismatch between the nucleant and the nucleating phase decreases the interfacial energy and helps in achieving low contact angle. Hence, MgAl₂O₄ particles become a potential nucleant owing to the small lattice mismatch and face-centred cubic structure which is similar to that of aluminium [27]. Similar crystal structures form low-energy interfaces for any orientation. For (111) plane along [110] direction, extremely small mismatch of 1.41% between aluminium and MgAl₂O₄ is observed [13]. However, low lattice mismatch makes MgAl₂O₄ a potent nucleant, and the potency also depends on numerous parameters such as adequate number density, particle size and narrow size distribution for heterogeneous nucleation. Enormous undercooling is essential for smaller particles which greatly influences the growth of grains [28]. Athermal heterogeneous nucleation theory is given in Eq. (5) [29]

$$\Delta T = 4\Gamma_{\rm sl}/D \tag{5}$$

where ΔT is nucleation undercooling, $\Gamma_{\rm sl}$ is Gibbs–Thomson coefficient between the liquid and the solid embryo of the solid phase, D is the particle diameter.

In contrary, UT aids in overcoming aforementioned difficulties by enhancing the number density and undercooling for smaller particles. MgAl₂O₄ size is reduced to 1 µm in composite specimen with UT. Undercooling for 1 µm MgAl₂O₄ crystals according to (Gibbs-Thomson coefficient, with Γsl of aluminium alloy as 9.12×10^{-8} K m. is calculated as 0.3 K. The microstructures substantiate that the essential undercooling for nucleation of grains is provided by UT and helps in refinement of the alloy. The grain refinement by UT is explained through two mechanisms (1) cavitation-enhanced nucleation and (2) cavitation-induced dendrite fragmentation. Cavitation-enhanced heterogeneous nucleation is the anticipated mechanism for refinement in agreement with the development of uniform equiaxed grains upon UT. Further, pressure pulse-melting point [30] and cavitation-induced wetting cavitation are the two different mechanisms which are the cause for enhanced heterogeneous nucleation. According to Clapeyron equation [31], cavitation implosion generates a pressure pulse that modifies the melting point of alloy (T_m), in pressure pulse-melting point mechanism. Increase in T_m provides localized undercooling and therefore it improves the nucleation potency of particles [32, 33]. In case of cavitation-induced wetting cavitation, pressure pulse causes the melt to fill the cracks and cavities on the substrate surfaces and turns these defects into active nucleation sites.

The refinement of secondary β -phase is due to growth hindrance effect of MgAl₂O₄ particles. This particle turns as pinning agent for the development of secondary phases. Upon UT, the number density of particles is increased to pin on grain boundaries to further prevent the enlargement of β -phase size.

4.3 Strengthening Mechanisms

Mechanical properties are influenced by MgAl₂O₄ formation and are likely attributed to the strengthening mechanism-which is dominant in composites. Such mechanism is grain boundary strengthening, load-bearing strengthening, coefficient of thermal expansion (CTE) mismatch strengthening and Orowan dispersion strengthening [15, 34].

The strength increment in composite and retention of elongation with UT is due to the refinement of β phase. The β phase shows large acicular needle shape in the unreinforced Al–Mg alloy, whereas in the Al–4Mg/MgAl₂O₄ composite sample without UT, there is a slight refinement of secondary β phase. In case of composites with UT, greater refinement of β phase takes place and morphology gets changed as fine faceted which contributes to the improved ductility.

As per Hall–Petch theory, strength improvement is due to grain boundary strengthening mechanism [34]. The Al– $4Mg/MgAl_2O_4$ composites (with and without UT) have refined grain size and eventually more grain boundaries as compared to unreinforced alloy. The dislocations move through lattice during deformation, and its movement is inhibited by the grain boundaries. The movement of dislocation is restricted further because the grain boundaries tend to operate as the pinning points. As more number of dislocation moves towards the grain boundary, pile up of dislocation takes place. Hence further deformation is resulted under pile up action which force the dislocations to shift across the grain boundary. The extent of pile up is reduced by smaller grain size and large number of grain boundaries in the Al–4Mg/MgAl₂O₄ composites due to different orientation of nearby grains in the matrix which makes movement of dislocation difficult. Thus it can be concluded that grain refinement greatly contributes to strengthening of the composites.

Orowan dispersion strengthening is predominant in composites, and it greatly depends on the bonding between the reinforcement and the matrix, particles size and interparticle distance [34]. During deformation, softer primary phase (Al) deforms plastically as compared to harder secondary phase MgAl₂O₄ particles. The dislocation movement during deformation of primary phase is hindered by nearly spaced MgAl₂O₄ reinforcement particles and dislocations are unable to pass the MgAl₂O₄ since it is stronger than Al matrix. Thus dislocation bending takes place which produces loop around MgAl₂O₄ particles and makes dislocation movement hard. Successive dislocation continuously forms loop around MgAl₂O₄ particles which induces back stress and impedes dislocation movement further. This act of MgAl₂O₄ particles helps in strengthening of matrix. The inter-particle distance is inversely proportional to the resistance to dislocation motion. The relatively smaller inter-particle distance in the Al-4Mg/ MgAl₂O₄ composite (with UT) strengthens the matrix highly compared to the Al-4Mg/MgAl₂O₄ composite (without UT).

The load-bearing strengthening mechanism has a direct influence on the reinforcement particles. This strengthening occurs on load transfer from matrix to reinforcement particles. The greater bonding between matrix and reinforcement can efficiently take part in effective load transfer [17]. Higher strength attained in the Al–4Mg/2H₃BO₃ composite (with UT) implies that greater bonding exists between MgAl₂O₄ particles and the aluminium matrix.

CTE mismatch strengthening occurs owing to greater difference in thermal expansion coefficient between the matrix and the reinforcement [17]. Since α of Al alloy ($25 \times 10^{-6}/K$) and α of MgAl₂O₄ ($8.1 \times 10^{-6}/K$) has larger difference, stresses may built up on cooling and consequence in dislocations near to MgAl₂O₄—reinforcement particles. This action enhances the strength of matrix and it also relies on volume fraction and particle size of reinforcement.

5 Conclusion

In situ MgAl₂O₄ were successfully synthesized in Al–4Mg alloy through addition of H_3BO_3 precursor assisted by ultrasonic treatment. The extent of MgAl₂O₄ generation and distribution was enhanced by ultrasonic treatment. MgAl₂O₄ particles acted as latent nucleating spots owing to

its lattice matching with Al. A decrease of 3–4 times in grain size was perceived in Al–4Mg alloy, and grain refinement of 5–6 times was attained in Al–4Mg alloy under ultrasonic treatment. Constitutional undercooling established pressure–pulse melting was proposed as a mechanism behind the refinement of grains with UT. Hardness and tensile strength of the Al–4Mg/MgAl₂O₄ composites was observed to be higher than unreinforced alloy and improved with increase in wt% of H₃BO₃. Grain boundary strengthening, Orowan dispersion strengthening, load bearing and coefficient of thermal expansion mismatch strengthening were the anticipated mechanisms for enhanced mechanical properties of the Al–4Mg/MgAl₂O₄ composites.

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Data Availability The raw/processed data required to reproduce these findings cannot be shared at this time as the data also forms part of an ongoing study.

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