ORIGINAL RESEARCH



Oppositional based Laplacian grey wolf optimization algorithm with SVM for data mining in intrusion detection system

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Abstract

Identifying intruders using data mining approach in recent trend provides better detection r te w in compared with other classical systems. In this paper we introduced Oppositional based Laplacian grey wolf optimize ion algorithm for clustering the class of attacks based on the similarity and active learning of SVM classification using this operaization algorithm. The results of the proposed algorithm have been evaluated with standard metrics and compared with the recent algorithms to prove its significance. The results of the proposed algorithm show its significance when a pared with the existing methodologies.

Keywords Intrusion detection system · Grey wolf optimization · Support vector . Thine

1 Introduction

The vital role of intrusion detection system is to defend the computer system or a network system from the hackers or they are called as intruders. IDS use well classified coss fiers to distinguish between normal users and potential halers. IDS also use clustering techniques for grouping the users based on their similarities. Under two basic considerations the IDS can work efficiently identify the intruders among the legible users: (1) auditing of log data from the computer systems are possible for IDS and (2) there can be distinct difference among the legible users and it ruders.

IDS have been addressed by many researchers with different models. However the implemented IDS cannot work efficiently without period. Tupdates and in case if it fails to do so then the IDS connot complete with new type of attacks. IDS can be updated to both manually and using networks. However, updating ID; is a time and energy consuming process. The can analyse the network automatically via the data 1 offles. The system. There are different phases in ID and hey are:

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² Department of Electronics and Communication Engineering, Coimbatore Institute of Engineering and Technology, Coimbatore, India 1. Analysing the packets over the network.

ceature extraction from the captures packets to list outt. details of the connection or regarding the host.

- Developing a model to analyse the features which can further be extended to distinguish the normal and abnormal behaviour among the users.
- 4. With the use of learnt model to develop the intrusion detection mechanism.

Chung and Wahid (2012) proposed a novel fusion intrusion detection system by adopting the usage of intelligent dynamic swarm. It is merged with rough set for carrying the process of feature selection. It comprises of simplified swarm optimization for carrying the intrusion data classification strategy as discussed in Jaganathan and Palaniswami (2014). IDS-RS is proposed to handle the most appropriate features, which can easily denote the network traffic pattern. The main theme of the proposed algorithm is to improvise the performance of SSO classifier, the integration of novel weighted local search (NWLS) approach is merged with SSO. The objective of novel local search strategy is to look for optimized and best results from the closest of the current solution formed by SSO. The KDDC up 99 dataset is adopted for checking the performance of the proposed hybrid system and the evaluation of results are compared with particle swarm optimization (PSO) and two other most popular benchmark classifiers. The testing results illustrates that the proposed hybrid system can attain high level of classification accuracy than others with 93.3% and

it can be one of the competitive classifier for the intrusion detection system.

Horng et al. (2011) discussed the advancement of using an SVM-based intrusion detection system, and it also discloses the information about the process of hierarchical clustering algorithm. The integration of simple feature selection process added with SVM technique provides high level of security and act as a perfect system for protecting the data. The hierarchical clustering algorithm with the SVM provide accuracy with fewer, abstracted, and higher-qualified training instances that are obtained from the KDD Cup 1999 training set. The entire process completes by consuming less training time, but parallel it enhances the performance of outcome SVM. The unassuming feature selection technique was functional to eradicate insignificant features from the training set. The attained SVM model can categorize the data of network traffic more accurately. The KDD Cup 1999 dataset was consumed to check the stability of the proposed system. The comparison process takes place with other traditional intrusion detection systems which uses same dataset, this system displayed improved performance by quickly identifying DoS and Probe attacks, and the beset performance in overall accuracy.

Eesa et al. (2015) presented an effective feature-selection scheme which is completely based on cuttlefish optimization algorithm. This effective strategy mainly focused on providing accurate solution for intrusion detection systems (IDS). It mainly focuses on IDSs with a huge amount of data; the of the critical tasks of IDSs is to retain the finest quality of tures that denote the entire data. It also has the abir ty to erac icate the redundant and inappropriate features. The posed model adopts the cuttlefish algorithm (CFA) which ac s as a search strategy to discover the optimal su set of features. The proposed model fetch help of decision tree (DT) classifier as a verdict on the nominated feature. hat evolves by the CFA. The KDD Cup 99 dataset is used to four date the proposed model. The outcome display. he feature subset attained by importing CFA. It generes better detection rate and level of accuracy rate is high with lower false alarm rate, when compared with the wained results using all features.

Thaseen at Kuma, 2017) proposed an intrusion detection model using Chi-square feature selection. The multi class support fector machine (SVM) uses a parameter for carrying he tuning method by integrating the optimization. If the beam parameter known as radial basis function kernel success gamma represented by '!' and over fitting constant 'C. These parameters are considered has two significant parameters which helps SVM to attain more accuracy and also helps to construct a multi class SVM which has not been assumed for IDS. It also provides decreases the time consumed by training and testing process. The increase of the individual classification accuracy while there is an interference of network attacks. The tentative outcomes on NSL-KDD dataset which is an improved variety of KDDC up 1999 dataset displays that our proposed method outcomes indicate better detection rate and with minimized false alarm rate. An investigation on the computational time essential for training and testing is also supported out for procedure in time critical applications.

Lin et al. (2012) explained an intelligent algorithm with feature selection process and the technique makes a set of decision rules which can be easily applied, in order to check the anomaly intrusion detection. The significent theme is to make use of support vector machine (SVM), veision tree (DT), and simulated annealing (S^A to detect abnormal circumstances. In the proposed lgo, 'm, the role of adopting SVM and SA is to ide tify the b. . nominated features to uplift the exactness of nomaly intrusion detection. The evaluation of the in the second seco dataset was carried by getting e data derived from DT and SA and it can acque decision rules for new attacks and can improve accuracy classification. By considering the requirements to the DT and SVM the finest parameter settings are auto accustomed by SA. The proposed algorithm outclass other prevailing methods. The simulation results blish that the proposed algorithm is effective in detecting anomaly intrusion detection. Various optimization problem s that are addressed using evolutionary concepts can found in Smys and Kumar (2016); Anguraj and Smys ²⁰¹⁹), Raghav et al. (2017a, b), Mubarakali et al. (2019), K, shav and Ponnurangam (2017), Abiramy et al. (2018), Thirugnanasambandam et al. (2017), Raghav et al. (2019), Thirugnanasambandam et al. (2019).

Oppositional based learning (OBL) is integrated with basic GWO algorithm. The reason behind choosing the opposition based learning (OBL) is that it does not depend on the specific algorithm used to speed up the convergence rate of different optimization techniques. For finding a better candidate solution, the simultaneous consideration of an estimated and its corresponding opposite estimated which is closer to the global optimum than a random candidate solution. In a very short period, OBL, a new concept in computational intelligence, has been utilized in different soft computing areas.

The following section of the paper is organized as follows: Sect. 2 deals with the problem definition of IDS, Sect. 3 discusses on SVM classification model. Section four explains the proposed model along with the drawbacks in the existing GWO. Section 5 deals with the experimental evaluation and finally Sect. 6 concludes the paper.

2 Problem definition

In this section a brief view on data mining approach in intrusion detection mechanism using machine learning models are given along with the background knowledge on network security. This section will also describe how the log data is mapped with SVM and optimization model for classification and clustering respectively.

2.1 Network security

Communication model through networks plays a vital role in carrying sensational information for a wide range of purposes, hackers are attracted towards the network to snip away the information or to disrupt the system. In today's trending grows with online trading and the domination of e-market and e-commerce, the necessity of network security is high in securing the communication model. In network stream, information security comes with three major concerns Froehlich and Kent (1998):

- *Confidentiality*: Securing the data from unauthorized persons either through copying or through reading it.
- *Integrity*: Protecting the information from unauthorized persons so that they cannot modify the it.
- Availability: Safeguarding the information from unauthorized persons in such a way it should not be wiped out or erased from the memory location.

The above-mentioned points state that the information has to be protected in these ways. Following are the methods to be followed to protect the confidential data from the hackers Froehlich and Kent (1998).

- *Authentication*: Acknowledging the authorized personusing identical record and providing the facility claim the same. Through passwords, pins, patterns, etc. at mentication can be done.
- *Authorization*: The level of access to the authorized persons can be taken to. For example, a customer and a merchant in e-commerce site.
- Non-Repudiation: Provide g protection to the information who tries to acce, it is trying to violate the abovementioned points.

2.2 Network intrus. detection

Intrusion de ption is the process of identifying the course of action that a cattempted to compromise any of the three me, provide that a cattempted to compromise any of the three me, provide that a confidentiality, integrity and availability) as pseribed by Axelsson (1998), Debar et al. (2000). Detection of these attacks can be done by examining the log records of the system and the network. The system records are called as host-based data and the network records can be referred as network-based data as discussed in Freeman et al. (2002), Marchette (1999) (*i.e. tcpdump*). From different research aspects IDS has been addressed which includes statistical, predictive, neural networks as discussed by Lunt (1993), Ryan et al. (1998), Teng et al. (1990). expert systems as described by Denning (1987), keystrokes as formulated in Monrose and Rubin (1997), model-based IDS Garvey and Lunt (1991), Kumar (1995), Network Security Monitor Mukherjee et al. (1994), autonomous as described in Spafford and Zamboni (2000), fuzzy as described by Klawonn and Höppner (2003) and data mining as discussed in Han et al. (2011), Hand et al. (2001), Kantardzic (2003).

In traditional approaches, the identification of Intruder in a network is a real-time process. A system y be upped IDS is placed in a system and when a new node mey to access the piece of information the node is subject to come through the IDS where the authentipatic and authorization privilege will be approved as discusse. In Besharati et al. (2019). The implementatic of IDS in a network or system requires two major nce. (1) Cost of deployment and (2) updating the IDS. S is a standalone system where the module is to it completely along with predefined data regarding ident. ation mechanism and process of identifying the h ruder as discussed in Bi et al. (2016). When a new in we needs to be added to IDS, in traditional approaches e-whole system is expected to reboot. In recent the system must undergo training process for updating the new information. In machine learning approach, the IDS system posses the capability to update the info nation without any pre-requisite training process. This vste *n* is explained below with a sample model.

2.3 Example of IDS using machine learning approach

Here is a sample procedure to describe the course of action taken to identify the intruder using machine learning model. Let us consider, a dataset χ consists of the log data after pre-processing is done. Let ψ be the training step of the machine learning model. As it is a show Fig. 1. Each data point $d \in \chi$ is given to searching function φ to find the data points selected to undergo training process in ψ . And δ is the classifying function decides which class of user they belongs to.

In this approach when a new data point is received it undergo training in appropriate manner using the search function φ to obtain the proper training procedure from ψ . This approach does not require any rebooting mechanism or rebuilt or retraining of whole dataset. Two different approaches are to be expected to fulfil the process namely clustering and classification. Classification is the process of labelling the data to which class it belongs to. Clustering is the process of identifying the similarity among the data points. These two approaches are the basic blocks of machine learning based IDS. In our approach we used support vector machine for classification and oppositional based Laplacian grey wolf optimization algorithm for clustering. Fig. 1 Machine learning model for IDS



3 SVM classification mechanism

Support vector machine (SVM) is a classic algorithm used for classification mechanism in machine learning. In this section, a brief introduction on the working procedure of SVM in data classification is given. This SVM is explained by considering the active log data of intrusion detection as it input for classification.

Let us consider $d \in \chi$ is the data which belongs to either Class A (Intruder) or Class B (Legible user). Every data in χ can be labelled with $y_i \in [-1, 1]$ such that

 $\mathcal{Y}_i = \begin{cases} -1 & ford_i \in Intruder \\ +1 & ford_i \in Legible user \end{cases}.$

Entire training set can be stated as

$$\mathfrak{D} = \{ (d_i, \mathcal{Y}_i) \mid i = 1, 2, 3, \dots, N \}.$$

Using the given dataset a hyperpla \mathcal{H} reeds to be derived which separates both the classes. Initially two hyperplanes \mathcal{H}_1 and \mathcal{H}_2 is plotted basea of the closest points among -1 and +1 from \mathcal{H}_1 poectively.

 $\mathcal{H}: w.d - b = 0d \in \mathbb{R}$

$$\mathcal{H}_1 : w.d - b = 1d \in \mathcal{H}_2 : w.d - b = -1 \in \mathbb{R}$$

where w stands for the normal and b denotes distance from \mathcal{H} to figure 2 shows the initial process of constructing hyperplane \mathcal{H} .

SVM is also used as multi-level classifier whereas in our example we used binary classifier since in our approach we used binary SVM. The classification process requires clustering mechanism to label the data though which group it should gets trained. At this phase optimization comes into picture where a better cluster a mean in promising through the literature.

4 Oppositional based Laplacian grey wolf optimiza or in orithm

In this phase the proposed oppositional based Laplacian grey wolf optimization Algorithm is explained in detail which is us d for clustering purpose in IDS. Initially the standard grey wolf algorithm working process is explained long with its drawbacks. Then the proposed algorithm is given in the form of pseudo code.

4.1 Grey wolf optimization algorithm

Grey wolf optimizer is an optimization algorithm proposed by Mirjalili et al. (2014) inspired from the socio behaviour



Fig. 2 Hyperplane \mathcal{H} using \mathcal{H}_1 and \mathcal{H}_2

of wolves and their hunting proceeding. Based on the hunting strategy of grey wolves they are categorised into three forms namely:

 α —Deciding authority to instruct other wolves where to sleep, hunt, etc.

 β —Advisor for α wolves in decision making procedure and takes the position of α wolves in their absence

 δ —Subordinate wolves follow the instructions of α and β wolves.

There are two phases in GWO namely encircling and hunting phase. Encircling phase identifies the location of the prey and instructs the other wolves to round it. Hence the other wolves are subject to update its location based on the instruction. It is mathematically represented as

$$\vec{\Gamma} = \left| \vec{C}.\vec{\xi}_c(t) - \vec{\xi}(t) \right| \tag{1}$$

$$\vec{\xi}(t+1) = \vec{\xi_c}(t) - \vec{A}.\vec{\Gamma}$$
⁽²⁾

where *t* denotes the iteration, \vec{A} and \vec{C} represents the coefficient vectors, ξ position of the wolf and ξ_c is the position of prey.

The vector \vec{A} and \vec{C} are computed as follows:

$$\dot{A} = 2\vec{a}.\vec{r} - \vec{a}$$

 $\vec{C} = 2.\vec{r}$

where varies from 2 to 0, and \vec{r} is a random v. ctor [0, 1].

In hunting phase, the α , β and δ wolves (solution) are considered as the first, second and thir solution based on the ranking of the fitness values. The hunting procedure can be represented mathematically as γ yows.

$$\vec{\Gamma}_{\alpha} = \left| \vec{C}_1 \times \vec{\xi}_{\alpha} - \vec{\xi} \right|, \ \vec{\Gamma}_{\beta} = \left| \vec{\zeta} \times \vec{\xi}_{\beta} - \vec{\xi} \right|, \ \vec{\Gamma}_{\delta} = \left| \vec{C}_3 \times \vec{\xi}_{\delta} - \vec{\xi} \right|$$
(5)

where, $\vec{\Gamma}_{\alpha}$, $\vec{\Gamma}_{\beta}$, \vec{T} refers the odjusted distance vectors of α , β and δ wolve, C_1 , \vec{C}_3 are the coefficient vectors.

$$\vec{\xi}_1 = \xi_\alpha - \vec{I} \times (\vec{\Gamma}_\alpha), \vec{\xi}_2 = \vec{\xi}_\beta - \vec{A}_2 \times (\vec{\Gamma}_\beta), \vec{\xi}_3 = \vec{\xi}_\delta - \vec{A}_3 \times (\vec{\Gamma}_\delta)$$
(6)

where, $\xi = \overline{\xi}_3$ and $\overline{\xi}_3$ are the updated positions of α , β and δ works.

$$\vec{\xi}(t+1) = \frac{\sum_{i=1}^{n} \vec{\xi}_{i}}{n}$$
 (7)

where $\vec{\xi}$ (t + 1) is the new updated position. The parameter A and C guides GWO algorithm to identify the optimum

solutions in a global search space. Figure 3 shows the algorithmic flows of GWO.

4.2 Drawbacks of GWO

In Fig. 4, Initially GWO's performs better in finding the new solution in both exploration and exploitation. Later, in a course of iteration the new solutions which generated are from specific regions due to struck in local optime and thereafter it lags in exploration. In addition, with a fit lags in balancing exploitation and exploration process.

4.3 **Oppositional learning**

Oppositional based learning is a population search technique which generates the opposite of the generated solutions that diverts the searching process. I malso evident that using oppositional search leads on higher convergence rate towards optimal solutions as discuss of Rahnamayan et al. (2008). The opposition-tused learning can be defined as follows.

Definition Opposed and learning is the process of generating opposite obtains of the current solution thus diverge the searching process in the given solution search space.

It is an individual in the solution space bounded with e region [u', v'], the new individual can be generated as fo lows:

$$\xi^* = u' + v' - \xi$$

And this ξ^* is generated for single dimensional cases. For multi-dimensional problems the solution can be generated as where *i* defines the dimension, which can range from 1 to *D*.

Algorithm 1:Oppositional based Learning
<i>Input:</i> Population (Pop),u'-lower bound, v'-upper bound
Begin
for each $\xi \in pop$
$\xi_i^* = u_i' + v_i' - \xi_i$
end for
End
<i>Output:</i> ξ^*
End Output: ξ*

4.4 Laplace distribution

Evolutionary Algorithms comes with the random initialization process that then converges towards optimal solution. The standard distribution probability of Laplace transform Ahandani and Alavi-Rad (2012) using the probability density function is given by



Fig. 3 Flow of standard grey wolf optimization algorithm

$$f\xi_{"} = 12ye - \xi - xy.$$

Such that ξ range $\forall ztwc (-\infty, +\infty)$ and the distribution function of L increases $f(z, -\infty)$ as

$$F(\xi) = \begin{cases} \frac{1}{2}e^{-\frac{\xi^2-x}{y}} & \text{if } y \le x\\ 1 & -e^{-\frac{\xi^2x}{y}} & \text{if } \xi > x \end{cases}$$

where x is a varie the local parameters and the values of x range. Setween $(-\infty, +\infty)$ and $y \ge 0$.

The above-mentioned distribution is the actual form of Laplacian distribution on any mathematical model and the results of the distribution with local parameters set to 1 and 2 respectively will result the distributed values as shows in Fig. 5 Based on the mathematical and simulation evidence found in the literature as well as form Fig. 5 the proposed schema can be introduced in GWO.

The pseudo code for Laplacian distribution algorithm is given in algorithm 2.

Algorithm 2:Laplacian Distribution
Input: Population (Pop), x, y
Begin
for each $\xi \in pop$
$F(\xi_{i}) = \begin{cases} \frac{1}{2}e^{-\frac{\xi-x}{\psi}}if\xi \le x\\ 1 - \frac{1}{2}e^{-\frac{\xi-x}{\psi}}if\xi > x \end{cases}$
end for
End
$Output: \xi^*$

The pseudo code for oppositional based Laplacian grey wolf optimization algorithm is given in algorithm 3.





Fig. 5 Laplace vs uniform distribution

Table 1KDD dataset and splitup of training and testing sets

Class	KDD dataset details						
	10% KDD99 dataset	Training set D	Testing set T_1	Testing set T_2			
Normal	97,277	200	1000	10,000			
DoS	39,148	60	500	40,000			
U2R	52	30	52	52			
R2L	1126	60	1000	100			
Probe	4107	40	500	400			
Total	494,021	390	3052	50.552			

Table 2Confusion matrix ofSVM

					/	
Actual class	Classified cl	ass				
	Normal	DoS	U2R	R2L	Probe	Unknown
Normal	828	0	0	0	0	165
DoS	0	463	0	8		37
U2R	0	0	34	6	0	18
R2L	1	0	468	73	0	38
Probe	0	0	0		109	391

Table 3 Confusion matrix of CSOACN

Actual class	Classified class						
	Normal	DoS	U2R	R2L	Probe		
Normal	927	15	3	42	13		
DoS	0	493	0	0	7		
U2R	0	0	39	13	0		
R2L	45	312	12	628			
Probe	3	257	20		218		

Table 5 G	onfusion m.	x of SVM-OLG	WO
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Actual class	assified	class			
	Normal	DoS	U2R	R2L	Probe
Norn	930	25	5	26	14
-S	1	499	0	0	0
U, K	0	0	42	2	8
R2L	38	312	12	636	2
Probe	1	212	11	8	268

5 Experimental evaluation

The proposed methodology has be simplemented in MAT-LAB Version 9.6 on KDD99 dataset m, otal KDD dataset has 23 different types of sata is where all the attacks come under four major class on the samely: DoS, R2L, U2R, and Probing. Since KDD has arge dataset and we use learning using SVM classifier, we trained and tested our algorithm using the follows samples mentioned in Table 1. The performance measures we used for evaluating the proposed algorithm are training time, detection rate, false positive and false negative. The existing algorithms we used for comparison are classical SVM, ASOACN Feng et al. (2014) and CSVAC Feng et al. (2014). The results of the classified datasets are clearly given in the form of confusion matrix in Table 2 for SVM, Table 3 for CSOACN, Table 4 for CSAVC and Table 5 for SVM & OLGWO. Table 6 for SVM-OLGWO vs existing methods, Table 7 For data set

Table	Conrusion matrix of
CSAVC	

Actual class	Classified class						
	Normal	DoS	U2R	R2L	Probe	Amphibious	
Normal	923	15	3	42	13	4	
DoS	0	493	0	0	0	7	
U2R	0	0	42	9	0	1	
R2L	38	312	12	628	2	8	
Probe	2	259	16	9	213	1	

 \mathbf{A}

 Table 6
 Performance of SVM-OLGWO vs existing methods

Measure	Algorithm				
	SVM	CSOACN	CSVAC	SVM-OLGWO	
Training time (s)	4.231	5.645	3.388	2.982	
Detection rate (%)	66.702	80.1	78.18	85.21	
False positive (%)	5.536	2.846	2.776	1.164	
False negative (%)	21.9	0.36	0.3	0.21	

 Table 7
 Confusion matrix of data set T2 tested by SVM-OLGWO

Actual class	Classified class							
	Normal	DoS	U2R	R2L	Probe			
Normal	9729	72	12	159	31			
DoS	8	39,922	0	1	69			
U2R	0	5	44	3	0			
R2L	0	1	5	94	0			
Probe	0	13	8	101	268			

Table 8 Comparison of SVM-OLGWO and KDD99 winner

Measure	Algorithm				
	SVM-OLGWO	KDD99 winner			
Detection rate (%)	95.11	95.3			
False positive (%)	4.51	4.25			
False negative (%)	0.5	3			

T2 tested by SVM-OLGWO and Table 8 for SVM-OLGWO and KDD99 Winner. The confusion matrix shows the proper classification that it has to be classified. For example, the row of the table shows the actual dataset that is classified, and the column refers the predicted set. If both correlates with same value, then it is termed as properly detected.

Figure 6 shows the parameter tuning of A and c with different values ranges from 0 to 2 with the interval. As the result the value that having high detection rate was used for implementation of our proposed algorithm.

6 Conclusion

In this paper, a learning-based approach for detecting intruders using log files of the computer of m is proposed. A structure for clustering the use. via improvised grey wolf algorithm with Laplacen distribution and oppositional learning is proposed alon, with SVM for classification. In the proposed ap, oach clustering and classification are the base system for the intruders in computer systems. The propert approach is evaluated with standard metrics a moared with the existing methodologies. The proposed nothonology acquires better detection rate than the other existing approaches. An empirical test has been follow to tune the parameters of grey wolf optimization algoithm The significance of the proposed algorithm is higher the a the existing approach which can be found in Table (6). The future scope of this model can also be used to solve other engineering optimization problems in the near future.



Fig. 6 Parameter tuning of A and c

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