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An improved correlation model for sediment delivery ratio assessment

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Abstract In the present work, a new simplified model approach for sediment delivery ratio (SDR) assessment is proposed. Modelling and assessing SDR is still an open question. Difficulties rise from the lack of sufficient data availability, on one side, and, on the other, from the inherent uncertainties including spatial variability and temporal discontinuity of the land cover, climatic, hydrological and geomorphological variables involved. The proposed SDR_{SIM} model tries to skip over the limitations observed in other models generally adopted. A comparison with two different selected models amongst the most widespread simplified models, i.e. the area-model and the slope-model, is showed in application to a wide range of catchments extensions across different landscapes of Italy. The SDR_{SIM} estimates were also evaluated against observed SDR over a validation dataset of 11 basins sparse over different regions of the world. The results showed the effectiveness of the proposed model approach based on easily available catchment parameters.

Keywords Sediment delivery \cdot Prediction models \cdot Catchments · Italy

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Introduction

Soil erosion rate and river sediment yield are key-factors whose estimate is essential in land and river systems management aimed to achieve the environmental sustainability of human activities. Often, given the lack of direct data, estimates are made by means of prediction models allowing to evaluate soil loss or sediment yield separately. There are a number of prediction models, conceptual, empirical or physically based which can help in this task that have been successfully tested and are currently used in different parts of the world. Therefore, one can conclude that modelling such processes is now an almost achieved result. On the contrary, linking the two processes of sediment production and delivery is still an open question.

In fact, it is not unusual that one, being provided with catchment soil loss data, has the need to convert these data in sediment yield delivered at a given river section or vice versa. Nevertheless, to date, there is not a general agreement about an universal model which can suitably explain the relationship between sediment yield and related upland soil erosion allowing to convert one quantity into the other as needed.

The river sediment yield can be defined as the total quantity of sediments eroded from the upland catchment area (referred to as gross erosion) which is routed to the basin outlet by the watercourse in a definite time period. The sediment yield per unit catchment area is the specific sediment yield (SSY). Considering that only a partial amount of gross erosion is routed to the basin outlet (otherwise referred to as the net erosion), knowing the ratio between the net erosion and the gross erosion amounts, which is worldwide known as the sediment delivery ratio or SDR, is crucial for scientific and management purposes. As already pointed out by Lu et al. ([2004](#page-8-0)), methods for estimating the SDR can be roughly grouped into three categories characterized by different degrees of complexity. So, one can distinguish methods, such as sediment rating curve-flow duration and reservoir sediment deposition (Collins and Walling [2004;](#page-7-0) Fan et al. [2004\)](#page-7-0), which imply that sufficient sediment yield and streamflow data are available. Sediment yield data are available for many areas of the world, but the global coverage currently is inadequate to produce a reliable and detailed world map of sediment yield (Mutchler et al. [1994\)](#page-8-0). Therefore, such approaches are not suitable for the general use, because of data unavailability, especially at the subcatchment level.

A different approach is showed by methods based on empirical relationships relating the SDR to the morphological characteristics of a catchment, such as the catchment area, average local relief or lithology. These models are largely followed, given their simplified form requesting easily available data. The general limitation of these methods is given by the local influence of climatic and geomorphological characteristics which make a given relationship unsuitable in geographical areas different from the area where the relationship was calibrated.

Other methods attempt to provide a physical description of fundamental hydrological and hydraulic processes, i.e. by coupling runoff and erosion/deposition processes conditioning the sediment transport capacity. Nevertheless, their data requirements often make these complex models not suited to basin scale applications.

In order to overcome some of the difficulties mentioned above, several attempts to predict the export of sediment from catchments, by coupling catchment gross erosion to a SDR value in a spatially distributed modelling approach, have been investigated. A summary of the relevant literature is given by Lenhart et al. ([2005\)](#page-8-0). Complex and theoretically based relationships for evaluating the sediment delivery ratio of each morphological unit into which the basin can be divided have been proposed in different way. For example, Ferro and Minacapilli [\(1995\)](#page-7-0) developed a model for which SDR is linked to the travel time from the morphological unit by an exponential relationship. A SDR model which is used in the Soil and Water Assessment Tool (SWAT) was proposed by Arnold et al. ([1996\)](#page-7-0), taking into account the peak runoff rate and the peak rainfall excess rate. Asselman et al. ([2003](#page-7-0)), provided for a SDR model estimating the amount of mobilized sediment that actually reaches the stream network, and Borselli et al. [\(2004](#page-7-0)) used a complex connectivity index. New advances in this field are being gathered thanks to GIS technology allowing to easily apply different models in a spatially distributed form (Ouyang and Bartholic [1997\)](#page-8-0).

However, the SDR still remains hard for assessing, as its estimate implies numerous uncertainties including temporal discontinuity and spatial variability characterizing the

land cover, climatic, hydrological and geomorphological variables involved (Wolman [1977](#page-8-0); Walling [1983\)](#page-8-0).

As it will be cited in the following sections, many on-site investigations have been carried out at different scales, from small farm-plots to small-medium basins up to large rivers, concerning the linkage between soil erosion sub-processes on hillslopes and suspended sediment transport, in the effort to set up a general theoretical model allowing to indirectly obtain the SDR value and to derive one of the cited quantities from the other.

In the present work, a new simplified model approach (SDR_{SIM}) is proposed trying to skip over the limitations observed in other models generally adopted. In particular, a comparison with two different selected models amongst the most widespread simplified models in the international literature, such as those here referred to as the area-model (SDR_A) and the slope model (SDR_{Slope}) , is showed in application to a wide range of catchments from 1 to $3,000 \text{ km}^2$ across different landscapes of Italy. The SDRSIM estimates were also evaluated against SDR over a validation dataset of 11 basins sparse over different regions of the world.

Approach and methodology

Study area and data sources

The study was based on data from 25 Italian river basins located from north to south of Italian peninsula and major islands (Fig. [1\)](#page-2-0). Italy is centrally placed in the Mediterranean basin and characterized by land use diversity and a heterogeneous mix of geographical features (peninsular shape, Alps chain in the north, Apennines chain all along the peninsula) determined by a large latitudinal gradient along a relatively narrow land surface, with transition zones from semi-arid to humid mountain climate (Lionello et al. [2006\)](#page-8-0).

Such landscape heterogeneity generates greatly varying ecological and land-degradation patterns at all spatial and temporal scales with water erosion recognized as the major land-degradation cause.

In fact, an erratic end and start to the rainy season (green couple bands in Fig. [2\)](#page-2-0) along with heavy rains likely has a great impact on soil since the seasonal vegetation will not be able to intercept the rainfall during the tillage seasons (Spring and Autumn, respectively). In dry intervals (yellow bands in Fig. [2](#page-2-0)), long sun brightness periods can be associated to the Azores or sub-tropical anticyclones, often interrupted by atmospheric instability with showers and thunderstorms, especially over Northern Italy where the dry period (Fig. [2a](#page-2-0)) is shorter than in southernmost regions (Fig. [2b](#page-2-0), c). In very long dry periods of extreme Southern

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Fig. 2 Seasonal bioclimatic regime for different location of Italy: a Loiano (Northern Apennines), b Trivento (Central Apennines), c Grassano (Southern peninsular Italy) and d Caltanissetta (Sicily island). (arranged from New LocClim-FAO database 1961–1990)

Italy and major islands (Fig. 2d), precipitations are inherently variable in amount and intensity and, as a consequence, the same variability affects rainfall and runoff erosivity in a very chaotic way.

The 25 Italian river basins calibration dataset here utilized (Table [1\)](#page-3-0) is a combination of different data sources available from the literature or from official sites. Of course, the sediment yield was measured by means of different techniques, ranging from reservoir sedimentation measurements to turbidity measurements, whilst the hillslope gross erosion was indirectly assessed by means of RUSLE model and its different versions. Ten basins were extracted from the dataset by Van Rompaey et al. ([2003\)](#page-8-0) and de Vente and Poesen [\(2005](#page-7-0)), who analysed a series of watershed-reservoir systems where observed sedimentation volumes were available. Other eight watershed-reservoir

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systems data were added from different sources (Bonora et al. [2002;](#page-7-0) Gentile et al. [1999;](#page-7-0) Biagi et al. [1995](#page-7-0); Borselli et al. [2004;](#page-7-0) Bazzoffi et al. [1997](#page-7-0); Brath et al. [2002](#page-7-0); Pavanelli et al. [2004](#page-8-0); Licciardello et al. [2006](#page-8-0); Onori et al. [2006\)](#page-8-0). In these cases, the outlet station was given by the reservoir location within the concerned watershed. Further seven river basins were selected amongst the national hydrographic monitoring network system (former SIMN, 1922–2004). In this case, the outlet station was given by the turbidimetric gauging station located at a definite river cross section. From the ratio between the observed sediment yield and the relative assessed upland gross erosion, the relative SDR was derived, which can be considered as an observed reference value for our evaluations.

The average size of the considered watersheds is about 570 km², ranging from 0.6 km² to a maximum of $3,000 \text{ km}^2$. The mean annual precipitation ranges from 450 to more than 1,600 mm. Then, a wide spectrum of variability for as it concerns both dimensions and climatic conditions (as well evidenced in Fig. [2](#page-2-0)) has been considered.

The 11 river basins out of Italy considered as validating dataset (Table 2) were a combination of several data sources available in the international literature. The average size of the considered watersheds is about $1,500 \text{ km}^2$, ranging from 2 to a maximum of about $8,000 \text{ km}^2$. The mean annual precipitation ranges from about 800 to 2,000 mm.

Modelling SDR

In the absence of sufficient data to accurately determine water discharge and other parameters at different scale levels or for each morphological unit of the basin, sediment delivery ratio is often estimated by means of few, easily

available morphological data. One of the most followed relationship, here reported as SDR_A (Area model), is based on the simple negative assumption between SDR and basin size, which was initially developed by Vanoni ([1975\)](#page-8-0), by means of the following power function:

$$
SDR_A = \varphi(A)^{-\kappa} \tag{1}
$$

where A is area of the basin (km²); φ and κ are two empirical parameters; for Italy, the exponent κ varies with basin geographical features, ranging from -0.69 to -0.3 (Brath et al. [2002](#page-7-0)). Notwithstanding many evidences reported in the literature (Walling [1983;](#page-8-0) Ichim and Radoane [1987](#page-8-0); Bagarello et al. [1991](#page-7-0); Ferro and Minacapilli [1995](#page-7-0); Lane et al. [1997](#page-8-0); Verstraeten and Poesen [2001](#page-8-0); Vertstraeten et al. [2003](#page-8-0); de Vente and Poesen [2005](#page-7-0)), the general assumption that SDR, at the same rate with SSY, shows an inverse relationship with catchment area is not always true and it cannot be adopted as an universal model. Offsets from this general rule have been observed in different parts of the world where, on the contrary, a positive correlation has been reported (Church and Slaymaker [1989;](#page-7-0) Schiefer et al. [2001](#page-8-0); Dedkov [2004\)](#page-7-0). Amongst these, Italian drainage basins also show a slightly positive relation between catchment area and SSY (de Vente and Poesen [2005](#page-7-0); Grauso et al. [2008\)](#page-8-0).

Another empirical equation for the determination of SDR using few catchment characteristics, such as the main stream channel slope, was developed by Williams and Berndt ([1972\)](#page-8-0) for Kenya region, here referred as the SDR_{SLP} (Channel Slope model):

$$
SDR_{SLP} = \varphi'(SLP)^{\kappa'} \tag{2}
$$

where SLP is the slope of main stream channel $(\%)$; the empirical coefficients φ' and κ' were originally fixed equal

Table 2 The database utilized in the present study for SDR models validation

Code	Country	Basin	A (km ²)	Slope $(m m^{-1})$	E(m)	P (mm)	SYEM GEPM		f(SMI)		SDR Years	Source
1	Kenya	Masinga	6,266	0.05	1,795	1,510	R	RUSLE	0.33	0.29	1961–1990	Mutua et al. (2006)
2	Kenya	Gikuuri	5	0.18	1,600	1.100	MMF	MMF	0.45	0.61		1966–1992 Vigiak et al. (2005)
3	Greece	Kompsatos	564	0.04	300	1,038	CLM	RUSLE	0.58	0.47	1966–1992	Hrissanthou (2005)
4	Ethiopia	May Zegzeg	2	0.30	2,300	774	MGM	RUSLE 0.32		0.38	1998–2001	Nyssen et al. (2007)
5	Korea	Bosung	274	0.22	526	1.495	R	RUSLE	0.16	0.19	1991–2000	Lee and Lee (2006)
6	Texas	Guadiana	24	0.40	1,400	1,619	R	RUSE 0.16		0.17	1991-1995	del Mar Lopez et al. (1998)
7	USA	Rio Lempa	8,313	0.07	700	2,000	R	RUSLE	0.22	0.28	1970–1980	Kim et al. (2005)
8	Belgium	Dijle	820	0.06	50	835	R	RUSLE	0.35	0.18	1969-2003	Notebaert et al. (2008)
9	Canada	Boyer	217	0.05	300	1,100	^{137}Cs	^{137}Cs	0.66	0.62	1996–2001	Bernard and Laverdiwere (2001) ; Mabit et al. (2007)
10	Tanzania	Kwalei	2	0.18	1.600	1.000	MMF	MMF	0.54	0.61	1966–1992	Vigiak et al. (2005)
11	Africa	Bosboukloof	2	0.13	270	1,296	R	CLM	0.76	0.50	1970-1985	Scott (1993)

R reservoir, MMF modified Morgan-Finney, 137Cs 137Cesium measurement, LCM locally calibrated model

to 0.627 and 0.403, respectively. A different combined function of catchment area, land slope and land cover, was used by Kothyari and Jain [\(1997](#page-8-0)) for estimating SDR in India. Unlike the above Areal Model, the Channel Slopebased Model has been little applied. Moreover, given the observed very low correlation between the main stream channel slope parameter utilized in this model and the SDR data in the Italian basins here considered ($r^2 = 0.03$), SLP was here replaced with the basin areal mean slope, holding a stronger link with SDR ($r^2 = 0.41$). Thus, the Slope model (SDR_{Slope}) relationship was expressed as:

$$
SDR_{Slope} = \varphi(Slope)^{\kappa} \tag{2a}
$$

where the mean basin slope is expressed in m \times m⁻¹ and φ and κ are the empirical coefficients to be calibrated on observed data. As it will be pointed out here, κ actually assumes a negative sign.

In the present study, a new model, the SDR_{SIM} (Spatially Invariant Model), is proposed, based on a hydromorphological function $f(SIM)$ aimed to synthesize in an unique formulation the roles played both by watershed morphology and by rainfall amount:

$$
SDR_{SIM} = \varphi \cdot f(SMI)^{-\kappa}
$$
 (3)

with

$$
f(SMI) = \left[\frac{\sqrt{A}(\Omega - \sqrt{E}) \cdot \text{Slope}^{\alpha}}{\sqrt{P}}\right]
$$
 (3a)

where A is the basin area (km^2) ; E is the average elevation of the basin (m); Slope is the mean basin slope (m \times m⁻¹); P is the annual average precipitation amount (mm); and φ , κ , Ω and α are four empirical parameters.

In order to better explain the significance of the factors combined in Eq. 3a, the single terms that summarize the SDR can be reformulated as follows: let us consider $f(SY)$ as a function of the sediment yield, and f (GE) as a function of the gross erosion in the watershed; then, following the general empirical assumption that erosion and sediment yield are influenced by precipitation and climate factors as well as by basin area, elevation and morphology (Cooke and Doornkamp [1990;](#page-7-0) Ludwig and Probst [1998\)](#page-8-0), it may be written, respectively:

$$
f(SY) = A^{\chi} \cdot P^{\delta} \cdot \text{Slope}^{\beta} \tag{4}
$$

and

$$
f(\text{GE}) = A^{\vartheta} \cdot P^{\eta} \cdot E^{\nu} \cdot \text{Slope}^{\gamma}
$$
 (5)

Reassembling the terms, the SDR equation becomes:

$$
SDR = \frac{f(SY)}{f(GE)} = \frac{A^{\chi} \cdot P^{\delta} \cdot \text{Slope}^{\beta}}{A^{\vartheta} \cdot P^{\eta} \cdot E^{\nu} \cdot \text{Slope}^{\gamma}}
$$
(6)

where the area-rain-slope product function at numerator represents the final sediment delivery at the basin outlet,

whilst the product-set at denominator drives the soil mobilization process function and approximates the watershed gross erosion.

In dimensional terms, Eq. 6 is equivalent to Eq. 3, in particular, they coincide in the hypothesis that the exponents are such that:

$$
\chi - \vartheta = -\frac{\kappa}{2}; \ \delta - \eta = \frac{\kappa}{2}; \ \beta - \gamma = -\alpha; \ \nu = \frac{1}{2}
$$

whilst $\varphi = \kappa = 1$.

The empirical parameters of each SDR model were determined using the calibration data set (Table [1](#page-3-0)). Basically, the parameter values were estimated against SDR_{SIM} estimates using a solver that minimized the square error of estimate. An iterative calibration process was employed for Eq. 3. First, the set of parameters was approached for $f(SY)$ and f (GE) functions (Eqs. 4 and 5, respectively). Successively, Eq. 6 was transformed into model (3), fitting the SDR_{SIM} values keeping constant some parameters of Eq. 5. Next, the parameters of Eq. 3 were calibrated against the SDRSIM estimates. The process was reiterated until a converging solution was reached.

Models application and results

Table 3 shows the model parameters determined via calibration of the three models. For the Area model, the estimated coefficient $\varphi = 0.41$ results equal to that reported in Handbook of Hydrology (Shen and Julien [1993](#page-8-0)), whilst $\kappa = -0.085$ is near to the superior limit of the values-range ($\kappa = -0.69/-0.3$).

For the Slope model, the estimates of φ (0.0697) and κ (-0.619) are not comparable to the SLP model. In particular, the power function exponent κ here appears with a negative sign, meaning that the SDR holds an inverse relationship with the mean basin slope, differently from what one can expect. In fact, a watershed with short and steep slopes will deliver more sediment to a channel than a watershed with a long and flat landscape (Ouyang and Bartholic [1997\)](#page-8-0). The negative relationship here resulting can only be explained by considering that, with increasing

Table 3 Values of function parameters estimated for A (Area Model), Slope (Slope Model) and SIM (Spatial Invariant Model)

Parameters	Soil delivery ratio models						
	А	Slope	f(SIM)				
φ	0.4100	0.0697	0.416				
к	-0.085	-0.619	-0.422				
Ω			500				
α			2.0				

mean slope, the upland erosion also increases, then, a surplus of sediment is moved from the valley sides to channels which cannot be delivered towards the outlet. In particular, the higher inclination in the first treat of the curve in Fig. 3b (lower slopes) suggests that gross erosion exceeds the loading capacity by stream channels, on average, that is, the ratio is highly unbalanced towards GE, then, the SDR lowers rapidly; whilst, in the second treat of the curve (increasing slopes), the stream capacity begins to be more effective versus upland erosion, then the SDR lowering is slower.

The regression coefficients values, estimated from the data, roughly matched the SDR data of both the calibration (Fig. 3a–c) and the validation (Fig. $3a_1-c_1$) data sets. On the calibration dataset, different performances were exhibited from the three model approaches, with a determination coefficient r^2 equal to 0.08, 0.41 and 0.73, respectively. On the validation dataset, it is surprising to

Fig. 3 SDR modelling by means of Areal Model (a), Slope Model (b), and SIM Model (c) from the calibration Italian data set and respective scatterplots (a_1-c_1) between predicted and actual SDR

values from the validation out-side Italian data set. Modelling was supported by Rodney Carr, Excel add-in workbooks for Data Analysis, Version 5

detect as only the SIM-model holds the performance, with $r^2 = 0.65$. With the two simplified Area-and-Slope models, both validation scatterplots show, in fact, a rather poor agreement between estimated and actual SDR values, with a strong decay for the Slope model (Fig. $3b$ $3b$, b_1).

The SIM-model may appear more sensitive to variation in its several parameters than the A and Slope models are to their few parameters. The application of the SIM model to other world sites may therefore be limited by the ability to provide appropriate site-representative parameters able to capture the spatial variability of SDR data. Specific geographical locations (e.g., upland and forested basin, very impermeable drainage areas) may have particular characteristics which cause the parameters to deviate from reference values, and may require local optimization.

Conclusions

The effort made hitherto by many researchers to find out a general model allowing to reliably estimate SDR have produced a number of different kinds of models, giving to each the possibility to choose the better solution to his case, mainly on the basis of actual data availability.

The SDR_{SIM} model here proposed attempted both to provide a simple method based on easily available catchment parameters and to skip over the limitations observed when applying other simplified models such as the Areal model and the Slope model. An integrated hydro-morphological function f(SMI) was introduced, aimed to synthesize in an unique formulation the roles played both by watershed morphology and by rainfall amount.

The comparison with the above cited models, through the application to a wide range of catchments extensions across different landscapes of Italy, demonstrated the better behaviour of the proposed model. The validation test performed by means of a 11 basins dataset sparse over different regions of the world, confirmed the effectiveness of SDR_{SIM} model against the worse results by the other models and also proved the model robustness independently from the geographic location and local conditions.

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