



# Caffeic Acid Phenethyl Ester (CAPE) Protects PC12 Cells from Cisplatin-Induced Neurotoxicity by Activating the NGF-Signaling Pathway

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## Abstract

Cisplatin is a highly effective chemotherapeutic drug that is toxic to the peripheral nervous system. Findings suggest that axons are early targets of the neurotoxicity of cisplatin. Although many compounds have been reported as neuroprotective, there is no effective treatment against the neurotoxicity of cisplatin. Caffeic acid phenethyl ester (CAPE) is a propolis component with neuroprotective potential mainly attributed to antioxidant and anti-inflammatory mechanisms. We have recently demonstrated the neurotrophic potential of CAPE in a cellular model of neurotoxicity related to Parkinson's disease. Now, we have assessed the neurotrophic and neuroprotective effects of CAPE against cisplatin-induced neurotoxicity in PC12 cells. CAPE (10  $\mu$ M) attenuated the inhibition of neuriteogenesis and the downregulation of markers of neuroplasticity (GAP-43, synapsin I, synaptophysin, and 200-kD neurofilament) induced by cisplatin (5  $\mu$ M). This concentration of cisplatin does not affect cell viability, and it was used in order to assess the early neurotoxic events triggered by cisplatin. When a lethal dose of cisplatin was used (IC<sub>50</sub> = 32  $\mu$ M), CAPE (10  $\mu$ M) increased cell viability. The neurotrophic effect of CAPE is not dependent on NGF nor is it additive to the effect of NGF, but it might involve the activation of the NGF-high-affinity receptors (trkA). The involvement of other neurotrophin receptors such as trkB and trkC is unlikely. This is the first study to demonstrate the protective potential of CAPE against the neurotoxicity of cisplatin and to suggest the involvement of trkA receptors in the neuroprotective mechanism of CAPE. Based on these findings, the beneficial effect of CAPE on cisplatin-induced peripheral neuropathy should be further investigated.

**Keywords** Cisplatin · Peripheral neurotoxicity · CAPE · Neuroprotection · Neuriteogenesis · trkA receptors

## Introduction

Cisplatin (cis-diamminedichloroplatinum II) is an effective broad-spectrum chemotherapeutic agent. One of the major side effects that limit the success of cisplatin chemotherapy is the peripheral sensory neuropathy that occurs in 50% of patients and for which there is no effective therapy (Burton et al. 2007; Albers et al. 2014; Hausheer et al. 2006). Studies have suggested that thiol compounds (amifostine and glutathione), vita-

min E, calcium, and magnesium are chemoprotective (Albers et al. 2014; Cascinu et al. 2002; Cascinu et al. 1995; Pace et al. 2003; Planting et al. 1999; Avan et al. 2015). However, there is no solid evidence of the efficacy and safety of these agents that support their therapeutic use against cisplatin-induced peripheral neuropathy (Avan et al. 2015; Albers et al. 2014).

Caffeic acid phenethyl ester (CAPE) is a natural bioactive compound that is extracted from the propolis of honeybee hives (Murtaza et al. 2014). The pharmacological activities of CAPE have been associated with the inhibition of the transcription factor NF- $\kappa$ B, which is involved in inflammation and oxidative stress (Natarajan et al. 1996; van den Berg et al. 2001). In vitro and in vivo studies have suggested that CAPE might be beneficial in neurodegenerative diseases (Bak et al. 2016; Barros Silva et al. 2013; Huang et al. 2013; Santos et al. 2014). Different molecular targets of CAPE have

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been identified (for review, refer to Tolba et al. 2013), and they are all related to anti-inflammatory and antioxidant mechanisms.

We have previously demonstrated the beneficial effect of CAPE in a rat model of Parkinson's disease induced by the dopaminergic neurotoxin 6-hydroxidopamine (Barros Silva et al. 2013). We have also demonstrated the involvement of neurogenesis in the neuroprotective effect of CAPE in a PC12 model treated with 1-methyl-4-phenylpyridinium iodide (MPP<sup>+</sup> iodide), a neurotoxicity model related to Parkinson's disease (Santos et al. 2014). Additionally, we have recently demonstrated some pathways and early targets that might be involved in the neurotoxicity of cisplatin (Ferreira et al. 2016b). Now, we have used the same model (PC12 cells treated with non-cytotoxic concentrations of cisplatin) to investigate (i) the neuroprotective effects of CAPE on the modulators of neurogenesis that are affected by cisplatin, (ii) the role of NGF itself, and (iii) the involvement of the NGF-triggered pathway in the mechanism of neuroprotection of CAPE. Currently, there are no studies addressing the involvement of neuroplasticity-related pathways in the neuroprotective mechanism of CAPE against the neurotoxicity of cisplatin.

## Materials and Methods

### Chemicals

High-purity reagents (analytical grade minimum) were obtained from Sigma-Aldrich® (St. Louis, MO, USA), unless differently stated. Cell culture media were purchased from Invitrogen (Carlsbad, CA). Reagents for Western blot analyses were obtained from Bio-Rad Laboratories, Inc. (Hercules, CA, USA). The solutions were prepared with ultra-pure water (type I) purified by a Milli-Q Gradient system (Millipore, Bedford, USA). The stock solution of cisplatin (3.3 mM) was prepared in 0.9% saline solution, and the stock solution of CAPE (100 mM) was prepared in DMSO and stored in a freezer (−20 °C). The working solutions were prepared immediately before the assays. The working solution of CAPE was prepared by diluting the stock solution in the differentiation medium (F-12K Nutrient Mixture Kaighn's Modification), while the working solutions of cisplatin were prepared in saline.

### Cell Cultures

PC12 and SH-SY5Y cells were obtained from the American Type Culture Collection (ATCC) (Rockville, MD).

PC12 cells were cultured in 75 cm<sup>2</sup> culture flasks, at 37 °C, under a humidified atmosphere containing 5% CO<sub>2</sub> and 95% air. The growth medium was Dulbecco's modified Eagle

medium (DMEM; GIBCO®) supplemented with 10% heat-inactivated horse serum (GIBCO®), 5% heat-inactivated fetal bovine serum (FBS; GIBCO®), and 1% antibiotic mixture (5 mg/mL penicillin, 5 mg/mL streptomycin, and 10 mg/mL neomycin, PNS GIBCO®). Medium was renewed every 3 days. To harvest PC12 cells, medium was removed and cells were detached with trypsin/EDTA solution (GIBCO®). Trypsin was inactivated by the addition of supplemented medium, and after centrifugation (1000 rpm, 5 min), cells were suspended in the growth medium and plated at the density required by each assay.

SH-SY5Y cells were cultured in 75-cm<sup>2</sup> culture flasks, with F12 nutrient mixture (F12 HAM; Sigma Cell Culture, St. Louis, MO) supplemented with 15% FBS (GIBCO) and 1% antibiotic mixture (PNS), at 37 °C, under a humidified atmosphere containing 5% CO<sub>2</sub> and 95% air. The growth medium was renewed every day. Confluent cultures were detached with trypsin/EDTA solution (GIBCO®), which was inactivated with growth medium. After centrifugation (1000 rpm, 5 min), cells were subcultured (1:2; every 2–3 days). Third-passage cells with 80% confluence were used in the experiments.

### Working Concentrations of Cisplatin

The working concentrations of cisplatin were previously determined (Ferreira et al. 2016b). Thus, for the cell viability assay, the toxicity was induced with 32 μM of cisplatin (IC<sub>50</sub>), while that in the neurite outgrowth assay, we used a non-cytotoxic concentration of cisplatin (5 μM).

### Cell Viability—MTT Assay

PC12 cells were seeded into 96-well plates (2.0 × 10<sup>4</sup> cells/well) and cultured for 24 h, then incubated with CIS (32 μM, IC<sub>50</sub>) and CAPE (1, 5, 10, 25, 50, and 100 μM) for 24 h. The protective effect of CAPE against cisplatin-induced cytotoxicity was measured using the MTT colorimetric assay (Mosmann 1983). After 24 h of treatment, plates were incubated with 20 μL of MTT solution (5 mg/mL) for 3 h at 37 °C. Then, plates were centrifuged (1000 rpm, 5 min), the supernatant was discarded, and 200 μL of DMSO was added to dissolve the formazan crystals. The absorbance was measured at 570 nm on a microplate reader (Multiskan FC, Thermo Scientific). Cell viability was expressed as a percentage of the control.

### Determination of the Working Concentration of CAPE

PC12 cells were seeded into 24-well plates (2.0 × 10<sup>5</sup> cells/well) coated with poly-L-lysine (Sigma-Aldrich®, St. Louis, MO, USA) and incubated for 24 h for better adhesion. After this, the medium was replaced by F-12K Nutrient Mixture

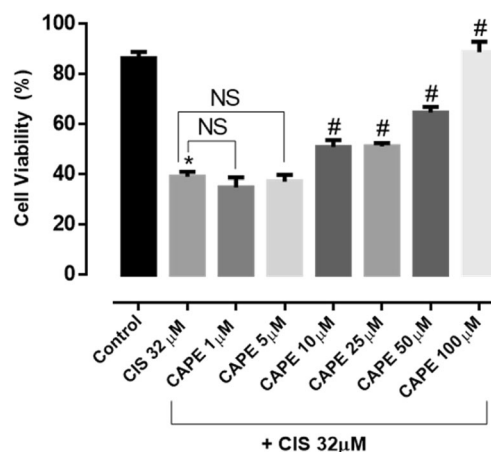
Kaighn's Modification (GIBCO®) supplemented with 1% horse serum, 1% antibiotic mixture, and NGF (100 ng/mL). Cells were exposed to 5  $\mu$ M CIS + serial dilutions of CAPE (1, 5, 10, 25, and 50  $\mu$ M) for 72 h. Untreated cells were used as controls. The concentration of CAPE that showed the highest ability to minimize the inhibition of cellular differentiation induced by the sublethal concentration of cisplatin (5  $\mu$ M) was selected as the working concentration.

### Quantitative Neurite Outgrowth Assay in PC12 Cells

PC12 cells ( $2.0 \times 10^5$  cells/well) were seeded in 24-well poly-L-lysine-coated plates (Sigma-Aldrich®, St. Louis, MO, USA) and incubated for 24 h for adhesion. Then, the growth medium was replaced by the differentiation medium F-12K Nutrient Mixture Kaighn's Modification (GIBCO®) supplemented with 1% horse serum and 1% antibiotic mixture. Cells were then incubated (37 °C, 72 h) with one of the following additions: NGF 100 ng/mL, CAPE 10  $\mu$ M, CAPE 10  $\mu$ M + NGF 100 ng/mL, CIS 5  $\mu$ M + NGF 100 ng/mL, or CAPE 10  $\mu$ M + CIS 5  $\mu$ M + NGF 100 ng/mL. Untreated cells were used as controls. The morphometric analysis was performed on the images obtained under inverted-phase-contrast microscopy (Carl Zeiss Axio Observer A1 inverted microscope,  $\times 400$  magnification), after 72 h of incubation. The percentage of differentiated cells was determined by using the ImageJ open-source software (Rasband, 1997–2014). Cells with at least one neurite with a length equal to (or higher than) the cell body diameter were considered as differentiated (Das et al. 2004).

### Quantitative Neurite Outgrowth Assay in SH-SY5Y Neuroblastoma Cells

SH-SY5Y neuroblastoma cells were incubated in 24-well plates ( $3.0 \times 10^4$  cells/well) in the F12 HAM medium, supplemented with 15% FBS and 1% antibiotic mixture (penicillin/streptomycin/neomycin, PSN GIBCO®). After 24 h, the medium was replaced by a low-serum medium (F12 HAM supplemented with 1% FBS, 1% PSN). Then, cells were incubated (37 °C, 72 h) with one of the following additions: CAPE 10  $\mu$ M, retinoic acid (RA) 10  $\mu$ M, or CAPE 10  $\mu$ M + RA 10  $\mu$ M. Untreated cells were used as controls. The morphometric analysis was performed on the images obtained under inverted-phase-contrast microscopy (Carl Zeiss Axio Observer A1 inverted microscope,  $\times 400$  magnification), after 72 h of incubation. The percentage of differentiated cells was determined by using the ImageJ open-source software (Rasband, 1997–2014). Cells with at least one neurite with a length equal to (or higher than) the cell body diameter were considered as differentiated (Das et al. 2004).

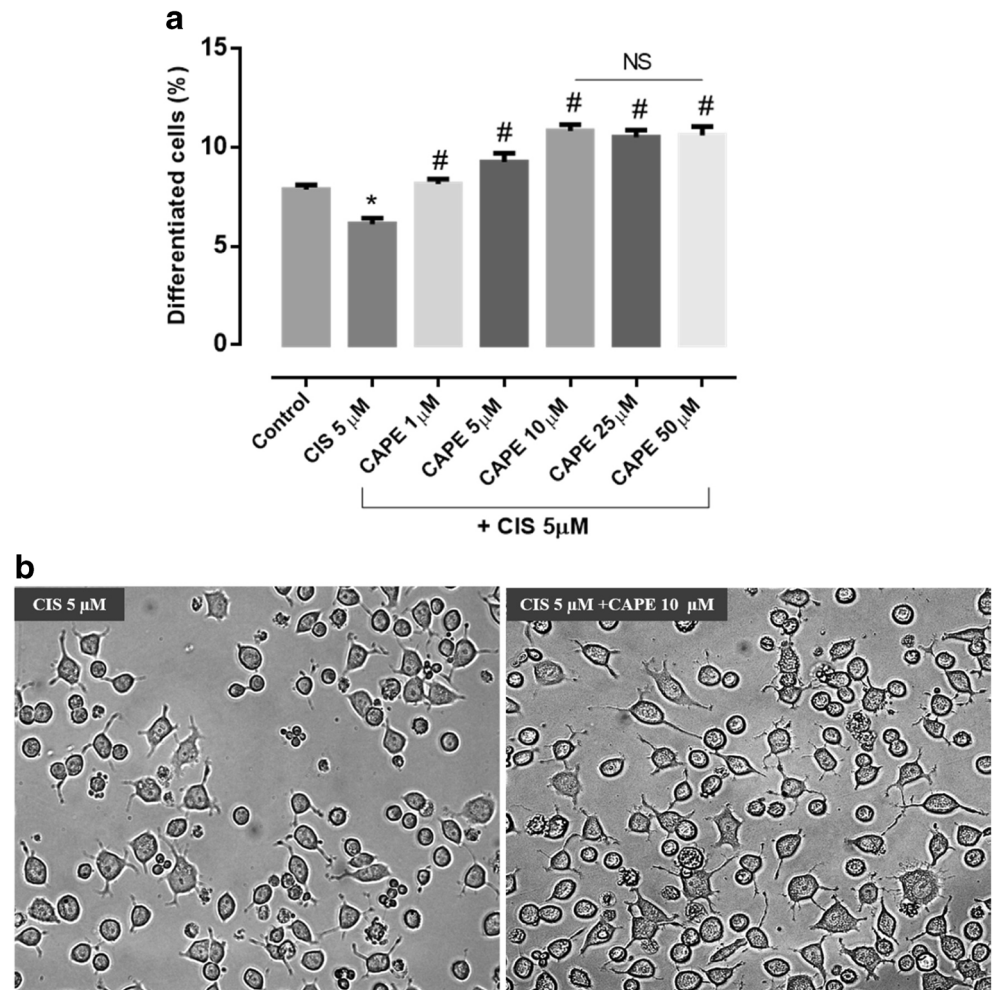


**Fig. 1** Effect of different concentrations of CAPE on the viability of PC12 cells exposed to cisplatin (IC<sub>50</sub> = 32  $\mu$ M). Additions: NGF 100 ng/mL; CIS 32  $\mu$ M; CAPE (1 to 50  $\mu$ M). Bars represent means  $\pm$  SEM of three independent experiments; each experiment was performed in triplicate. \*Significantly different from control ( $p < 0.05$ ); #significantly different from CIS 32  $\mu$ M ( $p < 0.05$ ). CIS cisplatin, NS not significantly different for  $p < 0.05$

### Western Blot Analysis for GAP-43, Synapsin I, and Synaptophysin

After the additions described for the neurite outgrowth assay, cells were incubated for 72 h. To prepare cell lysates, PC12 cells ( $2.0 \times 10^5$  cells/well) were detached with trypsin, transferred to conical microtubes, and centrifuged (1000 rpm, 5 min, 4 °C). The supernatant was discarded and the cell pellet was suspended in 40  $\mu$ L Tris–Triton lysis buffer (10 mM Tris, pH 7.4, 100 mM NaCl, 1 mM EDTA, 1 mM EGTA, Triton X-100 1%, 10% glycerol, 0.1% SDS, 0.5% deoxycholate, 1:200 protease inhibitor cocktail, and 1% phosphatase inhibitor cocktail). The lysis procedure was performed in tubes placed on ice to reduce the activity of proteases. After 10 min, the cell lysate was centrifuged at 12,000 rpm for 10 min at 4 °C and the supernatant was stored in a freezer (–80 °C) until the assays. Cell lysate (10  $\mu$ L) was assayed for protein content by the Bradford method. The Protein Assay Dye Reagent (Bio-Rad) was used according to the manufacturer's instructions. Lysates and color reagent were diluted with water (1:5) and a calibration curve of BSA (40, 100, 200, and 400  $\mu$ g/mL) was assayed. The absorbance (595 nm) was determined in a microplate reader (Multiskan FC, Thermo Scientific). The concentration of protein was calculated based on the calibration curve and multiplied by the dilution factor (5). For the SDS-PAGE (SDS-polyacrylamide gel electrophoresis), samples were added to an equal volume of Laemmli sample buffer (65.8 mM Tris, pH 6.8, 26.3% glycerol, 2.1% SDS, 0.01% bromophenol blue, 5%  $\beta$ -mercaptoethanol) and heated to 98 °C for 5 min. Aliquots of 35  $\mu$ L containing 10  $\mu$ g total protein were applied to 10% polyacrylamide gel (10 wells) and separated by SDS-PAGE.

**Fig. 2** Effect of different concentrations of CAPE on cisplatin-induced inhibition of cell differentiation. Additions: NGF 100 ng/mL, CIS 5  $\mu$ M, CAPE (1 to 50  $\mu$ M). **a** Bar graph. Each bar represents the mean  $\pm$  SEM obtained from three independent experiments; each experiment was performed in triplicate. **b** Phase-contrast photomicrographs showing the protective effect of CAPE (10  $\mu$ M) against the inhibition of the differentiation induced by cisplatin (5  $\mu$ M) in NGF-stimulated PC12 cells. Cells with at least one neurite with a length equal to or higher than the cell body were considered differentiated and expressed as a percentage of the total cells in the field. \*Significantly different from the controls ( $p < 0.05$ ); #significantly different from 5  $\mu$ M CIS ( $p < 0.05$ ); NS, not significantly different for  $p < 0.05$

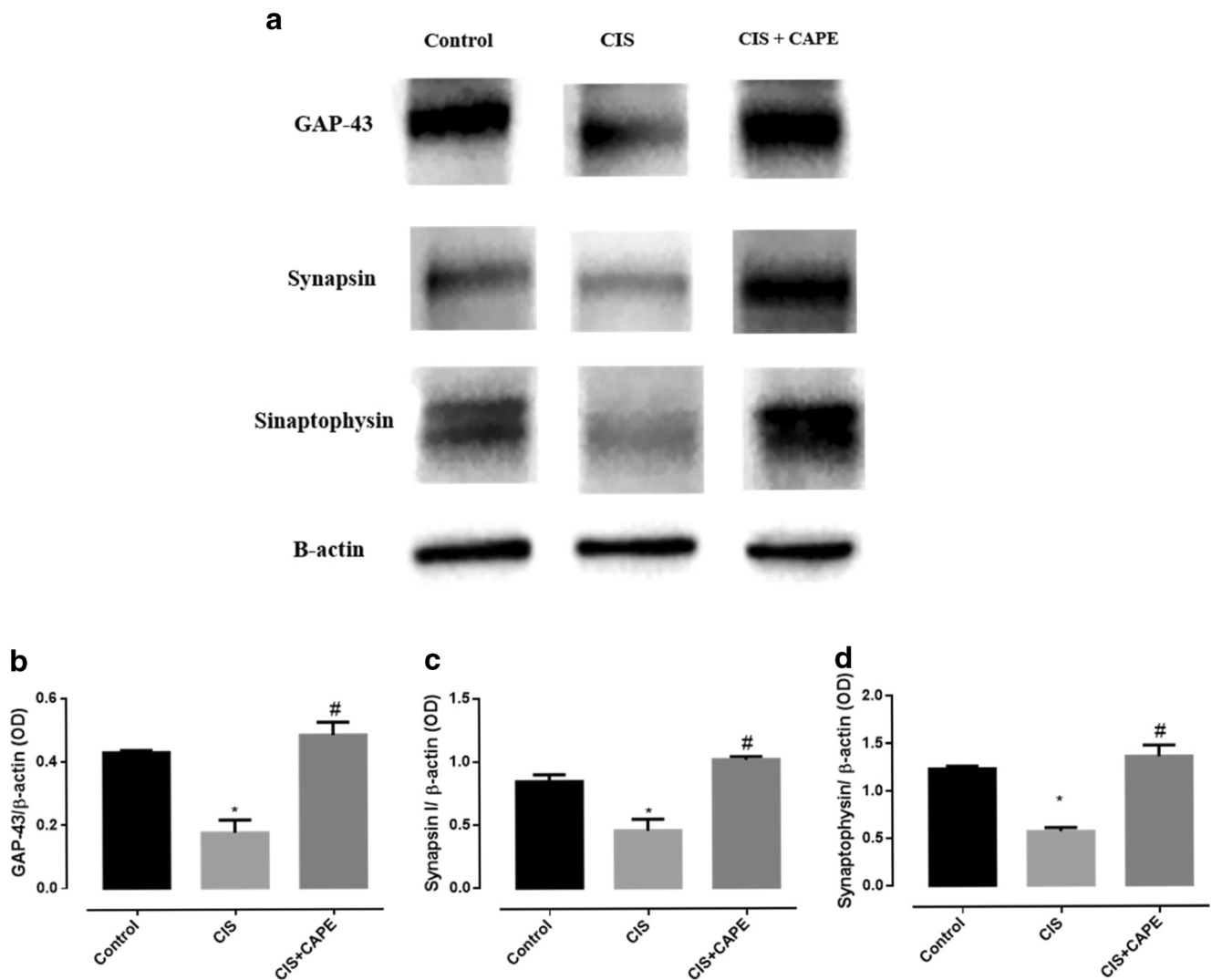


Proteins were transferred to nitrocellulose membranes (1 h, 0.37 A, Tris/glycine buffer). Prior to the immune reaction, the membranes were blocked (30 min, room temperature, 300 rpm) with 5% non-fat milk or 5% BSA in Tween 20/TBS buffer (TTBS). The blocked membranes were incubated with the primary antibodies: anti-GAP-43 (1:1250), anti-synaptophysin (1:400), or anti-synapsin I (1:1000), overnight, at 4  $^{\circ}$ C, 300 rpm. Then, the membranes were washed with TTBS and incubated (1 h, room temperature, 300 rpm) with the secondary antibody conjugated with horseradish peroxidase (anti-mouse or anti-rabbit IgG-HRP. 1:20,000). After this, the membranes were washed with TTBS and TBS and treated with 3 mL of chemiluminescence enhancer detection reagent (1:1). Images were captured by using ChemiDoc system (Bio-Rad Laboratories, Inc., Hercules, CA, USA). Quantitation was performed on the images based on the optical densitometry (OD) of the bands by using the open-source software ImageJ (Rasband, 1997–2014). Finally, the membranes were stripped (2% SDS, 62.5 mM Tris, pH 6.8, and 100 mM mercaptoethanol) and reprobred for loading control (anti- $\beta$ -actin 1:3000). OD values of GAP-43, synapsin I, and

synaptophysin bands were divided by the OD values of  $\beta$ -actin for normalization of the results (L'Episcopo et al. 2011).

### TrkA Receptor Inhibition Assay

PC12 cells were seeded in 24-well plates coated with poly-L-lysine (Sigma-Aldrich®, St. Louis, MO, USA) at a density of  $2.0 \times 10^5$  cells/well and incubated for 24 h, at 37  $^{\circ}$ C for adhesion. Then, the medium was replaced by F-12K Nutrient Mixture Kaighn's Modification (GIBCO®) supplemented with 1% horse serum and 1% antibiotic mixture (penicillin/streptomycin/neomycin, GIBCO®). Cells were then incubated (37  $^{\circ}$ C, 168 h), with one of the following additions: NGF 100 ng/mL, CAPE 10  $\mu$ M, K252a 100 nM + NGF 100 ng/mL, and K252a 100 nM + CAPE 10  $\mu$ M. Untreated cells were used as controls. K252a (Sigma-Aldrich®, St. Louis, MO, USA) is a specific inhibitor of trk (tyrosine kinase) receptors, which selectively blocks the effect of nerve growth factor (NGF) and agonists of trkA in PC12 cells (Tapley et al. 1992). The neurite outgrowth was assessed by inverted-phase-contrast microscopy (Carl Zeiss Axio Observer A1



**Fig. 3** Effect of CAPE on the expression of axonal proteins in PC12 cells treated with CIS. Additions: NGF 100 ng/mL, CIS 5  $\mu$ M, CAPE (10  $\mu$ M). **a** Western blot bands. **b**, **c**, **d** Bar graphs of  $\beta$ -actin-normalized optical densities (OD) of GAP-43, synapsin I, and

synaptophysin. Additions: NGF 100 ng/mL, cisplatin 5  $\mu$ M, and CAPE 10  $\mu$ M. Bars indicate means  $\pm$  SEM of three independent experiments performed in triplicates. \*Significantly different from controls ( $p < 0.05$ ); #significantly different from CIS 5  $\mu$ M ( $p < 0.05$ )

inverted microscope,  $\times 400$  magnification). Phase-contrast photomicrographs of four fields per well were taken after incubation for 24, 48, 72, 96, 120, 144, and 168 h. The percentage of cells with neurites was determined in digitalized images by using the ImageJ open-source software (Rasband, 1997–2014). Only those cells with at least one neurite with a length equal to or greater than the diameter of the cell body were considered differentiated (Das et al. 2004).

### Determination of NGF Levels

PC12 cells ( $2.0 \times 10^5$  cell/well) were seeded in 24-well plates and incubated at 37  $^{\circ}$ C for 24 h prior to additions. Untreated cells were used as controls. Then, cells were incubated at 37  $^{\circ}$ C for 72 h. After treatment, an aliquot of 100  $\mu$ L of culture supernatant was analyzed for the

content of NGF by using enzyme-linked immunosorbent assay kit RAB0381 (Sigma-Aldrich®, St. Louis, MO, USA) as recommended by the manufacturer. The absorbance (450 nm) was determined in a microplate reader (Multiskan FC, Thermo Scientific).

### Determination of Neurofilaments (NF-200) by Immunofluorescence

Immunofluorescence staining of neurofilament-200 was performed according to a previously described procedure (Schimmelpfeng et al. 2004) with minor modifications. PC12 cells were seeded ( $2.0 \times 10^5$  cells/well) in 12-well plates containing one sterilized coverslip coated with poly-L-lysine per well (Sigma-Aldrich®, St. Louis, MO, USA). After a 24-h incubation, cells were treated with one of the following

additions: NGF 100 ng/mL, NGF 100 ng/mL + CIS 5  $\mu$ M, CAPE 10  $\mu$ M, CAPE 10  $\mu$ M + NGF 100 ng/mL, or CAPE 10  $\mu$ M + CIS 5  $\mu$ M + NGF 100 ng/mL, and incubated (37 °C, 5% CO<sub>2</sub>, 72 h). On the day of the experiment, the medium of each well was removed and the cells were fixed in 4% paraformaldehyde (20 min at room temperature). Cells were washed twice with PBS (phosphate-buffered saline) and permeabilized for 10 min at room temperature with PBS containing 0.2% Triton X-100. Subsequently, proteins were blocked for 30 min (room temperature) with a PBS solution containing 1% BSA and 0.1% Tween 20. Then, cells were incubated (4 °C, overnight) with the primary antibody, anti-neurofilament-200 produced in rabbit (Sigma-Aldrich®, St. Louis, MO, USA, 1:80 dilution in PBS containing 3% BSA). The following day, cells were carefully washed twice with PBS followed by the incubation (room temperature, 1 h in the dark) with the fluorophore-conjugated secondary antibody (anti-rabbit IgG-fluorescein isothiocyanate, FITC), produced in sheep (Sigma-Aldrich®, St. Louis, MO, USA, 1:80 dilution in PBS containing 3% BSA). The washing procedure was repeated and the nuclei were stained with 20  $\mu$ M Hoechst 33342 (Sigma-Aldrich®, St. Louis, MO, USA) for 2 min. Finally, coverslips were mounted on slides and observed under fluorescence microscopy using FITC and Hoechst filters. Images were captured with Standard Cell Sense software. Corrected total cell fluorescence (CTCF) was calculated by using the ImageJ open-source software (Rasband, 1997–2014) and the following formula  $CTCF = \text{Integrated density} - (\text{area of selected cell} \times \text{mean fluorescence of background readings})$ .

### Statistical Analysis

Data were expressed as mean  $\pm$  standard error of mean (SEM). Statistical analysis was carried out by using one-way ANOVA (analysis of variance) for multiple comparisons, followed by the Bonferroni post-test (GraphPad Prism Software, version 5.0 for Windows, San Diego, CA, USA). Values of  $p < 0.05$  were considered significant. Experiments were repeated three times using cells from different cultures. The experiment of each day was performed in triplicate.

## Results

### CAPE Protected Against the Cell Death and the Inhibition of Cell Differentiation Induced by Cisplatin

The viability of cells incubated with the IC<sub>50</sub> (32  $\mu$ M) of cisplatin decreased ( $39.11 \pm 1.9\%$ ) approximately 50% as compared to controls ( $86.39 \pm 2.5\%$ ), which is in line with our previous findings (Ferreira et al. 2016b).

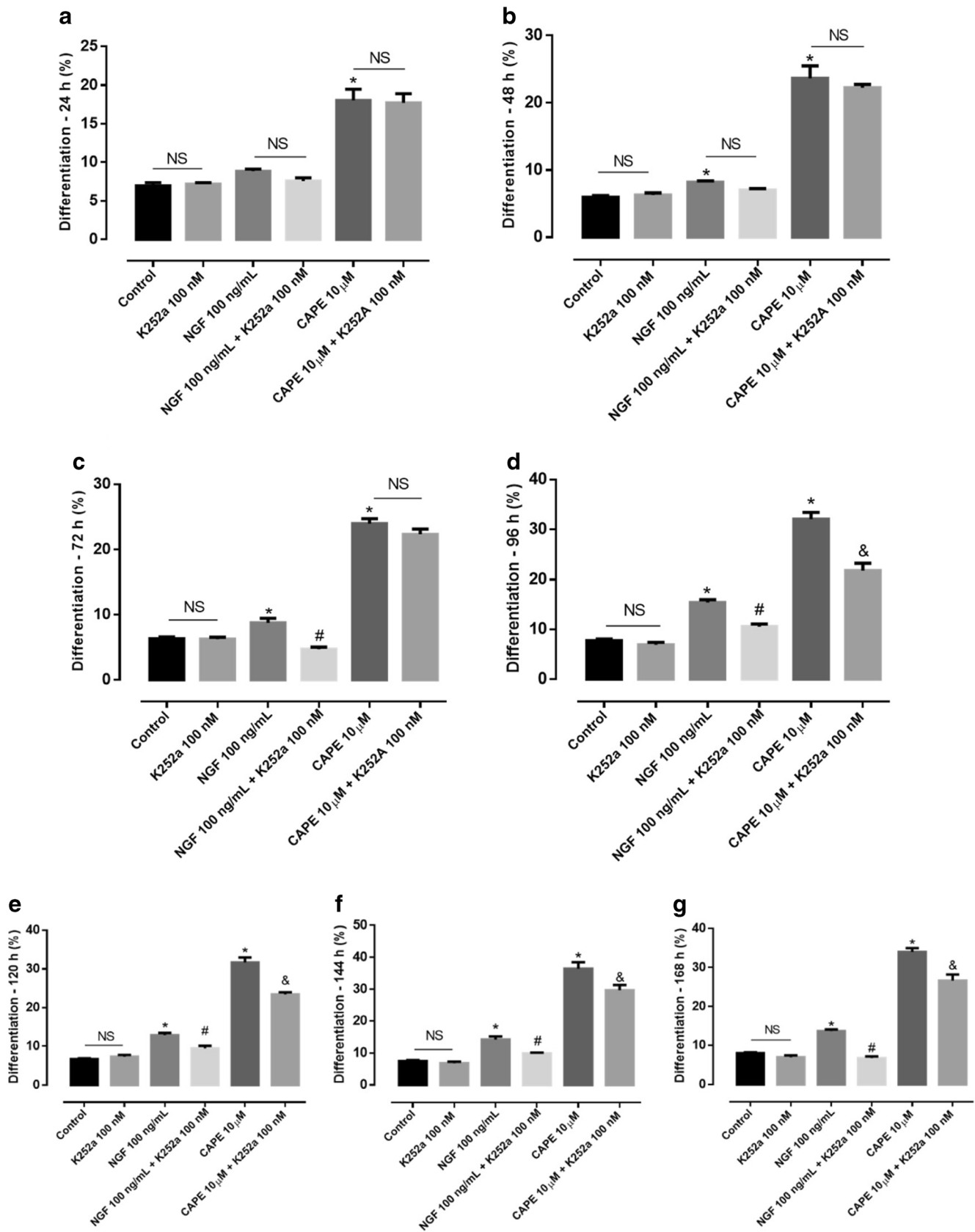
**Fig. 4** Effect of CAPE on the differentiation of PC12 cells treated with K252a (trkA inhibitor). Additions: NGF 100 ng/mL, CAPE (10  $\mu$ M), K252a 100 nM. PC12 cells were incubated with NGF or CAPE in the presence/absence of K252a. **a–g** Bar graphs after different periods of incubation. **a** 24 h. **b** 48 h. **c** 72 h. **d** 96 h. **e** 120 h. **f** 144 h. **g** 168 h. **h** Phase-contrast photomicrographs showing the morphological changes in PC12 cells after 168 h of incubation. Cells with at least one neurite with a length equal to or higher than the cell body were counted and expressed as a percentage of total cells in the field. Results are expressed as mean  $\pm$  SEM of three different experiments performed in triplicates. \*Significantly different from the negative control ( $p < 0.05$ ); #significantly different from NGF 100 ng/mL ( $p < 0.05$ ); &significantly different from CAPE 10  $\mu$ M ( $p < 0.05$ ); NS, not significantly different for  $p < 0.05$

Concentrations of CAPE from 10 to 100  $\mu$ M (10  $\mu$ M,  $50.97 \pm 2.8\%$ ; 25  $\mu$ M,  $51.24 \pm 1.3\%$ ; 50  $\mu$ M,  $64.75 \pm 2.1\%$ ; 100  $\mu$ M,  $88.78 \pm 4.1\%$ ) protected against the cytotoxicity induced by 32  $\mu$ M cisplatin ( $39.11 \pm 1.9\%$ ). Concentrations of 1 and 5  $\mu$ M CAPE had no beneficial effects (Fig. 1).

Five different concentrations of CAPE (1, 5, 10, 25, and 50  $\mu$ M) were evaluated for their potential to minimize the inhibition of cellular differentiation induced by 5  $\mu$ M cisplatin, which according to our previous findings is the lowest concentration of cisplatin that impairs neurite outgrowth without causing cell death (Ferreira et al. 2016b). All the tested concentrations of CAPE protected against the inhibition of cellular differentiation induced by CIS (5  $\mu$ M); however, the lowest concentration of CAPE with the highest effectiveness was 10  $\mu$ M (Fig. 2a). The percentage of differentiation was significantly higher ( $10.85 \pm 0.31\%$ ) in cells treated with CIS (5  $\mu$ M) and CAPE (10  $\mu$ M) as compared to cells treated with CIS (5  $\mu$ M) alone ( $6.12 \pm 0.29\%$ ). Therefore, as CAPE (10  $\mu$ M) protected against the cell death induced by the IC<sub>50</sub> of CIS (Fig. 1) and against the inhibition of cellular differentiation induced by CIS (5  $\mu$ M), this concentration of CAPE (10  $\mu$ M) was selected to investigate the mechanisms of neuroprotection. The bar graph showing the effects of all concentrations of CAPE is presented in Fig. 2a and the phase-contrast photomicrographs of the selected concentration are presented in Fig. 2b.

### CAPE (10 $\mu$ M) Minimized the Inhibitory Effect of CIS (5 $\mu$ M) on the Expression of GAP-43, Synapsin I, and Synaptophysin

The expression of GAP-43 ( $0.48 \pm 0.07$ ), synapsin I ( $1.01 \pm 0.04$ ), and synaptophysin ( $1.36 \pm 0.17$ ) was significantly increased in the group CIS (5  $\mu$ M) + CAPE (10  $\mu$ M) as compared to the group exposed to CIS (5  $\mu$ M) alone ( $0.17 \pm 0.07$ ,  $0.45 \pm 0.16$ , and  $0.57 \pm 0.05$ , respectively). The protein bands are presented in Fig. 3a, and bar graphs of each protein are presented in Fig. 3b–d.



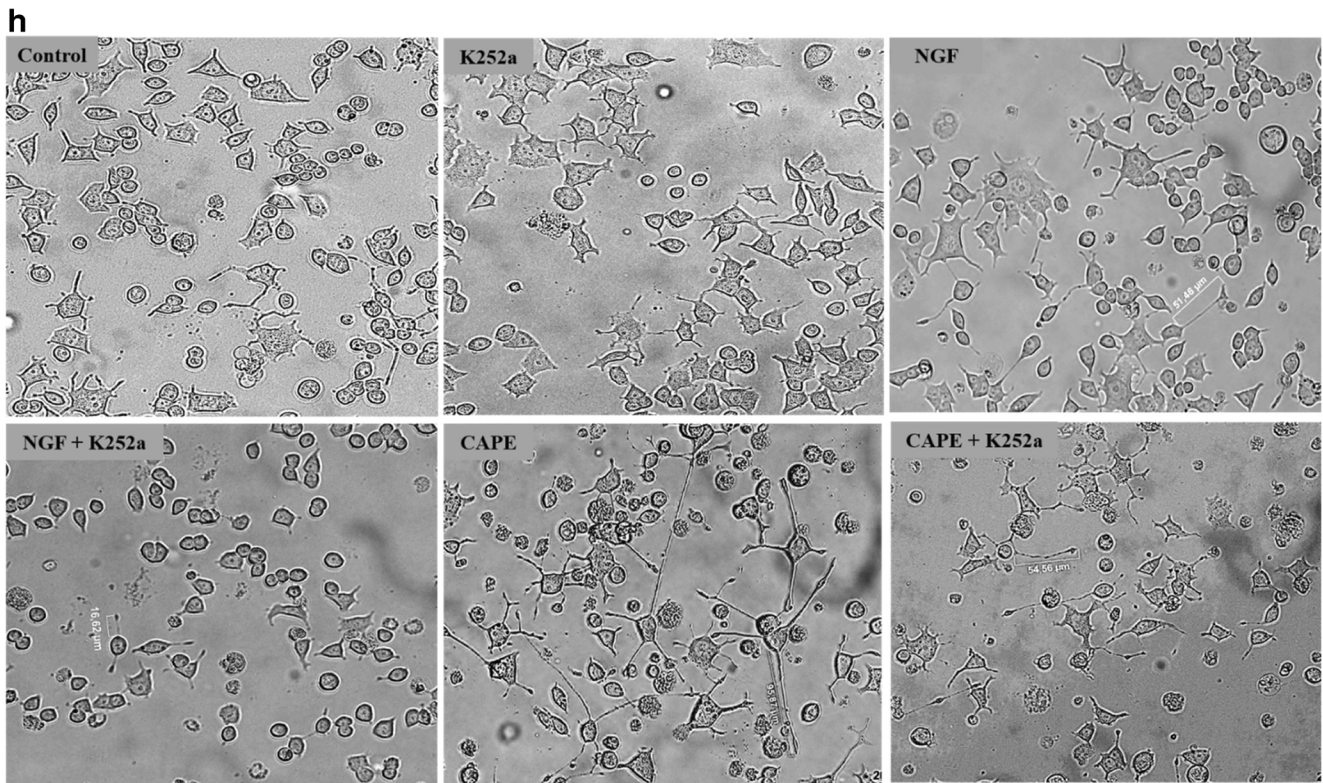


Fig. 4 (continued)

### The Cellular Differentiation Induced by CAPE in PC12 Cells Was Inhibited by K-252a; CAPE Did Not Induce Differentiation in SH-SY5Y Cells

The percentage of differentiated cells was significantly higher in the group treated with NGF (100 ng/mL) as well as in the group exposed to CAPE (10  $\mu$ M) as compared to controls, during the whole period of incubation (24 to 168 h). The inhibitory effect of K252a was observed from 72 h of incubation in group K252a (100 nM) + NGF (100 ng/mL) and from 96 h of incubation in group K252a (100 nM) + CAPE (10  $\mu$ M) in relation to groups NGF or CAPE, respectively. There was no significant difference in the number of PC12 cells with neurites when comparing group K252a (100 nM) and controls of all periods of incubation (Fig. 4a–h).

The percentage of differentiation in SH-SY5Y cells (which do not express trkA receptors) in the group treated with 10  $\mu$ M retinoic acid (RA, 44.99  $\pm$  1.75%) was significantly higher than that in controls (5.21  $\pm$  0.85%). CAPE (10  $\mu$ M) did not increase the differentiation (5.64  $\pm$  0.48%) in SH-SY5Y cells as compared to controls (5.21  $\pm$  0.85%). In the group CAPE (10  $\mu$ M) + RA (10  $\mu$ M), the percentage of differentiated cells was reduced (37.62  $\pm$  1.52%) as compared to the group treated only with RA (44.99  $\pm$  1.75%). The bar graph is presented in Fig. 5a, and the phase-contrast photomicrographs are presented in Fig. 5b.

Both findings (Figs. 4 and 5) indicate the involvement of the NGF-selective receptors (trkA) in the mechanism by which CAPE induces neuritogenesis.

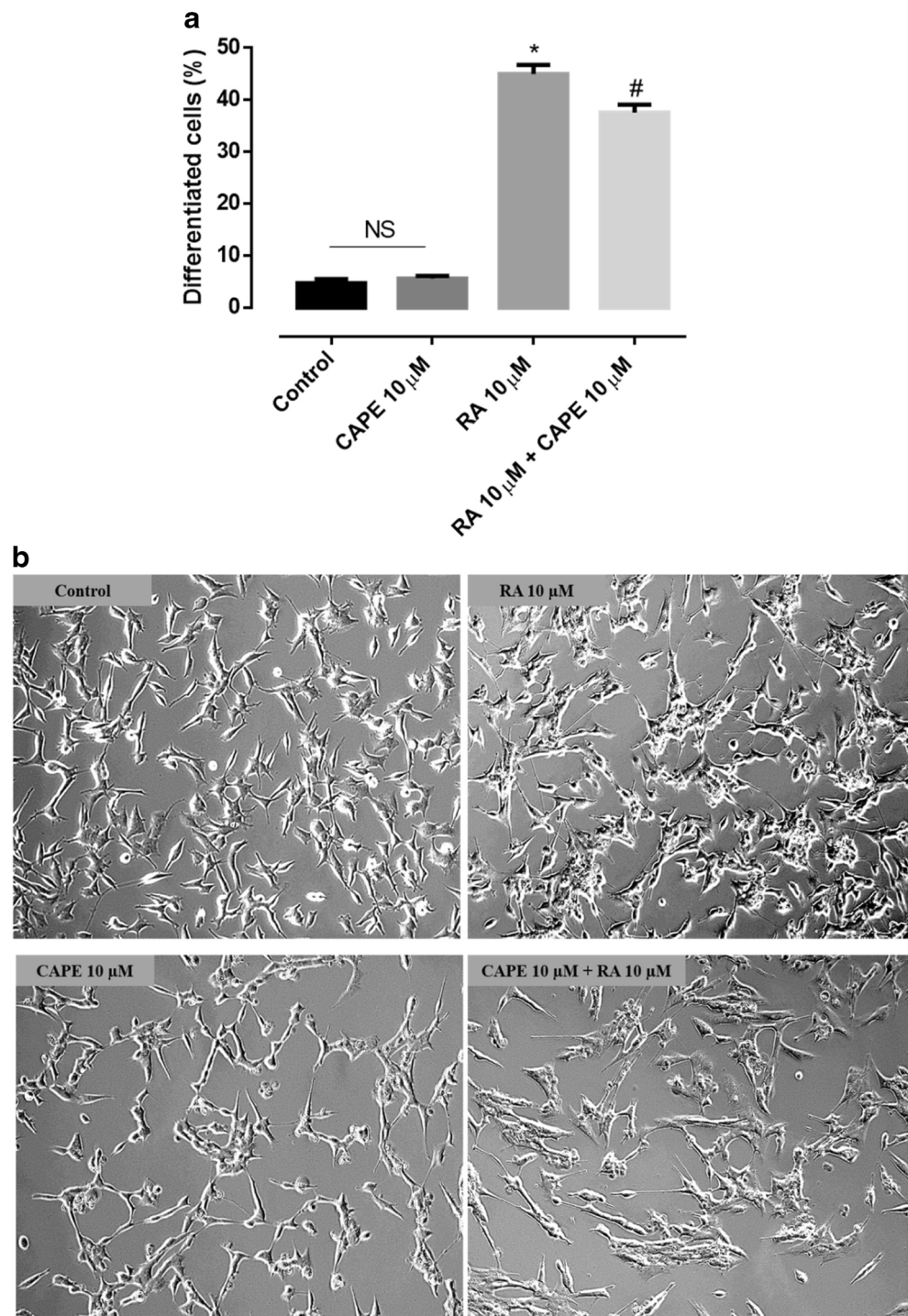
### CAPE Did Not Increase the Levels of NGF in PC12 Cells; However, It Increased the Expression of Axonal Proteins and Induced Differentiation in PC12 Cells Even in the Absence of NGF

There was no significant difference in the levels of NFG between the groups CAPE (97.53  $\pm$  3.26%) and control (97.35  $\pm$  1.23%) (Fig. 6). Additionally, no significant difference was observed on the expression of GAP-43 (1.71  $\pm$  0.10), synapsin I (1.27  $\pm$  0.02), and synaptophysin (1.22  $\pm$  0.10) between the groups CAPE and CAPE + NGF (1.59  $\pm$  0.04, 1.27  $\pm$  0.05, and 1.37  $\pm$  0.05, respectively), showing that the effects of both are not additive (Fig. 7a–d).

CAPE induced differentiation in PC12 cells either in the absence (13.21  $\pm$  0.293) or in the presence of NGF (14.02  $\pm$  0.525) as compared to controls (3.09  $\pm$  0.091). No significant difference was observed between the effects of CAPE in the presence or in the absence of NGF (Fig. 8a, b). Altogether, the findings presented in Figs. 6, 7, and 8 suggest that the effect of CAPE on the differentiation of PC12 cells is not dependent on NGF nor is it additive to NGF effect.



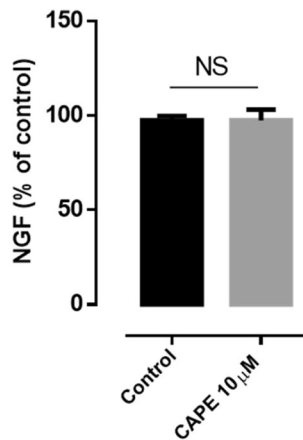
**Fig. 5** Effect of CAPE on the differentiation of SH-SY5Y cells. Additions: RA (10  $\mu$ M), CAPE (10  $\mu$ M). **a** Bar graph. **b** Photomicrographs of the four groups after 72 h of incubation. Results are expressed as mean  $\pm$  SEM of three different experiments performed in triplicates. \*Significantly different from the control ( $p < 0.05$ ); #significantly different from RA ( $p < 0.05$ ). RA, retinoic acid



### CAPE (10 $\mu$ M) Minimized the Inhibitory Effect of CIS (5 $\mu$ M) on Neurofilaments (NF-200)

The staining of neurofilament NF-200 in the groups NGF ( $4.60 \times 10^6 \pm 2.05 \times 10^5$ ), CAPE ( $6.11 \times 10^6 \pm 2.25 \times 10^5$ ), and CAPE + NGF was significantly higher than that in controls ( $3.02 \times 10^6 \pm 1.56 \times 10^5$ ). No significant difference was observed between the groups CAPE + NGF ( $6.13 \times 10^6 \pm$

$1.64 \times 10^5$ ) and CAPE alone ( $6.11 \times 10^6 \pm 2.25 \times 10^5$ ). In the group CIS + NGF ( $3.56 \times 10^6 \pm 1.95 \times 10^5$ ), the staining of NF-200 was significantly lower than that in the group NGF ( $4.60 \times 10^6 \pm 2.05 \times 10^5$ ). The staining of NF-200 was significantly higher in the group CIS + NGF + CAPE ( $4.43 \times 10^6 \pm 1.56 \times 10^5$ ) than that in the group CIS + NGF ( $3.56 \times 10^6 \pm 1.95 \times 10^5$ ) (Fig. 9a). Neurofilaments are stained in green and nuclei are stained in blue (Fig. 9b).



**Fig. 6** Effect of CAPE (10 µM) on the levels of NGF in PC12 cells. No significant difference was observed between the effects of CAPE and control. Data are expressed as mean ± SEM of three experiments performed in triplicates. NS, not significantly different from control for  $p < 0.05$

## Discussion

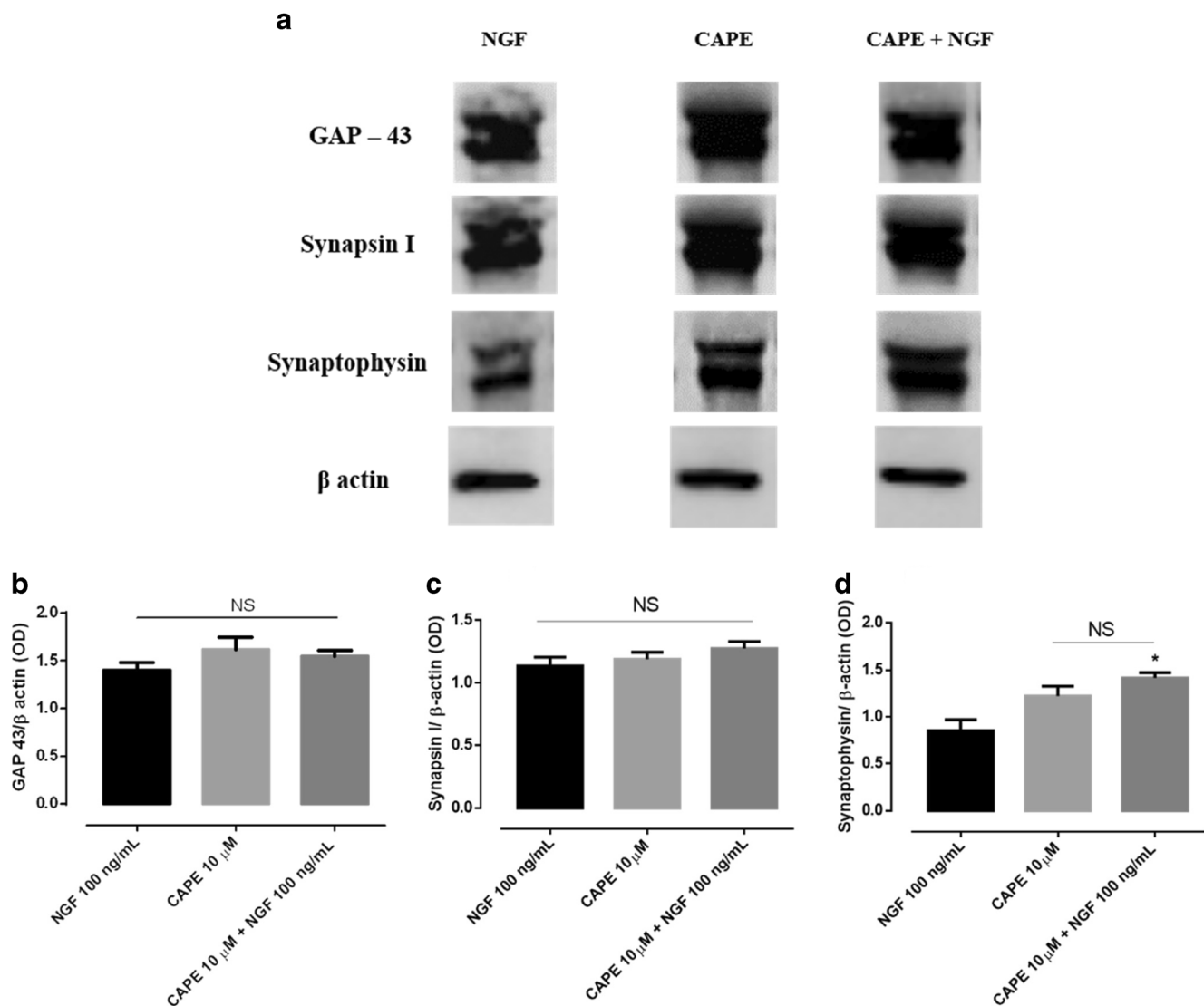
Cisplatin-induced neurotoxicity affects axons and cell bodies of sensory neurons in the peripheral nervous system. Neurite retraction is one of the most important events in the early stages of neuronal apoptosis (Sadri et al. 2010). We have recently demonstrated the early effects of cisplatin on the neurogenesis of PC12 cells (Ferreira et al. 2016a), a neuronal model largely employed to evaluate neurite outgrowth and cell differentiation. In that study, we used a low concentration (5 µM) of cisplatin, which we demonstrated did not affect cell viability. We have now used the same model to assess the neuroprotective effect of CAPE against the inhibitory effects of cisplatin on neurite outgrowth and neuroplasticity-related proteins. Studies have suggested that CAPE protects against different toxicities of cisplatin in rats mainly due to its antioxidant potential (Ozen et al. 2004; Kizilay et al. 2004). Accordingly, the neuroprotective potential of CAPE has also been demonstrated in experimental models of spinal cord injury induced by (i) ischemia-reperfusion (Ilhan et al. 1999), by (ii) methotrexate (Uzar et al. 2006), or yet, (iii) in a rat model of immune encephalomyelitis induced by myelin basic protein (MBP) from guinea pigs (Ilhan et al. 2004). In these models, the damage is associated with oxidative stress. Altogether, these studies provide a body of evidence of the involvement of antioxidant mechanisms in the neuroprotective action of CAPE; however, there is little information on the involvement of neurotrophic mechanisms in the neuroprotective effect of CAPE. We have previously demonstrated that the neurotrophic activity of CAPE is an important mechanism of neuroprotection in both in vivo and in vitro models exposed to dopaminergic neurotoxins associated with Parkinsonism (dos Santos et al. 2014; Barros Silva et al. 2013). In the present study, we have evaluated the protective effect of CAPE on

neurite outgrowth and on the expression of plasticity-related proteins (GAP-43, synapsin I, and synaptophysin) in PC12 cells exposed to 5 µM cisplatin. Additionally, we investigated the involvement of both NGF itself and NGF-high-affinity receptor (trkA) in the neurotrophic mechanism of CAPE. To investigate the involvement of other neurotrophin receptors besides trkA, we evaluated the effects of CAPE on the differentiation of another neuronal model (SH-SY5Y cells) with a different phenotype for trk receptors (trkB).

In order to select an effective concentration of CAPE, we evaluated the effect of several concentrations of CAPE (1–50 µM) on the differentiation and the viability of PC12 cells exposed to cisplatin. The concentration of cisplatin used in the differentiation assays was 5 µM, which is the lowest concentration of cisplatin that significantly impairs neurite outgrowth without affecting cell viability (previously determined in Ferreira et al. 2016a). In the viability assays, we used 32 µM cisplatin (IC<sub>50</sub>, previously determined in Ferreira et al. 2016a). Altogether, the results show that the most effective concentration of CAPE in this model of neurotoxicity is 10 µM, because it is the lowest concentration that (i) protects against the cell death induced by cisplatin (IC<sub>50</sub>) and (ii) induces the highest level of cell differentiation. In our previous study, the same concentration of CAPE protected against the inhibition of neurogenesis induced by the dopaminergic neurotoxin MPP<sup>+</sup> iodide (1-methyl-4-phenylpyridinium iodide), the active metabolite of MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine), known to induce Parkinsonism in vivo (dos Santos et al. 2014).

We had previously demonstrated that 5 µM CIS reduces the expression of neuronal proteins associated with axonal growth (GAP-43) and synaptogenesis (synapsin I and synaptophysin), which might be related to the axonal damage found in the peripheral neuropathy induced by cisplatin. To investigate if CAPE interferes in this pathway, we evaluated its effects on the same proteins in PC12 cells exposed to 5 µM cisplatin. GAP-43 is a major constituent of the growth cone, which is responsible for guiding the growth of axons and modulating the formation of new connections (Benowitz and Routtenberg 1997). Synaptophysin and synapsin I regulate the synaptic vesicle fusion and neurotransmitter release (Das et al. 2004; Theil 1993). CAPE (10 µM) minimized the downregulation of these neuronal proteins induced by cisplatin. This result is in line with our previous finding that CAPE alone induces neurogenesis and increases the expression of neuron-typical proteins in PC12 cells (dos Santos et al. 2014).

To better understand the neurotrophic mechanism of CAPE, we investigated the involvement of NGF/trkA pathway by using K252a, an antagonist of the NGF-high-affinity receptor (trkA) (Phan et al. 2014). PC12 cells express NGF-high-affinity receptors (trkA) and respond to NGF stimulation by stopping division, extending neurites, and differentiating (Calabrese 2008; Huang and Reichardt 2001; Vaudry et al.



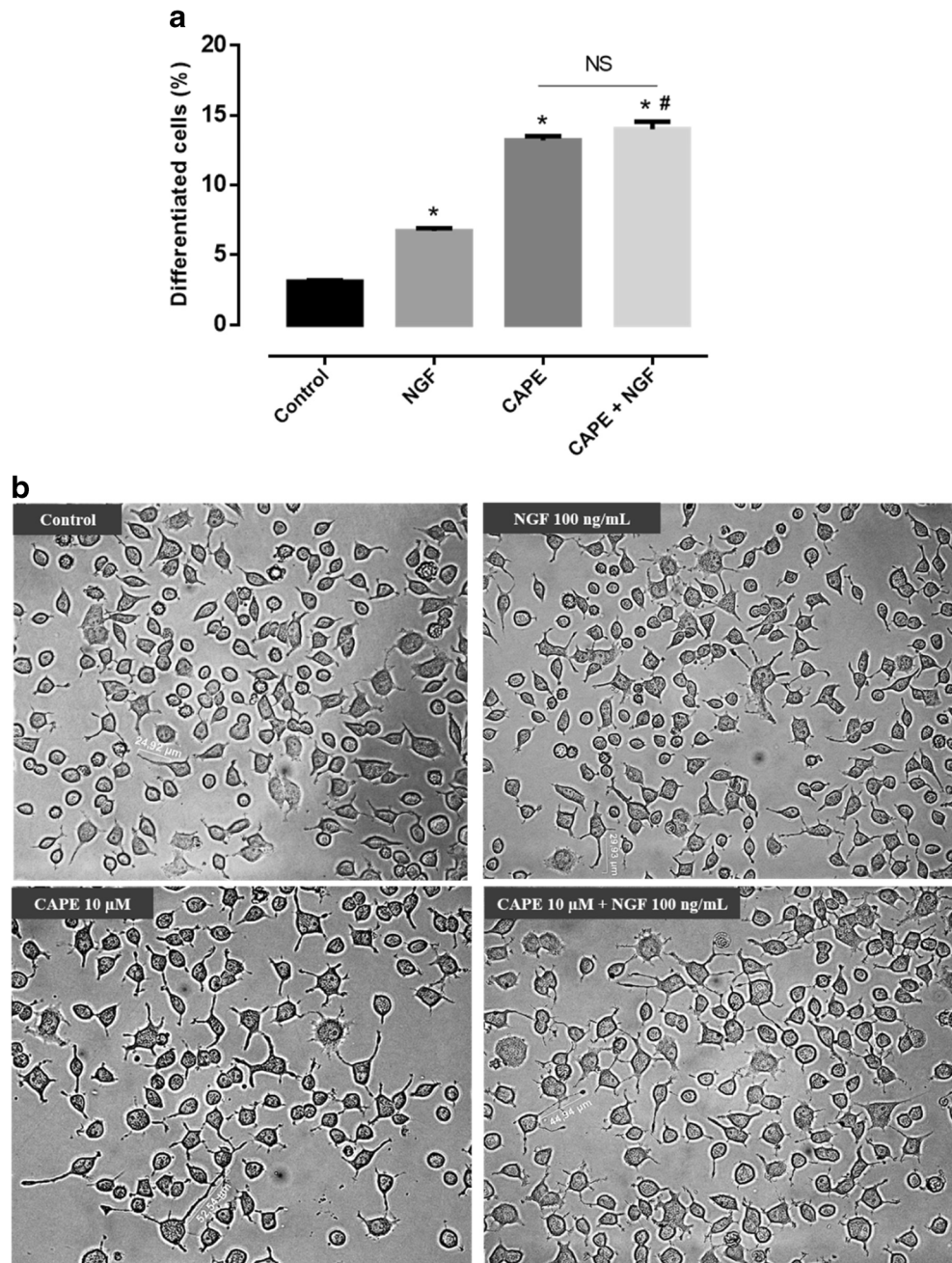
**Fig. 7** Effect of the association of CAPE and NGF on the expression of axonal proteins in PC12 cells. **a** Western blot bands and bar graphs of the normalized optical densities (OD) of **b** GAP-43, **c** synapsin I, and **d**

synaptophysin. Bars indicate means  $\pm$  SEM of three independent experiments performed in triplicates. \*Significantly different from NGF 100 ng/mL

2002). K252a reduced the effect of NGF after 72 h of incubation and the effect of CAPE after 96 h of incubation, which suggests different affinities with the receptor trkA. It also suggests the participation of trkA-dependent signaling pathway in the neurotogenic effect of CAPE. However, this finding does not exclude the participation of other neurotrophin receptors. To investigate this possibility, we used SH-SY5Y cells, a neuronal cell line that does not express trkA receptors (Edsjo et al. 2001); instead, these cells respond to retinoic acid treatment by expressing trkB/trkC receptors, of which the high-affinity ligands are the neurotrophins BDNF, NT-3, and NT-4/5 (Kaplan et al. 1993). According to our findings, CAPE did not induce differentiation in SH-SY5Y cells; on the contrary, CAPE reduced the neuritogenesis induced by retinoic acid. It suggests that CAPE inhibits the activity of retinoic acid on

trkB. One possibility is that CAPE occupies an inactive site of trkB or even the active site without activating it and preventing the binding of RA on the active site. Accordingly, a study showed that propolis, which contains CAPE, decreases GAP-43 and inhibits neurite outgrowth of RA-stimulated SH-SY5Y cells (Kim and Yoo 2016). These two findings support our hypothesis that CAPE might inhibit trkB in SH-SY5Y cells, preventing the differentiation induced by retinoic acid. On the other hand, another study reported that CAPE protects against the death of dopaminergic neurons in lipopolysaccharide (LPS) and 6-hydroxydopamine (6-OHDA) models of Parkinson's disease by increasing the expression of BDNF (Kurauchi et al. 2012), the trkB receptor ligand. That study, however, does not access the induction of neurite outgrowth or neuritogenesis/synaptogenesis

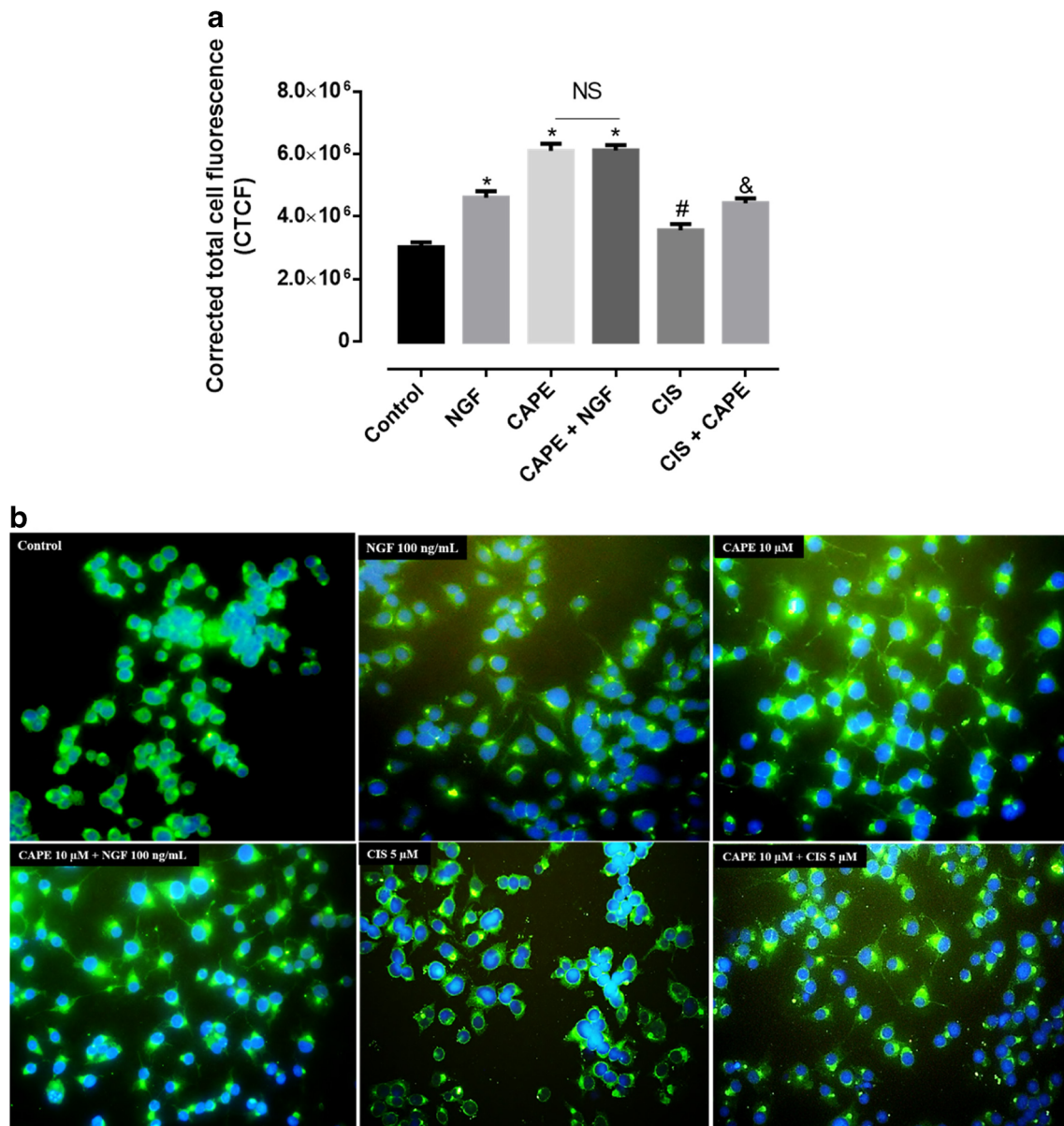
**Fig. 8** Effect of CAPE on the differentiation of PC12 cells in the presence and in the absence of NGF. **a** Bar graph showing the means  $\pm$  SEM of three different experiments performed in triplicates. Additions: NGF 100 ng/mL and CAPE 10  $\mu$ M. **b** Phase-contrast photomicrographs of the four groups of treatment. Cells with at least one neurite with a length equal to or higher than the cell body were considered differentiated and expressed as a percentage of the total cells in the field. \*Significantly different from the control group ( $p < 0.05$ ); #significantly different from the NGF 100 ng/mL group ( $p < 0.05$ )



modulators; therefore, the neuroprotective effect of CAPE observed in those models might not be specifically related to the neurotrophic action of BDNF on *trkB* receptors. Instead, it might be elicited by the action of BDNF on  $p75^{\text{NTR}}$  receptors, which are known to elicit cell death but also cell survival. Binding of neurotrophins to  $p75^{\text{NTR}}$  receptor promote neuronal survival through the nuclear factor- $\kappa$ B (NF- $\kappa$ B) pathway (Longo and Massa 2013; Dechant and Barde 2002).

Besides the involvement of NGF-high-affinity receptors (*trkA*), we also investigated the role of NGF itself in the protective mechanism of CAPE. The association of CAPE and

NGF did not induce a significant increase in the number of differentiated cells nor increase the expression of neuronal proteins as compared to the effect of CAPE alone. These results show that the neurotogenic effect of CAPE is not additive to the effect of NGF, which suggests a common pathway. Results also show that there is no need to add NGF to observe the neurotogenic action of CAPE. This finding alone suggests that CAPE activates *trkA* pathway but does not reveal if CAPE itself activates *trkA* or if it increases the expression of NGF, which in turn, activates *trkA*. To answer this question, we determined the levels of NGF by enzyme-linked



**Fig. 9** Effect of CAPE on neurofilament NF-200 of PC12 cells in the presence/absence of NGF and cisplatin. Additions: NGF 100 ng/mL, CIS 5 μM, CAPE (10 μM). **a** Quantification of the fluorescence of immunostained cells by using ImageJ. **b** Fluorescence photomicrographs showing neurofilaments stained with anti-neurofilament 200 kD labeled with FITC and nuclei stained with Hoechst 33342 (×40). Additions: NGF 100 ng/

mL, CAPE 10 μM, and CIS 5 μM. In the bar graph, data are presented as mean ± SEM of three different experiments performed in triplicates. \*Significantly different from the control group ( $p < 0.05$ ); #significantly different from NGF ( $p < 0.05$ ); &significantly different from CIS + NGF ( $p < 0.05$ )

immunosorbent assay (ELISA). No significant difference was observed in cells treated with CAPE as compared to controls. Altogether, the findings indicate that the neuroprotective mechanism of CAPE involves induction of neuroplasticity by the activation of the trkA-dependent signaling pathway, regardless of the absence of NGF.

Finally, we confirmed the neuronal differentiation induced by CAPE by analyzing the density of 200-kD neurofilaments (NF-200), a useful immunocytochemical marker of axons (Wu et al. 1998). Neurofilaments are the major components

of the cytoskeleton of neuronal cells and they provide specific support for developing neurites (Murphy et al. 1993; Schimmelpfeng et al. 2004). There is a direct relationship between neurite outgrowth and neurofilament density, i.e., neurofilament proteins increase with differentiation of neuronal cell lines (Flaskos et al. 1999). In our study, cisplatin decreased the density of neurofilaments and CAPE minimized this effect. Additionally, CAPE increased the density of neurofilaments in PC12 cells regardless of the absence of NGF.

## Conclusion

CAPE attenuates the inhibitory effects of cisplatin on markers of neurite outgrowth and synaptogenesis, such as GAP-43, synapsin I, synaptophysin, and 200-kD neurofilament. The same concentration of CAPE increases the viability of PC12 cells exposed to the IC<sub>50</sub> of cisplatin. The neuroprotective mechanism of CAPE is not dependent on NGF and does not potentiate the effect of NGF, but might involve the activation of the NGF-high-affinity receptors, trkA. The participation of other neurotrophin receptors such as trkB (BDNF/NT-4-selective) and trkC (NT-3-selective) is unlikely. This is the first study to show the participation of neuroplasticity in the neuroprotective effect of CAPE against the neurotoxicity of cisplatin. The beneficial effect of CAPE on cisplatin-induced peripheral neuropathy should be further investigated.

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## Compliance with Ethical Standards

**Conflict of Interest** The authors declare that they have no conflict of interest.

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