# **Key Stress Response Mechanisms of Probiotics During Their Journey Through the Digestive System: A Review**

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# **Abstract**

The survival of probiotic microorganisms during their exposure to harsh environments plays a critical role in the fulfllment of their functional properties. In particular, transit through the human gastrointestinal tract (GIT) is considered one of the most challenging habitats that probiotics must endure, because of the particularly stressful conditions (e.g., oxygen level, pH variations, nutrient limitations, high osmolarity, oxidation, peristalsis) prevailing in the diferent sections of the GIT, which in turn can afect the growth, viability, physiological status, and functionality of microbial cells. Consequently, probiotics have developed a series of strategies, called "mechanisms of stress response," to protect themselves from these adverse conditions. Such mechanisms may include but are not limited to the induction of new metabolic pathways, formation/production of particular metabolites, and changes of transcription rates. It should be highlighted that some of such mechanisms can be conserved across several diferent strains or can be unique for specifc genera. Hence, this review attempts to review the stateof-the-art knowledge of mechanisms of stress response displayed by potential probiotic strains during their transit through the GIT. In addition, evidence whether stress responses can compromise the biosafety of such strains is also discussed.

**Keywords** Probiotics · Gastrointestinal tract (GIT) · Stressful transit · Cell modifcations · Defense mechanisms

#### **Highlights**

- Gastrointestinal tract (GIT) conditions afect probiotic viability, functionality, and efficacy.
- Probiotic cells display intrinsic phenotypes to overcome or resist the GIT stress.
- Stress factors alter the gene expression and protein profle of probiotics.
- Changes in specifc metabolic traits of probiotics may compromise their biosafety.
- There is a lack of trials studying actual in vivo probiotic cellular alterations.

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# **Introduction**

The human gastrointestinal tract (GIT) is a complex system involving tissues, glands, and organs which synchronously work for the proper digestion and absorption of food components/nutrients [\[1](#page-16-0)]. During digestion, a large number of substances, including electrolytes, hydrolytic enzymes, hydrochloric acid, bile, antimicrobial peptides, among others are secreted into the GIT [[2\]](#page-16-1), creating a unique environment with a plethora of harsh physicochemical conditions that afect microbial survival [\[3](#page-16-2), [4](#page-16-3)]. Additionally, physical components (epithelial and mucus layers) and immunological factors



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(antibodies and epithelia-associated immune cells) also contribute to the highly stressful conditions affecting the microbial community dynamics in the gut [[5](#page-16-4), [6](#page-16-5)].

Under this scenario, autochthonous microorganisms (resident or native) found in the GIT have adapted to the diferent biochemical niches available in the gut by a selective reciprocal co-evolutionary process between human and their gut symbionts [\[7](#page-16-6)]. Moreover, allochthonous microorganisms (transient or foreing), such as probiotics, which are termed as "*live microorganisms that when administered in adequate amounts confer health benefts on the host*" [\[8](#page-16-7)], are also capable of temporarily integrating into the gut, where they may directly impact the host health, the later through modifcation of the composition and activity of the resident gut communities or indirectly by stimulating, regulating, and modulating diferent functions, including digestion, metabolism, epithelial innate immunity, and brain-gut communication [\[9](#page-16-8)].

In order to adapt and survive to the GIT conditions, probiotics have developed a robust and conserved "general mechanisms of stress response" to either maintain structural integrity, regulate, inhibit, or fortify physiology and metabolic activities, as well as to protect or repair damaged macromolecules [\[10](#page-16-9)[–13](#page-17-0)]. Furthermore, novel evidence has suggested that probiotics are also capable of activating a notable class of coordinated cellular processes to increase the stress tolerance, namely "specifc-associated stress response" [\[14\]](#page-17-1), which includes a cascade of cellular events aimed to reprogram themselves to induce or repress specifc/particular regulators (i.e., genes, proteins, lipids, and/or metabolites), associated to the improvement of cell ftness, robustness, and attain a level of adaptation not observed before [\[5](#page-16-4), [15\]](#page-17-2).

Despite that these responses allow probiotics not only to withstand specifc GIT stressful conditions but also enhance their functionality, it is necessary to consider that such intricate mechanisms can be subjected to alterations in their expression and regulation that could critically jeopardize the probiotic biosafety. In this latter context, it has been reported that stress responses lead to the expression of pathogenic-associated molecules required for microbial survival and growth and which can potentially have negative or collateral activities in the host's well-being [[16](#page-17-3)]. Hence, the aim of this review is to describe the various stresses encountered by potential probiotic strains through the GIT transit, plus compile the most recent progress in the study of general and specifc defense mechanisms by which they respond to stressful conditions, including new metabolic pathways. Finally, evidence on whether stress responses can compromise the biosafety of such strains is also discussed.

# **Methods**

A comprehensive literature search was conducted using seven electronic databases, namely Scopus, PubMed, Science Direct, Web of Science, ResearchGate, Scientifc Electronic Library Online (SciELO), and Google Scholar. The initial search was carried out in May 2021 without limiting the period of publication. Combination of the following keywords or terms was used as scoping search strategy: "gastrointestinal tract (GIT)," "probiotics," "GIT compartments," "stress survival," "mechanisms of response," "acidic, saline, osmotic, and oxidative environments," "omics approaches," "molecular characterization," "biosafety," and "gut-brain axis." The titles and abstracts of the selected articles were examined; then, full-text articles were retrieved and used for data abstraction. Inclusion criteria were (1) accessible full articles; (2) articles published in journals with impact index; (3) recent and/or relevant articles, mainly over the previous 5 to 10 years; (4) studies carried out with potential probiotic strains belonging to core genera most often used, i.e., *Lactobacillus*, *Bifdobacterium*, *Lactococcus*, or *Pediococcus*; and (5) studies that characterized the stress response at any genomic, transcriptional, proteomic, or metabolomic level. Exclusion criteria were (1) unpublished articles; (2) articles published in languages other than English, (3) editorial material, letters to the editor, and abstracts without signifcant data; and (4) studies that combined a GIT stress condition with other compounds or substances not proper of the GIT.

## **On the Way to…**

Overall, probiotics are orally administered, and their delivery is achieved through diverse dosage forms, including functional foods, beverages, and dietary supplements (e.g., tablets, capsules, powders, among others). However, consumers prefer food products over supplements considering the hedonic aspects of food intake. Hence, probiotics have been incorporated directly and in cell-free form into these products [[17](#page-17-4), [18\]](#page-17-5). In this regard, viability and stability of free probiotic cells are the main concerns for targeted delivery to the human gut when ingested orally. During their journey through the GIT, probiotics face a series of adverse conditions that may compromise not only their survival and colonization capacity, but also their functionality and efficacy [[6,](#page-16-5) [12](#page-16-10), [19\]](#page-17-6). Therefore, the compartments and/or accessory organs involved, as well as the harsh conditions prevalent in the GIT (i.e., physicochemical, enzymatic, and microbial parameters) are depicted in Fig. [1,](#page-2-0) and will be described in the following section.

## **Mouth**

The mouth is the opening entry of the GIT and the first barrier to overcome. When probiotics are orally ingested, they are initially exposed to saliva, which is an extracellular secretion produced by salivary glands [[20](#page-17-7)]. This secretion is a complex fluid (pH ranging between



<span id="page-2-0"></span>**Fig. 1** The hazardous passage of probiotics through the GIT. Figure adapted from images created with BioRender.com

6.2 and 7.4) mainly composed by water (99%), mucus (proteoglycans and glycoproteins), electrolytes (sodium, potassium, chloride, and bicarbonate ions), and enzymes, including amylase, lipase, lactoperoxidase, lactoferrin, and lysozyme; the latter plays an important role in saliva's natural antimicrobial properties [[21](#page-17-8), [22](#page-17-9)]. Additionally, saliva contains hydrogen peroxide and immunologic components which include secretory immunoglobulin A (IgA), immunoglobulin G (IgG), and immunoglobulin M (IgM) [[12](#page-16-10)]. Furthermore, it has been described that saliva is an underestimated component of the non-specifc immune defense which can exert direct antimicrobial activity through pore-forming peptides such as defensins, cathelicidins, and histatin, which are produced by salivary glands and/or immune cell populations. Additionally, saliva plays a dual role in modulating the attachment and colonization in the oral tissues, by binding microbial cells to soluble-phase saliva receptors (proline-rich proteins), which promotes agglutination and blocking of microbial surface adhesins [\[22\]](#page-17-9). Hence, all these components may collectively infuence the viability and cell morphological structure of probiotics, further altering their adhesive and metabolic properties [\[23](#page-17-10)]. Although most microorganisms recognized to date as probiotics may be particularly sensitive to antimicrobial compounds in saliva [\[20](#page-17-7)], several *in vitro* studies have demonstrated that when probiotics are exposed to saliva, it does not signifcantly afect the cell viability or survival, even after 24 h of incubation [\[24](#page-17-11)–[26\]](#page-17-12). Moreover, specific-strain response to saliva proteolytic enzymes has been reported as adhesive properties of some strains remain unafected after lysozyme pretreatment [\[27\]](#page-17-13). Thus, these fndings suggest that the impact of saliva (and its main components) on the survival rates and colonization properties of probiotics appears to be minimal [[12\]](#page-16-10).

### **Esophagus**

After their passage through the mouth, probiotics move through the esophagus. The primary function of this compartment is to serve as a conduit for the passage of swallowed material to the stomach [[28](#page-17-14)]. This seemingly simple basic function has made the esophagus an undervalued structure; hence, limited information is available on the difficulties that probiotics experience during their transit through this organ. However, it is recognized that there may be multiple barriers that compromise the probiotic efficiency  $[3, 29]$  $[3, 29]$  $[3, 29]$  $[3, 29]$ .

For instance, the esophageal transit of probiotics is accomplished by periodic and coordinated contractions and relaxation movements (peristalsis) that transport down microorganisms to be pulled towards the stomach [\[30\]](#page-17-16). These peristaltic movements imply the exposure of microbial cells to propulsive forces that travel at  $3-4$  cm  $s^{-1}$  and reach a peak pressure amplitude of 60–140 mmHg along the esophagus [\[31\]](#page-17-17). All these tensile (lengthening), compressive (shortening), and/or shear (shape-changing) mechanical forces could impose stress on probiotics during their journey. Equally important, it has been reported that the esophagus also contains a large number of widely distributed sub-mucosal glands that have the ability to secrete several products including bicarbonate  $(HCO<sub>3−</sub>)$ , acid mucins (e.g., glycoproteins, sialomucins, and sulfomucins), epidermal growth factor (EGF), and prostaglandins [\[32\]](#page-17-18). Although research on the effects of both esophageal stresses on morphology, locomotion, and survival of probiotics are rare, some revealing studies have elucidated that mechanical pressure and bicarbonate secretion (which establish a surface pH gradient) may afect or interrupt the structure and function of the cell envelope assembly in microorganisms [\[33](#page-17-19), [34\]](#page-17-20).

#### **Stomach**

The stomach is the muscular organ that assists in the early stages of digestion and prepare the bolus for further processing in the small intestine. In the stomach, probiotics face severe mechanical and chemical conditions creating the most difficult phase for microbial survival  $[1]$  $[1]$ . Despite the gastric motility, a complex system of peristaltic waves and segmentation (mixing) contractions that may cause a deleterious environment to microorganisms by the mechanical forces during the normal stomach function [[35](#page-17-21)], the most harmful condition for them is created by the acidic gastric fuid [[12](#page-16-10)]. Gastric glands produce ca. 1.5–2.0 L gastric juice per day. This secretion is comprised of a variable mixture of water (99%), hydrochloric acid (0.4–0.5%), electrolytes (sodium, potassium, calcium, phosphate, sulfate, and bicarbonate ions), mucus, enzymes (lipase, rennin, and pepsinogen), hormones (gastrin and serotonin), and the intrinsic factor  $[1, 36]$  $[1, 36]$  $[1, 36]$ . As noted above, gastric juice is highly acidic reaching a pH that dynamically oscillates from 0.9 to 1.5–3.0. This unique composition and pH allow gastric juice to exert a rapid antimicrobial efect, in which allochthonous microorganisms, primarily originating from dietary intake, are usually destroyed in minutes [\[36,](#page-17-22) [37](#page-17-23)].

Therefore, the transit through the stomach represents a remarkable challenge for probiotic survival, since the acidic prevalent condition may induce serious morphological and phenotypic modifications at the cellular level such as (i) changes in the composition of the microbial cell membrane (embedded proteins and lipids, difusion of other molecules), (ii) damage to the DNA (gene expression), and (iii) alteration of the peptidoglycan components (molecular length, saturation, and branching) [[34,](#page-17-20) [38](#page-17-24), [39](#page-17-25)].

## **Small Intestine**

After gastric digestion, the chyme, and remaining microorganisms, released from the stomach enter the small intestine, where both most digestion occurs and practically all absorption proceeds. To accomplish these functions, the small intestine uses auxiliary secretions produced by the intestinal epithelia, liver, gallbladder, and pancreas [[40](#page-17-26)]. Hence, probiotics first face the neutralized effect of bicarbonate, which suddenly raises the pH from 2.0 to 6.2. This abrupt change, from highly acidic to neutral environment, affects the structure of several microbial macromolecules (e.g., protein unfolding, membrane and DNA damage, among others), thus compromising their viability [[41\]](#page-17-27). On the other hand, gut-on-a-chip analysis, utilizing motility-induced luminal fuid fow, but without physiological peristalsis-like mechanical motions, has indicated that microorganisms would overgrow without the peristaltic strain, which evidenced that mechanical forces arising from intestinal contraction may modulate the number of colonizing microorganisms [[42\]](#page-18-0).

Additionally, cells are exposed to the main degradation process conducted by the action of two potent actors: (a) the pancreatic juice, which comprises a series of enzymes (ribonuclease and deoxyribonuclease), proenzymes (protrypsin, prochymotrypsin, proelastase, procarboxypeptidases, pancreatic lipase, and α-amylase), protease inhibitors, and electrolytes (sodium, potassium, calcium, and bicarbonate ions)  $[1, 43]$  $[1, 43]$  $[1, 43]$  $[1, 43]$ , and (b) the bile secretion, mainly constituted by water (95%), bile salts (cholic acid and chenodeoxycholic acid), phospholipids, bilirubin, cholesterol, electrolytes (sodium, potassium, chlorine, calcium, magnesium, phosphate, sulfate, and bicarbonate ions), peptides and amino acids, steroids, enzymes, vitamins, and heavy metals [[37](#page-17-23)]. Altogether, these secretions keep the total solution alkaline with a pH ranging between 7 and 8 [[44](#page-18-2), [45\]](#page-18-3). It has been reported that the pancreatic juice and bile constituents can not only severely affect the viability of probiotics, but can also signifcantly reduce their binding properties by inducing alterations in cell membrane conformation, deforming or denaturing proteins, inducing oxidative DNA damage, degrading nucleic acids, and disrupting phospholipids/ fatty acids integrity [[46](#page-18-4), [47](#page-18-5)]. Finally, the intestinal epithelial cells harbor several distinctive immune efector molecules that play a key role in providing a barrier against microbial invasion and maintain homeostasis. Markedly, the secretion of antimicrobial peptides (AMPs) (e.g., defensins, cathelicidins, C-type lectins, cytokines, and mucins) and immunoglobulins (secretory immunoglobulin A (sIgA) and immunoglobulin G (IgG)) can seriously compromise the probiotic cell integrity. On the one hand, the AMPs can elicit bactericidal activity by (a) the formation of pores on the surface of microbial cell walls which cause nutrient leakage, depolarization, and impaired cell integrity or (b) by binding to, and cleavage of, peptidoglycans and phospholipids, resulting in the neutralization of their activity and eventually making microorganisms susceptible to lysis. Meanwhile, the immunoglobulins can enhance antibody-mediated microbial phagocytosis, exert immune exclusion by blocking microbial adhesins, and inhibit microbial motility by facilitated microorganisms entrapment in mucus [[4,](#page-16-3) [48\]](#page-18-6).

## **Large Intestine**

The large intestine or large bowel, also known as the colon, is part of the fnal stages of digestion, most responsible for the absorption of water, electrolytes, and other key nutrients. Given the luminal pH value (ranging from 5.5 to 7.5), lower bile salt concentration and peristaltic activity, as well as minor components of the adaptive system, the colon is highly conducive to microbial survival and colonization, crucial for degradation of indigestible food material through the process of fermentation  $[3, 49, 50]$  $[3, 49, 50]$  $[3, 49, 50]$  $[3, 49, 50]$  $[3, 49, 50]$ . During colonic fermentation, a considerable number of metabolic by-products (e.g., enzymes, fatty acids, alcohols, phenols, indoles, amino acids, and co-factors) are released. Such metabolites can change the environmental conditions (pH, redox potential, oxygen availability) or act as antimicrobial substances, which may in turn (i) create a more inhospitable milieu or (ii) alter the cell surface morphology, metabolism, and regulation of gene expression of probiotics [\[51](#page-18-9), [52](#page-18-10)].

#### **Role of Gut‑Brain Axis**

The gut-brain axis (GBA) is a bidirectional communication network of signaling pathways between the GIT, the microorganisms which inhabit it, and the peripheral and central nervous systems. Diferent studies have shown that GBA has a critical role in maintaining homeostatic and cognitive processes [[53](#page-18-11)]; thus, the brain has an infuence on the gut through the gut-brain axis, and vice versa. Under normal conditions, the brain ensures proper gastrointestinal functions, such as motility, secretion of acid, bicarbonates, mucus, and signal molecules, intestinal fluid handling, mucosal immune response, and intestinal permeability [[54](#page-18-12)]. Hence, although these conditions impose already a challenge for probiotics, the disruption of gastrointestinal functions can directly or indirectly affect probiotic survival. Diferent types of physical and psychological stressors (e.g., acoustic, mental, or social stresses, sleep disorders) can disrupt the GBA. It has been described that the presence of stress induces variation in quantity and quality of mucus secretion, affects gastric and intestinal postprandial motility, alters intestinal permeability, and induces overproduction of proinfammatory cytokines (IL-1β, IL-6, TNF-α, and interferon-γ), hormones (corticosterone), and antimicrobial compounds ( $\alpha$ -defensin) [[55,](#page-18-13) [56](#page-18-14)]. All these anomalies can contribute to limit the survival and proliferation of probiotics.

# **Deploying Well‑Known Stress Responses to Survive**

In light of the above, it is clear that the GIT exhibits numerous extreme environmental conditions which can determine the degree of probiotic survival. Under this context, probiotics respond adaptively by altering or deploying intrinsic phenotypes to overcome or resist these stressful conditions, at least long enough to reach a more conducive habitat [[57\]](#page-18-15). Consequently, in recent years, research have focused on probiotic adaptive or stress responses [\[58](#page-18-16)].

To further explore these stress responses, frst, it is necessary to defne the concept of "GIT stressor." Here, we use this concept to refer any physiochemical factor within the steady GIT environment which can exert an adverse effect on the physiological well-being of microbial cells, either by killing or slow and prevent their growth [\[59](#page-18-17)]. Thus, GIT stressors may include agents of a very diferent nature (e.g., pH, oxygen, high concentration of substances, starvation), and probiotics must respond appropriately to them in order to survive [[11](#page-16-11)]. In this sense, the mechanisms of microbial resistance to any stressor are essentially classifed as (1) innate/intrinsic or (2) adaptive. The innate responses comprise all those structures and functional pathways naturally occurring and active in the microbial cells, which allow tolerance to multiple stressing agents; meanwhile, adaptive responses encompass induced genotypic and phenotypic modifications, with or without mutations, arising as a consequence of the exposure of cells to a particular stressor, thus increasing the ability of microorganisms to survive  $[60, 61]$  $[60, 61]$  $[60, 61]$  $[60, 61]$ .

Although microbial resistance is highly dependent on the microorganism and the GIT stressor, there are conserved and well-characterized defense mechanisms shared among probiotic genera and species [[4\]](#page-16-3). Particularly, conventional biochemical analyses and molecular techniques have provided an outline of the presence and activity of functional features that take part in these complex systems [[5\]](#page-16-4). Some of the most reported innate and adaptive stress mechanisms in the literature include (1) alterations in specifc stress-sensing/signaling and export systems (e.g., sensor molecules such as nucleic acids, polypeptides, proteins, lipids, proton pumps), (2) accumulation of compatible solutes (sugars, polyols, amino acids) to restore turgescent pressure and enable cell growth and division, (3) regulation of energy production and storage to dispose of an intracellular carbon stock, (4) perturbations of metabolic pathways (e.g., alternative fates of pyruvate, utilization of other carbon sources, activation of the proteolytic system, usage of the catabolism of free amino acids) to stimulate energy fuxes, (5) modifications in the cell envelope (e.g., regulation of membrane fuidity and cell wall composition, overexpression of exopolysaccharides and S-layer proteins) to maintain its integrity, cell shape, and counteract the extracellular efectors, (6) overproduction of proteins (e.g., chaperones, proteases, special shock proteins, miscellaneous enzymes) to protect or repair damaged macromolecules (DNA, denatured or misfolded proteins), and (7) production of antimicrobial substances (peptidic or proteinacious bacteriocins—nisin, plantaricin, lacticin, bifidocin, mutacin, pediocin, etc., organic acids butyric, acetic, propionic, formic, and lactic acids, and other small molecules-diacetyl, hydrogen peroxide, acetaldehyde, acetoine, reuterin, and reutericyclin) to gain a competitive advantage within the intestinal microbiota [\[2](#page-16-1), [5](#page-16-4), [34,](#page-17-20) [62,](#page-18-20) [63\]](#page-18-21). A summary of these defense mechanisms, induced by diferent stressing conditions, as well as the site where they are

deployed during the descent of the probiotic through the GIT, is represented in Figs. [2](#page-6-0) and [3](#page-7-0), respectively.

Under this context, it is undeniable that the innate or adaptive mechanisms can share the action of interconnected molecules to form a stress response network, which is common among different microorganisms; however, there could also be unique components at the genetic, transcriptional, protein, or metabolic level, involved in specific stress responses that are present only in some probiotic strains. Considering this, the identifcation of specifc defense mechanisms has become a dynamic feld of research in recent years [\[5](#page-16-4)].

# **Novel Players in Adaptation to a Hostile World**

In order to overcome the GIT-associated host defense, probiotic microorganisms deploy stress response mechanisms to ensure their survival and persistence in the human gut [[16\]](#page-17-3). Current research has evidenced that probiotics are able to implement novel and sophisticated cellular resilient strategies to sense and adapt to environmental conditions [[5,](#page-16-4) [11\]](#page-16-11). As summarized in Table [1](#page-8-0), a number of advanced experimental approaches, mainly based on multi-omic platforms, i.e., genomics, transcriptomics, proteomics, and metabolomics, have revealed specifc molecular mechanisms (e.g., regulation of gene expression, post-translational protein regulation) that enhance the survival of potential probiotic strains in the GIT [\[5](#page-16-4), [15](#page-17-2), [64\]](#page-18-22). In the following sections, the current state of knowledge for the main stress responses mentioned above and how these new and specifc defenses contribute to microbial survival is discussed.

## **Acid Stress**

To understand the particular elements of microbial adaptation under acidic conditions, Jung and Lee [\[65](#page-18-23)] propagated *Lactiplantibacillus plantarum* WiKim18 in media with diferent pH values (5.0–5.5) and evaluated the transcriptional changes associated with survival. The authors observed that acidic conditions afected the expression by upregulation of genes located in the functional categories of the alanine-aspartate metabolism (*pyrAA*) and amino acid metabolism (*cblB*, *cbs*, *cysE*). Besides, transport-related genes involved in the distribution of essential nutrients, vital for bacterial survival, were also signifcantly upregulated, particularly, those associated with ABC transport: PTS system gene (*pts4ABC*), extracellular transglycosylase genes (*lp\_0302*, *lp\_0304*, *lp\_3014*, *lp\_3050*), oligo-peptide ABC transporter genes (*lp\_0018*, *lp\_0783*), nicotinamide nucleotide transporter gene (*pnuC1*), copper transporting



<span id="page-6-0"></span>**Fig. 2** Overview of key innate and adaptive mechanisms identifed in the enhanced GIT tolerance of potential probiotic microorganisms. ArgG, argininosuccinate synthase; GapA, glyceraldehyde-3-phosphate dehydrogenase; GroES, heat shock protein 10-chaperonin;

GroEL, heat shock protein 60-chaperonin; DnaK, heat shock protein 70; TurfB, translation elongation factor; Ppk, polyphosphate kinase; ADI system, arginine deaminase system. Figure adapted from images created with BioRender.com

ATPase genes (*copA*, *copB*), and carbamoyl phosphatase genes (*pyrAB*, *pyrAA*, *pyrC*).

In a similar work, Wei et al. [[66\]](#page-18-24) evidenced the transcriptional alterations in *Bifidobacterium longum* JDM301AR when cultured in a modified acid medium (sub-lethal batch cultures  $=$  pH 3.5). Data showed that the bacterial cells displayed an acquisition of acid-tolerant phenotype, mainly through the modifcation of cell wall and cell membrane. Such response was attributed to the upregulation of genes encoding for cystathionine (cystathionine gamma-synthase,  $MetC<sub>3</sub>$ ), pantothenate, coenzyme A (CoA), and peptidoglycan (clusters BLJ\_0525- 531 and BLJ\_1300-1303, respectively) biosynthesis. These molecules are implicated in the production of ammonia  $(NH<sub>3</sub>)$ , fatty acids (FAs), and phospholipids, which are essential to neutralize  $H^+$  ions and modify the rigidity of bacterial cell wall. Also, the membrane composition profle revealed an increase production of C14:0 (tetradecanoic, myristic acid), suggesting the key role of this compound on bacterial survival under acid stress.

The metabolic changes and transcriptional/phenotypic adherence response of *Lactiplantibacillus plantarum* ATCC

14,917, under acid stress (pH 5.5) of initial growth, were also reported by Wang et al. [\[67](#page-18-25)]. The authors reported that the intracellular metabolites of bacteria were signifcantly infuenced by the pH stress, compared with the control group. The diferential metabolites were dominated by 16 compounds: 1 fatty acid (*trans*-vaccenic acid), 1 amino acid (L-histidine), and 14 metabolites involved in carbohydrate metabolism (e.g., uridine 5′-triphosphate (UTP), cytosine, adenosine, 2-hydroxyadenine, uracil, nicotinate, glycerophosphocholine, among others). Furthermore, stressed cells were richer in unsaturated (tetradecanoic/ myristic acid, C14:0; cis-9-octadecenoic, C18:1 n-9; octadecadienoic acid, C18:2 n-7) and cyclopropane (methyleneoctadecenoic/dihydrosterculic acid, ΔC19:0 n-9) fatty acid content in the cell membranes. Finally, the results of the gene expression of adhesion-related proteins revealed that genes *msa*, *mub1*, *mub2*, *mub3*, *mub4*, *lspA*, and *tuf* were upregulated after acid stress. Therefore, the changes in bacteria metabolite profle were positive, and their efects on the adhesion ability of *L. plantarum* ATCC 14,917 evidence the impact of bacterial stress response on its interaction with their host.



<span id="page-7-0"></span>**Fig. 3** Main stress resistance mechanisms and place where they are deployed during the descent of the probiotic through the GIT. Figure adapted from images created with BioRender.com

In a related work, it was demonstrated that *Bifdobacterium longum* sub. *longum* BBMN68 was able to change its protein profle and physiology after subjecting the cells to a medium with sub-lethal pH value (4.5) [\[68](#page-18-26)]. Such condition of acid stress increased the abundance of proteins involved in (a) amino acid metabolism (aspartate aminotransferase-*AspC*; argininosuccinate synthase-*ArgG*; glutamine synthetase-*Glna1*; selenocysteinelyase-*CsdB*), (b) carbohydrate metabolism (phosphoketolase-*Xfp*; glyceraldehyde-3 phosphate dehydrogenase-*GapA*; glucokinase-*GalK*; ADPglucose pyrophosphorylase-*GlgC*; fructokinase-*KdgK*; acetate kinase-*AckA*; transaldolase-*MipB*, enolase-*Eno*), and (c) protein protection (chaperones *DnaK*, *DnaJ1*, and *GroEL*; translation elongation factor-*TurfB*; aminoacyl-tRNA synthetases *GatA*, *GlyS*, *ProS*, *PheS*, *TyrS*). The change in protein profle observed suggests that *B. longum* BBMN68, under sub-lethal pH environment, is capable to prioritize meeting energy requirements and maintain protein structural integrity. Moreover, a significant shift in the ATP  $(>60\%)$ ,  $NH<sub>3</sub> (>65%)$ , and peptidoglycan (>35%) content, as well as an improvement in the H<sup>+</sup>-ATPase activity ( $>$  50%) and maintenance of the intracellular  $pH (pH<sub>in</sub>)$ , was observed in *B. longum* BBMN68. These are response mechanisms that lead to the  $H<sup>+</sup>$  discharge/neutralization and cell wall strengthening.

#### **Bile Stress**

In a recent report, Bagon et al. [\[69\]](#page-18-27) employed a proteomic approach to determine protein diferentially expressed or modifed after exposure of *Lactobacillus johnsonii* PF01 and C1-10 strains to bile stress in growth media (0.1 and 0.3% w/v). Clearly, in both strains, bile signifcantly stimulated the number of secreted proteins (up to 100 new proteins), which were classifed into four main functional categories: (1) cellular processes and signaling (e.g., *MetK*, *FtsK*, *AtpG*, *ClpP*), (2) information storage and processing (e.g., *Adk*, *COG3613*, *Hpt*, *NusB*, *RpsA-S1*), (3) metabolism (e.g., *Fba*, *L7/L12-RplL*, *GapA*, *PepC*, *ackA*, *PPX1*, *GalE*), and (4) miscellaneous (e.g., *Rv3717*, *4-FlgJ*, *Spr*, *FrnE*, *ThrS*, *HisS*). Additionally, a transcriptional analysis revealed that putative proteins with bile response roles (enolase-*Eno*, phosphoglycerate kinase-*Pgk*, pyridoxamine 5′-phosphate oxidase-*Pyr*, 50S ribosomal protein *L7/L12-RplL*, L-lactate dehydrogenase-*Mdh*, triosephosphate isomerase-*TpiA*) were highly upregulated in *L. johnsonii* strains. It has been

<span id="page-8-0"></span>





\*Molecular features over-expressed/produced; \*\*Strain with a health beneft supported by a positive human clinical trial

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reported that all this set of proteins may serve to extracellular nutrient breakdown and to create a matrix of proteins outside the cell, which may have individual or collective functions that promote survival  $[69]$  $[69]$ .

Accordingly, a transcriptomic analysis showed an altered expression of genes and abundance of proteins in *Ligilactobacillus salivarius* Ren when exposed to diferent concentrations of bile salts (0.25 to 1.0 g  $L^{-1}$ ) [\[70\]](#page-18-28). The bacterium was able to shift the regulation (enhancement) of genes involved in (a) amino acid transport and metabolism (*gshA*, *ilvE*, *serA*, *araT*, *pepX*), (b) carbohydrate transport and metabolism (*glpK*, *malB*, *malP*, *dhaK*, *malT*), and (c) cell envelope biogenesis (*betT*, *dacC-1*). Besides, the abundance of several proteins was increased under bile stress, including pyruvate oxidase-*poxB*, branched-chainamino-acid aminotransferase-*ilvE*, aspartate-semialdehyde dehydrogenase-*asd*, and ATP-dependent protease subunit *hslV*, among others. The potential involvements of these genes and proteins in bile resistance suggest that *L. salivarius* Ren expands its profile of carbon sources including utilization of maltose and glycerol for energy production; moreover, the presence of enzymes involved in modifcation of cell surface charge (e.g., *araT*, *Asd*, *HlyIII*) is supposed to hinder the penetration of bile. Finally, the existence of ABC transporters (*lsr\_RS00945*, *lsr\_RS01160*, *lsr\_RS01170*) could contribute to expel bile accumulated in the cytoplasm [\[70](#page-18-28)].

In a comparable work, Ma et al. [\[71\]](#page-18-29) reported that the gene expression, malolactic enzyme (MLE) pathway, and other physiological features were influenced in *Lacticaseibacillus paracasei* L9 when exposed to a bile-rich medium (0.1 to 0.2% w/v). On one side, the transcriptomic analysis showed that ca. 50 diferential expressed genes were upregulated. The recognized functions of these genes are mainly associated with (a) carbon source utilization (*LPL9\_0432*, *LPL9\_2760*, *LPL9\_1931*, etc.), (b) amino acids and peptide metabolism (*opp* operon, *LysX*, *LysY*, etc.), (c) transmembrane transport (*FtsE* family, *LPL9\_1281*, *LPL9\_1668*, etc.), (d) transcription factors (*TetR* family, *LPL9\_0056*, *LPL9\_1280*, etc.), and (e) membrane proteins (*PspC*, LPL9\_0968, LPL9\_0969). Some of these genes have not been reported to be involved in the bile-related stress in other bacteria. On the other hand, the stimulation of L-malic acid metabolism, which is governed by the MLE pathway (upregulation of *mleS* and *mleT* genes), demonstrated to play a crucial role in the alkalinization of the cytoplasm and maintenance of the integrity of the cell membrane. Finally, bacteria grown while in bile stress displayed a rougher and more shrunken appearance, with little variation in length. However, the most surprising fnding was the formation of membrane vesicles on the surface of cells and the signifcant difference in hydrophobicity (threefold higher) when compared with the control treatment.

Similarly, it was found that conjugated (glycodeoxycholic acid-GDCA-) and free (deoxycholic acid-DCA-) bile acids (0.05% w/w) induce a deep metabolic reorganization in *Limosilactobacillus reuteri* CRL1098 (strain with a health beneft supported by a positive human clinical trial) [[72](#page-18-30)]. Novel tolerance biomarkers were identifed, primarily by diferential expression of several proteins. The *L. reuteri* CRL1098 proteome was assigned to distinctive functional categories, namely nucleotide (*iunh*, *ctps*, *AdSS*, *fhs*) and glycerolipid metabolism (*Fe-ADH*), transcription and translation (*tsf*, *fusA*), pH homeostasis and stress responses (*groEL*, *Otc*, *TypA*), and amino acid biosynthesis (*cth*, *gpt*). Among all these proteins, cytosine triphosphate (CTP) synthetase, an enzyme related to the repair of oxidative DNA injuries, was remarkably over-expressed. Additionally, a bile salt hydrolase enzyme (*bsh*) was characterized as a protein of 325 amino acids with a calculated mass of 36,098.1 Da and predicted pI of ca. 4.81. A signifcant upregulation of the *bsh* gene in response to bile stress was also observed. Such enzyme catalyzes the bile acid deconjugation, which appears to be one of the most common detoxifcation strategy that mediate bacterial bile resistance.

# **Osmotic Stress**

Diferent studies have been carried out to acquire genomic knowledge about possible new molecular mechanisms of microbial osmotic tolerance. For instance, Yao et al. [[73\]](#page-18-31) determined the salt tolerance-related genes of *Lactiplantibacillus plantarum* D31 and T9 strains by exposing bacteria to a NaCl-rich medium (5.0–15.0% w/v). Both strains were able to grow at high osmotic pressure caused by up to 8.0% NaCl. Then, draft genome sequences of both strains revealed that ca. 170 genes encoded hypothetical functions related to possible strain-specifc mechanisms for stress tolerance and/or niche adaptation. These genes encompass at least four distinct categories: (a) recovery of intracellular ion balance  $(Na^+/H^+)$  reverse transport and  $K^+$  transport systems =  $kdp$  cluster,  $kup$ ), (b) absorption or synthesis of compatible solutes (nitrate/ sulfonate/bicarbonate ABC transporter and proline synthesis *opuABCD*, *choSQ*, *proABC* cluster, D7Y65\_10050), (c) stress response (DnaK-DnaJ and GroES-GroEL regulatory systems= D7Y65\_09835, D7Y65\_09830, D7Y65\_06915, D31\_D7Y65\_06920, etc.), and (d) transcriptional or response regulators (*GntR*, *TetR*, *Crp*/*Fnr*, and *LysR* families, RNA polymerase sigma factor *RpoD* = D7Y65\_13295, D7Y66\_11330, D7Y65\_04790, D7Y66\_09275). The particular presence of such genes supported the stress resistance phenotype observed in both strains.

On the other hand, some *Lactiplantibacillus plantarum* strains (ATCC14917, FS5-5, and 208) have shown the ability to diferentially express proteins in response to exposure

to osmotic stress (240 g  $L^{-1}$  NaCl) [\[74](#page-19-1)]. After proteomic analysis, 40 to 110 proteins with a molecular mass ranging between 6.9 kDa and 135.4 kDa and pI values between 4.41 and 11.34 were identifed. Particularly, 26 proteins were found to be key enzymes involved in cell response to osmotic stress in diferent metabolic pathways. Overall, the proteins that were over-expressed within the *L. plantarum* strains are mainly involved in (1) sugar and energy metabolism (*pyk*, *gnd*, *adh2*, *ldh*, *pfkA*, *gck*, *eno*), (2) amino acid metabolism (*gadA*, *cysK*, *glmS*), (3) nucleotide metabolism (*rpoA*, *deaD*, *mutS*, *purA*, *adk*), (4) fatty acid metabolism and peptide polysaccharide biosynthesis (*MurA*, *murB*, *FabI*), (5) protein biosynthesis (*rplD*, *rplE*, *rplM*, *rplO*, *rpsC*, *rpsM*), and (6) oxidative phosphorylation (*atpA*, *atpD*). These metabolic perturbations suggest that *L. plantarum* strains focus primarily on the utilization of alternative carbon sources to assure their growth in salt-rich media.

In this same context, Qi et al. [[75](#page-19-2)] characterized the intracellular metabolic response of *Ligilactobacillus salivarius* FDB89 when subjected to hyperosmotic growth conditions (0.8 mol  $L^{-1}$  NaCl). The metabolomic profile exhibited 44 new characteristic compounds including betaine, carnitine, proline, methionine, malonate, aspartate, cyclopentanecarboxylic acid, isoleucine, pyrimidine, and phenylalanine, as well as choline and their derivatives, sn-glycero-3-phosphocholine, phosphocholine, and acetylcholine, which may serve as potential biomarkers for osmotic stress response, since they were consistently accumulated and abundant in *L. salivarius* FDB89. Furthermore, it was described that such characteristic compounds could not only play a key role in protecting macromolecular structures, but also serve as compatible solutes, act as electron acceptors, or be used as unique carbon, nitrogen, and energy source.

Induced alterations in the expression of surface layer (S-layer) proteins, as adaptive mechanism to osmotic stress, was also observed when *Lactobacillus acidophilus* ATCC 4356 (strain with a health beneft supported by a positive human clinical trial) was subjected to elevated salt concentrations (0.3 to 0.8 M NaCl) [[76\]](#page-19-3). In this sense, two distinctive S-layer bands which correspond to proteins with a molecular weight of 45.9 and 49.4 kDa and a pI value of 9.49 were found. The protein content of both bands signifcantly increased (40–60%) as the salt concentration of the culture medium augmented. This behavior was confrmed with the high transcription (expression) level of *slpA* and *slpX* genes. Moreover, the increase in the S-layer proteins conducted to the modifcation of the cell wall. Mass recovery of the complete cell wall, peptidoglycan, and lipoteichoic acids of cells grown in high-salt conditions was up to threefold lower when compared to the control condition. Such modifcation suggests that a bacterium carrying S-layers depends on these proteins to maintain cell wall stability.

#### **Oxidative Stress**

Several studies have been conducted to understand the physiological response of microorganisms to oxidative stress. For instance, the global intracellular metabolic profle of *Pediococcus pentosaceus* R1, exposed to sublethal concentrations (1 to 4 mM) of  $H_2O_2$ , was studied [[77\]](#page-19-4). Data showed that *P. pentosaceus* R1 mobilized plenty of metabolites under oxidative stress. Specifcally, 74 compounds were identified as critical biomarkers. These metabolites can be classified into eleven main categories, being the most abundant (a) amino acids (glycylproline, L-lysine, L-glutamine, 3-aminoisobutanoic acid, 2-hydroxybutyric acid, alpha-ketoisovaleric acid, L-alpha-aminobutyric, L-tyrosine, etc.), (b) carbohydrates (D-galactose, D-glucose, D-maltose, D-arabinose 5-phosphate), (c) organic acids (4-hydroxyphenylpyruvic acid, pyrophosphate, L-pipecolic acid, phenylpyruvic acid), (d) nucleotides (deoxyadenosine, deoxyguanosine, 5-thymidylic acid, uridine 5′-monophosphate), (e) fatty acids (myristic, caproic, dodecanoic acids), f) lipids (MG160, hexanoylcarnitine, glycerol 3-phosphate), and (g) vitamins (niacinamide, pantothenic acid). Such complex metabolite composition indicated that *P. pentosaceus* R1 redirected its physiology to satisfy various important priorities in order to survive and grow; these include energy conservation, reparation of cellular damage, regulation of membrane fuidity, and scavenging of reactive oxygen species (ROS).

Genes involved in detoxifcation and redox homeostasis (*grxC1*, *grxC2*, *trxB1*, *nfnB1*, *nfnB2*), amino acid transport and metabolism (*leuABCD* operon, *ilvC1*, *ilvE*, *livKHMGF* operon), nucleotide metabolism (*uvrD1*, *uvrA1*, dinp1, *recN*, *mutT3*, *nrdGDIEF* operon), and protein modifcation and repair (*groEL*, *groES*, *DnaJ*, *DnaK*, *ClpB*, *GrpE*, *ibpA*, *clpP1*, *thiJ*, *pepO*, etc.) increased their expression in *Bifdobacterium longum* subsp. *longum* BBMN68 as a part of its response to oxygen exposure (3% v/v). Conversely, those implicated in carbohydrate transport and metabolism (*mglA3*, *xylH*, *MalE*, *MalF*, BBMN68\_1170, etc.) and translation, ribosomal structure, and biogenesis (*ddpA1*, *tag*, *tagH*, *irp*, etc.) were repressed after oxygen exposure. These fndings suggest that *B. longum* BBMN68 mainly employs mechanisms of oxygen reduction and ROS detoxifcation, repair of damaged biomacromolecules, and adaptive modulation of several metabolic processes (e.g., utilization of other complex carbon sources) to efectively cope with oxygen-driven stresses [[78\]](#page-19-5).

Calderini et al. [[79\]](#page-19-6) simulated an oxidative environment  $(0.4, 0.8, \text{or } 1.2 \text{ mM } H_2O_2)$  to analyze the protein profile of the oxidative stress response in *Lactobacillus acidophilus* NCFM (strain with a health beneft supported by a positive human clinical trial). The proteomic approach allowed the authors to identify 19 unique proteins (including their isozymes), which changed in abundance caused by  $H_2O_2$ . They were typically associated with four functional categories: (i) energy metabolism (*gapdh*, *pk*); (ii) nucleotide biosynthesis (*nrdD*, *prpps*); (iii) general stress (*GrpE*, *DnaK*, *ClpP*); and (iv) oxidative stress (*cysK*, *abm*). Such biomolecules help to enhance cell ftness by acting as redox sensors eliciting DNA repair mechanisms, satisfying energy requirements, or acting as coenzymes involved in the regeneration of antioxidant enzymes. The most important fnding was the presence of enzymes with cysteine synthase activity (i.e., *cysK*, *gapdh*, *pk*), which are relevant for protein stability, enzyme catalysis, and disulfde-reducing pathway in overcoming oxygen stress.

The transcriptional response in *Bifdobacterium longum* NCC2705 (strain with a health benefit supported by a positive human clinical trial) and D2957, following a sublethal level of  $H_2O_2$  (0.65 to 10 mM) exposure, showed the presence of ca. 90 to 110 genes that were diferentially expressed after treatment. The genes that had more upregulation code for enzymes involved in the functional category of oxidative stress: thioredoxin (*trx*), thioredoxin reductase (*trxR*), peroxiredoxin (*prdx*), ferredoxin (*fdx*), glutaredoxin (*grx*), exodeoxyribonuclease VII small subunit (*xseB*), ribonucleotide reductase alpha sub-unit (*rnr1*), and oxygen-sensitive ribonucleoside-triphosphate reductase (*nrdD*), among others. Additionally, a complementary analysis revealed that *B. longum* strains were capable to shift their cell membrane fatty acid composition to positively affect the intrinsic resistance to  $H<sub>2</sub>O<sub>2</sub>$  exposure. There was a signifcant presence of tetradecanoic/myristic acid (C14:0), hexadecenoic/palmitic acid (C16:0), cis-oleic acid (C18:1 n9 cis), trans-oleic acid (C18:1 n9 trans), and plasmalogens (C18:1 plas). These fatty acids might help to prevent the propagation of free radicals and decrease the amount of lipid peroxidation [[80\]](#page-19-7).

## **Other Stressors**

As mentioned above, peristaltic movements are correlated with the prevalence of high-pressure forces, which can lead to cell disruption or alterations in microbial metabolic/ physiological activities. In this sense, the study conducted by Siroli et al. [[81\]](#page-19-8) showed the effects of sub-lethal highpressure treatments (0.1 to 200 MPa) on both the membrane fatty acid (FA) composition and the transcriptomic profle of *Lacticaseibacillus paracasei* A13. Data revealed an increased concentration of both saturated fatty acids, such as dodecanoic/lauric acid (C12:0), tridecanoic/tridecylic acid (C13:0), tetradecanoic/myristic acid (C14:0), hexadecenoic/palmitic acid (C16:0), and octadecanoic/ stearic acid (C18:0), and unsaturated fatty acids, including hexadecenoic/palmitoleic acid (C16:1), octadecenoic/ oleic acid (C18:1 *cis*), and octadecenoic/elaidic acid (C18:1 *trans*). Furthermore, signifcant perturbation in the expression (upregulation) of several genes involved in fatty acid biosynthesis pathway was observed; these include *accA*, *accB*, *accC*, *fabD*, *fabH*, *fabG*, *fabZ*, *fabK*, and *fabF*. It has been proposed that the presence of these particular FAs may lead to a quick rigidifcation of the cell membrane, whereas the regulated genes may be involved in FA initiation and elongation, as well as in the introduction of double bonds in the carbon chain, a mechanism employed by bacteria to control membrane fuidity and entrance of toxic molecules.

During colonic fermentation, the host-microbiota release diferent metabolic by-products (e.g., alcohols like ethanol) that tend to accumulate in the intestinal lumen. Some of these by-products may have the capacity to inhibit the cell growth and functionality of probiotics. Guo et al. [\[82](#page-19-9)] performed a transcriptome analysis in *Lacticaseibacillus paracasei* SMN-LBK to determine key tolerance genes expressed as a response to culture in an ethanol-rich media (5 to 10% v/v). It was found that ca. 300 diferential genes were upregulated in *L. paracasei* SMN-LBK; however, only certain genes were remarkably expressed under ethanol stress. The first group includes the genes encoding for phosphofructokinase (*pfk*) and l-lactate dehydrogenase (*ldh*), which could be crucial regulators of the glycolytic pathway (improvement of utilization of glucose and satisfaction of energy requirements). The second group includes genes that codify for glycerol-3-phosphate dehydrogenase (*gpdh*), and glycerol kinase (*gk*), which may enhance glycerol production and maintain cellular redox homeostasis. This gene regulation can be closely connected to prioritize cell membrane maintenance.

Nutrient limitation or starvation can also induce metabolic stress in microbial cells, thus afecting their growth and survival. When *Lactiplantibacillus plantarum* B21 was grown in the absence of glucose (0 g  $L^{-1}$ , carbohydrate starvation), specifc metabolic and morphological changes were evidenced [\[83\]](#page-19-10). The metabolomic profile showed that a wide number of metabolites involved in amino acid metabolism were present in high quantities; these include glycine, lysine, norleucine, proline, valine, alanine, and serine, among others. Such composition indicates that *L. plantarum* B21 uses proteins as the main source of energy. Also, the bacterium was capable of altering its metabolic pathways to conserve energy. This response was supported by the elevated accumulation of β-hydroxypyruvic acid, aspartic acid, thiocyanic acid, and other organic acids, which suggests an inhibition of the fermentation and Krebs cycles. In addition, the absence of glucose resulted in an unusual shorter shape (coccoid-like cells), with bristly cell surface. This morphological alteration was refected by a change in the membrane fatty acid composition. Specifcally, the ratio of unsaturated fatty acids to saturated fatty acids (1:1) was dominated by the presence of 9-octadecenoic acid (C18:1

*cis*-9), 7-hexadecenoic acid (C16:1 n-9), and tetracosanoic acid (C24:0). These fatty acids can improve the liquidity, flexibility, and elasticity of cell membranes [[83\]](#page-19-10).

The dynamics of metabolomic biomarkers in *Lacticaseibacillus casei* Zhang (strain with a health beneft supported by a positive human clinical trial) subjected to starvation stress over 4000 generations (glucose-restricted medium,  $0.02\%$  v/v) was also studied [\[84\]](#page-19-0). The accumulating intracellular and extracellular metabolites profle displayed ca. 10,000 substances deferred between generations 0 and 4000. Only 66 metabolites were diferentially expressed and considered as key biomarkers in starvation stress response. They were grouped into three main classes: amino acid metabolism (phenylacetaldehyde, indoxyl, urocanic acid, alanine, aspartate, glutamate, glycine, serine, threonine, histidine, cysteine, methionine, L-homoserine, etc.), nucleotide metabolism (thymine, hypoxanthine, purine uridine, guanosine, etc.), and vitamin and cofactors metabolism (9-cis-retinoic acid, pyridoxine, pyridoxamine, pantothenate, biotin, ascorbate, nicotinate, ribofavin, etc.). The abundance of these specifc compounds indicates that, under a glucose-restricted environment, *L. casei* Zhang enters in a transition to metabolically diversify its carbon sources and supply the cellular energy requirements.

# **Beyond Survival: Is Adaptation Always for the Best?**

The adaptation of probiotics to the harsh conditions prevailing in the GIT may enable their survival, thus increasing the chances of providing health benefts to the host. However, there could also be deleterious relationships between exposure to such stressful conditions and the functional properties of probiotic microorganisms [\[10](#page-16-9)]. As revised above, this behavior is generated by the modulation of a myriad of molecular features within the microbial cell; however, this molecular reprogramming may cause collateral activities that could negatively impact the host's well-being. Thus, in this section, we aimed to review the possible biosafety implications of alterations in the stress response mechanisms of probiotics.

On one side, it is well known that the main factors of stress in the GIT are acid juices and bile. As previously described, in order to survive, microorganisms can reprogram their gene expression to adapt to the new environment. Thus, it is not surprising that under an acidic environment, an increase in the production of ammonia and  $CO<sub>2</sub>$ , as well as proteins and compounds related to intracellular repair caused by the stress, is observed. The released ammonia, produced through the arginine deiminase pathway, allows raising the pH of the environment [\[85,](#page-19-11) [86](#page-19-12)]. The same has been observed under stress caused by bile. Whitehead et al. [[87](#page-19-13)] observed the induction of the arginine deiminase pathway genes during the adaptation of *Limosilactobacillus reuteri* ATCC 55,730 to bile. This pathway is responsible for providing energy and converting arginine into ornithine, ammonia, and  $CO<sub>2</sub>$  which, so far, does not seem to be harmful, but a closer approach allows us to glimpse how biosafety can be compromised when bacteria try to survive and adapt to harsh environments. For instance, ornithine is the precursor amino acid of the biogenic amine putrescine, which despite having low toxicological activity, has been related to increased cardiac output, dilatation of the vascular system, hypotension, and bradycardia. Besides, they may have indirect toxic effects via potentiating the toxicity of histamine and tyramine (other biogenic amines), and by acting as precursor of carcinogenic *N*-nitrosamines [[88\]](#page-19-14). In addition, the stress of acidic environments, and other factors that stress the cell such as  $O<sub>2</sub>$  and NaCl, favor the production of biogenic amines by certain microorganisms (e.g., lactic acid bacteria) through the activity of the enzyme amino acid decarboxylase, since decarboxylation allows the consumption of protons and the production of amines and  $CO<sub>2</sub>$ , restoring the internal pH and consequently increasing survival [[89,](#page-19-15) [90](#page-19-16)]. Therefore, the presence of biogenic amines represents a potential health problem for the host. For this reason, it is a necessity to evaluate the ability to generate biogenic amines in probiotics.

Furthermore, it has been reported that ammonia production, from arginine catalysis, is a widely distributed mechanism among microorganisms to resist acidity and osmotic stress produced by NaCl. The enzymatic mechanism depends on three enzymes and the activation their respective coding genes: arginine deiminase (*arcA*), ornithine carbamoyl transferase (*arcB*), and carbamate kinase (*arcC*) [\[91](#page-19-17)]. However, ammonia is known to activate cofactors of cellular damage and chronic liver damage; additionally, elevated concentrations of ammonia could generate hepatic encephalopathy, which has been associated with Alzheimer's disease [\[92](#page-19-18)]. As an alternative mechanism, some microorganisms can use the arginine deiminase system to produce ammonia, carbon dioxide, and ATP, using agmatine and urea as substrate. During the process, agmatine is hydrolyzed to form putrescine [[93\]](#page-19-19). Therefore, in addition to biogenic amines, the ammonia production capacity must be evaluated to prevent its formation and avoid intoxication [\[89\]](#page-19-15).

In a previous study, screening of 200 strains of *Levilactobacillus brevis* showed that at least 36 strains contained AgDI genes, including agmatine/putrescine antiporter [[94\]](#page-19-20). However, the agmatine deiminase pathway is induced by the presence of exogenous agmatine and is regulated by the carbon catabolic repression that acts through the CcpA protein [\[95](#page-19-21)].

As previously pointed out, the production of bile salt hydrolase (BSH) is one of the enzymes used as the main strategy of probiotics to survive the exposure to bile during digestion. The *bsh* gene encodes BSH, and its expression levels increase during growth in the presence of bile salts [\[96](#page-19-22)], although the intestinal factor could also trigger its expression [\[97](#page-19-23)]. BSH enzymes catalyze the conjugation of conjugated acids glycodeoxycholic and taurodeoxycholic (products of cholesterol metabolism), important for the emulsification of fat in circulation [[98\]](#page-19-24). This conversion capacity has been controversial, as although it has shown positive efects, such as the reduction of serum cholesterol in the host [\[99\]](#page-19-25), negative efects have also been reported, as the free or secondary bile acids formed could act as mutagens and play an important role in the development of gastrointestinal cancer [\[100\]](#page-19-26).

Changes in carbohydrate metabolism have also been regarded as a modulation response during protein expression generated by stress factors, such as bile salts. Specifcally, *Bifdobacterium* species have evidenced changes in glycosidic activity, which at frst sight could improve the assimilation of indigestible carbohydrates [\[101\]](#page-19-27). However, these changes could also increase the expression of enzymes such as *N*-acetyl-β-Dglucosaminidase and α-D-galactosidase, which are involved in the degradation of mucin [\[102](#page-19-28), [103\]](#page-20-18). An increase in this activity may cause boosted intestinal permeability and consequent contribute to sepsis by microbial translocation [\[104](#page-20-19)].

In another scenario, lactate (D- and L-stereoisomers) is a minor fermentation product in the gut; however, it plays an important role as electron sink in the colonic lumen. Specifc microorganisms produce either L-lactate or D-lactate, while others may produce a racemic mix. L-lactic acid can be metabolized and used as energy source, while D-lactic acid requires the enzyme D-lactate dehydrogenase, which humans tend to lack in sufficient levels. Small amounts of D-lactic acid are not a concern; however, this can become a problem when those D-lactic acid-producing microorganisms outnumber the other type, because when large amounts of D-lactate are present, individuals can experience metabolic acidosis. Despite that studies have shown no evidence of the increase of D-lactate in the blood circulation after probiotic consumption, it has been hypothesized that an imbalance of stereoisomers may arise due to the diferential modulation of L-lactate at the expense of D-lactate dehydrogenase, since this enzyme is upregulated during metabolic adaptation of some microorganisms (e.g., *Lactobacillus kefranofaciens* M1 and *L. reuteri* strains) to bile salts and acidic environment [[105](#page-20-20), [106](#page-20-21)].



<span id="page-15-0"></span>**Fig. 4** Basic and clinical research to further study the mechanisms of probiotic stress response. Figure adapted from images created with BioRender.com

The journey that microorganisms take through the GIT after being ingested is full of environments with extreme conditions, which could lead probiotics to regulate an arsenal of molecules that allow it to survive, but which in turn puts its biosecurity in question. Hence, the list of contentious characteristics of probiotics could go on. Nonetheless, there is a lack of enough information about how their biosafety could be compromised by the stressors to which probiotics are subjected to during its passage through the GIT.

# **Concluding Remarks and Prospects**

The GIT is a unique environment in which probiotics have to face several physiological challenges; however, these microorganisms have evolved sophisticated mechanisms to overcome such difficult stresses. The common resistance mechanisms include alteration of cell membranes, regulation of metabolism, repair of macromolecules, and pH homeostasis. However, as described in this review, probiotic strains may also employ a variety of specifc elements directed to cope with GIT stress. Recent advances in omic techniques have provided valuable knowledge regarding the physiological and molecular networks involved in these particular processes. Research has revealed detailed insights into key players involved in gene expression and regulation, activation of specialized metabolic pathways, and promotion of unique biosynthetic capabilities, which may control the new evolutionary mechanisms in probiotic defense.

Under this scenario, the knowledge of probiotic adaptation or defense mechanisms continues to expand; there still will be some gaps that future research needs to focus on. Figure [4](#page-15-0) proposes the integration of in silico, *in vitro*, and *in vivo* approaches that can aid in addressing the gaps in this area. We previously reported in-depth information about such integration and its contribution to gaining clearer insights into how probiotics adapt to the surrounding environment [\[107\]](#page-20-22). Consequently, investigations need to diferentiate between the impact of individual stressors and their combinations on adaptive patterns (e.g., cell growth, survival), particularly because exposure to multiple stress conditions could induce a cross-protection response against unrelated agents. Similarly, further studies on pre-exposure to specifc sub-lethal stress conditions and their infuence on the induction of adaptation responses are needed. These studies can serve as a model for the design of industrial pre-adaptation systems leading to more robust probiotics with better performance (e.g., greater viability/stability, better functionality). Finally, further studies are also needed to understand if the stress response mechanisms might compromise the positive health effects and safety concerns of probiotic microorganisms. Thus, it is imperative to utilize in vivo trials, either in animal or human studies, in order to analyze the actual response dynamics of probiotics under the GIT challenge with respect to their desirable physiological characteristics.

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#### **Declarations**

**Conflict of Interest** The authors declare no competing interests.

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