# **Hydrometallurgical recycling of valuable metals from spent lithium-ion batteries by reductive leaching with stannous chloride**

*Liu-ye Sun*, *Bo-rui Liu*, *Tong Wu*, *Guan-ge Wang*, *Qing Huang*, *Yue-feng Su*, *and Feng Wu*

School of Materials Science and Engineering, Beijing Institute Technology. Beijing 100081, China (Received: 10 March 2020; revised: 16 May 2020; accepted: 8 June 2020)

**Abstract:** The reductant is a critical factor in the hydrometallurgical recycling of valuable metals from spent lithium-ion batteries (LIBs). There is limited information regarding the use of SnCl<sub>2</sub> as a reductant with organic acid (maleic acid) for recovering valuable metals from spent Li-CoO<sub>2</sub> material. In this study, the leaching efficiencies of Li and Co with 1 mol·L<sup>−1</sup> of maleic acid and 0.3 mol·L<sup>−1</sup> of SnCl<sub>2</sub> were found to be 98.67% and 97.5%, respectively, at 60°C and a reaction time of 40 min. We investigated the kinetics and thermodynamics of the leaching process in this study to better understand the mechanism of the leaching process. Based on a comparison with H<sub>2</sub>O<sub>2</sub> with respect to leaching efficiency, the optimal leaching parameters, and the activation energy, we determined that it is feasible to replace  $H_2O_2$  with  $SnCl_2$  as a leaching reductant in the leaching process. In addition, when SnCl<sub>2</sub> is used in the acid-leaching process, Sn residue in the leachate may have a positive effect on the re-synthesis of nickel-rich cathode materials. Therefore, the results of this study provide a potential direction for the selection of reductants in the hydrometallurgical recovery of valuable metals from spent LIBs.

**Keywords:** spent lithium-ion batteries; recovery; maleic acid; reductant; stannous chloride

# **1. Introduction**

In response to the increasingly serious environmental problems around the world, researchers are beginning to explore new and clean energy options. Lithium-ion batteries (LIBs) have developed rapidly as a clean energy option. In recent years, LIBs have been widely used in electric vehicles and portable electronic equipment because of their low weight, high voltages, long service life, wide operational temperature range, and safe performance  $[1-2]$ . With its rapidly developing economy, LIBs in China are in high demand and their consumption has increased dramatically. It is estimated that the weight of these depleted batteries in China will exceed 200000 tons by 2020 [3–5]. Spent LIBs contain higher contents of heavy metals than those in natural minerals, lithium salts, or organic electrolytes, and can produce harmful gases in reaction with air [6−9]. Therefore, spent LIBs that are not properly disposed of not only waste resources, but lead to environmental pollution such as soil and underground water contamination.

At present, with public interest focused on environmental protection and the development of recovery technologies, more attention is being paid to recycling spent LIBs. Three types of recycling technologies are currently used to recycle spent LIBs: hydrometallurgical, pyro-metallurgical, and biometallurgical technologies [10–12]. Of these three processes, the hydrometallurgical process has the advantages of high efficiency, low energy consumption, and simple operation, which makes it the preferred process for recycling [13–15]. This process includes the following main steps: pretreatment, acid-leaching, and reclamation  $[15]$ . The most critical step is acid-leaching, which affects the efficiency of metal recovery from spent LIBs. In the past, inorganic acids like HCl  $[16–17]$ , H<sub>2</sub>SO<sub>4</sub> [18–20], and H<sub>3</sub>PO<sub>4</sub> [21] were investigated as leaching agents because of their low cost and high leaching efficiency. In recent years, organic acids from green sources that generate little secondary pollution and have roughly the same leaching efficiency as inorganic acids have attracted a lot of attention. Many studies have been conducted on the leaching of spent-LIB materials by mild organic acids such as L-tartaric acid [22], citric acid [12], formic acids [23], acetic acid [24], lactic acid [25], and succinic acid [26]. Reducing agents are also needed to increase the leaching efficiency because the Co in spent LIBs (e.g.,  $LiCoO<sub>2</sub>$  and LiNi<sub>x</sub>Co<sub>x</sub>Mn<sub>x</sub>O<sub>2</sub>) is mostly in the form of  $Co<sup>3+</sup>$ , which is not easily dissolved. Reducing agents can reduce  $\text{Co}^{3+}$  to the

 $\hat{\mathscr{D}}$  Springer

Corresponding author: Qing Huang E-mail: huangqing3121@sina.com © University of Science and Technology Beijing 2021

more easily dissolved  $Co^{2+}$  to improve leaching efficiency. The most common reducing agent is  $H_2O_2$  because it introduces no impurities to the system  $[24]$ . However,  $H_2O_2$  has a few drawbacks as a reducing agent, such as being easily decomposed, which leads to some issues in leaching, storage, and transport processes, as well as its low leaching efficiency because of itself use. As such, it is vital that an efficient and stable substitute for  $H_2O_2$  be found. A number of studies have been conducted to explore alternative reductants, including  $Na<sub>2</sub>S<sub>2</sub>O<sub>5</sub>$  [27], NaHSO<sub>3</sub> [28], NH<sub>4</sub>Cl [29], glucose [30–32], and grape seed [33]. Other chemicals also have potential for use as a reducing agent in the recovery of valuable metals from spent LIBs. Furthermore, the resulting leachate can be used to resynthesize LIB cathode material [34–35]. Based on the safety and positive effects of Sn on LIB cathode materials, we used SnCl<sub>2</sub> as a reductant for leaching cathode materials from spent LIBs. In this work, we explore the effects of various parameters of the reductant  $SnCl<sub>2</sub>$  on the leaching efficiency, as well as the kinetics and thermodynamics of the leaching process. We also compare the leaching parameters when  $SnCl<sub>2</sub>$  and  $H<sub>2</sub>O<sub>2</sub>$  are used as reducing agents.

### **2. Experimental**

# **2.1. Materials**

The spent LIBs used in this study were obtained from the Zhongguancun Electronic Market (Beijing, China). NaCl solution was used to discharge the residual power of the spent LIBs, and cathode materials were separated from Al foil by NaOH solution. Maleic acid was used as the leaching agent, and  $H_2O_2$  and SnCl<sub>2</sub> were used as reductants. All the reagents used in the experiment were of analytical grade, and distilled water was used in the solutions.

#### **2.2. Pretreatment**

As shown in the flow chart in  $Fig. 1$ , spent LIBs were first fully discharged in NaCl solution to ensure safety during the subsequent experiments. Next, we manually removed the cases of the spent LIBs. We cut the cathode foil into pieces 1  $cm \times 1$  cm in size. To separate the spent-LIB cathode materials from the Al foil, we soaked the cathode pieces in NaOH solution for 12 h, followed by drying at 60°C for approximately 24 h, and then calcination in a muffle furnace at 840°C for 5 h. The material was then cooled to room temperature to remove the acetylene black and poly vinylidene fluoride (PVDF). Finally, to improve leaching efficiency by increasing the surface area, we ground the calcined material into powder with a mortar and pestle for approximately 1 h.

#### **2.3. Leaching process**

All the leaching experiments were conducted in a 150-mL three-neck flask, in which active cathode material powder was mixed with a solution of maleic acid and reducing agent  $(H<sub>2</sub>O<sub>2</sub>$  or SnCl<sub>2</sub>), which was then heated in a water bath. The mixture was stirred at  $270$  r·min<sup>-1</sup> by a mechanical stirrer to accelerate the reaction. Before the leaching experiments, we conducted an orthogonal experiment to estimate the approximate horizontal range of each parameter. And then following acid-leaching parameters were investigated: (a) concentrations of SnCl<sub>2</sub> (0.12–0.36 mol·L<sup>-1</sup>), concentration of maleic acid (0.5–2.5 mol·L−1), solid/liquid (S/L) ratio (10−50  $g \text{·}L^{-1}$ ), temperature (40−80°C), and reaction time (20−60 min); (b) concentration of  $H_2O_2$  (0.5–2.5vol%), concentration of maleic acid (0.5–2.5 mol·L<sup>-1</sup>), S/L ratio (5–40 g·L<sup>-1</sup>), temperature (50−90°C), and reaction time (30−70 min). After leaching, we separated the leach solution and residue and



**Fig. 1. Flow chart of metal recovery process from spent LIBs.**

washed the leach residue with distilled water for analysis. To identify the acid-leaching mechanism, we studied the thermodynamics and kinetics of the leaching process. In addition, the residual Sn in the leachate can be extracted by 0.1 mol·L−1 of Cyphos IL 104 to separate Sn from other valuable metals in the cathode materials [36].

To study the thermal behavior of the spent-LIB cathode materials after NaOH treatment, we employed thermo-gravimetric analysis and differential scanning calorimetry (TG/DSC). The measurements were performed in air atmosphere at a heating rate of 10°C·min<sup>-1</sup>. X-ray diffraction (XRD) was used to characterize the crystal structures of the spent-LIB cathode materials and leaching residues. Scanning electron microscopy (SEM) was used to investigate the surface appearance of the materials. We also used inductively coupled plasma–optical emission spectrometry (ICP–OES) to quantitatively analyze the element contents of the active cathodic material powder dissolved by digestion and the leachate. The leaching efficiency of the metals was calculated using Eq. (1):

$$
X = \frac{C_e}{C_0} \tag{1}
$$

where  $X$  is the leaching efficiency  $(\%)$ ,  $C_e$  is the concentration of metals in the leachate (mg·L<sup>-1</sup>), and  $C_0$  is the concentration of metals in the active cathode material (mg·L<sup>-1</sup>).

# **3. Results and discussion**

### **3.1. Characterization of the cathode material**

TG–DSC was applied to investigate the chemical reaction and weight-loss process of the cathode powder when the temperature ranged from room temperature to 1000°C, The results in Fig. 2 show three weight-loss stages and two distinct peaks. A weight loss of 1.5% occurred from 27 to 400°C, mainly due to the reduction of bound water in the material  $[16]$ . From 400 to 848°C, the weight loss of 1.84% indicates the removal of acetylene black and the binder



**Fig. 2. TG–DSC curves of the spent cathodic powder.**

(PVDF). Within the temperature range, there was an obvious DSC exothermic peak at 472°C, which indicates the degradation of acetylene black and the decomposition of PVDF. In addition, a weight loss of 1.49% was detected in the temperature range of 848–1000°C, with a DSC endothermic peak at 848°C, manifesting the phase transformation of the cathode material and the loss of lithium at high temperature [25]. In summary, the optimum calcination temperature is 840°C.

Fig. 3 shows the XRD patterns and SEM images of the spent-LIB cathodic material and the leaching residues. The XRD patterns in Fig. 3(a) indicate that the cathode materials of the spent LIBs are primarily  $LiCoO<sub>2</sub>$  and a small amount of  $Co<sub>3</sub>O<sub>4</sub>$ . In the leaching residues, there are characteristic peaks belonging only to  $Co<sub>3</sub>O<sub>4</sub>$  whether  $H<sub>2</sub>O<sub>2</sub>$  or SnCl<sub>2</sub> is used as the reducing agent. The SEM image in Fig.  $3(b)$  shows that the particles of the cathode compounds from spent LIBs are irregular in shape with diameters ranging from 1 to 5  $\mu$ m and have smooth edges. As can be seen in Figs.  $3(c)$  and  $3(d)$ , the particle sizes of the leaching residues are smaller and the edges of the particles are cruder than those of the active Li- $CoO<sub>2</sub>$  material of the spent LIBs  $[35]$ . In addition, there is no significant difference between the particle sizes of the leaching residues from  $H_2O_2$  and SnCl<sub>2</sub>. These findings prove that using  $SnCl<sub>2</sub>$  as the reducing agent destroys the structure of the spent  $LiCoO<sub>2</sub>$ .

According to the above characterization, the main component of the spent-LIB cathode materials in this study was  $LiCoO<sub>2</sub>$ . The main reactions when using maleic acid as a leaching agent and different reductants in the leaching process are shown in the equations below (Eqs. (2) and (3)). Since maleic acid is a binary acid, one mole of maleic acid be used to calibrate two moles of  $H^+$ . The dissociation steps and the dissociation constant that has an important influence on the  $H^+$  concentration and leaching efficiency are shown in Eqs. (4) and (5), respectively:

$$
6H^{+} + 2LiCoO_{2} + H_{2}O_{2} \rightarrow 2Li^{+} + 2Co^{2+} + 4H_{2}O + O_{2} \quad (2)
$$

$$
2LiCoO2 + 8H+ + Sn2+ \to 2Li+ + 2Co2+ + Sn4+ + 4H2O (3)
$$

$$
C_4H_4O_4 \to C_4H_3O_4^- + H^+, \quad k_{a1} = 1.42 \times 10^{-2} \tag{4}
$$

$$
C_4H_3O_4^- \to C_4H_2O_4^{2-} + H^+, \quad k_{a2} = 8.57 \times 10^{-7}
$$
 (5)

where  $k_{a1}$  and  $k_{a2}$  are dissociation constant of maleic acid.

standard electrode potential,  $E^{\ominus}(\text{Co}^{3+}/\text{Co}^{2+}) = 1.83 \text{ V}$ ,  $E^{\Theta}(\text{O}_2/\text{H}_2\text{O}_2) = 0.682 \text{ V}$ , and  $E^{\Theta}(\text{Sn}^{4+}/\text{Sn}^{2+}) = 0.151 \text{ V}$ , SnCl<sub>2</sub> A comparison of the dissociation constant of maleic acid with that of other organic acids that were used in previous studies [15] indicates the feasibility of using maleic acid as a leaching agent. Furthermore, as  $Co<sup>3+</sup>$  is difficult to leach [37], we used a reducing agent to reduce the  $Co<sup>3+</sup>$  to  $Co<sup>2+</sup>$  to improve the Co leaching efficiency. In SnCl<sub>2</sub>, Sn exists in the form of  $\text{Sn}^{2+}$ , which can lose electrons and transform into high-valence  $Sn^{4+}$ , thereby reducing high-valence metal ions to low-valence metal ions at the same time. Based on the



**Fig. 3. (a) XRD patterns of the active cathodic material and leaching residues, (b) SEM images obtained before leaching, and (c–d)** the leaching residues from  $H_2O_2$  (c) and  $SnCl_2$  (d).

can reduce  $\text{Co}^{3+}$  to  $\text{Co}^{2+}$  and it has the potential and feasibility to replace  $H_2O_2$  as a reducing agent.

# **3.2. Effects of acid-leaching parameters on leaching efficiencies**

The effect of maleic acid was studied under the following working conditions: SnCl<sub>2</sub> concentration of 0.3 mol·L<sup>-1</sup>, S/L ratio of 10 g·L<sup>-1</sup>, temperature of 60 $\degree$ C, and reaction time of 40 min The leaching yields of Co and Li are reported in Fig.  $4(a)$ . Generally speaking, the leaching efficiencies of the metals increased with increases in the acid concentration  $[24]$ . This is because the acids ionize  $H^+$ , which reacts with the spent  $LiCoO<sub>2</sub>$  materials and destroys the structure to dissolve the metals. In a certain concentration range, the higher is the acid concentration, the more easily the structure is destroyed  $\lceil 38 \rceil$ . As shown in the Fig. 4(a), the leaching efficiencies increased from 80.2% to 98.0% for Li and from 79.4% to 97.5% for Co with increases in the maleic-acid concentration from 0.5 mol·L<sup>-1</sup> to 1 mol·L<sup>-1</sup>. The leaching efficiencies of Li and Co remained higher than 97.5% and nearly constant when the maleic-acid concentration was higher than 1 mol·L−1, which further shows that the influence of the acid concentration on the leaching efficiency is limited. In consideration of cost and efficiency, all further experiments were performed using 1 mol·L−1 of maleic acid.

It has been reported that adding a reductant can greatly

improve leaching efficiency  $[39]$ . In this work,  $SnCl<sub>2</sub>$  was used as a leaching reductant. The strong chemical bond between oxygen and cobalt makes  $LiCoO<sub>2</sub>$  difficult to dissolve. Adding SnCl<sub>2</sub> can reduce  $\text{Co}^{3+}$  to dissolved  $\text{Co}^{2+}$ . To study the effect of  $SnCl<sub>2</sub>$  on the leaching efficiency, the experiment was conducted using SnCl<sub>2</sub> (0.12–0.36 mol·L<sup>-1</sup>) and 1 mol·L<sup>-1</sup> of maleic acid at an S/L ratio of 10 g·L<sup>-1</sup>, at 60°C for 40 min, and the results are shown in Fig. 4(b). We can see that the leaching efficiency of Co increased progressively from 77.0% to 97.5%, with an increase in the  $SnCl<sub>2</sub>$ concentration from 0.12 mol·L<sup>-1</sup> to 0.3 mol·L<sup>-1</sup>. With increases in the leaching efficiency of Co, the leaching efficiency of Li also increased. Because Li and Co exist in the same compound  $LiCoO<sub>2</sub>$ , and the dissolution of Co accelerates the structural destruction of the  $LiCoO<sub>2</sub>$ , the dissolution of Li also increases  $[18]$ . When the SnCl<sub>2</sub> concentration was higher than  $0.3 \text{ mol} \cdot L^{-1}$ , the leaching yields of Li and Co showed no significant improvement. Thus,  $0.3 \text{ mol} \cdot L^{-1}$  was defined as the optimal  $SnCl<sub>2</sub>$  concentration.

To investigate the optimal S/L ratio, this ratio was controlled in the range of 10 to 50 g·L<sup>-1</sup>. Fig. 4(c) shows the effect of the S/L ratio under the conditions of 1 mol·L−1 of maleic acid, 0.3 mol·L<sup>-1</sup> of SnCl<sub>2</sub>, 60°C, and a reaction time of 40 min. As we can see in the Fig.  $4(c)$ , the leaching efficiencies of Li and Co both decreased drastically when the S/L ratio was increased, which is because the effective area that



**Fig. 4. Effects of acid-leaching parameters on the leaching efficiency with SnCl2: (a) maleic-acid concentration; (b) SnCl<sup>2</sup> concentration; (c) S/L ratio; (d) temperature; (e) reaction time.**

can be contacted per unit volume was decreasing [13]. When the S/L ratio was increased from 10 to 20 g·L<sup>-1</sup>, the leaching efficiencies decreased slightly, with that for Li barely decreasing from 98.0% to 96.0% and that for Co decreasing from 97.5% to 96.6%. Therefore, considering both the leaching efficiency and economic benefit, an S/L ratio of 20 g·L<sup>-1</sup> is the best leaching parameter.

To study the effect of temperature, we varied the reaction temperature from 40 to 80°C, while holding constant the other parameters at 1 mol·L−1 of maleic acid, a 10 g·L−1 S/L ratio, 0.3 mol·L<sup>-1</sup> of SnCl<sub>2</sub>, and a reaction time of 40 min. Fig. 4(d) shows that the leaching efficiencies increased with increases in temperature from 40 to 60°C, which indicates that leaching metals from spent  $LiCoO<sub>2</sub>$  is an endothermic process [26,28,40]. Moreover, increasing the temperature can accelerate the mass transfer in the solution [19,40], which can cause more frequent and more energetic collisions [13], thereby enhancing reactions at the surface of the particles [16]. When the temperature continued to increase from 60 to 80°C, the leaching efficiency did not significantly improve. Thus, we chose 60°C as the optimum reaction temperature.

Next, we investigated the influence of the reaction time with SnCl<sub>2</sub> as a reducing agent on the leaching of spent Li- $CoO<sub>2</sub>$  with a maleic-acid concentration of 1 mol·L<sup>-1</sup>, SnCl<sub>2</sub> concentration of 0.3 mol·L−1, temperature of 60°C, and S/L ratio of 10 g·L<sup>-1</sup>. In Fig. 4(e), it is clear that the leaching efficiency increased with increases in the reaction time up to 40 min. However, when the reaction time exceeded 40 min, the recovery efficiencies of Li and Co showed no significant differences. Thus, 40 min can be regarded as the optimum reaction time.

#### **3.3. Kinetics of leaching process.**

Kinetics analysis is essential for investigating the leaching behavior and feasibility of using  $SnCl<sub>2</sub>$  as a reductant for leaching reactions. The main purpose of kinetics investigations is to recognize the rate-controlling steps of the reaction. The leaching process of  $LiCoO<sub>2</sub>$  is a typical heterogeneous reaction, which means the shrinking-core model can be used to represent the leaching kinetics [28–29,33]. In this model, the unreacted core will gradually shrink and disappear during the leaching process, as shown in Fig. 5. This model has three main control steps: liquid film diffusion control (Eq. (6)), chemical reaction control (Eq. (7)), and inert-ash-layer diffusion control (Eq. (8)), which are represented as follows: Model  $1 : X = K_1 t$  (6)

$$
Model\ 2: 1 - (1 - X)^{1/3} = K_2 t \tag{7}
$$

$$
Model\ 3: 1 - 3(1 - X)^{2/3} + 2(1 - X) = K_3 t \tag{8}
$$

where *X* is the leaching efficiency of Li or Co;  $K_1$ ,  $K_2$ , and  $K_3$ are the reaction rate constants of the control model (min−1); *t* is the leaching time (min).



**Fig. 5. Illustration of the kinetics mechanism of the SnCl2-leaching process.**

To identify the rate-controlling step of  $SnCl<sub>2</sub>$ -leaching process, the dissolution kinetics behaviors of Co and Li in the acid-solution system were studied at various reaction times (10–60 min) and temperatures (40–80°C), while other leaching parameters were maintained at the optimum levels obtained in section 3.2. Based on the above models, we fitted the leaching data of the  $SnCl<sub>2</sub>$ -leaching process. The fitting result of the chemical reaction control (Eq. (7)) in Fig. 6 was best. Therefore, the  $SnCl<sub>2</sub>$ -leaching process is mainly controlled by the surface chemical reaction [41]. As the reaction

product is soluble, there was no inert ash diffusion. There was only one layer of liquid film and the resistance was very small. In addition, the reaction was performed under stirring at 270 r·min−1, thereby reducing the influence of diffusion resistance, so the resistance effect of external diffusion can be eliminated [42]. As such, the rate-controlling step of the acidleaching process is the surface chemical reaction. According to the fitting results, we can obtain the surface chemical reaction constants at different temperatures. We find there to be a relationship between the reaction rate constant and reaction



**Fig. 6. Kinetics analysis of Co (a) and Li (c) in maleic-acid leaching process with SnCl<sup>2</sup> (chemical surface reaction model); Arrhenius plots for leaching of Co (b) and Li (d).**

temperature, which accords with the Arrhenius equation (Eq. (9)):

$$
K = A \exp(-E_a/RT), \quad \ln K = \ln A - \frac{E_a}{RT}
$$
 (9)

where *K* is the reaction rate constant (min<sup>-1</sup>), *A* is pre-exponential factor, *R* is the molar gas constant (8.314472  $J \cdot K^{-1} \cdot \text{mol}^{-1}$ ),  $E_a$  is the activation energy (kJ·mol<sup>-1</sup>), and *T* is the thermodynamic temperature (K).

The *E*<sup>a</sup> values of the Li and Co dissolutions were calculated by plotting  $\ln K$  vs.  $1/T$ , as shown in Fig. 6. The  $E_a$  for leaching of Li was 36.28 kJ·mol<sup>-1</sup> and that for Co was 22.97 kJ·mol−1 .

#### **3.4. Thermodynamics of leaching process**

In addition to the kinetics analysis, the thermodynamics of the leaching reactions are important in the study of this mechanism. The Gibbs free energy  $(\Delta G)$ , an important thermodynamic parameter, is related only to the temperature and pressure. Moreover, Δ*G* is only based on the initial and final states of the reaction system, which reflect the extent of the chemical reaction. To calculate the Δ*G*s of the leaching reactions, thermodynamically favorable leaching products must first be identified. As a leaching agent, maleic acid can pro-

duce  $H<sup>+</sup>$  and radical ions by dissociation. In the leaching process,  $H^+$  extracts  $Li^+$  and  $Co^{2+}$  in association with the reduction process by SnCl<sub>2</sub>, during which the  $Co<sup>3+</sup>$  in the material is reduced to  $Co^{2+}$ , and  $Sn^{2+}$  is oxidized to  $Sn^{4+}$ . During the reaction, metal complexes of metal and radical ions can form [34,43]. In Fig. 7, we can see that according to the valance states of the metal ions and the molecular structure of the radical ions, only one structure is possible for the Li complex, but two structures are possible for Co complexes (A and B in Fig. 7) and three are possible for Sn complexes (C, D, and E in Fig.7). In our previous work, we found the formation energy of cobalt complex A to be lower than that of cobalt complex B, which means that the Co leaching product exists in the form of Co complex (a)  $\left[38\right]$ . This result is in agreement with Eqs. (4) and (5), for which the dissociation constant  $k_{a2}$ of maleic acid is much smaller than  $k_{a1}$ . This shows that the secondary dissociation of maleic acid can be ignored compared with the first dissociation. Therefore, each maleic-acid molecule can only provide one complexation site for metal ions after the dissociation and leaching process. Under these circumstances, Co and Sn are more likely to form Co complex A and Sn complex C, as shown in Fig. 7. Based on the above results, the acid-leaching processes by  $SnCl<sub>2</sub>$  and  $H<sub>2</sub>O<sub>2</sub>$ can be expressed as shown in Eqs. (10) and (11):



**Fig. 7. Possible leaching products in the SnCl2-leaching process.**

$$
10C_4H_4O_4 + 2LiCoO_2 + Sn^{2+} \rightarrow 2(C_4H_3O_4)Li + 2(C_4H_3O_4)_2Co + (C_4H_3O_4)_4Sn + 4H_2O + 2H^+ \tag{10}
$$

$$
6C_{4}H_{4}O_{4} + 2LiCoO_{2} + H_{2}O_{2} \rightarrow 2(C_{4}H_{3}O_{4})Li + 2(C_{4}H_{3}O_{4})_{2}Co + 4H_{2}O + O_{2}
$$
\n(11)

The  $\Delta G$  values of the leaching reactions in which H<sub>2</sub>O<sub>2</sub> and SnCl<sub>2</sub> were applied as reductants were calculated using the following formula under the conditions 333 K and 101 kPa:

$$
\Delta G = -RT \ln k \tag{12}
$$

where *k* is the equilibrium constant.

The value of *k* can be calculated based on the concentration of the final state of each substance in the reaction system. The concentration of each reaction substance can be obtained from the measurement and stoichiometric ratios using Eqs. (10) and (11), respectively. Table 1 lists the values of *k* and  $\Delta G$ , in which we can see that the  $\Delta G$  value of the H<sub>2</sub>O<sub>2</sub>leaching reaction is smaller than that of the  $SnCl<sub>2</sub>$ -leaching reaction. This indicates that the range in which the  $H_2O_2$ leaching reaction can proceed is larger than that of the SnCl<sub>2</sub>leaching process, which is consistent with the fact that the efficiency of the  $H_2O_2$ -leaching reaction is slightly higher than that of the  $SnCl<sub>2</sub>$ -leaching reaction (as described in section 3.5). However, from a thermodynamic point of view, it is feasible to replace  $H_2O_2$  with SnCl<sub>2</sub>, as the difference between the  $\Delta G$  values of the two leaching reactions is insignificant.

**Table 1.**  $k$  and  $\Delta G$  of  $H_2O_2$  and  $SnCl_2$  as reducing agents

Reducing agents		$\Delta G / (kJ \cdot mol^{-1})$
$H_2O_2$	0.66	
SnCl <sub>2</sub>	0.39	2.6

#### **3.5. Comparison of H2O<sup>2</sup> and SnCl<sup>2</sup> as reductants**

To better confirm the feasibility of using  $SnCl<sub>2</sub>$  as a leaching reductant as a replacement for  $H_2O_2$ , we compared the performances of  $SnCl<sub>2</sub>$  and  $H<sub>2</sub>O<sub>2</sub>$  as leaching reductants based on our previous study [38]. For a more intuitive comparison, we plotted a histogram of the availability and efficacy of

#### *998 Int. J. Miner. Metall. Mater.***,** *Vol. 28***,** *No. 6***,** *Jun. 2021*

 $H<sub>2</sub>O<sub>2</sub>$  and SnCl<sub>2</sub> as leaching reductants, including their leaching efficiencies, optimal leaching parameters, and activation energies (Fig. 8). As can be seen in Figs.  $8(a)$  and  $8(b)$ , the leaching efficiency of metals from spent LIBs and the optimal maleic-acid concentrations for the two reductants are almost the same. When  $SnCl<sub>2</sub>$  was the reductant in the leaching process, the optimal S/L ratio was higher than that of  $H_2O_2$ , as shown in Fig. 8(c). The order of optimal reaction times and temperatures is  $SnCl_2 \leq H_2O_2$  in Figs. 8(d) and 8(e), respectively. Furthermore, the activation energy of  $Co$  when  $SnCl<sub>2</sub>$ was the reductant is significantly lower than for  $H_2O_2$ . More importantly,  $SnCl<sub>2</sub>$  is safer than  $H<sub>2</sub>O<sub>2</sub>$  during the leaching process. When  $H_2O_2$  is used as a reducing agent, gas can be generated during the leaching process, which increases the pressure of the reaction vessel such that an explosion might occur in more serious situations. In contrast, no gas is generated in the leaching process when  $SnCl<sub>2</sub>$  is used as a reductant. In addition, the Sn in the leaching solution may produce good effects in the subsequent synthesis of Ni-rich cathode materials  $[44]$ . Therefore, SnCl<sub>2</sub> is an efficient and feasible reducing agent for the acid-leaching process.



**Fig. 8. Comparison of the two reductants with respect to (a) leaching efficiency, (b) optimal maleic-acid concentration, (c) optimal S/L ratio, (d) optimal temperature, (e) optimal reaction time, and (f) activation energy (***E***a).**

# **4. Conclusion**

In this study, we used the stable reductant  $SnCl<sub>2</sub>$  with maleic acid to enhance the leaching efficiencies from spent LIBs. The results reveal that more than 98% of Li and 97% of Co can be recovered using 1 mol·L<sup>-1</sup> of maleic acid, 0.3 mol·L<sup>-1</sup> of SnCl<sub>2</sub>, a 20 g·L<sup>-1</sup> S/L ratio, 60°C, and a reaction time of 40 min. Compared with our previous work in which

#### *L***.***Y***.** *Sun* **et al., Hydrometallurgical recycling of valuable metals from spent lithium-ion batteries by ...** *999*

 $H<sub>2</sub>O<sub>2</sub>$  was used as a reducing agent, the obtained leaching efficiencies are almost equal. However, the leaching parameters of SnCl<sub>2</sub> are easier to achieve and the leaching kinetics analysis indicates that the processes fit the shrinking-core model. The activation energies of Co and Li for the SnCl<sub>2</sub>leaching reaction are lower than those for the  $H_2O_2$ -leaching reaction. Furthermore, SnCl<sub>2</sub> can be used as a reducing agent to recover other cathode materials such as LiNi*x*Co*y*Mn*z*O2. In general, after comprehensive consideration of the leaching efficiency, energy consumption, and safety issues, we can conclude that SnCl<sub>2</sub> can be used as a leaching reducing agent instead of  $H_2O_2$ .

# **Acknowledgements**

This work was financially supported by the National Key R&D Program of China (No. 2016YFB0100301) and the National Natural Science Foundation of China (Nos. 21875022 and U1664255).

# **References**

- V. Etacheri, R. Marom, R. Elazari, G. Salitra, and D. Aurbach, [1] Challenges in the development of advanced Li-ion batteries: A review, *Energy Environ. Sci.*, 4(2011), No. 9, p. 3243.
- Q.Y. Zhang, Y.F. Su, L. Chen, Y. Lu, L.Y. Bao, T. He, J. Wang, R.J. Chen, J. Tan, and F. Wu, Pre-oxidizing the precursors of Nickel-rich cathode materials to regulate their  $Li^{+}/Ni^{2+}$ cation ordering towards cyclability improvements, *J. Power Sources*, 396(2018), p. 734. [2]
- X.P. Chen, C.B. Luo, J.X. Zhang, J.R. Kong, and T. Zhou, Sus-[3] tainable recovery of metals from spent lithium-ion batteries: A green process, *ACS Sustainable Chem. Eng.*, 3(2015), No. 12, p. 3104.
- W. Song, J.W. Liu, L. You, S.Q. Wang, Q.W. Zhou, Y.L. Gao, [4] R.N. Yin, W.J. Xu, and Z.P. Guo, Re-synthesis of nano-structured LiFePO<sub>4</sub>/graphene composite derived from spent lithiumion battery for booming electric vehicle application, *J. Power Sources*, 419(2019), p. 192.
- Y. Yang, S.L. Song, S.Y. Lei, W. Sun, H.S. Hou, F. Jiang, X.B. [5] Ji, W.Q. Zhao, and Y.H. Hu, A process for combination of recycling lithium and regenerating graphite from spent lithiumion battery, *Waste Manage.*, 85(2019), p. 529.
- L.Q. Zhuang, C.H. Sun, T. Zhou, H. Li, and A.Q. Dai, Recov-[6] ery of valuable metals from  $LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub>$  cathode materials of spent Li-ion batteries using mild mixed acid as leachant, *Waste Manage.*, 85(2019), p. 175.
- Y.P. Fu, Y.Q. He, L.L. Qu, Y. Feng, J.L. Li, J.S. Liu, G.W. [7] Zhang, and W.N. Xie, Enhancement in leaching process of lithium and cobalt from spent lithium-ion batteries using benzenesulfonic acid system, *Waste Manage.*, 88(2019), p. 191.
- X.H. Zhong, W. Liu, J.W. Han, F. Jiao, W.Q. Qin, T. Liu, and [8] C.X. Zhao, Pyrolysis and physical separation for the recovery of spent LiFePO<sup>4</sup> batteries, *Waste Manage.*, 89(2019), p. 83.
- L.P. He, S.Y. Sun, X.F. Song, and J.G. Yu, Leaching process [9] for recovering valuable metals from the  $LiNi<sub>1/3</sub>Co<sub>1/3</sub>Mn<sub>1/3</sub>O<sub>2</sub>$ cathode of lithium-ion batteries, *Waste Manage.*, 64(2017), p. 171.
- [10] G.X. Ren, S.W. Xiao, M.Q. Xie, B. Pan, J. Chen, F.G. Wang, and X. Xia, Recovery of valuable metals from spent lithium ion batteries by smelting reduction process based on FeO–SiO<sub>2</sub>–Al<sub>2</sub>O<sub>3</sub> slag system, *Trans. Nonferrous Met. Soc. China*, 27(2017), No. 2, p. 450.
- [11] X.P. Chen and T. Zhou, Hydrometallurgical process for the recovery of metal values from spent lithium-ion batteries in citric acid media, *Waste Manage. Res.*, 32(2014), No. 11, p. 1083.
- [12] Y. Xin, X. Guo, S. Chen, J. Wang, F. Wu, and B. Xin, Bioleaching of valuable metals Li, Co, Ni and Mn from spent electric vehicle Li-ion batteries for the purpose of recovery, *J. Clean Prod.*, 116(2016), p. 249.
- [13] Y.N. Zhang, Y.Y. Zhang, Y.J. Zhang, P. Dong, Q. Meng, and M.L. Xu, Novel efficient regeneration of high-performance  $Li_{1.2}[Mn_{0.56}Ni_{0.16}Co_{0.08}]O_2$  cathode materials from spent LiMn2O<sup>4</sup> batteries, *J. Alloys Compd.*, 783(2019), p. 357.
- [14] P.C. Liu, L. Xiao, Y.F. Chen, Y.W. Tang, J. Wu, and H. Chen, Recovering valuable metals from LiNi<sub>x</sub>Co<sub>*y*</sub>Mn<sub>1−*x*−*y*</sub>O<sub>2</sub> cathode materials of spent lithium ion batteries via a combination of reduction roasting and stepwise leaching, *J. Alloys Compd.*, 783(2019), p. 743.
- [15] Q. Meng, Y.J. Zhang, P. Dong, and F. Liang, A novel process for leaching of metals from  $LiNi<sub>1/3</sub>Co<sub>1/3</sub>Mn<sub>1/3</sub>O<sub>2</sub>$  material of spent lithium ion batteries: Process optimization and kinetics aspects, *J. Ind. Eng. Chem.*, 61(2018), p. 133.
- [16] M. Joulié, R. Laucournet, and E. Billy, Hydrometallurgical process for the recovery of high value metals from spent lithium nickel cobalt aluminum oxide based lithium-ion batteries, *J. Power Sources*, 247(2014), p. 551.
- [17] S.P. Barik, G. Prabaharan, and L. Kumar, Leaching and separation of Co and Mn from electrode materials of spent lithium-ion batteries using hydrochloric acid: Laboratory and pilot scale study, *J. Cleaner Prod.*, 147(2017), p. 37.
- [18] K. Tanong, L. Coudert, G. Mercier, and J.F. Blais, Recovery of metals from a mixture of various spent batteries by a hydrometallurgical process, *J. Environ. Manage.*, 181(2016), p. 95.
- P. Meshram, B.D. Pandey, and T.R. Mankhand, Recovery of valuable metals from cathodic active material of spent lithium ion batteries: Leaching and kinetic aspects, *Waste Manage.*, 45(2015), p. 306. [19]
- [20] P. Meshram, B.D. Pandey, and T.R. Mankhand, Hydrometallurgical processing of spent lithium ion batteries (LIBs) in the presence of a reducing agent with emphasis on kinetics of leaching, *Chem. Eng. J.*, 281(2015), p. 418.
- [21] E.G. Pinna, M.C. Ruiz, M.W. Ojeda, and M.H. Rodriguez, Cathodes of spent Li-ion batteries: Dissolution with phosphoric acid and recovery of lithium and cobalt from leach liquors, *Hydrometallurgy*, 167(2017), p. 66.
- [22] L.P. He, S.Y. Sun, Y.Y. Mu, X.F. Song, and J.G. Yu, Recovery of lithium, nickel, cobalt, and manganese from spent lithiumion batteries using l-tartaric acid as a leachant, *ACS Sustainable Chem. Eng.*, 5(2017), No. 1, p. 714.
- [23] J. de Oliveira Demarco, J. Stefanello Cadore, F. da Silveira de Oliveira, E. Hiromitsu Tanabe, and D. Assumpção Bertuol, Recovery of metals from spent lithium-ion batteries using organic acids, *Hydrometallurgy*, 190(2019), art. No. 105169.
- [24] H. Setiawan, H.T.B.M. Petrus, and I. Perdana, Reaction kinetics modeling for lithium and cobalt recovery from spent lithium-ion batteries using acetic acid, *Int. J. Miner. Metall. Mater.*, 26(2019), No. 1, p. 98.
- [25] L. Li, E.S. Fan, Y.B. Guan, X.X. Zhang, Q. Xue, L. Wei, F. Wu, and R.J. Chen, Sustainable recovery of cathode materials from spent lithium-ion batteries using lactic acid leaching sys-

*1000 Int. J. Miner. Metall. Mater.***,** *Vol. 28***,** *No. 6***,** *Jun. 2021*

tem, *ACS Sustainable Chem. Eng.*, 5(2017), No. 6, p. 5224.

- [26] L. Li, W.J. Qu, X.X. Zhang, J. Lu, R.J. Chen, F. Wu, and K. Amine, Succinic acid-based leaching system: A sustainable process for recovery of valuable metals from spent Li-ion batteries, *J. Power Sources*, 282(2015), p. 544.
- [27] N. Vieceli, C.A. Nogueira, C. Guimarães, M.F.C. Pereira, F.O. Durão, and F. Margarido, Hydrometallurgical recycling of lithium-ion batteries by reductive leaching with sodium metabisulphite, *Waste Manage.*, 71(2018), p. 350.
- [28] P. Meshram, Abhilash, B.D. Pandey, T.R. Mankhand, and H. Deveci, Comparision of different reductants in leaching of spent lithium ion batteries, *JOM*, 68(2016), No. 10, p. 2613.
- W.G. Lv, Z.H. Wang, H.B. Cao, X.H. Zheng, W. Jin, Y. Zhang, [29] and Z. Sun, A sustainable process for metal recycling from spent lithium-ion batteries using ammonium chloride, *Waste Manage.*, 79(2018), p. 545.
- [30] G. Granata, E. Moscardini, F. Pagnanelli, F. Trabucco, and L. Toro, Product recovery from Li-ion battery wastes coming from an industrial pre-treatment plant: Lab scale tests and process simulations, *J. Power Sources*, 206(2012), p. 393.
- [31] F. Pagnanelli, E. Moscardini, G. Granata, S. Cerbelli, L. Agosta, A. Fieramosca, and L. Toro, Acid reducing leaching of cathodic powder from spent lithium ion batteries: Glucose oxidative pathways and particle area evolution, *J. Ind. Eng. Chem.*, 20(2014), No. 5, p. 3201.
- [32] Q. Meng, Y.J. Zhang, and P. Dong, A combined process for cobalt recovering and cathode material regeneration from spent LiCoO<sub>2</sub> batteries: Process optimization and kinetics aspects, *Waste Manage.*, 71(2018), p. 372.
- [33] Y.J. Zhang, Q. Meng, P. Dong, J.G. Duan, and Y. Lin, Use of grape seed as reductant for leaching of cobalt from spent lithium-ion batteries, *J. Ind. Eng. Chem.*, 66(2018), p. 86.
- [34] L. Li, Y.F. Bian, X.X. Zhang, Q. Xue, E.S. Fan, F. Wu, and R.J. Chen, Economical recycling process for spent lithium-ion batteries and macro- and micro-scale mechanistic study, *J. Power Sources*, 377(2018), p. 70.
- [35] X.Q. Meng, H.B. Cao, J. Hao, P.G. Ning, G.J. Xu, and Z. Sun, Sustainable preparation of  $LiNi<sub>1/3</sub>Co<sub>1/3</sub>Mn<sub>1/3</sub>O<sub>2</sub>-V<sub>2</sub>O<sub>5</sub>$  cathode materials by recycling waste materials of spent lithium-ion bat-

tery and vanadium-bearing slag, *ACS Sustainable Chem. Eng.*, 6(2018), No. 5, p. 5797.

- [36] S. Dhiman and B. Gupta, Cyphos IL 104 assisted extraction of indium and recycling of indium, tin and zinc from discarded LCD screen, *Sep. Purif. Technol.*, 237(2020), art. No. 116407.
- [37] A. Porvali, A. Chernyaev, S. Shukla, and M. Lundström, Lithium ion battery active material dissolution kinetics in Fe(II)/Fe(III) catalyzed Cu–H<sub>2</sub>SO<sub>4</sub> leaching system, *Sep. Purif. Technol.*, 236(2020), art. No. 116305.
- [38] B.R. Liu, Q. Huang, Y.F. Su, L.Y. Sun, T. Wu, G.G. Wang, R.M. Kelly, and F. Wu, Maleic, glycolic and acetoacetic acidsleaching for recovery of valuable metals from spent lithium-ion batteries: Leaching parameters, thermodynamics and kinetics, *R. Soc. Open Sci.*, 6(2019), No. 9, art. No. 191061.
- [39] X.P. Chen, D.Z. Kang, L. Cao, J.Z. Li, T. Zhou, and H.R. Ma, Separation and recovery of valuable metals from spent lithium ion batteries: Simultaneous recovery of Li and Co in a single step, *Sep. Purif. Technol.*, 210(2019), p. 690.
- [40] Q. Meng, Y.J. Zhang, and P. Dong, Use of electrochemical cathode-reduction method for leaching of cobalt from spent lithium-ion batteries, *J. Cleaner Prod.*, 180(2018), p. 64.
- [41] H. Ku, Y. Jung, M. Jo, S. Park, S. Kim, D. Yang, K. Rhee, E.M. An, J. Sohn, and K. Kwon, Recycling of spent lithium-ion battery cathode materials by ammoniacal leaching, *J. Hazard. Mater.*, 313(2016), p. 138.
- L. Li, Y. F. Bian, X.X. Zhang, Y.B. Guan, E.S. Fan, F. Wu, and [42] R.J. Chen, Process for recycling mixed-cathode materials from spent lithium-ion batteries and kinetics of leaching, *Waste Manage.*, 71(2018), p. 362.
- [43] C.S. dos Santos, J.C. Alves, S.P. da Silva, L. Evangelista Sita, P.R.C. da Silva, L.C. de Almeida, and J. Scarminio, A closedloop process to recover Li and Co compounds and to resynthesize LiCoO<sub>2</sub> from spent mobile phone batteries, *J. Hazard. Mater.*, 362(2019), p. 458.
- [44] M. Eilers-Rethwisch, M. Winter, and F.M. Schappacher, Synthesis, electrochemical investigation and structural analysis of doped Li[Ni<sub>0.6</sub>Mn<sub>0.2</sub>Co<sub>0.2−*x*</sub>M<sub>*x*</sub>]O<sub>2</sub> ( $x = 0$ , 0.05; M = Al, Fe, Sn) cathode materials, *J. Power Sources*, 387(2018), p. 101.