## **ORIGINAL ARTICLE**



# **Development of a pragmatic methodology for the environmental assessment of uncontrolled dumpsites in developing countries**

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#### **Abstract**

The management of solid waste is a major determinant for sustainable development due to the social, economic, and environmental implications that the sector can have on public health and the environment. Generally, the practice of solid waste disposal by means of landflling in developing countries is below par resulting in numerous open dumps/uncontrolled landflls that lack the necessary remedial and control measures. Several models and approaches have been applied for the environmental assessment of uncontrolled landflls that depend on complex physical models and probabilistic concepts that are normally difcult to use, involve large amount of data and thus are time consuming and costly. This research aimed to formulate a pragmatic methodology that can be utilized in low- and middle-income countries where comprehensive environmental assessment studies are scarce. The proposed methodological framework can guide decision makers in prioritizing sites for remediation according to their potential for contamination of surface and groundwater as well as air. A pilot basin and dumpsite were selected to test the methodology. Application of the methodology provided plausible results on the signifcance of the impacts on water resources and air quality and provided a systematic approach to guide the decision-making process on the need to rehabilitate the dumpsite.

**Keywords** Uncontrolled dumps · Developing countries · Environmental assessment · Methodological framework

# **Introduction**

Globally, solid waste generation has been increasing at an alarming rate owing to population growth, urbanization, increasing living standards, and changes in consumption patterns. Improper management of solid waste has been correlated to human and phytotoxicity, resource depletion and degradation, and climate change (Çetinkaya et al. [2018](#page-9-0)). The solid waste sector is one of the leading anthropogenic sources of greenhouse gas (GHG) emissions, ranking the third largest human-induced source of GHGs (Singh et al. [2018;](#page-9-1) Pujara et al. [2019\)](#page-9-2). The problem is further exacerbated in developing countries, as the dearth of fnancial resources and competing priorities have heaped more pressure on the already fragile solid waste systems in place and contributed to degrading existing infrastructure. Such factors have hindered the establishment and implementation of effective and proper solid waste management systems as environmental issues tend to be pushed down the order of priority, leading to the adoption of inferior methods for waste disposal such as open dumping and burning. The open dumping of wastes is highly prevalent in developing countries with the majority of generated wastes being indiscriminately disposed of in an unsanitary manner (Epstein [2015;](#page-9-3) Kaza et al. [2018](#page-9-4); Ferronato and Torreta [2019\)](#page-9-5). This has led to a salient lack of progress on the solid waste with waste management systems continuing to be predominantly characterized by having low rates of resource recovery, being fnancially unsustainable, and having large ecological footprints (El-Sherbiny et al. [2011\)](#page-9-6). With practices such as open dumping and burning of waste being commonly relied upon for the disposal of accumulated refuse, pollutants are constantly being dispersed into the environment by means of leaching into soil and water resources and into the air.

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Evidently, improper collection and inadequate disposal of waste can lead to serious air, soil and water contamination, which in turn can have major impacts on the health and wellbeing of the population (Zwijnenburg and Te Pas [2015](#page-9-7); De Cassia Silva Bacha et al. [2021](#page-9-8)). Inevitable byproducts of the open dumping of solid waste are leachate and gas generation. In uncontrolled open dumps, which lack any form of basal isolation or liner, leachate can seep and percolate into the surrounding soil. Thus, contaminating soil, surface water, and groundwater resources (Rajoo et al. [2020.](#page-9-9) Given the link between soil quality and water quality, such pollution can eventually reach down-gradient receptors including springs and wells used for domestic and agricultural purposes (Cetin [2013\)](#page-9-10). Soil and groundwater resources provide vital ecological functions and services to support human health and existence. Groundwater serves as the primary source of fresh drinking water to most communities in developing countries. The leachate comprises concentrations of organic carbons and heavy metals in addition to high concentrations of fuoride, chloride, ammonium–nitrogen, biological oxygen demand (BOD) and chemical oxygen demand (COD) (Karak et al. [2013](#page-9-11); Torretta et al. [2017](#page-9-12)). Heavy metals, such as mercury, lead, nickel, and cadmium could leach into soil and water bodies (Vongdala et al. [2018\)](#page-9-13), which can cause people to come directly into contact with these metals should the contaminated water be used for bathing or drinking, or indirectly should these metals infltrate surface and underground water sources or be used for irrigation purposes. These metals can also enter the food chain, as certain metals have the potential to buildup in plants and animals. Their ingestion can cause neurological diseases, organ failure, and several types of cancers (Baalbaki et al. [2016](#page-9-14)). Several studies (Kanmani and Gandhimathi [2013](#page-9-15); Oluseyi et al. [2020;](#page-9-16) Morita et al. [2021](#page-9-17)) have highlighted the impacts of open dumpsites through the collection and analysis of soil and water samples from areas surrounding the disposal sites, with samples often being considerably contaminated. Moreover, improperly disposed wastes can also negatively afect human health since wastes tend to attract rodents and insects, which can act as vectors for many diseases.

Furthermore, uncontrolled open dumps contribute to air pollution due to the release of gases that have the potential to carry air pollutants to the atmosphere. These gases are mainly methane  $(CH_4)$  and carbon dioxide  $(CO_2)$  along with small amount of volatile organic compounds (VOCs) and other trace gases (Ferronato and Torreta [2019\)](#page-9-5). They are formed because of waste degradation and/or because of burning of waste. Burning leads to the formation of additional pollutants that threaten human health and the environment. Figure [1](#page-1-0) depicts the major environmental, health and economic impacts of the open dumping of solid waste. The implications of such treatment and disposal methods in developing countries are amplifed by the fact that hazardous wastes, including medical and industrial wastes, are often commingled with the municipal waste stream (SWEEP-NET [2014\)](#page-9-18). This may increase the potency of the generated emissions and leachate, and could in turn increase the costs associated with remediating and rehabilitating the polluted dumpsites (El-Sherbiny et al. [2011\)](#page-9-6). To complicate matters further, the threats and implications posed by dumpsites is heightened by the fact that they tend to be situated near residential areas, primarily in small countries where limited amount of land is available (Zafar [2018](#page-9-19)).



-Reduced tourism and investments in the region.

-Increased health burden.

<span id="page-1-0"></span>**Fig. 1** Major environmental, health and economic impacts of solid waste open dumping

Increasing municipal solid waste generation along with the high fraction of organic waste primarily food waste and a common disposal method of open dumping is the present waste management structure in most areas in Lebanon. The lack of contingency plans and the consistent reliance on ad hoc strategies for handling the solid waste sector culminated into a nationwide garbage crisis when the country's largest landfll was brought to a close. Despite the unsustainability of Lebanon's solid waste management structure becoming more publicly apparent during the crisis in 2015, practices such as open dumping and burning have always been common in the country, especially in rural areas. Generally, the problem does not lie with service delivery considering that an upwards of around 90% of generated wastes are collected. The issue lies in what occurs after the wastes had been collected, with about 77% of generated wastes being landflled or openly dumped (SWEEP-NET [2014](#page-9-18)). The pressure placed on Lebanon's solid waste management infrastructure was further infated by the Syrian crisis, which caused the infux of approximately 1.5 million refugees; resulting in a 15% increase in waste volume, half of which were reportedly being openly dumped or burnt. The increase in the amount of wastes being openly dumped has caused the number of open dumpsites to increase from 670 in 2010 to 904 at present (MOE/UNDP [2017](#page-9-20)). Accordingly, this research aims to develop a pragmatic methodology for the assessment of the impacts of open dumps on the environment and to test the methodology in a pilot basin. Various methodological frameworks have been utilized to assess environmental and public health risks of open dumpsites including but are not limited to stochastic failure simulations, water balance analysis, numerical scoring and categorical ranking (Kumar et al.  $2016$ ) that are generally difficult to use, involve large amount of data and thus are time consuming and costly. Given the large number of uncontrolled dumpsites in developing countries, prioritization of sites requiring remediation or closure is a major challenge. This research could help prioritize dumpsites that require rehabilitation based on the relative risks and the approach could be followed in other developing countries.

# **Methodological framework**

#### **Design and techniques**

The methodology to assess the impacts of open dumps focused on two major impacts mainly impacts on water resources and impacts on air quality. The signifcance of the impacts on water resources is based on characterizing the hydrogeological setting of the site, existing receptors and groundwater quality. Accordingly baseline conditions for (1) topography and surface drainage; (2) hydrogeology mainly groundwater basins, wells, and springs; (3) land use; and (4) water quality were assessed. The main effect of topography will be on surface runoff and drainage. Pollution from the surface can thus be carried via surface runoff into nearby drainages and into streams and rivers. The identifcation of the presence of an aquifer, semi-aquifer or aquiclude directly underlying a dump will help assess the impact of the dumpsite on groundwater. Groundwater fow direction determines the most likely spread of contamination in case it occurs. The wells located within the same groundwater basin as the dump and down-gradient from it are considered sensitive receptors that can be afected by groundwater pollution from the dump. Springs are also one of the main potential downgradient receptors. They drain the groundwater from the productive groundwater basins; therefore, any surface pollution potentially afecting groundwater up-gradient, such as a dump, may reach the spring. The classifcation of the land use surrounding the dumpsite will help identify the potential sources of pollution on water resources and potential receptors afected by it. Analyzing up-gradient and downgradient water bodies for pollutants that may be correlated to open dumpsites is one approach to distinguish impacts from dumpsites from other land uses such as industrial or agricultural. The model accounts for the following three potentially afected zones that form an interlinked hydrogeological system at the dumpsite: (1) Zone A for groundwater—springs, (2) Zone B for groundwater—wells, and (3) Zone C for surface water. However, for impact assessment rating purposes, each zone is evaluated separately.

The signifcance of the impacts on air quality is assessed based on the following criteria: (1) open burning practiced at the site, (2) frequency of burning, (3) complaints related to odor in nearby communities and (4) sensitive receptors within 200 m of the site. It is necessary to analyze the dumpsite setting primarily prevalent wind direction to assess the likely dispersion of air pollutants and land use. Impacts from air pollution are largely dependent on weather conditions in the area, particularly wind speed and direction. Moreover, land use around the dumpsite, particularly within a 500-m radius, needs to be documented to identify sensitive recep-tors. A flowchart approach was proposed (Fig. [2](#page-3-0)) whereby each answer would generate a predefned score. This score is set to refect the degree of the impact. A score of 0 means there is no impact while a score of 1 or 2 implies that there is a potential negative impact on the environment that is more severe for the higher value. The total score of each zone is obtained by summing up individual criteria scores with score between 0 and 2 indicating low impact, 3–5 moderate impact, and 6 and above high impact. The priority basin to run the pilot test was selected since it ranks among the top priority dumps and it has relatively limited presence of other sources of pollution to better establish the linkage between pollution levels and the presence of the dumpsite(s).



 $(a)$ 



<span id="page-3-0"></span>**Fig. 2** Water resources (**a**) and air quality (**b**) impacts' assessment fow chart

As mentioned earlier, one of the ways to diferentiate impacts from dumpsites from other land uses is to analyze up-gradient and down-gradient water bodies for pollutants that can be attributed to the presence of dumpsites. Very few pollutants can be strictly attributed solely to the presence of dumpsites; however, the presence of heavy metals,

volatile organic compounds (VOCs) and semi-VOCs, polychlorinated biphenyls (PCBs), petroleum hydrocarbons, dioxins and pathogens could be a strong indicator of pollution from wastes. Accordingly, three water samples were taken from diferent locations chosen: one up-gradient (S1) and two down-gradient (S2 and S3). The sampling locations are relatively far from the dump but these were the only ones accessible for sampling. It is important to notice that by the time the samples were taken, considerable precipitation had already occurred and accordingly groundwater fow was signifcant in the karstic limestone aquifer in question. This has implications on the concentrations of pollutants that might be encountered in the water samples. Results must, however, be viewed as an additional source of information indicative of the presence of pollution but not refuting it. Not exceeding standards or recommended guidelines cannot be interpreted as lack of pollution because of the dominant hydrogeological nature of the country leading to preferential groundwater fow in the dominant karstic aquifers and fast interaction between surface and groundwater and considerable dilution during winter and spring, which is the most important pollution buffer in karstic aquifers.

Physicochemical analyses were conducted following standard and recommended methods (APHA-AWWA-WEF, 1998). Water samples were analyzed for total and fecal coliform bacteria, biochemical oxygen demand  $(BOD<sub>5</sub>)$ , chemical oxygen demand (COD), nitrate–nitrogen  $(NO<sub>3</sub><sup>-</sup>N)$ , ammonia–nitrogen (NH<sub>3</sub>\_N), orthophosphate (O–PO<sub>4</sub><sup>3–</sup>), sulfates  $(SO_4^2$ <sup>-</sup>), and heavy metals. A spectrophotometer was used for  $NH<sub>3</sub>$ N (Direct Nesslerization, Standard Methods 4500 NH<sub>3</sub> C),  $NO<sub>3</sub><sup>-</sup> N$  (Cadmium reduction; Standard Methods 4500-NO<sub>3</sub>-E), SO<sub>4</sub><sup>2-</sup> (Turbidimetry; Standard Methods  $4500-SO<sub>4</sub><sup>2</sup>=E$ ) and O–PO $<sub>4</sub><sup>3-</sup>$  (Ascorbic</sub> acid; Standard Methods 4500-P E). The BOD was based on 5 days incubation at 20 °C using manometer technology and the COD was determined by Closed Refux/Colorimetry (Standard Methods 5220 D). The bacteriological analyses were determined by the membrane fltration technique (Millipore). Inductively coupled plasma–mass spectrophotometer was utilized for the measurement of metals and gas chromatography–mass spectrophotometer was used to determine the concentrations of volatile organic compounds (VOCs) and semi-VOCs. The results obtained were compared to the World Health Organization (WHO) guidelines, the United States Environmental Protection Agency (USEPA) standards and the Lebanese standards for drinking water.

#### **Research setting**

The pilot dumpsite lies on a private land owned by the municipality and located in a valley, below which seasonal water channels run (Fig.  $3$ ). Generally, the risk of floods at the dumpsite is low given that the water channels are small streams that are used for irrigation and the area receives low rainfall. Several springs and wells are present down-gradient from the dump (Fig. [4](#page-6-0)). In 1970, when the dump frst became operational, an average of one truck of waste was dumped every 4 days. However, when the solid waste crisis started in 2015, the municipality allowed other municipalities to dump their waste there for a fee. Today, a total of 2 t/ day of construction and demolition waste, municipal solid waste and vegetation are dumped in that site. No open burning takes place at the dump, no leachate or biogas generation were visible during feld visits, although scavengers were spotted. The dump covers a  $30,000 \text{ m}^2$  area and has a 2.5 m height and a  $75,000 \text{ m}^3$  volume. Currently, there are no plans or funds to rehabilitate or close the dump.

## **Results and discussion: model application**

#### **Water resources impact assessment**

## **Environmental baseline conditions**

The pilot tested dump is located within the Beirut River watershed on the valley side of one of the two main tributaries of the Beirut River. The valley side in question is sloping towards the southeast. Surface drainages all join in a dendritic pattern the main Beirut River. Streams and rivers eventually discharge in the sea except in the northern and southern most interior parts of the country, which fow toward the outside of Lebanon. The groundwater basin underlying the dump is the Jurassic Basin, which is one of the major productive aquifers of Lebanon due to its karstifed nature. The Jurassic limestone is characterized by high infltration rates and limited soil cover, which makes this aquifer highly vulnerable to pollution (Doerfiger et al. [1999](#page-9-22)). Groundwater flow in the proximity of the dump is towards the west and the Mediterranean Sea. Down-gradient groundwater receptors are located to the west of the dump. Around twelve (12) public wells are located down-gradient from the dumpsite and are tapping the Jurassic Basin underlying the dump. Surface pollution is likely to reach and contaminate these wells. These public water supply wells are reportedly operational and used for domestic purposes. There is one main downgradient spring from the dumpsite which is one of the main springs draining the Jurassic. This spring is at risk from any pollution occurring up-gradient from the spring. Moreover, the spring is a main source of water supply for the Capital City Beirut. The land use around the dump is a mix of residential (the nearest home is 1 km away), industrial, recreational areas and open spaces. Public complaints regarding the dump have been recorded at the municipality. Other dumps for municipal and construction/demolition waste as



**Fig. 3** Google Earth image showing the location of the dump

<span id="page-5-0"></span>well as industries exist up-gradient from the dumpsite within the catchment area of the Beirut River. One of the main challenges in assessing the impacts of uncontrolled dumps on water resources is how to single out the impacts from the dump relative to other impacts.

#### **Water quality assessment**

The down-gradient well (S2) exhibited relatively higher concentrations for certain pollutants which were not present in the up-gradient well (S1) and also not in the down-gradient spring (S3). This includes a slight Benzene exceedance at S2 compared to Lebanese standards, as well as some noticeable concentrations (yet below standards) for certain heavy metals (cobalt, copper, nickel, vanadium and zinc). The relatively alkaline pH may have afected the low concentration of some heavy metals as their availability in groundwater is mostly at low pH (Kicińska et al. [2021\)](#page-9-23). Results are not particularly indicative of defnitive contamination associated with the presence of the dump however do indicate potential contamination. The fact that the dump is located along the same surface stream reaching S2 Spring within the rocks forming the same aquifer basin leave little room for doubting a pollution potential. The distance between sampled points and the dump has likely enhanced dilution of contaminants down-gradient during the wet season, which potentially explains that pollutant values remain within standards. This is in agreement with Mor et al., who stated that the quality of groundwater improves with the increase in distance of the well from the pollution source. The fecal and total coliform bacteria count did not comply with the water quality standards and may be associated with the leachate that is generated form the dump and thus, rendering the water unsuitable for human consumption and representing a signifcant threat to public health (see Table [1](#page-7-0)).

#### **Impact rating**

Based on the signifcance rating methodology, an assessment of the impact of the uncontrolled dump on water resources was carried out (Table [2](#page-8-0)). Zone A (Springs) obtained a total score of 8 and Zone B (Wells) obtained a total score of 9, indicating that the dumpsite has a high impact on aquifers and groundwater. Zone C (Surface water) has a total score equal to 3, inferring a moderate impact from the dump on surface water. Obviously, the uncontrolled dump has adversely infuenced water bodies in the study area, which in turn can have major impacts on the health and wellbeing of the community. Generally, areas surrounding open dumpsites or poorly designed landflls are at higher risk of groundwater contamination resulting from the degradation of the waste and the percolation of leachate into groundwater



<span id="page-6-0"></span>**Fig. 4** Geological map of the area surrounding the dump (MOE/EU/UNDP [2014](#page-9-26) adopted from Dubertret [1955\)](#page-9-27)

aquifers (Mor et al. [2006](#page-9-24); Rajoo et al. [2020](#page-9-9); Olaoye et al. [2021](#page-9-25)).

# **Air quality impact assessment**

Open dumping can lead to the production of foul odor and harmful gases. Moreover, improperly disposed wastes can negatively afect human health since wastes tend to attract rodents and insects, which can act as vectors for many diseases. Impacts from air pollution are largely dependent on weather conditions in the area, particularly wind speed and direction. The predominant wind direction originates from the South and South-West. This will cause receptors to the North of the dump to be more at risk than other receptors. Based on the methodology described in "Methodological framework", an analysis of the dumpsite setting and its surroundings was carried out to assess the impact of the dump on air quality (Table [3\)](#page-8-1). The total score obtained was 0, meaning that the dump has a low impact on air quality. This is mainly attributed to the fact that no open burning activities were reported when the site was surveyed and that sensitive receptors are located beyond 200 m from the dumpsite.

While sampling of air quality could signifcantly improve the assessment of the impacts on air quality, this would require careful and detailed analysis of the locations, parameters, and duration of sampling to obtain representative results, and which would be very costly to undertake. The proposed qualitative analysis supports decision-making in a cost-efective manner.

# **Conclusions**

Several models and approaches have been applied for the environmental assessment of uncontrolled dumps that depend on complex physical models and probabilistic concepts that are generally difficult to use, involve large amount of data and thus are time consuming and costly. In this research, a practical methodology that can be easily utilized in low- and middle-income countries where comprehensive environmental assessment studies are scarce was developed. A pilot basin and dumpsite were selected to test the methodology. Application of the methodology provided plausible results on the signifcance of the impacts on water

#### <span id="page-7-0"></span>**Table 1** Water analysis results for the most indicative parameters of leachate



*C* concentrations of the substance at or below the health-based guideline value may afect the appearance, taste or odor of the water, leading to consumer complaints, *NA* not available, *P* provisional guideline

a WHO [\(2011](#page-9-28))

b USEPA ([2017\)](#page-9-29)

c Decree No. 1039/1999

<sup>d</sup>Lead and copper are regulated by a treatment technique that requires systems to control the corrosiveness of their water. If more than 10% of tap water samples exceed the action level, water systems must take additional steps. For copper, the action level is 1.3 mg/L, and for lead is 0.015 mg/L

e Inorganic mercury

Results in bold are in exceedance to one or more of the three standards.

assessn

<span id="page-8-0"></span>

<span id="page-8-1"></span>



resources and air quality. It provided a systematic approach that can be adopted to aid decision-making at a local level on the need to rehabilitate the dumpsite. The methodology developed defnes the signifcance criteria and baseline conditions that need to be known in the assessment, as well as a procedure to determine signifcance rating. Baseline conditions considered for impacts on water resources include topography and surface drainage, hydrogeology (groundwater basins, wells and springs), land use and water quality. Baseline conditions considered for impacts on air quality comprised prevalent wind direction, land use and presence of nearby sensitive receptors. For dumps with high impact signifcance on water resources, it is recommended to eliminate the source of the pollution, primarily through closure and rehabilitation of the dump. For dumpsites with a high impact on air quality, mitigation measures can be applied to reduce impacts resulting from open burning activities of waste. For the studied dumpsite, the recommended option for rehabilitation is sort, crush and recycle, and the cost of rehabilitation has been estimated at 939,750 USD. Growing concerns about environmental and public health risks of uncontrolled dumpsites call for taking appropriate mitigation measures and remedial actions. Given the large number of uncontrolled dumpsites in developing countries, it is inevitable to ascertain the conditions of the dumpsites and assess possible environmental impacts. The proposed pragmatic methodology provides a practical approach for assessing risk of uncontrolled dumpsites and can signifcantly improve decision-making regarding the prioritization of uncontrolled dumpsites for implementing control measures and taking remedial action.

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**Code availability** Not applicable.

#### **Declarations**

**Conflict of interest** No confict of interest.

**Ethics approval** Not applicable.

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