



Neonatal Isoflurane Exposure in Rats Impairs Short-Term Memory, Cell Viability, and Glutamate Uptake in Slices of the Frontal Cerebral Cortex, But Not the Hippocampus, in Adulthood

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Received: 31 August 2022 / Revised: 10 November 2022 / Accepted: 16 November 2022 / Published online: 28 November 2022
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Abstract

Neonatal exposure to general anesthetics has been associated with neurotoxicity and morphologic changes in the developing brain. Isoflurane is a volatile anesthetic widely used in pediatric patients to induce general anesthesia, analgesia, and perioperative sedation. In the present study, we investigated the effects of a single neonatal isoflurane (3% in oxygen, 2 h) exposure in rats at postnatal day (PND) 7, in short-term (24 h — PND8) and long-term (adulthood) protocols. In PND8, *ex vivo* analysis of hippocampal and frontal cortex slices evaluated cell viability and susceptibility to *in vitro* glutamate challenge. In adult rats, behavioral parameters related to anxiety-like behavior, short-term memory, and locomotor activity (PND60–62) and *ex vivo* analysis of cell viability, membrane permeability, glutamate uptake, and susceptibility to *in vitro* glutamate challenge in hippocampal and cortical slices from PND65. A single isoflurane (3%, 2 h) exposure at PND7 did not acutely alter cell viability in cortical and hippocampal slices of infant rats (PND8) *per se* and did not alter slice susceptibility to *in vitro* glutamate challenge. In rat's adulthood, behavioral analysis revealed that the neonatal isoflurane exposure did not alter anxiety-like behavior and locomotor activity (open field and rotarod tests). However, isoflurane exposure impaired short-term memory evaluated in the novel object recognition task. *Ex vivo* analysis of brain slices showed isoflurane neonatal exposure selectively decreased cell viability and glutamate uptake in cortical slices, but it did not alter hippocampal slice viability or glutamate uptake (PND65). Isoflurane exposure did not alter *in vitro* glutamate-induced neurotoxicity to slices, and isoflurane exposure caused no significant long-term damage to cell membranes in hippocampal or cortical slices. These findings indicate that a single neonatal isoflurane exposure did not promote acute damage; however, it reduced cortical, but not hippocampal, slice viability and glutamate uptake in the adulthood. Additionally, behavioral analysis showed neonatal isoflurane exposure induces short-term recognition memory impairment, consolidating that neonatal exposure to volatile anesthetics may lead to behavioral impairment in the adulthood, although it may damage brain regions differentially.

Keywords Isoflurane · Neonatal · Glutamatergic transmission · Frontal cortex · Memory

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Introduction

Although general anesthesia is frequently required to facilitate medical and surgical pediatric procedures, acting as a powerful modulator of neuronal activity, there are ongoing concerns that anesthetic drugs may harm human neurodevelopment. Besides promoting neuronal apoptosis (Creeley et al. 2013), preclinical studies have shown that general anesthetics can also impair neuronal cytoarchitecture (Patel and Sun 2009). These anesthetics modulate cortical connectivity and may impair appropriate circuit assembly during critical

periods of neurodevelopment by inducing spine growth, the formation of functional synapses (Roo et al. 2009), and increasing the dendritic spine density in young mice (Briner et al. 2010). One of the most commonly used inhalational anesthetics in the clinic is isoflurane.

Isoflurane (2-chloro-2-(difluoromethoxy)-1,1,1-trifluoroethane) is a volatile anesthetic that decreases excitatory neurotransmission by reducing the presynaptic Ca^{2+} influx in glutamatergic synapses (Baumgart et al. 2015). It was recently suggested that isoflurane blocks glutamatergic neurotransmission by a dual presynaptic mechanism (Wang et al. 2020). In fact, isoflurane reduced exocytosis by inhibiting Ca^{2+} currents evoked by a short presynaptic depolarization, while it inhibited exocytosis evoked by a prolonged depolarization via directly blocking exocytic machinery downstream of Ca^{2+} influx (Wang et al. 2020). Moreover, isoflurane acts on γ -aminobutyric acid type A receptors (GABA_AR), increasing the affinity for GABA, thus extending the duration of GABA-mediated synaptic inhibition (Garcia et al. 2010).

An increasing number of studies demonstrated possible neurotoxicity promoted by isoflurane chronic and acute exposure in animal models of developing brain. In infant non-human primates, a repetitive exposure to isoflurane promoted long-term behavioral consequences, such as motor reflex deficits and increased anxiety (Coleman et al. 2017). It was recently shown that 5-h exposures of non-human primates to isoflurane during infancy are associated with decreased close social behavior after multiple exposures (three times), and anxiety-related behaviors after one exposure, but they do not affect the cognitive domains tested (Neudecker et al. 2021). Moreover, isoflurane exposure increased cortical neuroapoptosis in neonatal macaque brain (Brambrink et al. 2010; Noguchi et al. 2017).

A single exposure to isoflurane (3.5%) has been shown to influence neurogenesis, decreasing progenitor cell proliferation in the hippocampal dentate gyrus until 5 days after anesthesia, and also caused deficits in fear conditioning and spatial reference memory tasks in infant rats (Stratmann et al. 2009a). Additionally, 4 h of maternal anesthesia with isoflurane (1.4%) in rodents caused region-specific cell loss in the hippocampus of adult male offspring (Palanisamy et al. 2017). However, the effects of a single isoflurane exposure on neurodevelopment and long-term neurobehavioral aspects of neonates and infants need to be further investigated.

Therefore, this study aimed to evaluate the effects of a 2-h exposure to isoflurane (3%) on postnatal day 7 (PND7), in short term (24 h — PND8) and long term (in adulthood — PND65), by analyzing slices from two brain regions, the frontal cortex and the hippocampus. In PND8, cell viability and the susceptibility to *in vitro* challenge with glutamate were evaluated in slices of hippocampus and frontal cortex. In adult rats, neurobehavioral parameters related to

anxiety-like behavior, short-term memory, and locomotor activity (PND60–62) were evaluated, and in the hippocampal and cortical slices obtained at PND65, cell viability, susceptibility to *in vitro* glutamate challenge, membrane permeability, and glutamate uptake were analyzed.

Material and Methods

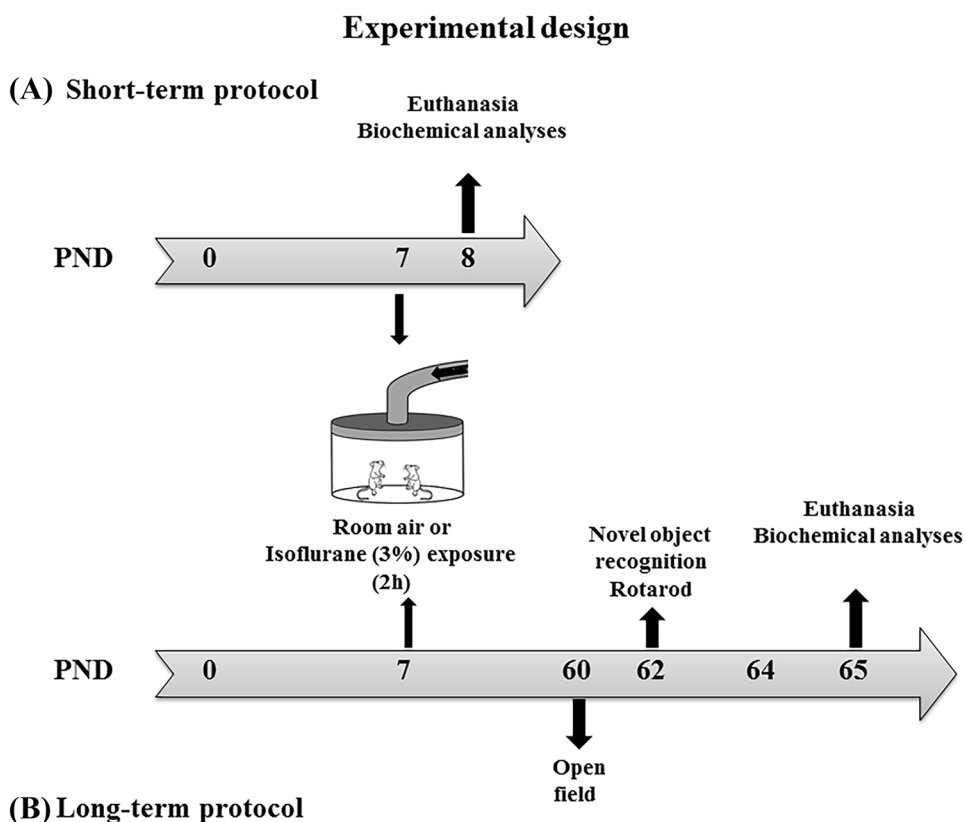
Animals

All experimental procedures involving the animals were performed following National Institute of Health Guide for the Care and Use of Laboratory Animals (NIH Publications, 8th edition 2011) and were designed to minimize suffering and limit the number of animals used. The experiments were performed after approval of the protocol by the local Institutional Ethics Committee for Animal Research (CEUA/UFSC PP955). Dams were monitored, and the day of birth of pups was noted. Wistar rat pups (from the Federal University of Santa Catarina breeding colony) were randomly assigned to treatment groups and were maintained with their dams in sets of four females and four males at PND2. On PND7, rats were randomly assigned to saline or isoflurane groups. For all experimental interventions, care was taken to minimize the duration of maternal separation and handling of pups, and this was the same for both control and treatment groups. The animals were maintained in an air-conditioned room at 22 ± 2 °C on a 12-h light/dark cycle (lights on at 7:00 a.m.). Rats were housed to a maximum of 6 in plastic cages with food and water *ad libitum*, with pups weaned into same-sex cages at PND21 and maintained until PND65.

Experimental Design

The experimental protocol conducted in the present study is summarized in Fig. 1. Rats were divided into two groups: control (room air) and isoflurane. Animals at PND7 were placed in induction acrylic chamber (Bonther, SP, Brazil) vaporized with 3% isoflurane in 30% oxygen/air or maintained at room air for 2 h, according to protocol previously reported by Stratmann et al. 2009a, b; Tsukamoto et al. 2017) with modification of the exposure time to 2 h. Animals were kept normothermic throughout experiments, closely monitored until they regained consciousness after anesthesia, and were returned to their dams in their home cages after the procedures. Two protocols were carried out independently: short- and long-term protocols. In the short-term protocol, the rats from one brooding were euthanized by decapitation 24 h after room air or isoflurane exposure (PND8; $n = 4$ animals per group). In the long-term protocol, rats from three broodings were also subjected to air

Fig. 1 Schematic representation of experimental procedure protocols. Rats at PND7 were placed in induction chamber gassed with 3% isoflurane in 30% oxygen/air or to room air for 2 h. **A** Short-term protocol: Biochemical analyses in hippocampal and frontal cortical slices were performed 24 h after the isoflurane exposure (PND8). **B** Long-term protocol: Behavioral tests began at PND60. At PND65, biochemical assays were carried out in the hippocampal and frontal cortical slices



or isoflurane exposure, maintained with their dams until weaning, and then separated at same-sex cages. Rats were subjected to behavioral tests from PND60 ($n = 7-9$ animals per group). At PND65, the animals were euthanized by decapitation. Hippocampi and frontal cortices were removed in both protocols, and the biochemical analyses were performed.

Biochemical Analyses

Preparation and Incubation of Hippocampal and Frontal Cortical Slices

Hippocampi and frontal cortices were placed in an ice-cold Krebs–Ringer bicarbonate buffer (KRB) containing, in mM: 122 NaCl, 3 KCl, 1.2 MgSO₄, 1.3 CaCl₂, 0.4 KH₂PO₄, 25 NaHCO₃, and 10 D-glucose. The buffer was bubbled with 95% O₂–5% CO₂ up to pH 7.4 (Dal-Cim et al. 2013). Slices (0.4 mm) were prepared using a McIlwain Tissue Chopper, separated in KRB at 4 °C, using a soft brush. Immediately after sectioning, slices were transferred to a 24-well plate and were maintained in KRB at 35 °C for 30 min to recover from slicing trauma (pre-incubation period).

Glutamate Toxicity

A previously established protocol of evaluation of glutamate toxicity in vitro was used (Ludka et al. 2017; Molz et al. 2011, 2009). After a pre-incubation period, slices were exposed to 10 mM glutamate (in KRB) for 1 h. The medium was then removed, and slices were maintained during 4 h in the nutritive incubation medium (NIM) composed of 50% KRB, 50% Dulbecco's modified Eagle's medium (DMEM, Gibco), and 20 mM HEPES, at 37 °C in a CO₂ atmosphere. The slices corresponding to the control groups were incubated only in KRB solution for 1 h and then maintained in the NIM, to preserve slice viability in a medium similar to the physiological conditions.

Cellular Viability

The ability of cells to reduce 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was used to determine cell viability as previously described (Mosmann 1983). Slices from the hippocampus and frontal cortex were incubated with MTT (0.5 mg/mL) in KRB for 30 min at 37 °C. The tetrazolium ring of MTT can be cleaved by active dehydrogenases to produce a precipitated formazan in

which reduction can be used as an index of cellular viability. The medium was withdrawn, and precipitated formazan was solubilized with dimethyl sulfoxide (DMSO) and quantified spectrophotometrically at a wavelength of 540 nm.

PI Incorporation

Propidium iodide (PI) incorporation is a parameter to evaluate cellular membrane damage as indicative of cell death. Cell damage was assessed by evaluating the uptake of the fluorescent exclusion dye, PI, which is a polar compound that enters only cells with damaged membranes. Once inside the cells, PI complexes with DNA and emits an intense red fluorescence (630 nm) when excited by green light (495 nm). Hippocampal and frontal cortical slices were prepared and incubated with PI (7 $\mu\text{g}/\text{mL}$) for 30 min at 37 °C, and then washed with KRB for analysis on a fluorescence microplate reader (TECAN) (Piermartiri et al. 2009).

L-[³H]glutamate Uptake

L-[³H]glutamate uptake into hippocampal and frontal cortical slices was evaluated as previously described (Molz et al. 2009). Slices were prepared and incubated in KRB for 30 min at 37 °C to normalize physiological conditions. Hippocampal and frontal cortical slices were then washed for 15 min at 37 °C in Hank's balanced salt solution (HBSS), composition in mM: 1.29 CaCl₂, 136.9 NaCl, 5.36 KCl, 0.65 MgSO₄, 0.27 Na₂HPO₄, 1.1 KH₂PO₄, and 5 HEPES. Uptake was assessed by adding 0.33 $\mu\text{Ci}/\text{mL}$ L-[³H]glutamate in the presence of 100 μM unlabeled glutamate in a final volume of 300 μL . Incubation was stopped immediately after 7 min by discarding the incubation medium, and slices were subjected to two ice-cold washes with 1 mL HBSS. Slices were solubilized by adding a solution with 0.1% NaOH/0.01% SDS and incubated overnight. Aliquots of slice lysates were taken for determination of the intracellular content of L-[³H]glutamate by scintillation counting. Sodium-independent uptake was determined by using choline chloride, instead of sodium chloride in the HBSS buffer. Unspecific sodium-independent uptake was subtracted from total uptake to obtain the specific sodium-dependent glutamate uptake. Results were obtained and presented as nmol of L-[³H]glutamate taken up per milligram of protein per minute.

Protein Measurement

Protein content was evaluated by the method of Lowry and collaborators (Lowry et al. 1951), using bovine serum albumin (Sigma-Aldrich Chemical Co, MO, USA) as standard.

Behavioral Tests

Behavioral tests were carried out from PND60 to PND62. All tests were performed between 9:00 a.m. and 02:00 p.m., and they were scored by the same rater in an observation sound-attenuated room under low-intensity light (12 lx), where the rats had been habituated for at least 1 h before the beginning of the tests. Behavior was monitored through a video camera positioned above the apparatuses, and the videos were later analyzed with the ANY Maze® video tracking system (Stoelting Co., Wood Dale, IL, USA). The apparatuses were cleaned with 10% ethanol between animals to avoid odor cues.

Open Field

Spontaneous locomotor activity was assessed in the open-field apparatus. Rats were placed in the center of a wooden arena (100×100 cm, grey walls, and grey floor) and allowed to freely explore it for 10 min. The total distance traveled, the number of crossings, and the average speed as indicators of spontaneous locomotor activity. The number of center visits and time spent in the center of the open field was used as a measure of anxiety-like behavior (Walsh and Cummins 1976).

Rotarod

The balance and motor coordination of rats were addressed in the accelerating rotarod apparatus (Insight Scientific Equipments, Ribeirão Preto, SP, Brazil). Rotarod apparatus consists of a grooved metal roller (6 cm in diameter) separated by 9-cm-wide compartments, elevated 16 cm. First, a habituation session was performed, in which each rat remained on the apparatus (absent rotation) for 30 s. Rats were allowed as many trials as necessary to reach this criterion. Next, a training session was performed, in which the animals had three trials to stay for 90 s on the rotating apparatus (5 rpm). Those animals that were able to perform for 90 s during the training session were chosen for the experiment. During the test session (performed 30 min after training), the starting speed was 5 rpm, and it was increased by 0.1 revolutions per second over a maximal period of 300 s, and the latency to fall (in seconds) from the accelerating rotarod was determined (Jiang et al. 2004).

Novel Object Recognition Task

The short-term recognition memory was addressed in a novel object recognition (NOR) task, performed as previously described (Abe et al. 2004). The task consists of three phases: habituation, training, and test phases. The habituation phase consisted of 2 days before testing, in which all rats were allowed to explore the open-field arena for 15 min

once a day. The habituation phase aims to reduce stress, anxiety, and environmental exploration of animals on test day. The training and testing phases occurred 24 h after the last habituation day, for 3 min each, separated by an interval of 30 min to evaluate the short-term memory. The time spent by animals investigating each object in both phases was recorded. In the training phase, rats were exposed to two identical objects (A1 and A2) for 3 min. These objects were fixed in opposite corners 20 cm away from walls and 60 cm apart from each other. In the test phase, rats were exposed for 3 min to one of the familiar objects, and the other was replaced by a new object (B), which had a similar shape and size with a different color.

Statistical Analysis

The results are presented as the mean \pm S.E.M. Comparisons between control and isoflurane groups were performed by the unpaired Student's *t* test. Data from cell viability evaluation were analyzed by two-way ANOVA followed by post hoc of Newman-Keuls. Additionally, we used a *t* test to

compare the percentage of recognition against a hypothetical value of 50% in the NOR task. Probability values less than 0.05 ($P < 0.05$) were considered statistically significant. Statistical analysis was performed using the GraphPad Prism 6.0 (GraphPad, San Diego, CA, USA) and Statistica 13.0 software (StatSoft Inc., La Jolla, CA, USA).

Results

Effects of a Single Neonatal Isoflurane Exposure on Cellular Viability Following In Vitro Glutamate Challenge in Hippocampal and Frontal Cortical Slices of Neonatal and Adult Rats

Neonatal rats (PND7) were subjected to isoflurane exposure (3%), and at PND8, their hippocampi and frontal cortices were isolated, sliced, and assessed for cellular viability. Moreover, the response of the brain slices to glutamate toxicity was also evaluated in this short-term protocol (Fig. 2A, B).

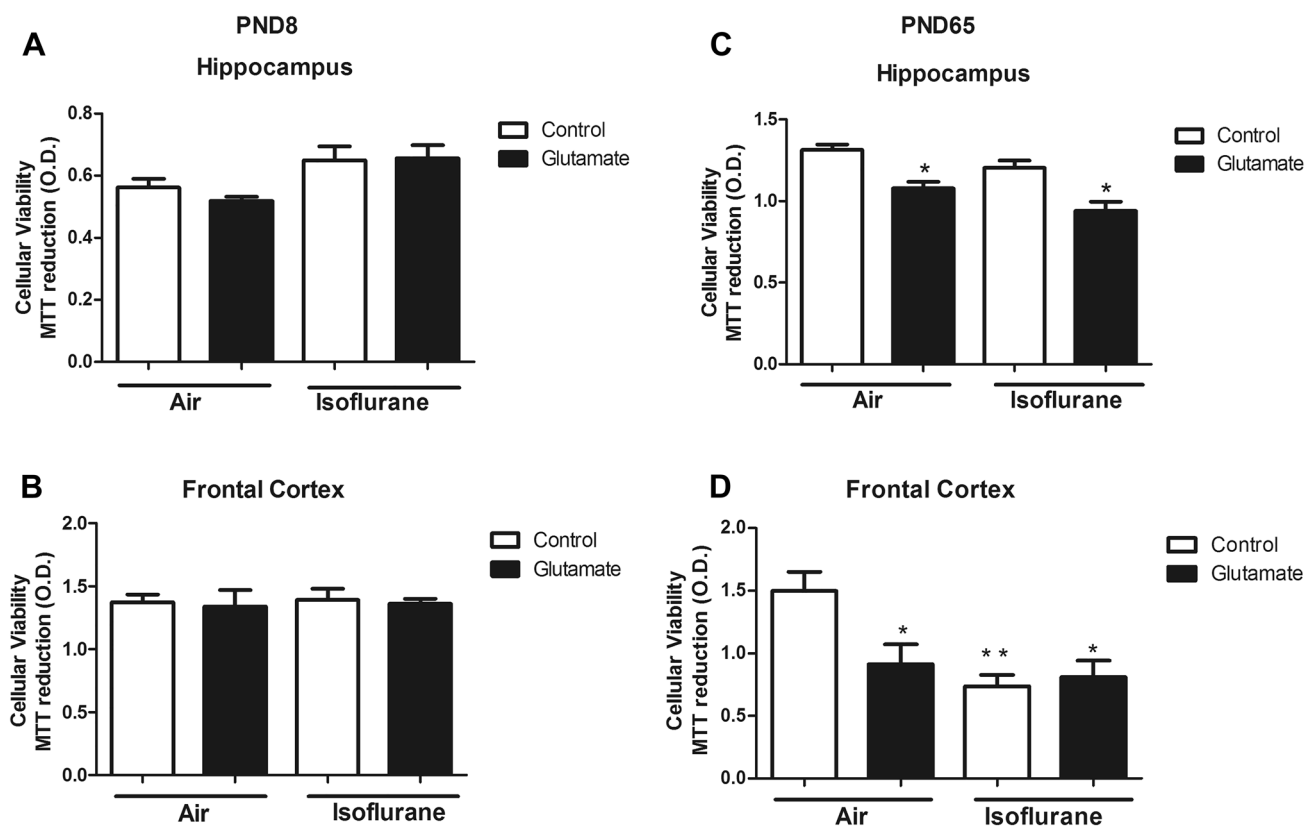


Fig. 2 Cellular viability evaluation in hippocampal and frontal cortical slices from neonatal and adult rats subjected to a single isoflurane neonatal exposure. Cellular viability at PND8 of **A** hippocampal and **B** frontal cortical slices obtained from control (air) or isoflurane-exposed rats. **C** Hippocampal and **D** frontal cortical slices viability of

rats at PND65. Data are presented as means \pm S.E.M. of 4 animals per group. * $P < 0.05$ or ** $P < 0.01$ represent means significantly different from air-control group (two-way ANOVA followed by Newman-Keuls post hoc test)

Twenty-four hours after air or isoflurane exposure, the hippocampal (Fig. 2A) and frontal cortical (Fig. 2B) slices of air-exposed neonatal rats (PND8) did not show a reduction in cellular viability caused by glutamate toxicity, as we previously showed (Sampaio et al. 2018). Similarly, the isoflurane exposure did not decrease the cellular viability in hippocampal and frontal cortical from both control and glutamate-challenged slices as well.

When performing the long-term protocol, hippocampal and frontal cortical slices obtained from adult rats (PND65) displayed a susceptibility to glutamate challenge (Fig. 2C, D). In hippocampal slices, *in vitro* glutamate challenge decreased cellular viability in both air- and isoflurane-exposed groups [$P=0.0134$] (Fig. 2C). On the other hand, the neonatal isoflurane exposure did not alter the cellular viability in comparison to hippocampal slices from the air-exposed group in PND65. Furthermore, in frontal cortical slices, *in vitro* glutamate challenge also decreased cellular viability in both air-exposed and isoflurane-exposed [$P=0.0273$] groups (Fig. 2D). Differently from hippocampal slices, neonatal isoflurane exposure per se decreased the cellular viability in frontal cortical slices from adult rats when compared to the air-exposed group [$P=0.0058$], but it did not alter the excitotoxicity imposed by glutamate challenge (Fig. 2D).

Long-Term Effects of a Single Neonatal Isoflurane Exposure on PI Incorporation and Glutamate Uptake in Hippocampal and Frontal Cortical Slices of Adult Rats

The PI incorporation, which is a marker of damaged cellular membranes, was evaluated in hippocampal and frontal cortical slices obtained from adult rats (PND65), following isoflurane exposure. A single neonatal isoflurane exposure did not significantly change PI incorporation in both hippocampal (Fig. 3A) and frontal cortical (Fig. 3B) slices obtained from adult rats (PND65).

The L-[^3H]glutamate uptake analysis was performed on hippocampal and frontal cortical slices obtained from adult rats (PND65) following a single neonatal isoflurane exposure. In the hippocampal slices from adult rats, no changes were observed in Na^+ -dependent L-[^3H]glutamate uptake (Fig. 3C). However, in the frontal cortical slices obtained from adult rats following neonatal isoflurane exposure, L-[^3H]glutamate uptake was shown to significantly decrease [$P=0.0420$] (Fig. 3D).

Long-Term Neurobehavioral Effects of a Single Neonatal Isoflurane Exposure

To verify putative alterations on spontaneously locomotor, exploration activity, and anxiety-like behaviors of the adult

rats (PND60) subjected to a neonatal isoflurane exposure, we carried out the open-field test (Fig. 4). No significant changes were observed in the following analyzed parameters: total distance traveled (Fig. 4A), mean speed (Fig. 4B), number of crossings (Fig. 4C), number of visits to the center (Fig. 4D), and time in the center (Fig. 4E).

The rotarod test was performed to evaluate the impact of a neonatal exposure to isoflurane onto balance and motor coordination of adult rats (PND62). The latency to fall in air- or isoflurane-exposed group was not statistically different (Fig. 5).

Regarding cognitive function, short-term recognition memory was evaluated by the NOR task in adult rats (PND62). As shown in Fig. 6A, the two identical objects (A1 and A2) were equally explored in the training phase. According to Student's *t* test, the obtained data shows a significant difference between the percentage of novel object recognition control group and the hypothetical value of 50% in the test phase [$t_{(8)}=2.499$; $P=0.0370$] (Fig. 6B). This result indicates that the animals from the control group spent more time exploring the novel object, which was not observed in the isoflurane-exposed group, demonstrating a short-term memory impairment induced by a single isoflurane neonatal exposure.

Discussion

The first study to indicate a possible relationship between general anesthesia and neurodevelopment impairment of young children was published by Eckenhoff (Eckenhoff 1953). Since then, molecular methods have been applied to examine the underlying mechanisms involved in the potential neurotoxicity of volatile anesthetics in the mammalian brain (Hogan 2004; Futterer et al. 2004), and the number of experimental and clinical research concerning developmental anesthesia neurotoxicity has been growing (Davidson 2011; McCann and Soriano 2019). Isoflurane, a volatile anesthetic commonly used in pediatric and obstetric surgery, has been investigated under distinct protocols in preclinical studies, with different doses and duration of exposure pointing to neurodevelopmental toxicity (Stratmann et al. 2010).

By using a novel approach, the present study demonstrated that a single isoflurane (3%) exposure at PND7 did not acutely alter the cellular viability in cortical and in hippocampal slices of infant rats (PND8), nor did it alter resistance to glutamatergic toxicity *in vitro* at that age. However, a decreased cellular viability and glutamate uptake was observed in cortical slices only, but not in hippocampal slices from adult rats (PND65) subjected to neonatal isoflurane exposure. Additionally, these rats also demonstrated short-term memory impairment in the adulthood, as assessed by the novel object recognition (NOR) task.

Stratmann et al. (Stratmann et al. 2009a) showed different outcomes in PND7 rats that were exposed to 2 or 4 h of

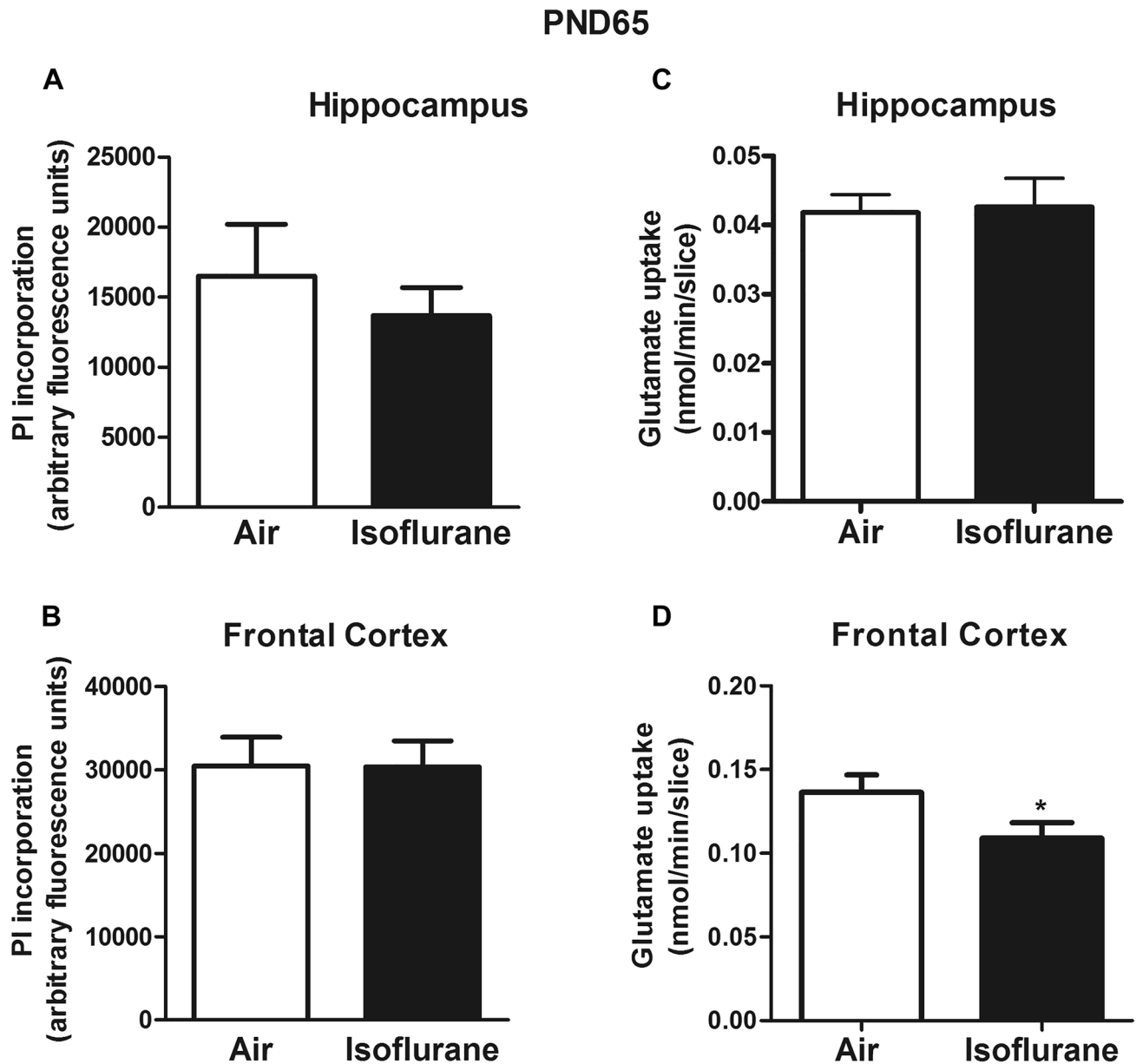


Fig. 3 Evaluation of cellular membrane damage due to propidium iodide (PI) incorporation and glutamate uptake into hippocampal and frontal cortical slices from adult rats (PND65) subjected to a single isoflurane neonatal exposure. PI incorporation in **A** hippocampal and **B** frontal cortical slices of rats at PND65. Na⁺-dependent L-[³H]

glutamate uptake in the **C** hippocampus and **D** frontal cortex slices of rats at PND65. Data are presented as means \pm S.E.M. of 5 animals per group. * $P < 0.05$ represents mean significantly different from air-control group (unpaired Student's *t* test)

isoflurane (1 minimum alveolar concentration — 1 MAC). Although both interventions caused significant cell death 12 h after the exposure, only 4 h of isoflurane promoted a long-term neurocognitive deficit in adult rats (PND60), which was evident in spatial reference memory and spatial working memory tasks. In contrast, in our study, we were able to identify short-term memory impairment on adult rats exposed to only 2 h of isoflurane (3%), as assessed by the NOR task. Those different findings possibly resulted from

the fact that distinct isoflurane concentrations were used, since 1 MAC of isoflurane in rats at postnatal maturation is around 1.15% (Frink et al. 1992; Ganzberg 2017), but it varies between PND7-9 (Orliaguet et al. 2001), and in the above study, it was assumed to be 3.5% for PND7 (Stratmann et al. 2009a). Additionally, one should stress that different types of memory were assessed between studies: object recognition memory vs. spatial memory. Interestingly, exposure of PND7 mice to 1.5% isoflurane for 4 h induced deficits in

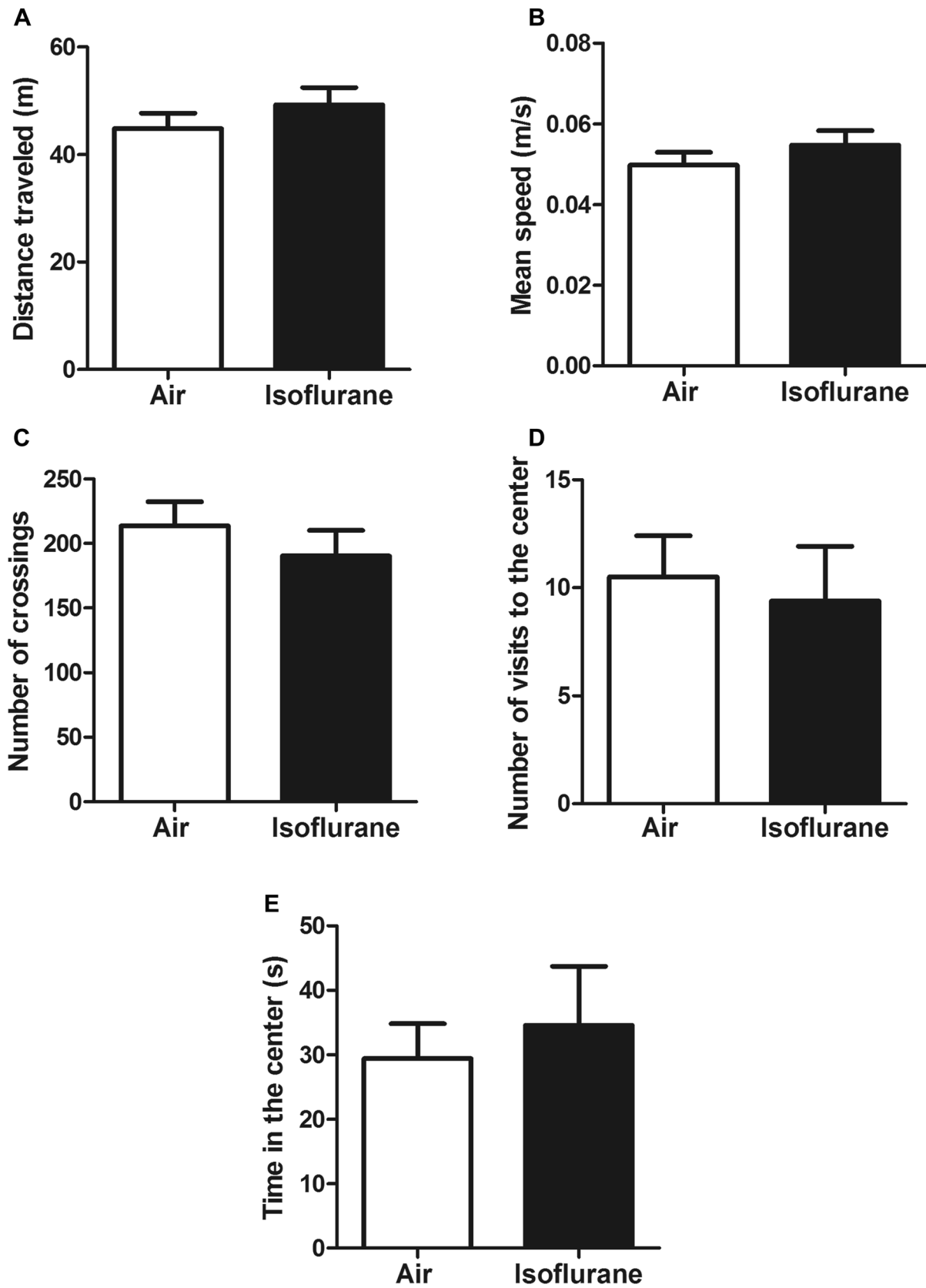


Fig. 4 Evaluation of long-term effects of a single isoflurane neonatal exposure on spontaneous locomotor activity and anxiety-like behaviors of adult rats (PND60) evaluated in the open-field test. The following parameters were analyzed: total distance traveled (A), mean speed (B), number of crossings (C), number of visits to the center (D), and time in the center (E). Data are presented as means \pm S.E.M. of 8–9 animals per group (unpaired Student's *t* test)

object recognition at 6 weeks (Schaefer et al. 2020). One may argue that the neurocognitive development impairments are dependent on isoflurane dose, exposure onset and time duration, analyzed species and type of assessed memory. In the present study, we used the NOR test because it holds some advantages over other rodent memory tests (Lueptow 2017). The main advantage is that it relies on rodents' natural tendency to explore novelty and the conditions of the NOR task more closely resemble those used in studying human cognition, increasing the ecological validity of the test when compared to other rodent memory tests (Lueptow 2017).

Several studies have shown that isoflurane exposure affects cell viability in a dose- and time-dependent manner. For example, PC12 cells exposed to 2.4% isoflurane exhibited lower cell viability than that of cells exposed to 1.2% isoflurane. Prolonged exposure (6 h vs. 24 h) to 2.4% isoflurane resulted in a profound reduction in PC12 cell viability (Kim et al. 2016). In C57BL/6 mice exposed to 2 or 4 h of isoflurane (1.4%), caspase-3 cleavage was elevated 24 h post-anesthesia in the hippocampus. Moreover, 4 h of isoflurane exposure triggered long-term caspase-3 activation 2 weeks-post anesthesia (Liu et al. 2014). These findings showed that isoflurane induces apoptosis, which may contribute to long-term neurotoxicity.

The present findings showed a reduction in cellular viability in frontal cortical slices, but not in hippocampus slices, of adult rats subjected to isoflurane neonatal exposure.

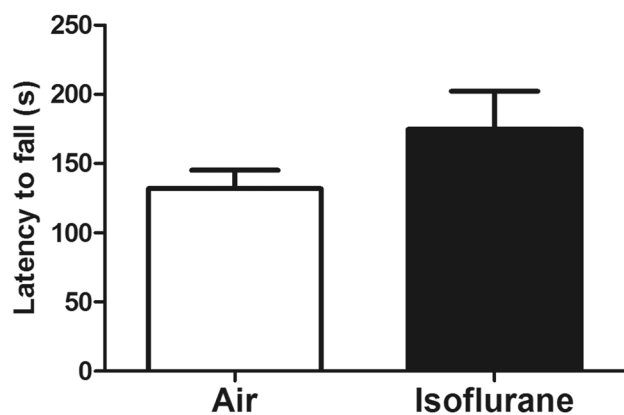


Fig. 5 Motor performance evaluation in the rotarod test in adult rats (PND62) subjected to a single-isoflurane neonatal exposure. Latency to fall of rod in the rotarod test of adult rats (PND62) subjected to isoflurane neonatal exposure. Data are presented as means \pm S.E.M. of 8–9 animals per group (unpaired Student's *t* test)

However, the neonatal isoflurane exposure did not acutely (PND8) alter frontal cortex or hippocampal viability. The lack of frontal cortical neurotoxicity in the early time point is consistent with results presented by Zou et al. (2008). These authors demonstrated that PND7 rat pups, which were exposed to a low dose of isoflurane (0.55%) for up to 8 h, did not show any significant neurotoxic effect in frontal cortex 6 h after completion of anesthetic administration. Moreover, these authors further stated that no significant cell damage was observed in other brain regions, including the hippocampus.

Our data in the adult rats (PND65) also indicate that hippocampus and frontal cortex were differentially affected by isoflurane exposure, which may be linked to a heterogeneous central nervous system development in different brain regions that changes their vulnerability to neurotoxic agents (Zhao et al. 2016). Although we did not see any changes in cellular viability and evidence of membrane damage in hippocampal slices, we cannot rule out that hippocampal cellular dysfunction is in place since this brain region is important for object recognition memory (Broadbent et al. 2010).

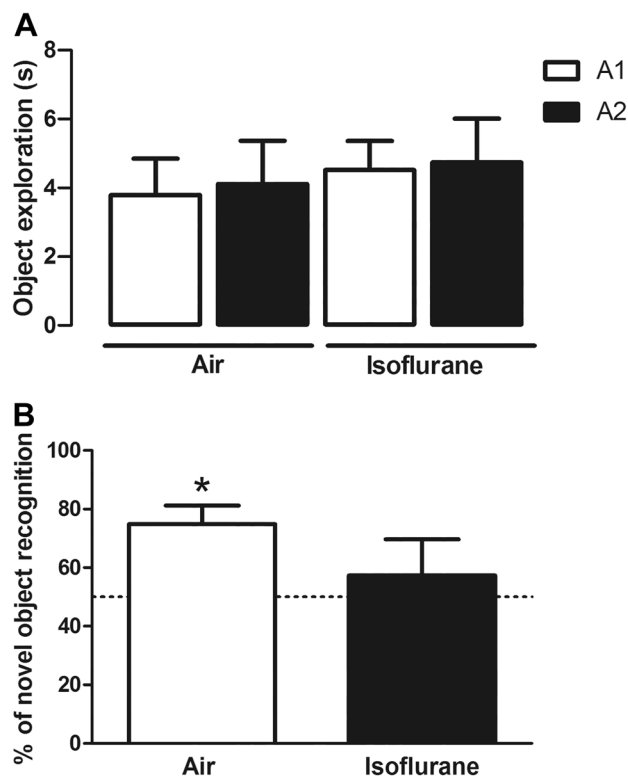


Fig. 6 Short-term memory evaluation in the novel object recognition (NOR) task in adult rats (PND62) subjected to a single isoflurane neonatal exposure. The time of objects (A1 and A2) exploration in the training phase (A) and the percentage of the novel object recognition (discrimination index) in the test phase (B) are presented. Data are presented as means \pm S.E.M. of 7 animals per group. **P* < 0.05 as compared to a hypothetical value of 50% (unpaired Student's *t* test)

Besides evaluating isoflurane effects in adult rats, we also assessed a short-term effect 24 h after isoflurane exposure and a putative susceptibility to glutamate toxicity. As previously shown, slices obtained from both control and isoflurane exposed neonatal rats (PND8) are less susceptible to *in vitro* glutamate challenge than slices from adult brains, as indicated by lack of cellular metabolic activity reduction. This is due to the fact that glutamate receptors and transporter expression are developmentally regulated, and their immature expression and distribution in neonatal brain may avoid excitotoxic events, as previously shown by others and by us (Sampaio et al. 2018; Hestrin 1992; Dumas 2005; Yuan and Bellone 2013). Therefore, isoflurane did not alter cell viability *per se*, neither alter slice susceptibility to glutamate challenge at PND8. However, isoflurane exposure triggered a significant cellular viability decrease in cortical, but not hippocampal, slices obtained from adult rats (PND65), although cortical slice viability was not further changed by *in vitro* glutamate challenge. These observations suggest that metabolic perturbations in frontal cortex imposed by isoflurane exposure reached a stable nadir. Considering glutamate (in air-exposed rats) and isoflurane exposure *per se* induced cellular viability impairments which were not significantly different, it is feasible to suggest they may involve similar toxicity pathways, as caspase-3 activation induced by glutamate in slices, which we previously showed (Molz et al. 2008).

Albeit its main target is the GABA receptor, isoflurane can also exert effects on glutamatergic system including glutamate receptors (Zhang et al. 2008) and transporters (Qu et al. 2013). Importantly, the clearance of released glutamate occurs mainly by astrocytic glutamate transporters GLAST (or EAAT1) and GLT-1 (or EAAT2) (Robinson and Jackson 2016). These transporters can efficiently control the concentration of glutamate in the synaptic cleft, contributing to glutamate turnover to neurons and protecting from excitotoxicity. Isoflurane exposure (1.2% for 2 h) to old rats promoted spatial learning and memory impairment and displayed higher extracellular levels of glutamate and upregulation of EAAT1 on hippocampus (Qu et al. 2013). In another study, protein levels of NMDA receptor subunit GluN2A and EAAT1 were significantly increased in the hippocampus of rats following neonatal exposure to isoflurane (2% for 4 h; PND7) from 2 h to 3 days post-exposure, but they were not altered 7 and 28 days after exposure (Wang et al. 2019). Zuo (2001) demonstrated that isoflurane at clinically relevant concentrations (1–3%) caused a time-, sodium-, and concentration-dependent increase of glutamate uptake in primary cultures of rat cerebral mixed glial cells.

These data prompted us to evaluate the impact of isoflurane exposure on glutamate uptake into slices from frontal cortex and from hippocampus in adulthood. Neonatal isoflurane exposure did not alter hippocampal glutamate

uptake in the adulthood. On the contrary, glutamate uptake was significantly decreased in cortical slices, at the same period where a decreased cellular viability was observed (PND65). Considering glutamate transporter activity may be sensitive to isoflurane, our data suggests that a reduction on cortical glutamate uptake may be contributing to increasing extracellular glutamate levels, and consequently glutamate excitotoxicity, thus promoting a reduced frontal cortical slice viability following a neonatal isoflurane exposure. However, to prove this hypothesis, studies evaluating whether neonatal isoflurane exposure may also alter glutamate transporter expression, or whether isoflurane interferes with the activity of glutamatergic neurons will be necessary to clarify the exact mechanism of action of isoflurane. Although, regarding glutamate transporters, it seems the neonatal alterations in protein levels induced by isoflurane are not observed for longer periods (Zhang et al. 2008). Then, functional data, as the evaluation of glutamate uptake, seems to be of more relevance for neurotoxicity assessment (Dal-Cim et al. 2019).

To further evaluate the long-term impact of neonatal isoflurane exposure on brain functional alterations, we also analyzed general locomotor activity (through of the total distance traveled, number of crossings, and average speed in the open field), anxiety-like behavior (number of center visits and time spent in the center of the open field), and motor balance in the rotarod test. Isoflurane neonatal exposure did not change any of the parameters evaluated. This is suggestive that isoflurane did not trigger obvious behavioral alterations beyond short-term memory impairment. This is consistent with data presented by Rosenholm et al. (Rosenholm et al. 2017), which showed negligible behavioral changes in mice subjected to repeated brief isoflurane anesthesia during early postnatal development. Furthermore, single neonatal exposure to isoflurane did not induce long-term motor behavioral alterations in rhesus macaques, but repeated isoflurane exposure had motor consequences (Coleman et al. 2017). Overall, these studies may suggest that longer and repeated exposures to isoflurane may be detrimental to cognitive as well as to locomotor and emotional behavior.

In summary, our findings indicate that a single neonatal isoflurane exposure did not alter short-term brain slice viability, but it induced a reduction in cellular viability and glutamate uptake observed in adulthood in the frontal cortex, but not in the hippocampus. Additionally, neonatal isoflurane exposure resulted in an impairment on the short-term recognition memory.

Altogether, our data consolidate previous observations demonstrating that exposure of developing brains to inhaled general anesthetics including isoflurane as well as sevoflurane may produce neurotoxicity leading to cognitive abnormalities later in life (Gupta and Datta 2020; Murphy and Baxter 2013; Shen et al. 2013). Since many healthy young children are

exposed to general anesthetics, a careful assessment of the benefit/risk balance of the long-term consequences of exposure to anesthetics including isoflurane in neonates is still needed.

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Funding This research was supported by grants from Brazilian funding agencies: Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq) — Instituto Nacional de Ciência e Tecnologia (INCT for Excitotoxicity and Neuroprotection); Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES/PVE 052/2012; CAPES-FCT 2014), Fundação de Apoio à Pesquisa do Estado de Santa Catarina (FAPESC)—Programa de Apoio aos Núcleos de Excelência (PRONEX — Project NENASC); and by Portuguese funding agencies: Fundação para a Ciência e Tecnologia (FCT, Portugal) — (Strategic Project 2015-UID/NEU/04539/2013); COMPETE-FEDER (POCI-01-0145-FEDER-007400); Centro 2020 Regional Operational Programmes (CENTRO-01-0145-FEDER-000012: HealthyAging2020 and CENTRO-01-0145-FEDER-000008: BrainHealth 2020). C.I.T. and R.D.P. are recipient of research fellowship from CNPq.

Disclaimer The financial support agencies had no further role in study design; in the collection, analysis, and interpretation of data; in the writing of the report; and in the decision to submit the paper for publication.

Data Availability All data generated or analysed during this study are included in this published article.

Declarations

Ethics Approval Experiments followed the “Principles of Laboratory Animal Care” (NIH 2011) and were approved by the Committee on the Ethics of Animal Experiments of the Federal University of Santa Catarina (CEUA/UFSC PP955).

Conflict of Interest The authors declare no competing interests.

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