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Insight into crystal growth and upconversion luminescence property of tetragonal $Ba_3Sc_2F_{12}$ nanocrystals

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Abstract Sc-based nanomaterials have attracted considerable attention due to their unique optical properties different from those of Ln/Y-based nanomaterials. However, studies on Sc-based nanomaterials are far from comprehensive. Particularly, nanoscale alkaline (Ca, Sr and Ba) scandium fluorides were almost ignored for their stringent synthetic conditions. Herein, we synthesize high-quality tetragonal phase $Ba_3Sc_2F_{12}$ nanocrystals with uniform morphology and good dispersibility by carefully tailoring the reaction conditions, such as the molar ratio of reactants, temperature and reaction time. Then, the upconversion (UC) luminescence property of Ba₃Sc₂F₁₂:Yb/Er (Ho) samples is investigated in detail. The doping concentrations of sensitizer (Yb^{3+}) and activator $(Er^{3+} \text{ and } Ho^{3+})$ are optimized for the strongest UC luminescence, of which the corresponding energy transfer processes are also discussed. Moreover, tetragonal Ba₃Sc₂F₁₂ nanocrystals can gradually transform into hexagonal Ba₄Yb₃F₁₇ nanocrystals with the

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increase in Yb³⁺ doping content. This work provides a novel type of Sc-based nanomaterials with strong red UC emissions which are promising in high-resolution 3-dimensional color displays, laser, bioimaging and biolabels. **Keywords** $Ba_3Sc_2F_{12}$ nanocrystals; Crystal growth; Upconversion; Sc-based nanomaterials

1 Introduction

Lanthanide-doped upconversion nanoparticles (UCNPs) have attracted considerable attentions as a result of their outstanding luminescent performance compared with traditional photoluminescent (PL) nanomaterials such as florescent organic dyes and quantum dots (QDs) [1-7]. Owning to their fine photostability, low photobleaching, long lifetimes, minimal phototoxicity and deep penetration depth [8–10], UCNPs are promising in three-dimensional (3D) flat panel displays [11], white light emitting diodes [12, 13], solar cells [14], and especially in biolabels and bioimaging [15-18]. However, UCNPs remain suffering from weak luminescent intensity, low efficiency, high power excitation and a confined excitation wavelength during UC process [19-23]. During last decades, a lot of efforts have been made to enhance UC intensity, such as broadband sensitization [24, 25], surface passivation [26-29], energy transfer modulation [30, 31], surface plasmon coupling [32]. In addition, exploring new host matrix is also an efficient method, because the local crystal field of host matrix and the interaction between host and doping ions have a strong impact on the UC process. As widely confirmed, host lattice determines the distance, spatial position, coordination numbers and the type of surrounding anions of doping ions [33, 34].

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As a recently prevalent topic, Sc-based nanomaterials have become hotspots. Sc belongs to the rare earth family. However, it has no 4f electrons and behaves more like transition metals, which endows Sc-based nanomaterials unique properties, such as different UC luminescence behaviors from those of Y/Ln-based nanomaterials and remarkable negative thermal expansion constant [35–39]. Currently, alkali (Na, K, Rb, Cs) scandium fluorides with various morphologies and size including M_2NaScF_6 (M = K, Rb, Cs), $NaScF_4$, Na_3ScF_6 and KSc₂F₇ have been synthesized and investigated [40-44]. However, alkaline earth ions (Ca²⁺, Sr²⁺ and Ba²⁺) owning closed ionic radii with lanthanide ions were almost ignored. Recently, Yang's group have successfully synthesized SrSc₂F₇ and Ba₃Sc₂F₁₂ crystals with various morphologies by hydrothermal process and investigated the fluorescence of the new host, which indicates that SrSc₂F₇ and Ba₃Sc₂F₁₂ are excellent host material for UC luminescence [45, 46]. However, the research on synthesis and UC luminescence properties of nanoscaled alkaline rare earth fluorides with uniform morphology, and good dispersibility is still absent to the best of our knowledge.

Herein, we report the precise synthesis of $Ba_3Sc_2F_{12}$ nanocrystals by thermolysis method. The synthesis conditions, including temperature, reaction time and ratio of reactants, are optimized to control the crystal growth process and to get high-quality products. Then, we carefully explore the UC luminescence properties of Yb/Er and Yb/ Ho doped $Ba_3Sc_2F_{12}$ nanocrystals and the corresponding energy transfer mechanisms. In order to obtain the strongest UC luminescent intensity, we modify the doping concentration of sensitizer ions Yb³⁺ from 10 mol% to 98 mol% and activator ions Er^{3+} or Ho³⁺ from 1 mol% to 8 mol%. We also notice that with Yb³⁺ doping concentration increasing, pure tetragonal phase $Ba_3Sc_2F_{12}$ can gradually transform to hexagonal phase $Ba_4Yb_3F_{17}$ nanocrystals.

2 Experimental

2.1 Materials

Sc₂O₃ (99.99%), Yb₂O₃ (99.99%), Er₂O₃ (99.99%), Ho₂O₃ (99.99%) and Ba(CH₃COO)₂ (99.99%) were purchased from HWRK Chem Co. Ltd. (Beijing China). CF₃COOH (99%) was obtained from Lingfeng Chemical Reagent Co. Ltd. (Shanghai China). Aleic acid (OA, 90%) and 1-octadecene (ODE, 90%) were purchased from Sigma-Aldrich. All the materials were used directly without further purification.

2.2 Characterization

Powder X-ray diffraction (XRD) data were recorded via a Rigaku D/max 2550 with Cu Kα radiation $(\lambda = 0.15418 \text{ nm})$. The morphology and size of nanocrystals were analyzed by the Hitachi 7700 transmission electron microscope (TEM) with an acceleration voltage of 100 kV. High-resolution TEM (HRTEM) images were obtained through Tecnai G2 F20 field emission transmission electron microscope (FESEM) equipped with an energy-dispersed X-ray system (EDX) operating at 200 kV. Inductively coupled optical emission spectrometry (ICP-OES) analysis was performed on a PE Optima 5300DV spectrometer. The UC luminescence spectra were collected using an Edinburgh F920 spectrometer with an external continues wave diode 980 nm laser at room temperature. All luminescence studies were carried out under identical conditions.

2.3 Synthesis of RE(CF₃COO)₃ precursors (RE = Sc, Yb, Er, Ho)

In a typical synthesis, RE_2O_3 (2 mmol) was dissolved in 2 ml deionized water under vigorous magnetic stirring. CF_3COOH was added to above mixture at room temperature. Then, the solution was heated to 100 °C and kept for 12 h until the solution became transparent. The final products were dried in a vacuum oven at 60 °C for 24 h.

2.4 Synthesis of Ba₃Sc₂F₁₂:Yb/Er nanocrystals

In a typical synthesis, 0.200 mmol Ba(CH₃COO)₂, 0.312 mmol Sc(CF₃COO)₃, 0.080 mmol Yb(CF₃COO)₃ and 0.008 mmol Er(CF₃COO)₃ were added into a 100 ml three-necked round-bottom flask containing 17 ml oleic acid and 3 ml octadecene. The mixture was heated to 120 °C and kept for 30 min with magnetic stirring under an argon atmosphere. Subsequently, the mixture was heated to 310 °C and maintained for 50 min before cooling down to room temperature. Finally, the as-prepared samples were precipitated by addition of ethanol, collected by centrifugation at 9000 r·min⁻¹ for 5 min, washed with water and ethanol several times, and finally redispersed in cyclohexane for further characterization.

3 Results and discussion

 $Ba_3Sc_2F_{12}$ and lanthanide ions doped $Ba_3Sc_2F_{12}$ nanocrystals were prepared via the modified thermolysis method [47]. The crystal growth process is clearly presented in Fig. 1, where aleic acid (OA) was used as surfactant and noncoordinating 1-octadecene (ODE) as



Fig. 1 Scheme of growth process of $Ba_3Sc_2F_{12}$ and $Ba_3Sc_2F_{12}$:Ln (Ln = Yb, Er, Ho) nanocrystals via thermolysis method

reaction solvent for its high boiling point. The carboxyl group of OA can coordinate the rare earth elements, while the long hydrocarbon chain acts as a passivating ligand which can prevent the final nanoparticles from agglomeration. Then, by carefully tailoring experimental conditions, high-quality $Ba_3Sc_2F_{12}$ nanocrystals with great dispersibility, narrow size distribution and good crystallinity could be readily acquired.

Figure 2a1–e1 shows the effect of Ba^{2+} content on the morphology and crystal structure of Ba₃Sc₂F₁₂:Yb/Er nanocrystals. At low Ba²⁺ content, most of the products were irregular nanoparticles and only few tetragonal nanosheets were observed (Fig. 2a1). XRD data indicate that the products are mixture of cubic phase ScF₃ and tetragonal phase $Ba_3Sc_2F_{12}$ (Fig. 2e1). With the increase in Ba^{2+} content, pure tetragonal phase $Ba_3Sc_2F_{12}$ could be acquired. When the molar ratio of Ba^{2+} to Sc^{3+} was 0.50, uniform and well dispersed Ba3Sc2F12 nanosheets were synthesized and the particle size was about 35 nm \times 35 nm. However, at high Ba^{2+} content (Ba:Sc = 2.00), majority of products were tetragonal nanosheets with the size of 5 mm \times 5 nm. These results indicate that Ba²⁺ content has considerable influence on the shape and phase evolution of Ba₃Sc₂F₁₂ nanocrystals and that appropriate Ba²⁺ content is crucial to the synthesis of uniform and pure Ba₃Sc₂F₁₂ nanocrystals.

The morphology and phase development caused by Ba^{2+} content can be explained by the crystallization speedcontrolled model [48–50]. The nanocrystal growth was initiated with the nucleation process of Ba^{2+} , Sc^{3+} and F^- . In addition, the change of monomer concentration in the solution is mainly caused by the nucleation process, while the following growth process has relatively slight impact. The precursors in our experiments were Ba(CH₃COO)₂ and $Sc(CF_3COO)_3$, while the ratio of F⁻ to Sc^{3+} was set as 3, so the ratios of F^- to Ba^{2+} and Ba^{2+} to Sc^{3+} changed depending on the amount of Ba2+ added. Low concentration of Ba^{2+} shall decelerate the particle crystallization rate and produce relatively small number of nuclei, leading to a high monomer concentration, so highly uniform tetragonal $Ba_3Sc_2F_{12}$ nanosheets were obtained under $Ba^{2+}:Sc^{3+}$ = 0.50. However, according to the mechanism of chemical reaction equilibrium, Ba²⁺ content was too low to form $Ba_3Sc_2F_{12}$ when the ratio of Ba^{2+} to Sc^{3+} was 0.25, so the cubic phase ScF_3 was obtained. On the contrary, high Ba^{2+} content can enhance the crystallization speed which results in rapid depletion of $RE(CF_3COO)_3$ in the solution. When the monomer concentration was reduced to a critical level rapidly, Ba₃Sc₂F₁₂ nanocrystals with smaller size and worse crystallinity appeared.

Then, the effect of the reaction temperature on the morphology and crystal structure of Ba₃Sc₂F₁₂ nanocrystals were explored. Corresponding results are listed in Fig. 2a2-e2. At 280 and 290 °C, most of the products were of irregular morphology and only little tetragonal Ba₃Sc₂F₁₂ nanosheets were obtained. XRD results in Fig. 2e2 show that the products were not pure tetragonal phase. As the reaction temperature increased to 300 °C, pure tetragonal phase was acquired. However, the morphology was not uniform and many small round $Ba_3Sc_2F_{12}$ nanosheets were still obtained. XRD results show that the products were only pure tetragonal phase. Further increase the temperature to 310 °C, uniform tetragonal nanosheets with an average size of $35 \text{ nm} \times 35 \text{ nm}$ were acquired. Herein, 310 °C was suitable temperature to synthesize uniform Ba₃Sc₂F₁₂ nanocrystals.



Fig. 2 Ba₃Sc₂F₁₂ nanocrystals synthesized under different reaction conditions: **a1-d1** TEM images and **e1** XRD patterns of Ba₃Sc₂F₁₂ nanocrystals synthesized under different ratios of Ba to Sc (0.25, 0.50, 1.00, 2.00); **a2-d2** TEM images and **e2** XRD patterns of Ba₃Sc₂F₁₂ nanocrystals synthesized at 280, 290, 300 and 310 °C, respectively; **a3-h3** TEM images and **i3** XRD patterns of Ba₃Sc₂F₁₂ nanocrystals synthesized at different reaction time (0, 10, 20, 30, 40, 50, 60, 90 min)

In order to track the morphology and phase evolution of $Ba_3Sc_2F_{12}$ nanocrystals, we monitored the nanocrystal growth process. As is shown in Fig. 2a3-h3, when the reaction time was set as 0, 10 or 20 min, the products were composed of irregular small nanoplates and little small tetragonal nanosheets. Corresponding XRD results indicate the existence of impurities (Fig. 2i3). When the reaction was prolonged to 30 min, pure tetragonal phase $Ba_3Sc_2F_{12}$ nanocrystals could be obtained, which can be seen from the XRD patterns. TEM images show fewer small irregular and more uniform tetragonal nanosheets as the time increased. However, when the reaction time was prolonged to 90 min, the corners and tips of tetragonal nanosheets were smoothed and TEM images show irregular nanoparticles. TEM images of Ba₃Sc₂F₁₂ nanocrystals at different reaction time in Fig. 2a3-h3 obviously demonstrate a typical Ostwald ripening process [51, 52]. In addition, 50 min was enough for Ba₃Sc₂F₁₂ nanocrystals ripening process.

Based on the above results and discussion, we knew that the suitable ratio of Ba^{2+} to Sc^{3+} was 0.5, the best reaction temperature was 310 °C and 50 min was enough for the synthesis of $Ba_3Sc_2F_{12}$ nanocrystals in current system.

To explore the UC luminescence property and to get the strongest UC luminescence intensity of Ba₃Sc₂F₁₂ nanocrystals, we synthesized a series of Ba₃Sc₂F₁₂:Yb/Er (x/2 mol%) nanocrystals under above optimized conditions of which the ratio of Ba^{2+} to Sc^{3+} is 0.50, reaction temperature is 310 °C and reaction time is 50 min. The doping content of sensitizer ion Yb³⁺ was tuned from 10 mol% to 98 mol% firstly. Corresponding TEM images and XRD patterns are listed in Fig. 3. When the concentrations of Yb^{3+} were 10 mol%–30 mol%, the products obtained were pure tetragonal phase $Ba_3Sc_2F_{12}$ nanocrystals with uniform size. With the concentration of Yb^{3+} further increasing to 40 mol%-50 mol%, the products became big tetragonal nanosheets mixed with irregular small nanosheets, which were tetragonal phase $Ba_3Sc_2F_{12}$ and tetragonal phase $Ba_4Yb_3F_{17}$, respectively. When the concentration of Yb^{3+} was more than 60 mol%, the products were pure tetragonal phase Ba₄Yb₃F₁₇. Inductively coupled plasma mass spectroscopy (ICP-MS) analysis was carried out to characterize the actual concentration of Yb³⁺ doped into the as-synthesized $Ba_mSc_{1-x}F_n$:Yb/Er (x/2 mol%) nanocrystals, which are listed in Table S1. Considering the same charge and adjacent ionic radii of Sc3+ (0.0745 nm) and Yb3+ (0.0868 nm), we believe that Yb^{3+} has substituted Sc^{3+} . Figure S1 shows the evolution of (310) diffraction patterns of Ba₃Sc₂F₁₂:Yb/Er ($x/2 \mod \%$). As Yb³⁺ substitutes Sc³⁺, the unit cell of $Ba_3Sc_2F_{12}$ would swell, leading to the shift of XRD peak to small angles according to the Bragg's law, which is consistent with XRD results.

To prove the transformation process from pure tetragonal phase $Ba_3Sc_2F_{12}$ to hexagonal $Ba_4Yb_3F_{17}$, TEM and

high-resolution TEM (HRTEM) images of 20 mol%, 40 mol% and 80 mol% Yb^{3+} doped samples are presented in Fig. S2. The HRTEM image of 20 mol% doped sample reveals a highly crystalline nature and the interplanar distance between the adjacent lattice fringes is 0.299 nm, which matches well with (310) lattice planes of tetragonal phase $Ba_3Sc_2F_{12}$. The HRTEM image of 80 mol% Yb^{3+} doped product shows that the interplanar distance between the adjacent lattice fringes is 0.294 nm, which agrees well with (214) lattice planes of hexagonal phase $Ba_4Yb_3F_{17}$. While 40 mol% Yb^{3+} doped products are the mixture of tetragonal phase $Ba_3Sc_2F_{12}$ and hexagonal $Ba_4Yb_3F_{17}$ nanocrystals. The two crystal structures grow independently and exist as a physical mixture rather than, for example, as core–shell particles [39].

Similar results were also observed when 2 mol% Ho^{3+} ions were used as activator. Corresponding TEM images and XRD patterns are listed in Fig. S3, which indicate that $\text{Ba}_m\text{Sc}_{1-x}F_n$:Yb/Ho (*x*/2 mol%) nanocrystals transform from pure tetragonal $\text{Ba}_3\text{Sc}_2F_{12}$:Yb/Ho to $\text{Ba}_4\text{Yb}_3F_{17}$:Sc/Ho with Yb³⁺ concentration varying from 0 mol% to 98 mol%.

Figure 4a displays UCPL emission spectra of $Ba_mSc_{1-x}F_n$:Yb/Er (x/2 mol%) nanocrystals with variable Yb^{3+} concentration from 0 mol% to 98 mol%. All samples exhibit strong red UC luminescence, which is contrary to NaYF₄:Yb/Er (20/2 mol%) nanocrystals that generate strong green UC emission under 980-nm laser excitation. The UC emission intensity of the products also changed with the variation of Yb^{3+} concentration. When Yb^{3+} concentration varied from 0 mol% to 25 mol%, the UC luminescence intensity of Ba₃Sc₂F₁₂:Yb/Er nanocrystals increased gradually. As is known to us all, Yb³⁺ has a sufficient absorption cross section matching well with common 980-nm laser excitation source. Herein, we reason that introduction of an elevated amount of Yb³⁺ dopants in the Ba₃Sc₂F₁₂ host lattice decreases $Yb^{3+}-Er^{3+}$ interatomic distance and thus facilitates energy transfer from Yb³⁺ to Er³⁺. In addition, high Yb³⁺ contents help sustain multi-step excitation without depletion of the intermediate, which will benefit UC luminescence process. However, as the Yb³⁺ ions content further increased from 25 mol% to 98 mol%, the luminescent intensity fell down quickly as a result of concentration quenching phenomenon, which means that a high Yb³⁺ content leads to an increased possibility of long-distance energy transfer that takes excitation energy to lattice or surface defects instead of luminescence [53, 54]. So, for Ba₃Sc₂F₁₂:Yb/Er system, the optimum Yb³⁺ concentration for efficient UC under 980-nm excitation was 25 mol%.

Then, we optimized Er^{3+} concentration. ICP-MS analysis of the actual concentration of Er^{3+} doped into the assynthesized $Ba_3Sc_2F_{12}$:Yb/Er (25/x mol%) nanocrystals is



Fig. 3 a–l TEM images and m, n XRD patterns of $Ba_mSc_{1-x}Yb_xF_n$: Er (2 mol%) with different amounts of Yb³⁺ doped (x = 10 mol%–98 mol%)



Fig. 4 a UC emission spectra of $Ba_mSc_{1-x}Yb_xF_n$:Er (2 mol%) (x = 0, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90 and 98, mol%); **b** UC emission spectra of $Ba_3Sc_2F_{12}$:Yb/Er (25 mol%/x mol%) (x = 1, 2, 4, 6 and 8, mol%) under the excitation of 980-nm NIR diode laser at room temperature

given in Table S2. Figure 4b shows the UC luminescence spectra of $Ba_3Sc_2F_{12}$:Yb/Er (25/x mol%) nanocrystals with variable Er^{3+} contents ranging from 1 mol% to 8 mol%. As an elevated amount of Er^{3+} dopants will increase the amount of UC emission centers, the UC intensity becomes stronger and stronger as the Er^{3+} contents increase from 1 mol% to 4 mol%. However, more than 4 mol% Er^{3+}

doping would lead to the decrease in intensity because high doping level could inevitably lead to localized concentration quenching of activator emissions caused by enhanced cross relaxation between the lanthanide ions. Herein, the optimal Er^{3+} content is 4 mol%.

For the case of activator Ho^{3+} , we also optimized the doping content of Yb^{3+} . The UC luminescence spectra of



Fig. 5 a lg–lg plots of UC emission intensity in 648 nm (red) and 548 nm (green) intensity for $Ba_3Sc_2F_{12}$:Yb/Er (25 mol%/4 mol%) nanocrystals; **b** luminescent decay curves of Er^{3+} in 648 nm (red) and 545 nm (green); **c** proposed UC mechanism under excitation of 980-nm NIR diode laser at room temperature (*E*: energy)

Ba₃Sc₂F₁₂:Yb/Ho ($x/2 \mod \%$) nanocrystals are exhibited in Fig. S4a, which shows that the optimal Yb³⁺ content was 30 mol%. Then, we changed the concentration of Ho³⁺ from 1 mol% to 8 mol%, finding that the optimal Ho³⁺ content for Ba₃Sc₂F₁₂:Yb/Ho ($30/x \mod \%$) was 4 mol%, of which the results are shown in Fig. S4b. The actual concentrations of Yb³⁺ and Ho³⁺ doped into the as-synthesized Ba₃Sc₂F₁₂:Yb/Ho samples are listed in Tables S3, S4, respectively.

To further understand the underlying UC mechanism of $Ba_3Sc_2F_{12}$: Yb/Er (25/4 mol%), we explored the number of photons involved in the UC luminescence process (Fig. 5a) and UC lifetime (Fig. 5b). According the equation $I \propto P^n$, where I represents the UC luminescence intensity, P is the pumping power, and *n* is the number of required pumping photons; the emission intensity (I) is proportional to P^n . The logarithmic curves between the UC emission intensity and pumping power are shown in Fig. 5a, where the slope of each curve denotes the number of photons required. Based on the value of n presented in Fig. 5a, the UC emissions of 545 and 654 nm all follow a two-photon process. The decay time of energy transition from ${}^{4}S_{3/2}$ and ${}^{4}F_{9/2}$ to ${}^{4}I_{15/2}$ for Er³⁺ is 0.54 and 0.41 ms, respectively. Figure 5c shows the proposed mechanism of UC emission spectra of Ba₃Sc₂F₁₂:Yb/Er (25/4 mol%) nanocrystals. Under 980-nm laser excitation, Yb^{3+} can be excited to ${}^{4}F_{5/2}$ level, from where it transfers its energy to the nearby Er^{3+} ${}^{4}I_{11/2}$ state and returns to its ground state. Populating on the metastable level ${}^{4}I_{11/2}$, Er^{3+} can be either excited to its higher state ${}^{4}F_{7/2}$ via absorbing another photon or relax to its lower state ${}^{4}I_{13/2}$ via non-radiative transition from the level ${}^{4}I_{13/2}$, Er ${}^{3+}$ can also be excited to its higher state ${}^{4}F_{9/2}$ by absorbing a 980-nm photon. The transition of Er^{3+} at ⁴F_{9/2} state to the ground state gives rise to a 654-nm photon, while the Er^{3+} at ${}^{4}\text{F}_{7/2}$ state will relax to ${}^{2}\text{H}_{11/2}$ and ${}^{4}S_{3/2}$, from where they emit 525, 545 nm photons and transit back to the ground state ${}^{4}I_{15/2}$. For Ho³⁺, the red (654 nm) and green (545 nm) emission originates from the characteristic radiative transition from ${}^{5}F_{5}$ and ${}^{5}F_{4}$ of Ho³⁺ to its ${}^{5}I_{8}$ level and their decay time are 0.35 and 0.25 ms, respectively, as depicted in Figure S5.

4 Conclusion

In summary, high-quality tetragonal phase $Ba_3Sc_2F_{12}$ nanosheets with uniform morphology and good dispersibility have been obtained via the thermal decomposition method. Then, we adjusted the doping contents of sensitizer Yb³⁺ and activator ions Er^{3+} or Ho³⁺ to get the strongest UC luminescent intensity, demonstrating that the optimum doping concentrations for Yb³⁺/Er³⁺ and Yb³⁺/ Ho³⁺ are 25 mol%/4 mol% and 30 mol%/4 mol%, respectively. Interestingly, when the Yb³⁺ doping concentration is more than 60 mol%, final products could transform from pure tetragonal phase $Ba_3Sc_2F_{12}$ to hexagonal $Ba_4Yb_3F_{17}$ nanocrystals. This study would provide a new kind of UC nanomaterials with strong red emission, and further complements the investigation of Scbased nanomaterials, which may have promising applications that the Ln/Y-based materials don't possess.

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