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Environmental Life Cycle Assessment of Hydrothermal Carbonization of Sewage Sludge and Its Products Valorization Pathways

G. Mannarino1 · S. Cafaz² · R. Gori1 · L. Lombardi³

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Abstract

This study is aimed at evaluating through Life Cycle Assessment (LCA) the environmental performances of an integrated system of an existing Water Resources Recovery Facility (WRRF) and a hypothetical hydrothermal carbonization (HTC) plant applied to the generated sewage sludge (SS). Beside the valorisation of the solid product (hydrochar, HC) as a fuel substituting lignite, the possibility to valorize also the liquid fraction (process water, PW) derived by the HTC, by anaerobic digestion to produce biogas, is here proposed and analysed. Additionally, phosphorus recovery from HC, prior its use, by acid leaching with nitric acid is also suggested and evaluated. Thus, four integrated scenarios, based on SS carbonization, are proposed and compared with the current SS treatment, based on composting outside of the WRRF (Benchmark scenario). The proposed scenarios, based on HTC, show improved performances with respect to the benchmark one, for thirteen of sixteen considered impact indicators. For the Climate Change (CC) indicator, the two HTC scenarios are able to reduce the impacts up to – 98%, with respect to the Benchmark. Further, the introduction of anaerobic digestion of PW proves to reduce impacts more than other confgurations in eleven on sixteen impact categories. On the contrary, the introduction of phosphorus recovery process negatively afects the values for most of indicators. Thus, possible solutions to improve the integration of this process are outlined (e.g., the use of sulfuric acid instead of nitric one, or the application of a diferent ratio between solid and acidifed solution during acid leaching of HC to recover phosphorus).

 \boxtimes L. Lombardi lidia.lombardi@unicusano.it

- ¹ Department of Civil and Environmental Engineering, University of Florence, via di S. Marta 3, 50139 Florence, Italy
- ² Publiacqua SpA, Via Villamagna 90/c, 50126 Florence, Italy
- ³ Niccolò Cusano University, Via Don Carlo Gnocchi, 3, 00166 Rome, Italy

Graphical abstract

Keywords Sewage sludge · Hydrothermal carbonization · Life cycle assessment · Anaerobic digestion · Phosphorus recovery

Statement of Novelty

The novelty of this study relies in the evaluation of the environmental sustainability of HTC technology to treat sewage sludge (SS) at industrial scale, including some modifications with respect to the basic HTC process, namely the valorisation of the process water (PW), by anaerobic digestion, and the recovery of phosphorus (P) contained in the HC by acid leaching with $HNO₃$. Further, a comparison with H_2SO_4 efficiency has been proposed. Results are useful to understand the environmental sustainability of these specifc modifcations (PW anaerobic digestion is always benefcial, while P recovery deteriorates the environmental performance of the overall process), but more generally it is stressed the importance of evaluating innovative technologies at the early stage of development, also proposing alternatives, to obtain larger environmental benefts.

Introduction

Scientifc research in sustainable technologies and renewable energies is becoming of increasing relevance year by year. Because of the exploitation of fossils resources, new solutions are urgently needed, with the perspective to achieve the EU goal of net-zero greenhouse gas (GHGs) emissions by 2050 [\[1,](#page-17-0) [2](#page-17-1)]. Specifcally in wastewater sector, innovative, safe and environmentally friendly strategies have to be found. Indeed, sewage sludge (SS), which is the main byproduct of Water Resources Recovery Facilities (WRRF), needs a proper and careful management, since, besides the importance of health and environmental protection, a considerable amount of GHGs is produced by its treatment and disposal [\[3](#page-17-2)].

In this framework, hydrothermal carbonization (HTC) is gaining attention as suitable technology to treat SS. During HTC, the feedstock is heated up to mild temperature (150 – 250 °C) under autogenic pressure (up to 20 bars) and in subcritical water conditions. Within short retention time $(1-12 h)$, the biomass in converted in three fractions: a solid-coal matrix (hydrochar, HC), a liquid fraction (process water, PW) and a small amount of gas (mainly composed by $CO₂$) [[4](#page-17-3)]. HC is a densified solid product able to retain carbon (C) into its structure, improving its fuel properties [\[5](#page-17-4), [6](#page-17-5)]. It is also characterized by a high porosity, which suggest its suitability as activated carbon or as soil conditioner [\[7](#page-18-0)]. PW generally contains a high concentration of organic substances and volatile fatty acids, making it a promising substrate for anaerobic digestion [\[8](#page-18-1)]. The main advantage of HTC is the ability to process biomass with a high moisture content (75–99%) (such as SS) without any pre-treatments [\[9](#page-18-2)]. Therefore, it becomes straightaway to think to an integration of HTC in SS treatment line of WRRFs. Indeed, even though HTC is not so new, its application to SS has been proposed only in the last few years, as it is demonstrated by the increasing number of scientifc publications starting from 2008 [\[1](#page-17-0)]. Additionally, HTC has been recently identifed as an efective technology for SS disinfection. Indeed, thanks to the mild temperatures (>100 °C), HTC is reported to eliminate microorganisms, pathogens, and viruses, including also SARS-CoV-2 [\[10](#page-18-3)].

It is extremely interesting to answer the interrogative about the efective environmental advantage of the HTC application, as well as the beneft from an economic viewpoint. To the aim of environmental evaluation, life cycle assessment (LCA) methodology appears to be the appropriate tool [\[11](#page-18-4)]. Some studies already evaluated the environmental performance of HTC on SS through LCA. Specifcally, Medina-Martos et al. [\[12](#page-18-5)] compared through LCA the environmental performances of two alternative scenarios for SS treatment. The frst one expects the standalone anaerobic digestion (AD) of a mixture of secondary and primary SS, while the second one includes HTC of secondary SS combined with AD of both primary SS and PW derived by HTC process. They found that the integrated strategy generally reduces the environmental impacts, in comparison with the sole AD confguration, thanks to the valorization of HC, able to replace fossil fuels. Further, the recovery of PW by anaerobic co-digestion with primary sewage sludge allows minimizing the impact of liquid fraction, whose treatment generally imposes a relevant environmental load [\[13](#page-18-6)]. Further, other LCA studies support the sustainability of HTC in comparison to other technologies to treat SS [[14,](#page-18-7) [15](#page-18-8)]. In detail, HTC treatment on SS (anaerobically digested) proved to save more $CO₂$ emissions than mono-incineration, in particular using HC for energy purpose instead of in agriculture/ horticulture [[14](#page-18-7)]. Further, HTC process for anaerobically digested and dewatered SS treatment resulted in better environmental performances than co-incineration with municipal solid waste, landfll and mono-incineration according to

Wang et al. [[15\]](#page-18-8). Finally, another work reported that the no advantages in global warming potential (GWP) are ofered by HTC process on SS (both digested and non-digested) in comparison with its direct agricultural and energy valorization in German conditions [[16\]](#page-18-9).

It is worth pointing out that some LCA studies evaluated the environmental performances of the application of HTC on diferent types of feedstocks (e.g., food waste, garden/ green waste, poultry litter and olive pomace) [[17–](#page-18-10)[20](#page-18-11)]. All the aforementioned studies are in agreement to identify the attractive role of HTC in biowaste treatment. However, the direct comparison among these diferent LCA studies is challenging, due to several factors, such as operational HTC conditions, feedstock, valorization pathways, assumptions and system boundary.

It is well note that phosphorous (P) is a key nutrient essential for organism growth in nature and its recovery is necessary. Indeed, since 2017 it is listed among critical raw materials by EU commission, due to its scarcity in Europe [\[21](#page-18-12)]. Several studies investigated acid leaching of P from HC at laboratory scale, concluding that P recovery is concretely efficient $[22-24]$ $[22-24]$. However, from LCA perspective, the environmental performance of P recovery from HC derived by HTC of the organic fraction of municipal solid waste seems not very convenient [[24](#page-18-14)], being the assumed P extraction process the limiting factor to achieve sustainable environmental performances, pointing out the necessity to optimize this process.

The novelty proposed in the present study relies in the introduction of P recovery process, in addition to HTC, to a SS treatment line of a WRRF. Specifcally, acid leaching with $HNO₃$ has been proposed, and viable optimization routes were outlined. Moreover, the contribution of this study is to evaluate the environmental performance of a complete integrated system between SS treatment line, HTC process, AD of PW and P recovery from HC and to point out its potential application on a real scale.

In this study, the LCA is carried out in reference to an Italian case study WRRF—San Colombano WRRF (Florence), managed by Publiacqua SpA. The selected WRRF is a conventional activated sludge plant with a modifed Ludzack-Hettinger process for N removal, which expects consecutively anoxic and aerobic steps into the wastewater treatment line. The plant also provides the chemical P precipitation and anaerobic digestion for sludge stabilization and it can be therefore considered representative of state-ofthe art of large WRRFs in EU. The current SS treatment line of the plant processes only secondary SS, since the primary settling is by-passed due to a low C/N ratio in the infuent. San Colombano treats mainly urban wastewater of Florence and surroundings and it is the biggest WRRF in Tuscany for capacity (600 000 population equivalent and SS production of 17 066 t y⁻¹ on wet basis as average value of 2017–2018).

Specifc data about equipment and consumptions of SS treatment line are available at the time of writing and therefore used in this study. Digested SS is presently sent outside of the plant for fnal treatment, namely composting with other substrates, representing the current situation. In addition, four further scenarios are hypothesized integrating the HTC, two of which also including P recovery process. Data about HTC, anaerobic digestion of PW and P recovery process are gained by experimental work, on the specifc substrates, and specifcally used in this study. Other data are retrieved from literature or obtained by process modelling to provide the required input for LCA inventory, which is the most effort demanding step in LCA.

Materials and Methods

The LCA is developed according to the phases identifed by ISO 14040:2006 (ISO, 2006) and ISO 14044:2006 (ISO, 2006): goal and scope defnition, inventory analysis, impact assessment and interpretation (reported in Sect. [3](#page-12-0)).

Goal and Scope

The aim of this study is to carry out an environmental comparison of the following fve scenarios of SS treatment:

- *Benchmark scenario:* anaerobic digestion of SS, representing the current situation; the digested sludge is sent for composting elsewhere
- *HTC scenario*: anaerobic digestion of SS and further local HTC of digested sludge
- *HTC*+*AD scenario*: anaerobic digestion of SS, further local HTC and fnal anaerobic digestion of PW
- $HTC + AD + P_{\text{div}}$ scenario: anaerobic digestion of SS, further local HTC and fnal anaerobic digestion of PW; P recovery from dried HC through acid leaching with $HNO₃$

• $HTC + AD + P_{wet} scenario: anaerobic digestion of SS,$ further local HTC and fnal anaerobic digestion of PW; P recovery from wet HC through acid leaching with $HNO₃$.

See Table [1](#page-3-0) briefy reports the main processes included in each Scenario.

System Boundaries and Plant Process Layout

System boundaries of the fve treatment scenarios include the thermochemical HTC process, the energy production from biogas, the dewatering treatment of HC solid fraction, the AD of PW, the P recovery process, and the fnal treatment by aerobic post-composting (where expected). In order to provide a wider picture of the treatment systems and their impacts, and to account for the small modifcations that apply when HTC is integrated, the upstream SS treatment line, starting from secondary SS and including the SS anaerobic digestion, is also accounted for in all fve scenarios.

Inventory data of HC yield, biogas production from PW and its composition, as well as P recovery from HC, are retrieved from experimental data. Assumptions for each of the previously mentioned scenario are deeply illustrated in the following and compared with literature values (given in detail in the inventory).

Within the system boundaries, the production processes for utilities, fuels, chemicals and manufactured materials entering the processes and the related generated emissions are included. $CO₂$ emissions from the combustion of biogas and HC, HTC and the composting process are of biogenic origin and assumed not contributing to Global Warming [[25](#page-18-15)]. The treatment of produced wastewater supernatant from pre-treatments and dewatering stages is considered and included within the system. However, the possible nutrients contribution of supernatants to SS treatment line, once recirculated in the WRRF, is excluded from the analysis.

	Benchmark	HTC	$HTC+AD$	$HTC+AD+P_{\text{div}}$	$HTC+AD+Pwet$
AD of secondary SS	Х	X	Χ	Х	Х
Composting of digestate from AD of secondary SS	X				
HTC		X	Χ	Χ	Х
AD of PW			X	X	X
Composting of digestate from AD of PW			X	X	Χ
HC combustion before P recovery process		X	X		
P recovery process by acid leaching with $HNO3$ on dry HC				X	
P recovery process by acid leaching with $HNO3$ on wet HC					X
HC combustion after P recovery process				X	Х

Table 1 Main processes considered in each Scenario

Impacts caused by the construction of plants and auxiliary machines are not included within the system boundaries, since they are assumed to be relatively low [[25](#page-18-15)].

Recovered materials or energy produced as by-products, specifcally HC, compost, P, thermal energy (TE), and electric energy (EE) are accounted for by expanding the system boundaries to include avoided primary productions due to material and/or energy recovered from SS, reporting the substitution assumptions according to $[26]$ in the Appendix (Sect. 5). Particularly, the biogas produced by the PW anaerobic digestion is assumed to be combusted in an internal combustion engine (ICE) and the generated EE and TE are credited assuming to displace the electricity from the Italian grid and the heat generated by natural gas boilers, respectively. Concerning HC, P and compost, it is assumed that they can replace lignite and fertilisers, as better detailed in the inventory.

Calculations are performed for the total fow rate of produced SS, equal to 1477 $m^3 d^{-1}$. However, the functional unit adopted for the comparison is the treatment of 1 t of SS, characterized by total solids (TS) and total volatile solids (TVS) contents equal to 0.9% as wet weight and 56% of TS on dry basis respectively, which represents the average value obtained in the year 2020 from the monitoring activity carried out by the plant operators.

Background data for fuels, chemicals and manufactured materials, avoided materials and energy (lignite, heat,

fertilizers, etc.), and for wastewater treatment are retrieved from the database Ecoinvent 3.6 [\[27\]](#page-18-17).

Inventory Analysis

In this phase, mass and energy fows across system boundary are evaluated to quantitively describe the studied systems. Current real data about San Colombano SS treatment line is provided by the study case plant, while information about HTC reactor, process water AD and P recovery from hydrochar are collected from experimental laboratory tests and in some cases from literature. Details are also provided in the Appendix.

Benchmark Scenario

In the Benchmark scenario (Fig. [1](#page-4-0)), secondary SS is frstly thickened by centrifugation and then it is sent to the anaerobic digesters with the organic loading rate (OLR) and the specifc gas production (SGP) indicated in Table [1](#page-3-0) and derived from real operation data (year 2020), in agreement with literature values for sludge [\[28\]](#page-18-18). Before AD, a dosage of ferrous chloride (FeCl₂) into the sludge is accounted, promoting ferrous sulphide (FeS) precipitation to avoid the hydrogen sulphide $(H₂S)$ formation in the biogas. Therefore, no SO₂ emissions are considered from biogas combustion. Digestate is then dewatered by centrifugation, adding polyelectrolyte, and then it is processed by composting outside

Fig. 1 Scheme of the Benchmark scenario

Tuscany region, according to the information provided by Publiacqua, considering a transportation distance equal to 300 km. Composting emissions are accounted for CH_4 and $\rm N_2O$ and equal to 2.9 kg_{CO2 eq}. t⁻¹_{dry sludge} and 0.2 kg_{CO2 eq}. t^{-1} _{dry sludge}, respectively [\[29](#page-18-19)].

The produced biogas is mainly recovered in a boiler to produce TE for digesters heating. However, the biogas only partially covers the digesters thermal energy demand. From the data provided by the plant, an average value around 4% of biogas is combusted in a fare (as excess in some period of the year), while natural gas is withdrawn from national grid when the biogas is not sufficient (average annual consumption equal to 8% of produced biogas). Emission factors for a boiler powered by natural gas for NO_x , CO, PM, and VOC are taken into account $[30]$ $[30]$ for both biogas and natural gas combustion. $CH₄$ losses are also considered: losses from biogas plant, assuming an average value of 3.7% [[31\]](#page-18-21), and losses of biogas flare, considering a value equal to 1% [\[32](#page-18-22)]. A specifc rate of solid removal for the composting process is assumed, together with a specifc EE consumption of composting process (Table [2](#page-5-0)).

Main operational parameters and assumptions are reported in Table [2,](#page-5-0) while EE and TE consumptions of the system are provided by the study case plant.

HTC Scenario

In the HTC scenario (Fig. [2\)](#page-6-0), an integration between the existing SS treatment line of San Colombano WRRF and HTC process is proposed, considering a hypothetical industrial layout. According to laboratory data on HTC, digested SS is supposed to enter the HTC reactor with a TS content of 15 wt %. Thus, a 6% of the centrifuge inlet fow by-passes the centrifuge itself and then it is mixed with the exiting stream, to reach the desired solid concentration. Mass balance of the HTC process is carried out according to C yield (%) derived by laboratory data (see Paragraph 1.1 of the Online Appendix for details). In the hypothetical industrial layout, digested SS is pre-heated up to 200 °C before entering into the continuous HTC reactor, passing through a heat exchanger (HE) in which the hot solid–liquid mixture (slurry) out of HTC is recirculated. Thus, SS is further heated up the operational temperature, assumed 220 °C, by a heater – externally fred—and then processed by the HTC unit. The reactor is sized as a continuous one, able to assure the required reaction time (85 min). TE is supplied to the HTC reactor as well, to balance the heat losses. Hence, exiting slurry is sent to a flter press for HC and PW separation. HC is dried to remove the residual moisture and then pelletized to be easily stored, while PW is supposed to be recirculated into a WRRF to be treated (inventoried according to the appropriate ecoinvent process). Main operational parameters and assumptions are reported in Table [2](#page-5-0), while

Table 2 Operating parameters for the Benchmark scenario

a Data provided by the plant

EE and TE consumptions of the system are calculated as reported in detail in Paragraph 1.2 of the Online Appendix. The TE required for the externally fred heater and for the HTC reactor heating is supplied by natural gas combustion. The produced HC is assumed to be sent for energy recovery to an external user. HC valorization depends on its lower heating value (LHV), which is measured experimentally $(8.1 \text{ MJ kg}^{-1}_{\text{dry HC}})$ (Table [3](#page-6-1)) [[36\]](#page-18-23).

HTC+AD Scenario

In HTC+AD scenario, SS treatment line, HTC, and slurry post-treatments are exactly the same assumed in the previous scenario. The only diference relies in PW treatment. In this scenario, PW is anaerobically treated in a dedicated anaerobic digester. Process scheme is the same depicted in Fig. [2,](#page-6-0) but PW, after anaerobic digestion, is directly sent to a centrifuge to separate supernatant from digestate (Fig. [3](#page-7-0)). The frst one is then directed to a WRRF to be treated, while the digestate is treated by composting. Assumptions about composting are the same described for the Benchmark scenario. The specifc methane production of PW is obtained by experimental anaerobic digestion tests, resulting in

Fig. 2 Scheme of the HTC scenario

Table 3 Operating parameters for the HTC scenario

Parameters related to material streams			
\mathbf{C}_sludge	22.5	wt $%$	$\left[36\right]$
C_{HC}	19.4	wt $%$	$\left[36\right]$
C_{PW}	13,600	mg_{TOC} L^{-1}	$\lceil 36 \rceil$
TS_{HC} after filter press	60	wt $%$	$\left[37\right]$
HC yield	76.6	wt $%$	$\lceil 36 \rceil$
TS_{PW}	4	wt $%$	$\left\lceil 22\right\rceil$
TS_{HC} after drying	92	wt $%$	$\left[37\right]$
Parameters related to energy streams			
Time _{HTC}	85	min	$\lceil 36 \rceil$
Temperature $_{\text{HTC}}$	220	$^{\circ}C$	$\left[36\right]$
Specific EE filter press	45	k Wh $t^{-1}_{\rm dry\,HC}$	$\left[37\right]$
Specific EE pelletizer	51	$kWh t^{-1}$ dry HC	[38]

agreement with specific $CH₄$ production reported in literature for AD on process water derived by HTC on digested SS [\[8,](#page-18-1) [39\]](#page-19-0). Biogas produced during AD of PW is valorized into an ICE to produce both EE and TE (efficiencies equal to 39 and 42%, respectively). The ICE stack emissions $(NO_x, CO,$ particulate matter) are calculated according to Asunis et al. $[40]$ $[40]$. $CO₂$ emissions from biogas combustion are not considered, since carbon is generated by a renewable carbon source. $CH₄$ fugitive emissions of AD process are assumed equal to 3.7% of the produced biogas [[31\]](#page-18-21). Main

PW characteristics and operational parameters are reported in Table [4](#page-7-1), while detailed information about EE and TE consumptions of the system are described in Paragraph 2.2 of the Appendix. Composting process of digestate is inventoried similarly to the previous case.

HTC+AD+P dry Scenario

In the HTC + $AD + P_{\text{dry}}$ scenario (Fig. [4](#page-8-0)), P recovery from dried HC is introduced. Here, acid leaching with nitric acid $(HNO₃)$ in water is proposed to recover P from HC. Thus, the process scheme is exactly the same depicted in the previous scenario, except for post-treatments of HC after drying. In this scenario, dried HC is directly sent to a grinder to homogenize the sample. Then, it is directed to a mixer, where $HNO₃$ acid and water are added to HC, to leach P from solid fraction to the liquid phase. Quantity of $HNO₃$ acid and water are assumed according to laboratory conditions (Table [5](#page-8-1) and Paragraph 3.1 of the Online Appendix). P experimentally recovered resulted equal to 78% of P retained in HC, in agreement with P yield reported in literature [[23,](#page-18-27) [42](#page-19-2)]. Acidifed suspension is then separated by a flter press and HC is dried and pelletized. Experimental data and EE consumptions, as well as TE requirements are described in detail in Paragraph 3.2 of the Appendix. In Table [5](#page-8-1) the main assumptions are reported. The separated P leachate is assumed to be used directly in agricultural land, even though

Fig. 3 Scheme of the HTC+AD scenario

Table 4 Operating parameters for HTC+AD scenario

Parameters related to material streams			
COD	31,000	$mg L^{-1}$	$\lceil 36 \rceil$
SMP (specific methane production)	0.192	$Nm^3 CH_4$ kg COD^{-1} _{fed}	$\left[36\right]$
$CH4$ content in biogas	71	vol %	$\lceil 36 \rceil$
$TS_{\text{digestate}}$	2	wt $\%$	$\left[36\right]$
Specific polyelectrolyte consumption	18	$kg t^{-1}$ _{TS}	[41]
$TSout$ centrifuge	20	wt $%$	[41]
Parameters related to energy streams			
Specific EE centrifuge	5	kWh t ⁻¹	[41]

post treatments and/or fertilizers production processes are generally recommended [[43\]](#page-19-4).

HTC+AD+ Pwet Scenario

In $HTC + AD + P_{wet}$ scenario, P recovery from wet HC is included. After slurry separation by flter press in PW and HC, the latter (TS equal to 60%) is directly sent to P recovery

process. P recovery steps are exactly the ones described above, with similar considerations for each unit. Nevertheless, only a single dryer is considered in this scenario, since HC is not dried before P recovery process (Fig. [5](#page-9-0)). Therefore, TE requirements are reduced. Details on calculations are reported in Paragraphs 4.1 and 4.2 of the Appendix.

Summary of Inputs/Outputs

The following table (Table $\overline{6}$) reports the inputs/outputs quantitative fows for the investigated scenarios expressed per functional unit (1 t of SS).

System Expansion

HC production is calculated equal to 0.63 $t_{\text{dry HC}}$ t^{-1} _{dry sludge in} for both HTC and HTC + AD scenarios, and equal to $0.52 t_{\text{dry HC}} t^{-1}$ _{dry sludge in} for both HTC + AD + P_{dry} and $HTC+AD+P_{wet}$, respectively. Indeed, 17% mass loss is registered after leaching for P extraction. However, the LHV of the HC after leaching similarly increases by the

Fig. 4 Scheme of the HTC + $AD + P_{\text{dry}}$ scenario

Table 5 Operating parameters for the $HTC + AD + P_{\text{dry}}$ scenario

Parameters related to material streams			
$HNO3$ title	65	%	$\lceil 36 \rceil$
$HNO3 HCdry-1 ratio$	0.30	mL $HNO3 g-1_{\text{dry HC}}$	$\lceil 36 \rceil$
Solid solution ⁻¹ ratio	5	%	$\lceil 36 \rceil$
Time of mixing during leaching	240	min	$\lceil 36 \rceil$
HC mass loss after leaching	17	%	$\lceil 36 \rceil$
Parameters related to energy streams			
Specific EE grinder	16	kWh t ⁻¹	[44]

same percentage, keeping substantially constant the energy content between the entering and exiting streams (13.481 kWh t^{-1} _{sludge in}).

The produced HC is assumed to be used entirely as biofuel, substituting a similar solid fuel, lignite, which is displaced on equivalent basis of energy content. Hence, the production of this amount of lignite is prevented (production process gained from ecoinvent) together with the related $CO₂$ fossil emissions from combustion of lignite (thus assuming that the other emissions from combustion of lignite and HC are the same, mainly depending on the type of combustion device) [[30\]](#page-18-20). The stoichiometric factor equal to 2.02 kg $CO₂$ per kg lignite is used for the climate change (CC) impact calculation.

The EE produced from biogas in AD of PW is assumed to be recovered into the national grid, while the TE is directed to a thermal user close to the plant, displacing heat generated by natural gas boilers [[30\]](#page-18-20). The TE produced by biogas through AD of secondary SS is recovered to satisfy the energy requirement of anaerobic digesters in sludge treatment line. However, it is not sufficient to cover the whole heat demand. Hence, natural gas is withdrawn from national grid when the biogas is not sufficient. The appropriate ecoinvent record for TE is selected.

For the compost produced by aerobic stabilization of SS in the Benchmark scenario, a typical distribution of nutrients from direct chemical analysis of a sample of anaerobically digested SS provided by the plant is considered: 32 g N kg TS⁻¹, 98 g P₂O₅ kg TS⁻¹ and 2 g K₂O kg TS−1. For digestate derived by AD of process water in $HTC+AD$, $HTC+AD+P_{dry}$ and $HTC+AD+P_{wet}$ scenarios, a nutrient distribution is assumed based on literature

Fig. 5 Scheme of the HTC + $AD + P_{wet}$

(for K_2O content) [\[45](#page-19-7)] and on the stoichiometric elemental composition of biomass (for N and P_2O_5 content) [[46\]](#page-19-8): 55 g N kg TS⁻¹, 23 g P₂O₅ kg TS⁻¹ and 5 g K₂O kg TS⁻¹. Compost is assumed to be used in substitution of mineral fertiliser with the same nutrients content (93%) or without any replacement (7%) [[47](#page-19-9)]. For N fertilizer, P fertilizer and K fertilizer, the proper ecoinvent records are used.

For P extracted from HC by acid leaching, the substitution of P fertilizer is assumed (considering the appropriate ecoinvent record). Indeed, even though information about acid leachate composition is scarce, especially regarding metals content, recovered P in solution is assumed to be applied on land, exploiting its fertilizer potential.

From thickening and dewatering processes, supernatant rich in nutrients is obtained, which is recirculated to the biological oxidation tanks of the WRRF and then treated (according to the proper ecoinvent process) [[30](#page-18-20)]. N and P contained in supernatant could provide nutrients, increasing their content into secondary SS, and subsequently into the produced digestate. However, since data are extremely variable and no complete information are available about

these parameters, no other advantage on the compost produced is considered for this specifc case study.

Impact Assessment Method

The Environmental Footprint (EF) 3.0 method [[48](#page-19-10)] developed by the Joint Research Centre of European Commission, is selected to perform the Life Cycle Impact Assessment (LCIA), with its 16 impact categories: Climate change (CC) in kg CO2 eq.; Ozone depletion (OD) in kg CFC-11 eq.; Ionising radiation (IR) in kBq U235 eq.; Photochemical ozone formation (POF) in kg NMVOC eq.; Particulate matter (PM) in disease incidence; Human toxicity, non-cancer (HT_{nc}) in CTU_h (Comparative Toxic Unit for humans); Human toxicity, cancer (HT_c) in CTU_h; Acidification (A) in mol H + eq.; Eutrophication, freshwater (E_f) in kg P eq.; Eutrophication, marine (E_m) in kg N eq.; Eutrophication, terrestrial (E_t) in mol N eq.; Ecotoxicity, freshwater (ET_f) in CTU_e (Comparative Toxic Unit for ecosystems); Land use (LU) in pt (soil quality index); Water use (WU) in m3 deprived; Resource use, fossils (RU_f) in MJ; Resource use, minerals and metals

Table 6 (continued)

 (RU_{mm}) in kg Sb eq. In the classification phase, all the material and energy fows of the inventory phase are identifed according to their contribution to each impact category. The EF indicators calculation is performed using Simapro software, version 9.1.1.1, applying an adapted method, which is not suitable for conducting the EF-compliant studies, but can be used for other assessments. In this study, normalization and weighting steps are not carried out.

Sensitivity Analysis

The sensitivity analysis is generally performed to evaluate how specifc key values assumed in the inventory could infuence the impact assessment results. Regarding HC derived by HTC process, its yield varies in a wide range, depending on initial SS characteristics as well as the severity of HTC conditions [\[49\]](#page-19-11). Moreover, combustion properties of HC (e.g., higher/lower calorifc value) are generally afected by several parameters of HTC process and by feedstock characteristics [[50](#page-19-12), [51](#page-19-13)].

Thus, a combination of HC yield and LHV—named for this specifc section as energy recovery (ER)—is evaluated, as reported in Eq. [1](#page-12-1):

$$
ER\left(kJ \, kg_{\text{dry sludge}}^{-1}\right) = \frac{Y_{\text{HC}}}{100} \cdot LHV_{\text{HC}} \tag{1}
$$

where Y_{HC} is the hydrochar yield on dry basis (wt %) [[52\]](#page-19-14) and LHV_{HC} is the lower heating value of HC (kJ kg⁻¹_{dryHC}), calculated on the basis of HC elemental analysis [[53\]](#page-19-15).

Thus, at laboratory scale, fve diferent SS anaerobically/aerobically stabilized from fve WRRFs in Tuscany treating mainly municipal wastewater, were previously processed in the same HTC operational conditions here proposed (220 °C, 85 min, TS of 15%), in addition to SS derived from the WRRF case study. Then, the couples of parameters resulting in the highest and in the lowest ER values are chosen in order to establish the range of sensitivity (Table [7\)](#page-12-2). The minimum ER value corresponds to the parameters of the base case study. The sensitivity analysis is carried out through a Monte Carlo simulation, generating random outcomes of the ER value (1000 samples each), using a normal distribution.

Table 7 Sensitivity analysis range according to ER value

Range	$Y_{HC} (\%)$	LHV (kJ kg_{dryHC}^{-1}	ER (kJ kg dry sludge
Minimum	76.60	8105	6208
Maximum	74.68	21 231	15,855

Results and Discussion

LCA results are reported in the following sections according to the adapted EF 3.0 method. Further, the sensitivity analysis is described with respect to the ER parameter, as defned previously (Eq. [1](#page-12-1)).

Impact Assessment

Table [8](#page-13-0) shows the results of impact assessment expressed per functional unit (1 t of secondary SS entering into the SS treatment line on wet basis) for each investigated scenario.

As can be observed in Table 8 , the Benchmark scenario has better environmental performances than other confgurations for three of sixteen indicators. In eleven cases on sixteen, the HTC+AD scenario provides the lowest impacts, whereas $HTC + AD + P_{wet}$ scenario result the best confguration in the IR impact category (- 46% with respect to the Benchmark). Further, $HTC + AD + P_{wet}$ and $HTC + AD + P_{dry}$ show the same impacts in case of E_f , both of which result in the lowest impacts.

Specifically, about WU category, $HTC + AD + P_{drv}$ and $HTC + AD + P_{wet}$ scenarios are extremely affected by the amount of water required by P acid leaching, resulting in higher impacts than all the other scenarios. In case of RU_{mm} , for the above-mentioned scenarios, the beneficial effect of SS composting, in terms of impacts, is higher than the advantage to recover P from HC. Further, avoided impacts of lignite from HC and of both EE and TE from anaerobic digestion of PW are negligible.

Thanks to HC recovery through lignite displacement and to the biogas valorization from PW through an ICE, the environmental profles of HTC+ AD scenario generally show the best environmental performances. Thus, concerning CC, HTC+AD results in an impact reduction of –98% respect to the Benchmark scenario. The reduction of $CO₂$ emissions for an integrated confguration of HTC and AD, in comparison with the standalone AD, is found also in another work [\[12](#page-18-5)]. For CC, $HTC + AD + P_{wet}$ shows an impact increase respect to the Benchmark equal to $+2\%$, and equal to $+37\%$ in case of $HTC+AD+P_{\text{drv}}$.

Nevertheless, in case of $HTC + AD + P_{\text{dry}}$ and $HTC+AD+P_{wet}$, the avoided impact of lignite is generally not sufficient to mitigate the direct impacts of the P recovery process. For example, in case of Acidifcation, these scenarios result in worst performance than Benchmark (equal to $+151\%$, and $+139\%$, respectively).

Furthermore, E_f is the only category for which the Benchmark scenario results overall in a positive impact, whereas all the others show negative scores. P recovery process slightly contributes to reduce the impacts, while the main avoided contribution derives from the HC valorization.

Impact category	Unit	Benchmark HTC			$HTC+AD$ $HTC+AD+P_{dry}$ $HTC+AD+P_{wei}$	
Climate Change (CC)	kg CO ₂ eq. t^{-1} _{sludge in}	$4.77E + 00$	2.58E-01	7.76E-02	$6.52E + 00$	$4.88E + 00$
Ozone depletion (OD)	kg CFC-11 eq. t^{-1} _{sludge in}	9.14E-07	8.65E-07	8.23E-07	1.16E-06	9.93E-07
Ionising radiation (IR)	kBq U ²³⁵ eq. t^{-1} _{sludge in}	2.86E-01	2.23E-01	1.90E-01	1.79E-01	1.53E-01
Photochemical ozone formation (POF)	kg NMVOC eq. t^{-1} _{sludge in}	1.47E-02	1.11E-02	1.09E-02	$2.31E-02$	2.15E-02
Particulate matter (PM)	disease incidence t^{-1} _{sludge in}	1.49E-07	9.80E-08	1.00E-07	1.95E-07	1.87E-07
Human toxicity, non cancer (HT_{nc})	$\text{CTU}_{\text{h}}\,t^{-1}$ sludge in	7.37E-08	7.65E-08	7.41E-08	8.38E-08	7.93E-08
Human toxicity, cancer (HT_{c})	$\text{CTU}_{\text{h}}\,\text{t}^{-1}$ sludge in	1.85E-09	2.63E-09	2.50E-09	2.48E-09	2.26E-09
Acidification (A)	mol H ⁺ eq. t^{-1} _{sludge in}	1.63E-02	1.63E-02	1.49E-02	4.10E-02	3.91E-02
Eutrophication, freshwater (E_f)	kg P eq. $t^{-1} _{\rm sludge\;in}$	1.23E-03	$-1.09E-02$	$-1.10E-02$	$-1.13E-02$	$-1.13E-02$
Eutrophication, marine (E_m)	kg N eq. $t^{-1} _{\rm sludge\,in}$	2.36E-02	2.03E-02	2.01E-02	2.46E-02	2.43E-02
Eutrophication, terrestrial (E_t)	mol N eq. t^{-1} _{sludge in}	5.45E-02	3.98E-02	3.89E-02	1.43E-01	1.39E-01
Ecotoxicity, freshwater (ETf)	$\text{CTU}_e\, t^{-1}$ sludge in	$2.41E + 02$	$2.50E + 02$	$2.41E + 02$	$3.58E + 02$	$3.49E + 02$
Land use (LU)	pt t^{-1} _{sludge in}	$7.28E + 01$	$5.55E + 01$	$4.87E + 01$	$1.19E + 02$	$1.15E + 02$
Water use (WU)	m^3 deprived t^{-1} _{sludge in}	3.29E-01	5.50E-01	3.25E-01	$5.99E + 00$	$5.93E + 00$
Resource use, fossils (RU_f)	$\mathbf{M}\mathbf{J}$ \textbf{t}^{-1} sludge in	$5.67E + 01$	$1.83E + 01$	$1.21E + 01$	$4.63E + 01$	$2.31E + 01$
Resource use, minerals and metals (RU_{mm})	kg Sb eq. t^{-1} _{sludge in}	3.10E-05	4.83E-05	4.51E-05	$6.62E - 05$	6.23E-05

Table 8 Results of impacts assessment for each indicator and for each investigated scenario (best performances are highlighted in bold, while the worst ones are underlined)

The underlined values indicate the worst environmental performances,while the values highlighted in bold represent the best ones

HTC, HTC + AD, HTC + AD + P_{dry} and HTC + AD + P_{wet} show a reduction of impacts with respect to the Benchmark scenario equal to−986,−995,−1015 and−1021%, respectively.

As a rule, P recovery results in worst environmental profles than other scenarios, suggesting that this process should be optimized to obtain better performances. Similar conclusions are reported by Oliver-Tomas et al. [\[24](#page-18-14)], whose investigation is about the environmental performances of P recovery from HC derived by HTC of organic fraction of municipal solid waste (OFMSW). Indeed, also in that study, P extraction proved to be the main limitation of the process environmental profle. However, in case of IR impact category, both HTC + AD + P_{dry} and HTC + AD + P_{wet} results in lower impacts than the other scenarios due to the displacement of P and lignite.

More detailed considerations about contribution analysis are given in the following paragraphs. Three impact categories are here discussed in detail. Specifcally, CC outlines the good environmental performance of HTC and HTC+ AD Scenarios, RU_{mm} shows the convenience of P recovery, and IR demonstrates the better environmental performance of all HTC Scenarios compared to the Benchmark. The others indicators are reported in the Online Appendix.

Climate Change (CC)

Figure [6](#page-14-0) shows the contribution of sub-processes to CC in each scenario. For Benchmark, HTC and HTC + AD

scenarios, the highest contribution to direct impacts (as positive values) is given by SS treatment line, accounting the 69, 31 and 28% of total impact, respectively. These impacts are mainly caused by EE consumptions of the SS treatment line and $CH₄$ air emissions. In the Benchmark scenario, composting contribution (as positive direct impact) represents the 23% of the total impact, while nutrients replacement (as avoided impact) accounts for −8%. EE energy consumptions and the transportation of digestate to composting plant are the main contributions to the composting direct impact. For HTC and HTC+ AD scenarios, HTC process (which contains TE, EE , $CO₂$ and CO emissions) considering also the dewatering steps (flter-press, dryer and pelletizer) account around the 20% of total impact in both cases (TE as main impact), whereas the lignite replacement represents a percentage equal to−49 and−45% of total impact, respectively. Concerning scenarios with anaerobic digestion of PW, avoided EE and TE recovered by biogas represents only around the−4% on total impact, against a 2% direct emissions of AD process. The avoided fertilizer from digestate composting derived by anaerobic digestion of PW negligibly contributes to the avoided impacts. In case of $HTC + AD + P_{dry}$ and $HTC + AD + P_{wet}$ scenarios, the highest contribution to direct impact is given by P recovery process, which accounts for 33 and 29% on the total impact respectively, especially due to $HNO₃$ solution consumptions. Otherwise, the avoided impact of P displacement is not really appreciable, since its contribution results equal to−2% in both cases. However, the avoided impact

Pdry

Pwet

Fig. 6 Sub-processes contribution for CC impact category

of lignite contributes to about the−30% in both scenarios with P recovery.

Ionising Radiation (IR)

In Fig. [7](#page-14-1) the contribution of sub-processes to IR in each scenario is reported. SS treatment line represents the highest direct impact for all the investigated scenarios, accounting a percentage of total impact equal $to +55, +58, +50, +34,$ and $+35%$ for Benchmark, HTC, $HTC + AD$, $HTC + AD + P_{dry}$, and $HTC + AD + P_{wet}$ scenario, respectively. The EE consumptions of the SS treatment line mainly contributes to these impacts. Among the avoided impacts, the lignite replacement from HC production represents the highest contribution in case of HTC and $HTC + AD$, accounting a percentage of total impact equal to − 28, and − 24%, respectively. In case of HTC + AD + P_{dry} and $HTC + AD + P_{wet}$ the avoided impacts of HC results equal to about -17% in both cases. Further, the avoided impact of P displacement in both scenarios (−17% on total impacts) are balanced by the direct contributions of the P recovery process itself. However, all scenarios with HTC result in better environmental profile than Benchmark. Indeed, in the reference scenario, the avoided impact of fertilizer $(-24\%$ on total impact) can not sufficiently balance the direct contribution of SS treatment line and composting.

♦ Net impact

Fig. 7 Sub-processes contribution for IR impact category

The avoided EE and TE produced by valorization of biogas of PW anaerobic digestion through an ICE represent -9% on total impact in case of $HTC + AD$ scenario, while they account about -6% in case of $HTC + AD + P_{\text{dry}}$, and $HTC+AD+P_{wet}$. Direct impacts of anaerobic digestion of PW result in negligible contribution on total impact for all scenarios (where expected).

RUmm

The contribution of sub-processes to RU_{mm} impact category is depicted in Fig. [8](#page-15-0). Direct impacts of SS treatment line are the most impactful on the environment in case of Benchmark, HTC, and HTC+ AD, accounting a percentage of total impact equal to $+46, +81$, and $+68\%$, respectively, with EE and polyelectrolyte consumptions as main contributions. Conversely, the highest contribution in case of HTC + AD + P_{dry} and HTC + AD + P_{wet} , is accounted for P recovery process, which resulted equal to $+36$, and $+35\%$ on total impact, respectively, due to $HNO₃$ solution consumption. For Benchmark scenario, avoided impacts of fertilizer due to the compost displacement account the−34% of total impact, completely balancing the impact of its production (equal to 20%). Lignite replacement by HC production represents a negligible percentage on total impact in all scenarios, whereas the P recovery signifcantly contributes to the avoided impacts $(+21\%$, and $+22\%$, for HTC $+$ AD $+$ P_{drv} and $HTC + AD + P_{wet}$, respectively). Conversely, the EE and TE derived by biogas production through anaerobic digestion of PW account on total impact−7% in case of HTC + AD, and -3% for both HTC + AD + P_{dry} and $HTC+AD+P_{wet}$, while AD consumptions are negligible.

Details about sub-processes contribution of other impact categories are reported in Sect. 6 of the Online Appendix.

Role of P Recovery and Possible Routes for Optimization

In the majority of impact categories $HTC + AD$ scenario shows better environmental profile than all the others, as $HTC + AD + P_{dry}$ and $HTC + AD + P_{wet}$ scenarios are strongly affected by P recovery process. As expected, $HTC + AD + P_{wet}$ results in better environmental performance than $HTC+AD+P_{\text{dry}}$, since the latter includes one drying step more than the frst one. However, the main factor that significantly contributes to direct impacts is the $HNO₃$ acid consumption for all indicators (see Fig. 24 in Online Appendix). Only in the case of WU, the highest direct contribution is observed for the water needed for P leaching. In order to optimize the P recovery process, two possible strategies are further tested. According to available experimental data, the aforementioned scenarios are adapted considering H_2SO_4 as acid solution to perform P extraction. With this change, $HTC + AD + P_{\text{dry}}$ and $HTC + AD + P_{\text{wet}}$ have reduced direct impacts (as positive values) in comparison with the previous one for each impact categories, not including WU (see Table 11 in the Online Appendix). $HTC+AD+P_{wet} confirms its better environmental profile$ than $HTC+AD+P_{dry}$ and greater reductions are observed for CC, E_t and LU. The result finds explanations in the fact that, to reach the same leaching conditions proposed

Fig. 8 Sub-processes contribution for RU_{mm} impact category

for HNO₃, smaller amount of H_2SO_4 is required (0.23 mL) H_2SO_4 solution g_{dryHC}^{-1}). Additionally, since the ecoinvent process associated to H_2SO_4 production has lower impacts than $HNO₃$ for all indicators (except for WU), direct impacts of P recovery process are generally reduced (for WU an increase of 20% is observed) (see Table 11 in the Online Appendix). Similar considerations are developed by the already cited study [[24\]](#page-18-14). They report that P extraction results in negative impact scores for the following indicators: PM, Acidification (not in case of $HNO₃$ acid) and WU for three acids tested (HNO₃, H_2SO_4 and HCl). Here, only in case of E_f indicator, a negative impact scores occur for all scenarios with integrated HTC. However, many factors must be taken into account comparing the results of this work with that study. Indeed, Oliver-Tomas et al. (2019) investigates the P recovery process with diferent system boundaries (no treatment plant is included nor HTC process). Further, the processed feedstock by HTC is diferent (here anaerobic digestate, there OFMSW), and they also include P precipitation with base after leaching. However, even though the comparison is challenging, the main conclusion is similar: P recovery demonstrates to strongly afect the environmental performance of the investigated scenarios.

Lastly, $HTC + AD + P_{\text{dry}}$ and $HTC + AD + P_{\text{wet}}$ scenarios with $HNO₃$ acid are further optimized, considering a solid/ solution ratio equal to 20%. Inventory data are adapted using laboratory information and then the impact assessment is again analyzed. An impact reduction is observed for all indicators. Specifcally, WU is reduced up to an average percentage equal to 70%, since the water needed is signifcantly decreased. Anyway, the P recovery process must be strongly optimized. A solid/solution ratio higher than 5% and the use of H_2SO_4 could help in this direction: in both cases, further experimental verifcations are required, being the aim of the present evaluation to direct the future experimental efforts toward potentially advantageous alternatives. Further, the reuse of the WRRF's effluent could be used as water source instead of a conventional one or distilled water, in order to limit the impact. Finally, it is worth pointing out that the use of H_2SO_4 on HC could add sulphur on the solid matrix, limiting its application as solid fuel [[54\]](#page-19-16).

Sensitivity Analysis

Y_{HC} and LHV are selected as key parameters to carry out sensitivity analysis. Applying the maximum ER value (full results are reported in Table 12 of the Online Appendix), the classifcation of environmental performances among scenarios remains unchanged for each impact category, except for HT_{nc} indicator. For the latter case, $HTC + AD$ shows better environmental performances than other scenarios, improving the trend observed in the base case study. Thus, a sensitivity analysis is carried out for this indicator for HTC, $HTC + AD$, and $HTC + AD + P_{dry}$ and $HTC + AD + P_{wet}$. Concerning HT_{nc} , in the base case study, which also corresponds to the minimum ER value, all scenarios show higher impacts than

Fig. 9 Frequency of the values for HT_{nc} indicator in Monte Carlo analysis (black bars) for HTC+AD+P_{wet} scenario and the constant value of Benchmark (7.37E-08 CTUh t. $^{-1}$ _{sludge in})

Benchmark, with an increase of $+4$, $+1$, $+14$, and $+8\%$ for HTC, HTC + AD, HTC + AD + P_{dry} and HTC + AD + P_{wet} , respectively. Considering instead scenarios with the maximum ER value, all the aforementioned scenarios reduce their impacts in comparison to the Benchmark (except HTC + AD + P_{dry}), with percentage of – 8, – 12, + 0.5, and−5%, respectively. However, scenarios with the maximum ER value show better environmental performances in all impact category than the base case study, indicating that both HC yield and LHV have a relevant role on the impact of the process.

As explained above, by Monte Carlo simulation, a frequency distribution is generated on the basis of random outcomes. Here, this analysis is carried out in order to understand when a scenario results in better environmental performance than Benchmark, for a selected impact category. The sensitivity analysis is carried out for the HT_{nc} impact category for all scenarios. For HTC, the analysis points out that this scenario results in better environmental performances than Benchmark in the 88% of cases (as average values), for HTC+AD in the 100% of cases (as average values), and for $HTC + AD + P_{\text{dry}}$ in 0% of cases (as average values). In Fig. [9](#page-16-0) the frequency curve is reported for $HTC + AD + P_{wet}$ in case of HT_{nc} , which demonstrates to be more convenient than Benchmark in the 2% (as average value) of times.

Conclusions

In this study, the combination of a SS treatment line with HTC process for HC production, is evaluated by LCA and compared to direct composting as a benchmark option. Moreover, the HTC integrated system with PW valorization and P recovery is also assessed.

LCA results show that the scenarios with integrated HTC have lower values than Benchmark scenario, for CC impact category, with the exception for those including P recovery. Generally, $HTC + AD$ results in the best environmental performances for eleven of sixteen impact indicators. Conversely, scenarios with P recovery result generally in the worst performances. This fact is mainly related to the high impact of water and nitric acid required by P acid leaching, with respect to the relatively small contribution of the avoided impact of using the recovered P to displace conventional fertilizer. Thus, results suggest that future research efort should be directed toward process conditions that can reduce the impact of this process, testing for example diferent operational conditions or acids. Benefts of P recovery process are appreciable only in case of E_f impact category.

The additional benefts deriving from the valorization of biogas from PW through an ICE to produce EE and TE does not strongly contribute in reducing environmental impacts, whereas lignite replacement proves to be the main avoided impacts in all impact indicators, being strictly related to HC yield and its LHV. Indeed, as the sensitivity analysis showed, with higher LHV of hydrochar, more environmental benefts would occur, especially considering HT_{nc} indicator.

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Declarations

Conflict of interest The authors have no relevant fnancial or non-fnancial interests to disclose.

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