## ORIGINAL PAPER

# Prevention of water and quicksand inrush during extracting contiguous coal seams under the lowermost aquifer in the unconsolidated Cenozoic alluvium—a case study

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Abstract Extracting the contiguous coal seams under the lowermost aquifer in the unconsolidated Cenozoic alluvium is apt to water and quicksand inrush. By using a series of investigation methods including empirical formulas, numerical simulation, theoretical analysis, etc., the study focused on the fracture and the excess pore water pressure in the overlying strata in the process of extracting no. 8 coal seam firstly and no. 9 coal seam (under no. 8 coal seam) subsequently in no. 8102 working face of Luling coal mine in the north of Anhui Province of China. When no. 8 coal seam was extracted, the water-conducting fractured zone penetrated into the lowermost aquifer and rapid dissipation of excess pore water pressure above the gob occurred, accompanied by relatively high seepage hydraulic gradient over the headgate and the tailgate. When no. 9 coal seam was extracted, failure did not obviously extend upwards and the excess pore water pressure decreased slowly and a relatively high seepage hydraulic gradient transferred downwards from the headgate to the tailgate in the inclined profile. The safe water head  $(H<sub>s</sub>)$  in the lowermost aquifer was confirmed to 15.6 m. Therefore, water and quicksand inrush was avoided effectively in the process of extracting the contiguous coal seams by dewatering, controlling mining height, and laying double resistance nets in the working face.

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## Introduction

In China, a considerable number of coal seams are covered by the unconsolidated Cenozoic alluvium, which is comprised of clay, sand, and gravel, in the Yellow River and the Huai River alluvial plain areas (Zhang and Peng [2005\)](#page-10-0). Usually, the lowermost aquifer in the alluvium, with water-bearing sands mixed with gravels, is a potential threat to safe mining due to water and quicksand inrush. The statistics shows that about 285 coal mines (with estimated reserves exceeding 100 billion tons) of 600 in China suffer from water and quicksand inrush during extracting the coal seams covered by the unconsolidated Cenozoic alluvium (Liu [1981](#page-10-0)).

Such water and quicksand inrush presents many concerns for miners and researchers (Hill and Price [1983;](#page-10-0) Booth [1986](#page-10-0); Kim et al. [1997](#page-10-0); Islam et al. [2009](#page-10-0)). In order not to destroy the groundwater environment in the alluvium, an aquifer protection extracting technique is applied in the western mine areas in China, which will enable the mining-induced dropdown of the groundwater to be restored to its original level (Zhang et al. [2011](#page-10-0)). Practical experience has proven that under suitable geological conditions, the dropdown can recover within a certain time period, although the aquifer may have been disturbed by mining (Booth and Spande [1992;](#page-10-0) Booth and Bertsch [1999](#page-10-0); Booth et al. [2000](#page-10-0)). However, the technique will consume much coal resource, especially in the eastern mine areas in China where the coal resource is almost exhausted and the residual resource is commonly covered by the unconsolidated Cenozoic alluvium. To enhance recovery and decrease resource loss, it is often necessary to extract the coal seams by

<span id="page-1-0"></span>maintaining rock and coal pillar as long as the mining does not suffer from water and quicksand inrush.

The height of rock and coal pillar is defined as the shortest vertical distance between the lowermost aquifer in the alluvium and the working face (Zhang and Peng [2005](#page-10-0); Miao et al. [2011](#page-10-0)). If the height is too small, water and quicksand inrush will cause serious consequences such as mine inundation, endangering the lives of miners, and surface collapse. If the height is too large, it will lead to a loss of coal resource. Therefore, the optimal design for rock and coal pillar is of crucial importance for safety in mining, especially extracting contiuous seams under the lowemost aquifer in the alluvium.

Recently, further studies on the optimal design have been conducted (Singh and Singh [1999](#page-10-0); Yavuz [2004](#page-10-0); Gandhe et al. [2005;](#page-10-0) Singh et al. [2011](#page-10-0)). Due to the complex behaviors of mechanics and hydromechanics in the process of mining,

empirical formulas on the basis of field observations are, generally, adopted for assessing the failure of overlying strata and for determining the reasonable height of rock and coal pillar (Liu [1981;](#page-10-0) Singh et al. [1996\)](#page-10-0). Still, the empirical formulas are only suited for extracting a single coal seam under aquifers in the alluvium by longwall mining method and not for extracting contiguous coal seams. In order to predict and prevent water and quicksand inrush, during extracting the contiguous seams, it is of vital importance to study the mining-induced failure and the deformation-induced excess pore water pressure in the overlying strata.

Furthermore, if the geological and hydrogeological condition permitted, the failure and excess pore water pressure made certain, and some prevention measures adopted, these coal seams, even contiguous seams, can be extracted without water and quicksand inrush. The paper takes no. 8102



Fig. 1 Regional geological sketch map of the Sulin mine area in the north of Anhui Province in China and the situation of Luling coal mine in Sulin mine area

<span id="page-2-0"></span>working face of no. 810 mining panel in Luling coal mine for example to analyze the feasibility of extracting contiguous coal seams under the unconsolidated Cenozoic alluvium by longwall mining method.

ascending order, Archean, Sinian, Cambrian, Ordovician, Carboniferous, Permian, Triassic, Jurassic, Cretaceous, Paleogene, Neogene, and Quaternary (Zheng et al. [2008;](#page-10-0) Jiang et al. [2010;](#page-10-0) Tan et al. [2009](#page-10-0)). In the mine area, the main coal-bearing sequences occur in the lower Permian Shanxi and Shihezi formations.

No. 810 mining panel in Luling coal mine, being an isolated basin, is located in the west part of the mine (Fig. 2). The primary minable coal seams in the mining panel are no. 8 and no. 9 coal seams in the lower Permian Shihezi formation. The thickness of



Fig. 2 Distribution of no. 810 mining panel of Luling coal mine

Geological settings



<span id="page-3-0"></span>no. 8 coal seam is 1.5 to 10.0 m with an average of 7.0 m. The thickness of no. 9 coal seam is 0.5 to 4.8 m with an average of 3.0 m. Rock thickness between no. 8 and no. 9 coal seams is averagely 4.0 m and the dip angle of the two coal seams ranges from 6° to 8°, so they can be considered as contiguous gently inclined seams. The thickness of overlying unconsolidated Cenozoic alluvium ranges from 183 to 256 m with an average of 242 m. The physical and mechanical parameters (Table 1) of selected typical rock and soil samples from the KY-1 drill hole (Fig. [3\)](#page-4-0) were tested in the laboratory.

There are four aquifers and three aquifuges in the unconsolidated Cenozoic alluvium from up to down, which are the first aquifer, the first aquifuge, the second aquifer, the second aquifuge, the third aquifer, the third aquifuge, and the fourth or lowermost aquifer, respectively (Fig. [3\)](#page-4-0). Among these formations, the unit flow rate  $(q)$  in the third aquifer is approximately from 0.226 to 0.689 l/m s and thus can be characterized as a medium to strong water-bearing aquifer. The third aquifuge ranges from 40.0 to 70.0 m in thickness and consists of sandy clay, clay, and calcareous clay, which contributes to good impermeability and bad hydraulic connection between the third aquifer and the underlying lowermost aquifer even if the coal seams are extracted without rock and coal pillar.

The unit flow rate  $(q)$  of the lowermost aquifer varies from 0.00036 to 0.00405 l/m s and thus can be characterized as a weak water-bearing aquifer. The aquifer varies from 5.0 to 23.0 m with an average of 12.0 m in thickness, its water-bearing zones taking on fragmentary distribution (Fig. [2](#page-2-0)). The aquifer consists primarily of sand, sandy gravel, interbedded gravel, and breccia. Although the lowermost aquifer is poor in the water abundance, it acts as a direct discharge aquifer during extracting no. 8 and no. 9 coal seams because it lies directly above the coal seams and the deformation-induced excess pore water pressure (2.0∼3.0 MPa) in the overlying strata is very high.

# The failure and excess pore water pressure in the overlying strata

Empirical formulas predicting during extracting no. 8 coal seam

The fractured overlying strata induced by longwall mining can be divided into some zones, such as the caved zone and the water-conducting fractured zone. Though there is no general agreement on the exact shape of these fractured zones, most studies show that it is in the form of a dome, a horse saddle, or a flat arch (Liu [1981;](#page-10-0) Miao et al. [2011](#page-10-0)). The observations have shown that the failure of overlying strata is considerably different depending upon the inclination of the coal seams (Liu [1981](#page-10-0)). For flat or slightly inclined coal seams (inclination angle  $\alpha$ <30°), the water-conducting fractured zone displays broadly in the profiles with extended lobes over the headgate and the tailgate (Miao et al. [2011](#page-10-0)), which is shown in Fig. [4.](#page-4-0) Liu [\(1981\)](#page-10-0) has developed empirical formulas for predicting the heights of caved zones and water-conducting fractured zones for four rock types, i.e., hard and strong; medium hard; soft and weak; and weathered soft and weak bedrock.

$$
h_c = \frac{100 \sum M}{a_1 \sum M + b_1} \pm \sigma_1 \tag{1}
$$

Table 1 Physical and mechanical parameters of typical rock and soil samples from KY-1 drill hole

Strata	Thickness (m)	Density $(\rho)$ $(kg/m^3)$	Elastic modulus $(E)$ (GPa)	Poisson's ratio $(\mu)$	Tensile strength $(\sigma_t)$ (MPa)	Cohesive $(c)$ (MPa)	Friction angle $(\varphi)$ ( $\degree$ )	Permeability coefficient $(k)$ (m/ day)	Porosity $(n)$ $\left(\frac{9}{0}\right)$
The second aquifuge	9.0	$2.21 \times 10^3$	0.41	0.38	0.07	0.71	33	$8.0 \times 10^{-6}$	33
The third aquifer	5.2	$1.95 \times 10^3$	0.01	0.34	0.02	0.50	25	$1.6 \times 10^{-3}$	25
The third aquifuge	70.3	$2.06 \times 10^3$	0.58	0.39	0.08	0.79	32	$1.0 \times 10^{-7}$	32
The bottom aquifer	5.2	$1.50 \times 10^3$	0.01	0.34	0.01	0.46	26	$1.0 \times 10^{-5}$	26
Weathered zone	11.5	$2.71 \times 10^3$	0.03	0.35	0.17	0.57	30	$1.0 \times 10^{-6}$	30
Unweathered zone	4.5	$2.81 \times 10^3$	0.04	0.32	0.53	1.00	30	$2.0 \times 10^{-6}$	30
No. 8 coal seam	7.0	$1.43 \times 10^{3}$	0.07	0.29	0.21	0.41	20	$1.0 \times 10^{-3}$	20
Mudstone	2.8	$2.76 \times 10^3$	0.07	0.33	0.66	1.15	25	$5.5 \times 10^{-5}$	25
No. 9 coal seam	5.3	$1.40 \times 10^{3}$	0.08	0.28	0.31	0.44	24	$1.0 \times 10^{-3}$	24
Mudstone	10.0	$2.80 \times 10^3$	0.48	0.32	0.82	1.20	31	$6.0 \times 10^{-4}$	31

<span id="page-4-0"></span>

Fig. 3 Stratigraphic columns obtained from boreholes and the division of aquifers and aquifuges in no. 810 mining panel of Luling coal mine. a The first aquifer; b the first aquifuge; c the second aquifer; d the second aquifuge; e the third aquifer; f the third aquifuge; g the fourth or lowermost aquifer; h bedrock

$$
h_f = \frac{100 \sum M}{a_2 \sum M + b_2} \pm \sigma_2 \tag{2}
$$

In Eqs. [1](#page-3-0) and 2,  $h_c$  and  $h_f$  are the heights of the caved zone and the water-conducting fractured zone evaluated from the immediate roof of coal seams (Fig. 4),  $\Sigma M$  is the accumulative thickness of the extracted coal seams,  $a$  and  $b$  are the coefficients depending upon the lithology, and  $\sigma$  is the mean square deviation, as shown in Table [2](#page-5-0).

According to the soft and weak rock type in the overlying strata of no. 8102 working face in no. 810 mining panel in Luling coal mine (Fig. 3 and Table [1](#page-3-0)) and the average extracted height of 7 m, the heights of the caved zone and water-conducting fractured zone were predicted as follows (The equations were



Fig. 4 The profile of the caved zone and the water-conducting fractured zone for flat or slightly inclined seam

adopted to "+"),  $h_c=100\sum M/(6.2\sum M+32)+1.5=10.8$  m  $h_f=100\sum M/(3.1\sum M+5.0)+4=30.2$  $h_f=100\sum M/(3.1\sum M+5.0)+4=30.2$  $h_f=100\sum M/(3.1\sum M+5.0)+4=30.2$  m, as shown in Fig. 5. From Fig. [5](#page-5-0), the caved zone above the headgate should approach to the lowermost aquifer in the alluvium and the water-conducting fractured zone should penetrate through the aquifer, so water and quicksand inrush can occur more easily during mining. To extract as much coal resource as possible, the subsequent working face was placed toward the shallow level of coal seams in the inclined profile, which raised more concerns that the caved zone and the water-conducting fractured zone would all penetrate into the lowermost aquifer, especially above the headgates in working faces. Consequently, it was essential to prevent water and quicksand inrush from the lowermost aquifer to the gob during extracting no. 8 coal seam.

Numerical simulation during extracting no. 9 coal seam

#### Numerical model

It is difficult to accurately estimate the heights of the caved zone and the water-conducting fractured zone on the basis of Eqs. [1](#page-3-0) and 2 during extracting no. 9 coal seam subsequently in no. 8102 working face. In order to illuminate the failure of overlying strata, the explicit three-dimensional finite difference program FLAC3D version 3.0 (Sarkar et al. [2012](#page-10-0)) was used for the analysis. Following the Mohr–Coulomb



<span id="page-5-0"></span>

constitutive relation and considering the fluid–solid coupling and the large deformation, a numerical model was established by FLAC<sup>3D</sup>. The length, width, and height of the model were 350, 960, and 177.6 m, respectively, which were meshed into 43,680 zones and 47,628 grid points (Fig. [6\)](#page-6-0). In the middle of the model and near no. 8 and 9 coal seam, there was a group with 320 m in length and 110 m in width simulating the working face. The surrounding surfaces of the model were set horizontal constraints, the bottom surface was set threedimensional constraint, and the top surface was set free, with a



Fig. 5 The water-conducting fractured and caved zones during extracting no.8 coal seam in no. 8102 working face of no. 810 mining panel in Luling coal mine.  $e$  The third aquifer;  $f$  the third aquifuge,  $g$ , the fourth or lowermost aquifer;  $h$  bedrock

<span id="page-6-0"></span>







Fig. 7 The plastic zone in the overlying strata over no. 8102 working face during extracting the contiguous seams in the inclined sections. a Extracting no. 8 coal seam firstly. b Extracting no. 9 coal seam subsequently

<span id="page-7-0"></span>



vertical load for simulating the weight of overlying strata. All the model boundaries were impermeable. The extracted space was set to be a draining boundary, the excess pore water pressure of the third aquifer in the alluvium was initialized 1 MPa, and that of the lowermost aquifer was initialized 2 MPa. Due to not considering the influence of the structure of overlying strata, the physical and mechanical parameters of the numerical model had the same values as the testing results in the laboratory, as shown in Table [1](#page-3-0). In the inclined direction of the working face, full-seam mining was simulated firstly in no. 8 coal seam and subsequently in no. 9 coal seam. In advancing direction of the working face, every 10 m was regarded as a mining step, including 11 mining steps in the process.

## The failure of overlying strata

The plastic zones in the overlying strata in the inclined profile during extracting no. 8 coal seam firstly and no. 9 coal seam subsequently in no. 8102 working face were obtained, as shown in Fig. [7.](#page-6-0) From Fig. [7](#page-6-0), the plastic zone during extracting no. 8 coal seam broadly displayed a profile with extended lobes over the headgate and tailgate, like a horse saddle or a flat arch. Similarly, the plastic zone during extracting no. 9 coal seam also took on a horse saddle or a flat arch, propagating upwards slightly and concentrating mainly above the headgate and tailgate of the working face. Compared to extracting no. 8 coal seam, the failure of overlying strata showed no significant change in the process of extracting no. 9 coal seam.

<span id="page-8-0"></span>

Fig. 9 The relation curve between the influence radius  $(R)$  and the pumping time  $(t)$ 

## The excess pore water pressure in the overlying strata

When no. 8 coal seam of the working face was extracted in no. 8102 working face, the dissipation of excess pore water pressure in the lowermost aquifer above the gob occurred rapidly in the inclined profile, and the high value of excess pore water pressure concentrated mainly above the headgate and the tailgate like the failure of overlying strata, where relatively high seepage hydraulic gradient was produced (Fig. [8\)](#page-7-0). While no. 9 coal seam extracted subsequently, the excess pore water pressure dissipated slowly above the gob (Fig. [8\)](#page-7-0). A relatively high seepage hydraulic gradient transferred downwards from the headgate to the tailgate in the inclined profile.

## Calculating of the safe water head

Obviously, in an aquifer, the lower the initial water head is, the lower the seepage hydraulic gradient is. The seepage hydraulic gradient can induce the fracture to be water conductive in the overlying strata. So, water and quicksand inrush can be avoided effectively as long as the seepage hydraulic gradient is kept below the allowable value.

The interface between the fractured zone in the overlying strata and the lowermost aquifer in the alluvium can be simplified to be a pumping well; the equation is given for a confined well flow as follows (Bear [1972](#page-10-0)):

$$
s(r,t) = \frac{Q}{4\pi T} \ln \frac{2.25at}{r^2}.
$$
 (3)

In which s is the dropdown of water head, in meter;  $\hat{O}$  is the discharge flow rate of the borehole, in cubic meter per day;  $T=KM$ , is the coefficient of transmissibility in the confined aquifer, in square meter per day;  $K$  is the hydraulic conductivity, in meter per day;  $M$  is the thickness of the confined aquifer, in meter;  $a = K/\mu_e$  is the pressure transitivity coefficient, in square meter per day; t is the pumping time, in day;  $\mu_e$ is the elastic specific yield;  $r$  is the distance from the studied site to the center of the well, in meter. According to Eq. 3, when  $s=0$ , r is R, and R is the influence radius as follows:

$$
R = 1.5 \sqrt{\frac{Tt}{\mu_e}}.\tag{4}
$$

When failure in the overlying strata propagates upwards the lowermost aquifer without water and quicksand inrush, the

Table 3 The results of laboratory test on soil specimen from the bottom aquifer in the alluvium in no. 810 mining panel in Luling mine and the calculation results for safety water head

Lithology	Specific gravity, $G_s$	Void ratio, $e$	Critical hydraulic gradient, $J_{\rm cr}=(G_{\rm s}-1)/(1+e)$	Safe factor, $\eta$	Allowable hydraulic gradient, $[J_{\rm cr}] = J_{\rm cr}/\eta$	Safe water head (m), $H_{\rm s} = R_{10}[J_{\rm cr}]$
Clay sand	2.68	0.42	1.18	1.2	0.98	19.9
Silty sand	2.62	0.28	1.27	1.5	0.85	17.3
Gravel sand	2.73	0.40	1.24	1.5	0.83	16.8
Medium sand	2.60	0.39	1.15	1.5	0.77	15.6
Coarse sand	2.87	0.48	1.26	1.5	0.84	17.1
Fine sand	2.71	0.28	1.34	1.5	0.89	18.1
Gravel	2.63	0.20	1.36	1.5	0.91	18.5
Sandy clay	2.40	0.19	1.18	1.2	0.98	19.9
Clay	2.51	0.20	1.26	1.2	1.05	21.3
Clay and gravel	2.60	0.32	1.21	1.2	1.01	20.5
Silty clay	2.65	0.24	1.33	1.2	1.11	22.5



Fig. 10 Arrangement of the dill nets and the draining holes along the headgates and tailgates in no. 8101 and 8102 working faces

water head in the aquifer can be determined as the safe water head  $(H_s)$ . Combined with the data of the coefficient of transmissibility ( $T=15.8$  m<sup>2</sup>/day) and the elastic specific yield  $(\mu_e=0.6\times10^{-3})$  obtained by pumping test in the aquifer, the relation curve between the influence radius  $(R)$  and the pumping time  $(t)$  can be drawn by Eq. [4.](#page-8-0) From the curve (Fig. [9\)](#page-8-0), it can be seen that the influence radius  $(R)$  extends fast in the first 10 min and then relatively slow later. Consequently, the influence radius  $(R)$  at the tenth minute  $(R_{10}=20.3 \text{ m})$  was taken as the basis of calculating the safe water head  $(H_s)$ . The calculated safe water heads  $(H_s)$  are listed in Table [3](#page-8-0) according to the test results of the soil samples from the lowermost aquifer. Taking safe factor into account, the safe water head  $(H_s)$  for the sand and clay of the lowermost aquifer can be adopted to 15.6 m. That is, if the water head was kept below the safe water head  $(H<sub>s</sub>=15.6$  m) before extracting the coal seams, there would be no water and

2148 Arab J Geosci (2014) 7:2139–2149

quicksand inrush even if fracture propagated upwards the lowermost aquifer in the alluvium.

## Key prevention measures

In order to keep the water head in the lowermost aquifer below the safe water head  $(H_s=15.6 \text{ m})$ , numerous drill nests were arranged along the headgate and the tailgate of no. 8102 and 8101 working face (Fig. 10) and not less than three draining holes in each drill nest were drilled to reach the aquifer. These holes had been drained for at least 10 months until there was little water in the majority of draining holes, which would make the water head below the safe water head  $(H_s=15.6 \text{ m})$ . Because of poor water abundance in the aquifer, the dropdown water heads were recovered with so much difficulty that extracting the contiguous coal seams in the working face became possible.

According to the numerical simulation, the relatively intensive failure occurred and the relatively high seepage hydraulic gradient appeared above the headgate of the working face during extracting the contiguous coal seams, so that water and quicksand would rush easily into the gob from above. Therefore, the extracted height adjacent to the headgate was controlled, and half of the coal seam thickness was usually extracted.

In addition, to reduce failure and seepage hydraulic gradient above headgate, double resistance nets, which consisted of polypropylene grids by the tensile technology in double plane directions, were placed on the roof of the headgate during extracting the contiguous coal seams (Fig. 11) and groundwater in the bottom aquifer discharged slowly into the gob, and the high seepage hydraulic gradient in the aquifer cannot be easily produced. As a result, the possibility of water and quicksand inrush from the aquifer decreased greatly.



Fig. 11 Arrangement of double resistance nets on the roof of headgate

## <span id="page-10-0"></span>Conclusions

In no. 8102 working face, the caved zone approached the lowermost aquifer above the tailgate when no. 8 coal seam was extracted, and the water-conducting fractured zone penetrated into the lowermost aquifer. When no. 9 coal seam was extracted subsequently, failure did not obviously extend upwards.

When no. 8 coal seam was extracted firstly, rapid dissipation of excess pore water pressure in the lowermost aquifer above the gob occurred, accompanied by relatively high seepage hydraulic gradient over the headgate and the tailgate. When no. 9 coal seam was extracted subsequently, the excess pore water pressure decreased slowly and a relatively high seepage hydraulic gradient transferred downwards from the headgate to the tailgate in the inclined direction. During extracting the contiguous coal seams in the working face, the safe water head  $(H<sub>s</sub>)$  in the lowermost aquifer was calculated to be 15.6 m.

Water and quicksand inrush was avoided effectively by dewatering, controlling mining height, and laying double resistance nets in the working face, which will produce demonstrative value in the eastern mine areas in China where the coal resource is almost exhausted and the residual resource is commonly covered by the unconsolidated Cenozoic alluvium.

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