# ORIGINAL PAPER



# Predicting 3D heterogeneous in situ stress field of Gaoshangpu Oilfield northern area, Nanpu Sag, Bohai Bay Basin, China

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#### Abstract

Research on in situ stress has important theoretical and practical significance for the exploration and development of oil and gas reservoirs. The orientation and magnitude of in situ stress in the Gaoshangpu Oilfield northern area (GO-NA) were analyzed using borehole breakout data and acoustic emission measurements. Mechanical experiments, logging interpretation, and seismic data enabled spatial characterization of rock mechanics parameters. A 3D geological model and 3D heterogeneous rock mechanics field of the GO-NA were constructed. Petrel and ANSYS modeling provided detailed prediction of the 3D stress field in the GO-NA. The results indicate that the maximum horizontal stress orientation in the GO-NA is generally ENE–WSW-trending, with significant changes in in situ stress orientation within and between fault blocks. Along surfaces and profiles, stress magnitudes are discrete and in situ stress is of the Ia-type. Observed inter-strata differences were characterized by five different types of in situ stress profile. Faults are the most important factor in the large distributional differences in the stress field of reservoirs observed within the complex fault blocks, significantly affecting magnitudes and orientations in the stress field. The next most important influence on the stress field is the reservoir's rock mechanics parameters, which affect in situ stress magnitudes. A strong linear correlation exists between reservoir depth and in situ stress magnitude. This technique provides a theoretical basis for more efficient exploration and development of low-permeability reservoirs. It also serves as a reference for the detailed prediction of inter-well in situ stress in regions with similarly complex fault blocks.

Keywords Complex fault blocks . Three-dimensional heterogeneity . In situ stress prediction . Reservoir model . Gaoshangpu Oilfield

#### Abbreviations



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S<sup>V</sup> Vertical stress

## Introduction

In situ stress refers to the internal stress within the Earth's crust, and is closely related to gravitational and tectonic stresses (Bell [1996](#page-12-0); Kang et al. [2010](#page-13-0)). Knowledge of the in situ stress field of a reservoir is important in petroleum exploration and field development (Finkbeiner et al. [2001;](#page-13-0) Bell [2006;](#page-12-0) Zoback [2007;](#page-14-0) Tingay et al. [2010;](#page-14-0) Li et al. [2014;](#page-13-0) Ju and Sun [2016;](#page-13-0) Ju et al. [2017\)](#page-13-0), because it can affect permeable fracture aperture and orientation as well as fault sealing. Understanding in situ stress also plays an important role in solving engineering problems, such as underground

excavation design (Mizuta et al. [1987](#page-13-0)), wellbore stability evaluation (Zoback et al. [2003](#page-14-0); Tingay et al. [2009](#page-14-0)), and the optimization of ground support systems (Sibson [1994;](#page-14-0) Binh et al. [2007;](#page-13-0) Liu et al. [2016](#page-13-0)).

In recent years, low-permeability reservoirs have attracted considerable research interest globally due to their potential for oil and gas (Farrell et al. [2014](#page-13-0); Lommatzsch et al. [2015](#page-13-0); Nelson [2009](#page-13-0); Zeng et al. [2013\)](#page-14-0). Fracking is a current trend and an effective method for developing low-permeability reservoirs. During hydraulic fracturing, fracture-form, method of fracture extension, and production efficiency are greatly influenced by the state of the in situ stress field. The four most important research aspects during the development of lowpermeability reservoirs are (1) changes in stress within the reservoir; (2) deformation and fracturing mechanisms of the rock; (3) optimization of horizontal well trajectories; and (4) hydraulic fracturing design (He et al. [2015;](#page-13-0) Hoda et al. [2015\)](#page-13-0). In situ stress is one of the most significant characteristics when assessing these key factors. Comprehensive evaluation of in situ reservoir stress, utilizing various methods, is imperative (Zeng et al. [2013;](#page-14-0) Zoback et al. [2003](#page-14-0)).

The most direct and effective means of determining in situ stress are well site measurements and the acquisition of cores data for testing. Core testing includes paleomagnetic orientation, wave velocity anisotropy, acoustic emission, and differential strain; field measurement methods include borehole breakouts, drilling-induced fractures, and downhole microseismic monitoring. These methods can indicate the magnitude and orientation of in situ stress (Dai [2002](#page-13-0); Zoback [2007](#page-14-0); Zang and Stephansson [2009](#page-14-0); Zhang et al. [2012\)](#page-14-0). These techniques are relatively well developed and widely applied. Various models using well logging data have been proposed to calculate the in situ stress of heterogeneous strata (Wang et al. [2008;](#page-14-0) Chen et al. [2009a;](#page-13-0) Fan et al. [2009](#page-13-0)), to obtain onedimensional and continuous in situ stress data for entire well sections.

There remains a lack of mature analytical methods and techniques for predicting the distribution of inter-well in situ stress, especially in regions with complex fault blocks and highly heterogeneous stress fields. At present, the main methods for predicting in situ stress fields are twodimensional or three-dimensional (3D) numerical simulations using the finite element method (FEM) and wells as constraints (Xie et al. [2008](#page-14-0); Liu et al. [2009](#page-13-0); Tian et al. [2011](#page-14-0); Yang et al. [2012](#page-14-0); Yu et al. [2016;](#page-14-0) Dai et al. [2016](#page-13-0)). The former is mainly used for large-scale basin modeling. It focuses on predicting in situ stress orientation and qualitative to semi-quantitative studies of in situ stress magnitudes. The latter can reflect the distribution of the stress field within target strata in 3D space. However, prediction accuracies are largely dependent on the construction of the geological model and determination of the rock mechanics parameters.

Previous studies have applied digital processing to the target layers in a tectonic map to obtain the 3D coordinates of the layers (Wang et al. [2007](#page-14-0); Dai et al. [2011](#page-13-0), [2014;](#page-13-0) Ding et al. [2011](#page-13-0), [2016](#page-13-0); Lei et al. [2015](#page-13-0); Wang et al. [2016\)](#page-14-0). However, the precision of digitization is low, resulting in oversimplification of faults. Furthermore, the mechanical models used are stratified horizontally and have homogeneous planes, meaning that well-constrained rock mechanics parameters are substituted for those of a particular area or entire region (Zhu et al. [2016](#page-14-0)). Such approaches do not meet the requirements for understanding the in situ stress field of reservoirs within complex fault blocks.

This study examines a deep-buried reservoir in the Gaoshangpu Oilfield northern area (GO-NA). Research on in situ stress of the GO-NA can provide technical support for well-planning and the design of fracturing schemes (Cao [2005;](#page-13-0) Haghi et al. [2013](#page-13-0)), thereby potentially improving the outcomes of reservoir exploration and development.

In view of the aforementioned issues, the enhanced method proposed here employs two innovations: (i) seamlessly joining geological and FEM models, using a combined Petrel and ANSYS modeling technique to more accurately model the actual undulations of target strata in the study area and produce detailed 3D fault characterizations; and (ii) using a combination of core tests and geophysical methods to construct a 3D heterogeneous rock mechanics model of the target strata. These will be used to predict in situ stress distributions in the GO-NA field and to inform optimum well pattern design within the strata for fracturing.

## Overview of study area

Bohai Bay Basin is an important hydrocarbon-producing province on the eastern coast of China, covering approximately 200,000 km<sup>2</sup>. It appears as a northeast-trending "lazy-Z" pattern (Mann et al. [1983\)](#page-13-0) on the regional geologic map (Dong et al. [2010\)](#page-13-0) (Fig. [1a\)](#page-2-0) and consists of six major depressions: Liaohe, Bozhong, Jiyang, Jizhong, Huanghua, and Linqing (Gong [1997](#page-13-0)) (Fig. [1b\)](#page-2-0). The deep sandstone reservoir examined here is sited within a region of complex fault blocks formed by the Gaoliu Fault and its derivative faults (Zhang [2010\)](#page-14-0). It is sited in the northern region of the Nanpu Sag, in the northeast part of Huanghua Depression, and covers an area of 1930 km<sup>2</sup>. The main oil and gas reservoir is located in the second and third sub-section of the third section of Shahejie Formation  $(Es_3^2 + 3$  layer). The Gaoshangpu Oilfield is divided into northern (GO-NA) and southern (GO-SA) areas by the Gaobei Fault. In the GO-SA, NE–SW and NW–SE-striking faults developed in a reticulate pattern, dividing the area into multiple fault blocks that are nearly rectangular or rhombic in shape. The GO-NA is dominated by NE–SW striking faults that divide it into several NE–SW-oriented elongate fault

<span id="page-2-0"></span>

Fig. 1 a Map showing the outline of Bohai Basin. b Map of Bohai Basin showing the major faults and location of the study area. c Map of the study area showing the fault block structure of the GO-NA and Go-SA areas. Contours represent the top surface of  $Es3^{2+3}$ 

blocks (Fig. 1c). Approximately 70% of the reservoir is oilbearing and under exploitation (unpublished data from the PetroChina Jidong Oilfield Company 2017). In the middle section of the reservoir is the Gao-5 fault block, which is currently the main focus for exploration and development in the Jidong Oilfield. The target area of this study is the GO-NA's  $\text{Es}_3^2$ <sup>+3</sup> layer.

Layer  $\text{Es}_3^{2+3}$  of the GO-NA contains five oil series, labeled I–V from top to bottom (unpublished data from the PetroChina Jidong Oilfield Company 2017). The target layer

<span id="page-3-0"></span>is buried at a depth of 3000–4000 m and comprises mainly distributary channel sand bodies of subaqueous fan deltas, characterized by highly variable lithofacies and variable fluid properties. Average porosity and permeability are 15.7% and 6.59 mD, respectively, giving the reservoir medium/low porosity and low permeability. In addition, the GO-NA has poor physical properties, high heterogeneity, and minor tectonic fracture growth. The GO-NA is a significant but difficult exploration area within the Jidong Oilfield (Wan et al. [2015\)](#page-14-0). This region of the Jidong Oilfield is currently the focus of exploration and development; however, progress has generally been slow and inefficient due to the poor geological conditions and inadequate exploitation techniques. After many years of waterflooding operations, the oilfield is currently undergoing reassessment for the development of well patterns (unpublished data from the PetroChina Jidong Oilfield Company 2017).

### Methodology and input data

#### In situ stress tensor

In general, the in situ stress state can be described by the stress tensor, which includes the orientation and magnitude of the three orthogonal principal stresses (Engelder [1993\)](#page-13-0). In general, three types of in situ stress regime are determined based on the relative magnitude of the minimum horizontal stress  $(S_{\text{hmin}})$ , maximum horizontal stress  $(S_{\text{Hmax}})$ , and vertical stress  $(S_V)$  (Anderson [1951](#page-12-0)):

- (i) Normal faulting stress regime:  $S_V > S_{Hmax} > S_{hmin}$ : I-type (Ia-type if  $S_{\text{hmin}} > 0$ ; Ib-type if  $S_{\text{hmin}} < 0$ ).
- (ii) Strike-slip faulting stress regime:  $S_{\text{Hmax}} > S_{\text{V}} > S_{\text{hmin}}$ .
- (iii) Reverse-faulting stress regime:  $S_{\text{Hmax}} > S_{\text{hmin}} > S_{\text{V}}$ .

Stress coefficients are important for describing in situ stress and include the ratio between  $S_{\text{Hmax}}$  and  $S_{\text{hmin}}$  ( $k_{H/h}$ ), lateral pressure coefficient  $(k)$ , and  $S_{Hmax}$  and  $S_{hmin}$  horizontal stress coefficients ( $k_H$  and  $k_h$ , respectively) (Brown and Hoek [1978](#page-13-0); Savage et al. [1992](#page-14-0); Engelder [1993](#page-13-0); Tingay et al. [2010](#page-14-0)). Equation 1 can be used to calculate the relationship between the various stress coefficients:

$$
\begin{cases}\n k_{H/h} = S_{\text{Hmax}} / S_{\text{hmin}} \\
 k = (S_{\text{Hmax}} + S_{\text{hmin}}) / (2S_V) \\
 k_H = S_{\text{Hmax}} / S_V \\
 k_h = S_{\text{hmin}} / S_V\n\end{cases}
$$
\n(1)

The stability of the reservoir rock is affected by  $k_{H/h}$ , which is similar to the horizontal differential stress ( $S_{\text{Hmax}}$  –  $S_{\text{hmin}}$ ). The larger the ratio, the more unstable the rock (Li et al. [2011b](#page-13-0)). This causes fractures to extend along

the orientation of  $S_{\text{Hmax}}$ , such that it would be difficult for complex reticulated fractures to form. Coefficient  $k$ describes the horizontal stress being borne by the underground rock mass, and is the direct manifestation of the horizontal load at the borehole wall.  $k_H$  and  $k_h$  describe the relationship between the horizontal and vertical stresses. The stress coefficients and depth cross-plots show a general trend for horizontal in situ stress to increase with depth. This provides supplementary information on the spatial distribution of in situ stress, and reference values for estimating in situ stress in regions lacking such data.

#### Characteristics of in situ stress at key wells

#### Orientation of in situ stress by borehole breakouts

Practical experience has shown that the direction of in situ stress can be determined according to the orientation of borehole breakouts (Bell and Gough [1979](#page-12-0); Dai [2002;](#page-13-0) Zoback et al. [2003](#page-14-0)). When a well is drilled, the removal of rock from the subsurface reduces support of the surrounding rock, resulting in concentrated stresses (Plumb and Hickman [1985;](#page-13-0) Rajabi et al. [2010\)](#page-14-0). Borehole breakouts occur when the stress exceeds that required to cause rock failure, with the orientation of the borehole breakouts representing the orientation of the minimum horizontal stress  $(S_{\text{hmin}})$  (Bell and Gough [1979;](#page-12-0) Zoback et al. [2003;](#page-14-0) Brooke-Barnett et al. [2015;](#page-13-0) Fig. [2a\)](#page-4-0). Generally, borehole breakouts appear in image logs as broad, parallel, and often poorly resolved conductive zones separated by 180°, with caliper enlargement in the direction of the conductive zones (Bell [1996](#page-12-0); Rajabi et al. [2010](#page-14-0); Tingay et al. [2010;](#page-14-0) Kingdon et al. [2016\)](#page-13-0). For example, the Fullbore Formation Microimager (FMI) log of an interval of borehole breakout in well G32-21 (Fig. [2a](#page-4-0)) shows breakout orientation as N–S, indicating that the maximum horizontal stress  $(S_{Hmax})$  was in an E–W orientation. After determining the orientations of borehole breakouts for nine wells, the  $S_{\text{Hmax}}$  of the GO-NA was determined to be between ENE–WSW and E–W (Fig. [2b](#page-4-0) and Fig. [8\)](#page-9-0). The image logs and data were obtained from Jidong Oil Company reports.

#### In situ stress magnitude by acoustic emission

The acoustic emission method is generally used to determine paleo-tectonic stresses experienced in rocks, and can also be used to acquire in situ stress magnitude (Holcomb [1993](#page-13-0); Chen et al. [2009b;](#page-13-0) Li et al. [2011a](#page-13-0); Lehtonen et al. [2012](#page-13-0); Zhao et al. [2012](#page-14-0)). Brittle materials retain memories of the loading effect that they have been subjected to (Zang and Stephansson [2009](#page-14-0)). The stress history of rock can be analyzed based on this characteristic on the basis <span id="page-4-0"></span>Fig. 2 a Borehole breakout as observed in (left) schematic borehole and (right) image logs (example from well G32-21). b The azimuth histograms show the orientation of borehole breakouts and the blue arrows indicate S<sub>Hmax</sub> orientation



of this ability. According to the definition of Kaiser effect, the preexisting maximum stress of sampling point is measured by AE method instead of the current stress. However, after a lot of practice, the concept of "visual Kaiser effect" was proposed. In detail, AE method/curve can obtain two Kaiser points, one corresponding to the stress causing the saturated saturation of the rock. It is consistent with the current stress field and lower than the historical maximum stress value, so it is called the visual Kaiser point. On AE curve, after the visual aiser point, another true Kaiser point is obtained, which corresponds to the highest historical stress.

From above, the load stress experienced by rock samples from different directions  $(X, Y, XY, and Z$  directions) can be evaluated (Fig. [3\)](#page-5-0), and the values of in situ stress analyzed using the following equations.

$$
\begin{cases}\n\sigma_V = \sigma_{\perp} \\
\sigma_H = \frac{\sigma_x + \sigma_y}{2} + \sqrt{\left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \left(\sigma_{x45y} - \frac{\sigma_x + \sigma_y}{2}\right)^2} \\
\sigma_h = \frac{\sigma_x + \sigma_y}{2} - \sqrt{\left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \left(\sigma_{x45y} - \frac{\sigma_x + \sigma_y}{2}\right)^2}\n\end{cases}
$$
\n(2)

Here,  $\sigma_{\perp}$ ,  $\sigma_{x}$ ,  $\sigma_{x45y}$ , and  $\sigma_{y}$  are the in situ stress components of the Z, X, XY, and Y directions, respectively.

In this study, 11 groups of AE tests were conducted at Shandong University of Science and Technology (see Table [1](#page-5-0)). In situ stress magnitude in the GO-NA varies widely:  $S_{\text{Hmax}}$  and  $S_{\text{hmin}}$  were 58.20–82.38 MPa and 49.88– 72.34 MPa, respectively;  $S_V$  and horizontal differential stress were 66.15–91.70 MPa and 5.52–13.14 MPa, respectively.

<span id="page-5-0"></span>

Fig. 3 a Sampling acoustic emissions from various directions  $(X, Y, XY,$  and Z directions). b Cumulative acoustic emission graph used to determine magnitude of in situ stress (example from Y direction in well G66X5, where stress at point  $AE = 93.02$  MPa)

The overall distribution of in situ stress tended to be lower in the west and higher in the east.

#### Detailed prediction of a 3D heterogeneous stress field

Figure 3 outlines the workflow involved in detailed prediction of a 3D heterogeneous stress field. First, Petrel 3D visualization software was used to construct a 3D geological model of the target layer in the study area, based on drilling, logging, seismic, and regional geological data. After deriving surface and fault data for the target stratum, AutoCAD software was used to extract the curved surfaces and lines and for model reconstruction. A standalone application was developed to convert the model into a format (iges) recognized by ANSYS software, thereby enabling the model to be imported into ANSYS.

The results of rock mechanics experiments were used as constraints, and were combined with geophysical methods to construct a field model of 3D rock mechanics. The 3D heterogeneous rock mechanics parameters were then assigned to each grid of the FEM model by programming (Fig. [4\)](#page-6-0). The test results for in situ stress in key wells were used as constraints, combined with the geotectonic setting of the study area to determine the appropriate constraints, and then loaded for application to the model. The results were automatically calculated in ANSYS. By seamlessly combining the geological and FEM models, the stress field predictions obtained via numerical simulation were treated as a type of geological information and were again input into the 3D geological model. This allowed the predicted stress fields to be analyzed.

#### Geological modeling and rock mechanics field

The geological model consists of the structural model, its attributes, and related geological information; the structural

Table 1 Error analysis of simulated in situ stress

Well number	$S_{\text{Hmax}}$ (MPa)			$S_{\text{hmin}}$ (MPa)			$S_V$ (MPa)			$S_{\text{Hmax}} - S_{\text{hmin}}$ (MPa)		
	М	S	E	M	S	E	М	S	E	M	S	E
G14	58.20	57.00	1.20	49.88	50.00	0.12	66.15	67.00	0.85	8.32	7.00	1.32
G23	69.50	70.00	0.50	59.46	57.00	2.46	81.05	80.00	1.05	10.04	13.00	2.96
G82	79.37	74.00	5.37	68.28	58.00	10.28	90.23	82.00	8.23	11.09	16.00	4.91
G23-39	72.26	68.00	4.26	59.12	55.00	4.12	88.65	82.00	6.65	13.14	13.00	0.14
G32-30	65.63	66.00	0.37	60.12	55.00	5.12	78.87	73.00	5.87	5.52	11.00	5.48
$G66 \times 3$	81.30	77.00	4.30	70.13	58.00	12.13	86.80	89.00	2.20	11.17	19.00	7.83
$G66 \times 5$	82.38	78.00	4.38	72.34	60.00	12.34	91.70	95.00	3.30	10.04	18.00	7.96
$G65-1$	62.91	63.00	0.09	54.16	54.00	0.16	70.50	68.00	2.50	8.75	9.00	0.25
G66	78.34	74.00	4.34	67.38	58.00	9.38	86.20	87.00	0.80	10.96	16.00	5.04
$G32-21$	63.07	67.00	3.93	54.00	56.00	2.00	75.00	73.00	2.00	9.07	11.00	1.93
G180X8	72.00	70.00	2.00	59.50	56.00	3.50	78.60	73.00	5.60	12.50	14.00	1.50

 $M$  measured,  $S$  simulation,  $E$  error

<span id="page-6-0"></span>Fig. 4 Flow chart for detailed prediction of 3D heterogeneous stress field



model includes the surface and fault models. The current 3D structural model of the GO-NA comprises 14 faults and the surfaces of five oil series. The area is a monoclinic structure that dips to the north and is divided into multiple fault blocks. The faults are of various sizes, with fault spacings of approximately 20–100 m and dip magnitudes that generally exceed 60°. All are normal faults (Fig. [6a](#page-7-0)).

The rock mechanics parameters include Young's elastic modulus, Poisson's ratio, and rock density, all of which are prerequisites for in situ stress research. Logging data were used to explain the continuous rock mechanics parameters of a single well profile, calculated as follows (Wang et al. [2014](#page-14-0); Lu et al. [2015\)](#page-13-0):

$$
E_{\rm d} = \frac{\rho_{\rm b}}{\Delta t_{\rm s}^2} \frac{3\Delta t_{\rm s}^2 - 4\Delta t_{\rm p}^2}{\Delta t_{\rm s}^2 - \Delta t_{\rm p}^2} \tag{3}
$$

$$
\mu_{\rm d} = \frac{\Delta t_{\rm s}^2 - 2\Delta t_{\rm p}^2}{2\left(\Delta t_{\rm s}^2 - \Delta t_{\rm p}^2\right)}\tag{4}
$$

where E is Young's elastic modulus, MPa;  $\mu$  is Poisson's ratio, dimensionless;  $\rho_b$  is rock density, kg/m<sup>3</sup>; and  $\Delta t_p$  and  $\Delta t_s$  are the time differences of the longitudinal and transverse waves, respectively, μs/ft.

The parameters for elasticity calculated from logging data are dynamic, and vary to some extent from the static parameters for elasticity. Since the latter are more suitable for petroleum engineering projects, a conversion relationship was established as a dynamic–static parameter correction (Fig. [5\)](#page-7-0). Static parameters were obtained from rock mechanics experiments. Correction for rock density was not required because this is less affected by experimental and calculation methods.

The 3D distributions of GO-NA rock mechanics parameters were obtained after integrating the area's seismic attributes (Fig. [6\)](#page-7-0). The elastic modulus mainly varied between 24 and 42 GPa, and Poisson's ratio was concentrated at 0.2– 0.27. In the 3D space, rock density ranged between 2.05 and 2.60 g/cm<sup>3</sup>. The results show clear differences in rock mechanics parameters within and between fault blocks.

Since samples from the GO-NA presented brittle deformation characteristics, numerical simulations and calculations were made according to elastomer data. Solid185 is a highorder, 3D, 20-node solid structural unit in ANSYS that can

<span id="page-7-0"></span>

Fig. 5 Linear regression models used as dynamic–static correction parameters for elastic modulus and Poisson's ratio

better simulate irregular grid models and comply with the mechanical characteristics of reservoir rocks (Wang [2014](#page-14-0)). Hence, it was used as the unit type for faults and strata. After accounting for simulation accuracy and the computational efficiency of the model, the step size of the fault grid and the strata with its surrounding rocks were set to 300 and 500, respectively. The model was divided into 224,528 nodes and 1,323,943 units.

#### Boundary conditions

Boundary conditions affect the accuracy of the numerically simulated stress fields. Based on the results from the "[In situ](#page-3-0) [stress tensor](#page-3-0)" section, the principal compressive stress in the study area was taken to be orientated ENE. As such, the length of the external frame for the rocks surrounding the model was aligned parallel to that orientation. Next, the geotectonic setting of the study area (Fig.  $7b$ ) was considered, using the measured data (Table [1\)](#page-5-0) as constraints.

First, an initial value (uniform pressure of 85, 80, and 90 MPa were applied to the northern, southern, and eastern boundaries, respectively) is set according to the in situ stress value of the key wells. In order to minimize the error between simulated and measured in situ stresses of key wells, following multiple trial calculations and appropriate boundary conditions were ascertained for the model. A pressure of 85 MPa was applied to the western boundary, and pressure gradients of 75–80, 72–80, and 88–93 MPa were applied to the northern, southern, and eastern boundaries, respectively (Fig. [7c](#page-8-0)). Concurrently, a right-lateral strike-slip of 10 MPa was applied to simulate the impact of the Tanlu fault zone. Another 30 MPa of



Fig. 6 Structural models of GO-NA and its 3D rock mechanics parameters. a 3D geological model of GO-NA. b Elastic modulus model. c Poisson's ratio model. d Rock density model

<span id="page-8-0"></span>

Fig. 7 a Mechanical elements of 3D stress fields in adjacent elements, where colors represent different mechanical attributes. b Geotectonic background. c Setting of boundary conditions

pressure was applied in the downward vertical direction, based on rock mass gravity.

# Results and discussion

The distributional characteristics of GO-NA in situ stress were obtained using FEM simulations and calculations. These included the orientations and magnitudes of  $S_{\text{Hmax}}$ ,  $S_{\text{hmin}}$ ,  $S_{\text{V}}$ and, horizontal differential stress ( $S_{\text{Hmax}} - S_{\text{hmin}}$ ). The simulated and measured data were then compared (Fig. [8,](#page-9-0) Table [1\)](#page-5-0). The average errors in  $S_{\text{Hmax}}$  and  $S_{\text{hmin}}$  were 2.79 and 5.60 MPa, respectively, and those for the  $S_V$  and horizontal differential stress were 3.55 and 3.58 MPa, respectively.

#### Distribution of in situ stress orientations

The overall orientation of  $S_{Hmax}$  was NE–SW to ENE–WSW with a measured range of 58–238° to 86–266°. In the central region of the study area, the orientation of  $S_{\text{Hmax}}$  was closer to ENE–WSW, ranging between 68–248° and 72–252°. Orientations in the eastern and western regions gradually rotated toward NE–SW (60–240°; Fig. 7). The orientations of  $S_{\text{hmin}}$  and  $S_{\text{Hmax}}$  were perpendicular, and the overall  $S_{\text{hmin}}$ orientations were NNW–SSE to NW–SE.

Within a fault block, the orientation of  $S_{Hmax}$  was relatively uniform and the variations consistent. In contrast, changes in orientation were most obvious between different fault blocks. Studies have shown that lithologic changes can lead to the deflection of stress direction, and there is a quantitative relationship between the mechanical parameters of different lithofacies and the deflection in the direction of in situ stress (Xu et al. [2019\)](#page-14-0). The lithofacies in the study area varies greatly (Fig.[6](#page-7-0)), so it is considered that the non-uniform stress orientations were mainly caused by lithofacies heterogeneity and fault distribution. It caused small but consistent changes in the stress orientation within a fault block. But the faults caused obvious deflections of the stress orientation. Consequently, there were large differences in stress orientation between fault blocks on either side of a fault.

The degree of stress deflection is related to the fault characteristics, including scale (mainly the fault's slip), strike, filling material, and morphology. The angle between fault strike and regional principal stress was the main determinant of deflections in the in situ stress field. The largest deflection angle of  $S_{\text{Hmax}}$  was observed when fault strike and regional  $S_{\text{Hmax}}$ formed angles of 30–60°. The deflection was also oriented toward that of the fault. F1 and F2 are boundary faults of the Gao-5 fault block that strike approximately NE–SW (45–225° to 50–230°; Fig. 7). Since the regional  $S_{\text{Hmax}}$  was oriented ENE–WSW (80–260°), it formed an angle with the two faults

<span id="page-9-0"></span>

Fig. 8 Measured and simulated orientation of  $S_{\text{Hmax}}$  in GO-NA

of approximately 35 $^{\circ}$ . Hence, the orientation of  $S_{\text{Hmax}}$  was deflected along the fault in the vicinity of faults F1 and F2.

When the regional  $S_{\text{Hmax}}$  and a fault's strike were nearly parallel or perpendicular (i.e., the angle between them was either <  $30^{\circ}$  or >  $60^{\circ}$ ),  $S_{\text{Hmax}}$  showed either very small deflection or none. The strike of fault F3 was NE–SW (60–240°), forming an angle of approximately  $10^{\circ}$  to  $S_{\text{Hmax}}$ , and the strike of fault F4 was nearly perpendicular (Fig. [6](#page-7-0)). Thus, near these two faults, there was no obvious deflection in the orientation of  $S_{\text{Hmax}}$ .

The influence of fault scale on in situ stress was manifested in terms of the magnitude of the fault's slip: larger slip was associated with larger deflection of in situ stress orientation and a wider range of impact; smaller slip was associated with smaller deflection angle and narrower range of impact. As shown in Fig. 8, the deflection of  $S_{\text{Hmax}}$  caused by fault F5 was less significant than that caused by faults F1 and F2.

Deflection of in situ stress orientation is also affected by the properties of the filling material within a fault zone. Hudson and Cooling ([1988](#page-13-0)) proposed that if the elastic modulus of the filling materials within a fault was lower than that of the surrounding rocks, then stress orientation would be deflected along the fault's strike; if the elastic modulus was higher, stress orientation would be deflected perpendicular to the fault's strike; if both had similar elastic modulus, there would be no deflection. All the faults developed in the GO-NA are of normal type. Interpretation of the core observations and well logging data indicate that the filling materials within the faults had a compaction effect but did not strongly crush the rock mass. In the present case, we can infer that the surrounding rocks have higher elastic modulus, the influence of filling material deflects  $S_{\text{Hmax}}$  along the fault strike.

#### In situ stress magnitude

The simulated GO-NA 3D stress fields indicate that the magnitude of  $S_{\text{Hmax}}$  generally tends to be lower in the west and higher in the east, consistent with the trend observed in measured data.  $S_{\text{Hmax}}$  mostly ranged between 60 and 85 MPa. Moving from oil series I to oil series V, the magnitude of  $S_{\text{Hmax}}$  increased with depth. The average stress gradient was 2.08 MPa/100 m. At fault peripheries, stresses were lower, at approximately 57.5–62 MPa (such as F1 in oil series I in Fig. [9a](#page-10-0)), and  $S_{\text{Hmax}}$  was reduced by 30% compared with that of the respective layer. Where fault scale was large (wide slip and long extension, such as F1 and F2), it resulted in a larger range in low-magnitude zones. At fault intersections, the internal rock mass was more severely crushed (Xu [2019\)](#page-14-0) and there was greater reduction in stress magnitude. Faults with different dip had different influence on in situ stress distribution: the steeper the fault dip, the smaller the range in lowmagnitude zones; the shallower the dip, the larger the range in low-magnitude zones (Table [2](#page-10-0)).

The magnitude of  $S_{\text{hmin}}$  showed similar distribution trend to that of  $S_{\text{Hmax}}$ , being lower in the west and higher in the east. The magnitudes were mainly 50–70 MPa, and average stress gradient was 1.67 MPa/100 m.  $S_V$  was approximately 65– 98 MPa, and the average stress gradient was 2.25 MPa/

<span id="page-10-0"></span>Fig. 9 Simulated GO-NA stress fields for oil series strata I–V. (a) Simulated  $S_{\text{Hmax}}$ . (b) Simulated horizontal differential stress



100 m (Fig. [10a\)](#page-11-0). Overall, horizontal differential stress was generally < 15 MPa and did not exceed 30 MPa. Again, the spatial distribution showed lower magnitude in the west and higher in the east (Fig. 9b). Within the target layer, in situ

Table 2 Information of main fault geometry

Strike	Dip	Length	Distance
$NE38 - 42^{\circ}$	$58 - 64^{\circ}$	9.4 km	$120 - 400$ m
$NE36~40^\circ$	$54 - 60^{\circ}$	8.2 km	$100~130$ m
$NE20~30^\circ$	$40 - 45^{\circ}$	$3.8 \text{ km}$	$120 - 140$ m
$NE18~23^\circ$	$60 - 66$ °	$2.2 \text{ km}$	$80 - 100$ m
$NE50~54^\circ$	$37 - 45^{\circ}$	3.4 km	$100 - 110$ m

stress was categorized as Ia-type  $(S_V > S_{Hmax} > S_{Hmin})$ (Anderson [1951](#page-12-0)).

Depth data showed ideal linear relationships with  $S_{\text{Hmax}}$ ,  $S_{\text{hmin}}$ , and  $S_{\text{V}}$  (Fig. [10a](#page-11-0)). Since Sv is basically related to burial depth and rock density, these parameters showed the highest correlation coefficient  $(> 0.97)$ . Horizontal stresses were affected by multiple factors, including structural form, strata heterogeneity, and residual tectonic stress. Thus, compared with vertical stress, horizontal stresses showed greater heterogeneity, with correlation coefficient of approximately 0.75. The heterogeneity of the principal stress gradually decreased with increasing depth. For the target GO-NA layer, the  $S_{\text{Hmax}}$ and Shmin coefficients were concentrated at 0.83 and 0.64, respectively; that of the lateral pressure coefficient was concentrated at 0.74 (Fig. [10b](#page-11-0)).

<span id="page-11-0"></span>

Fig. 10 a Relationship between depth and GO-NA principal stress components. b Relationship between depth and GO-NA stress coefficients



Fig. 11 Gao-5 fault block: in situ stress characteristics and profile types. a 3D distribution of Shmin for GO-NA and Gao-5 fault block. b In situ stress profile of well G5-34. c In situ stress profile of well G32-30. d In situ stress profile types identified from GO-NA wells

#### Analysis of inter-strata in situ stress

Inter-strata in situ stress affects the height and direction in which fractures extend and expand, which is important in reservoir modeling. The combined Petrel and ANSYS modeling techniques allows the stress field predicted by numerical simulation to be used as a form of geological information for input into the 3D geological model. In turn, the characteristics of the stress field profile could be presented in detail in the Petrel grid (Fig. 11a–c).

The field profiles show great variation in the magnitude of in situ stress, with significant inter-strata differences. This is attributed to the quantitative relationship between rock mechanics parameters (especially Young's elastic modulus) and in situ stress magnitudes. Such inter-strata variations in in situ stress are directly related to the heterogeneity of the reservoir's rock mechanics parameters (Yan [2007](#page-14-0)).

Horizontal differential stress is the key factor controlling volumetric fracturing. A complex network of seams is easily formed when differential stress is small; otherwise, unidirectional fracturing will form parallel to  $S_{\text{Hmax}}$ . On the other hand, potential extensions of fracture height and length are mainly controlled by the distribution of the minimum principal stress above the fractured sections of the layer (Dong et al. [2005\)](#page-13-0), and by  $S_{\text{Hmax}}$  orientation (Zhang et al. [2016](#page-14-0)), respectively.

The GO-NA was divided into five types of typical stress profile (types A–E; Fig. 11d), all of which were present in the in situ stress profiles of wells G5-34 and G32-30. As shown in Fig. 11b and c, the stress distribution pattern for type A is "high–low–high," meaning that fracturing operations in this area would be limited by the high differential stress (usually > 5 MPa) between the upper and lower strata. The possibility of the fracturing seam passing through the layer is small, thereby restricting the scale of the operation. For type B, the distribution pattern is "low–low–high." The horizontal differential stress of the upper layer should be within 4 MPa, whereas that of the lower layer is larger, meaning that upward fracture extensions readily occur. Under this scenario, all well sections

<span id="page-12-0"></span>with lower stress differences will be fractured. Thus, the volume of fluid injected and the scale of the operation must be carefully considered.

The type C distribution pattern is "high–low–low." The horizontal differential stresses of the layers above and below are large and small, respectively, such that fractures tend to extend downward. Type D has an inter-strata distribution pattern, with the horizontal differential stress within the range of the well section being small. Nevertheless, variations exist, such that it is possible for the fracturing seam to extend either upward and/or downward, while the direction of extension may also change. The distribution pattern for type E is "low–low–low." The horizontal differential stress within the well section is small and uniform, thereby readily facilitating extension of the fracturing seam both upward and downward. At the same time, complex networks of seams are likely to form, which provide ideal in situ stress conditions for fracturing operations.

Therefore, the distribution of inter-strata in situ stress must be clearly understood during fracturing operations, so that inter-strata fracture extensions can be predicted. Otherwise, sand blockages are likely to occur, resulting in suspension or even failure of fracturing operations. Furthermore, mud losses and other problems are associated with over- and under-pressurized boreholes. Accurate assessment of the possible heights and lengths of fracture extensions enables rational planning of the scale of operation and deployment of the well network, thereby improving the outcomes of reservoir reconstruction.

## Conclusion

In this study, borehole breakouts and acoustic emissions (AE) were used to determine the orientation and magnitude of in situ stress in the Gaoshangpu Oilfield northern area, China. Several established approaches are available for measuring in situ stress, but each has particular shortcomings that may negatively affect the accuracy of the results. The modeling technique proposed here taps the advantages of both Petrel and ANSYS software to facilitate the construction of 3D models and heterogeneous rock mechanics fields. These improve the accuracy of simulated results, especially in terms of the clear presentation of inter-strata, in situ stress characteristics. The research results have been successfully applied for oil and gas exploitation by the PetroChina Jidong Oilfield Company. This technique was demonstrated as suitable for comprehensive prediction of the 3D distribution of in situ stress in a heterogeneous reservoir located within complex fault blocks. However, prediction of in situ stresses could be improved by considering fluid and temperature factors, and by modeling the dynamic in situ stress field during development of an oil and gas field.

The following conclusions were made:

- i. The in situ stress field of the GO-NA can be predicted by considering spatial variations in mechanical parameters, and the morphology and occurrence of faults.
- ii. Simulation results show that the overall orientation of maximum horizontal stress in the GO-AN is ENE– WSW, and is of lower magnitude in the west than the east.
- iii. In situ stress magnitudes are discrete along surfaces and in profile, and is of the Ia-type, where:  $S_V > S_{Hmax}$  $S_{\text{hmin}}$ , and  $S_{\text{hmin}} > 0$ .
- iv. Heterogeneity of the principal stress gradually decreases with increasing depth; inter-strata variations in in situ stress are significant and follow five profile types: high– low–high, low–low–high, high–low–low, inter-strata, and low–low–low.
- v. Faults cause the greatest variations in the stress fields of reservoirs located within complex fault blocks, and can significantly affect the magnitudes and orientations of the in situ stress fields. The boundary faults of Gao-5 fault block significantly influence in situ stress in the GO-NA, causing deflection of stress orientation and reductions in stress magnitude. Next in importance are rock mechanics parameters, which significantly affect the magnitudes but not orientation of stresses. There is a high linear correlation between burial depth and in situ stress magnitude. Therefore, the critical prerequisites for studying the stress fields of regions with complex fault blocks include the characterization of faults, and construction of the heterogeneous rock mechanics field.

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