



School-Based Interventions for Aggression and Defiance in Youth: A Framework for Evidence-Based Practice

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Abstract

Aggressive and defiant behaviors in students are costly to schools, teachers, and students. In this paper, we summarize findings from meta-analyses, systematic reviews, and meta-reviews that examined school-based interventions for aggressive and defiant behaviors in students. Results of the review suggest that school-based interventions produce significant but small positive effects on aggression and defiance, with larger effects for interventions that are implemented with higher quality. Behavioral and cognitive behavioral techniques are key components of nearly all effective school interventions, whether interventions are student-directed or teacher-/environment-directed. Specific interventions with empirical support, as identified using the Blueprints for Healthy Youth Development and “What Works Clearinghouse” databases, are briefly summarized. Finally, recommendations are made for schools considering a school intervention for aggression and defiance, and important priorities for future research are outlined.

Keywords Aggression · Defiance · Externalizing · School intervention · Review

Aggression and defiance (AD) present considerable burden to schools. Aggressive behaviors, including both physical (e.g., hitting, kicking, pushing) and verbal behaviors (e.g., threats of harm, mean-spirited teasing, or name calling), are relatively common in schools. Epidemiological data show that 14% of third graders report being frequently shoved, slapped, hit or kicked by other students and 8% of high school students report having been in a physical fight on school grounds over the past 12 months (Musu-Gillette, Zhang, Wang, Zhang, & Oudekerk, 2017). Among youth in grades 6 through 10, more than 50% report experiencing verbal aggression in the last 2 months (Wang, Baker, Gao, Raine, & Lozano, 2012). Defiance at school such as arguing with a teacher or principal or failing to comply with an instruction given by a teacher also occurs quite frequently. Defiance is the most common reason students are referred to the office for disciplinary action, and defiant and aggressive behaviors together account for almost half of all disciplinary referrals (Predy, McIntosh, Frank, & Hitchcock, 2014). The purpose of this paper is to provide an overview of

school-based interventions for AD. We first briefly discuss the importance of AD for schools and then focus the majority of this review on synthesizing the current evidence for interventions to address AD in schools, including a review of factors that impact intervention effectiveness.¹

Importance of Aggression and Defiance to Schools

It is often the case that students who display high levels of AD experience substantial academic and social difficulties. In addition, students displaying high levels of AD miss school because of suspensions, expulsions, and truancy, and some of these youth are placed in restrictive special education settings (Ruhl & Hughes, 1985). About one-third of students engaging in high levels of AD fail to graduate high school on time, a rate that is twice as high as the general population and that is higher than the risk associated with anxiety, depression, or substance use (Breslau, Miller, Joanie Chung, & Schweitzer, 2011). Later in life, these students are

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¹ Throughout this paper, we conceptualize AD broadly because this is what is done in school intervention studies. However, we excluded studies that specifically examined bullying or off-task behavior because these are covered in other articles of this special issue.

at high risk for job loss, relationship instability, and criminal behavior (Newman et al., 2011).

AD in schools also negatively impact the victims of these behaviors. As many as 80–90% of students report being the target of serious physical or verbal aggression at some point in school, with 10–20% of children indicating that they are currently the target of aggression at school (Nansel et al., 2001). Observational studies show that elementary students experience more than one aggressive behavior each hour of recess (Frey & Strong, 2018). Over time, being a victim of peer aggression is a risk factor for low academic achievement (Musu-Gillette et al., 2017), physical and mental health problems (Eslea et al., 2004), and school dropout (Cornell, Gregory, Huang, & Fan, 2013). Victims of aggression are also more likely to become aggressive themselves (Duane & Bierman, 2006) even after victimization desists (Schwartz, McFadyen-Ketchum, Dodge, Pettit, & Bates, 1998).

AD behaviors also negatively impact teachers. About one in ten teachers report having been physically threatened by a student, with 3% (secondary) to 8% (elementary) reporting that they had been physically attacked (Musu-Gillette et al., 2017). Victimized teachers have higher rates of absenteeism, burnout, and job turnover that collectively cost the USA over \$2 billion annually (American Psychological Association, 2016). Further, teachers whose classrooms include high levels of AD report higher levels of stress (Shernoff, Mehta, Atkins, Torf, & Spencer, 2011) and experience higher levels of occupational burnout (Aloe, Shisler, Norris, Nickerson, & Rinker, 2014). Nearly half of both regular and special education teachers have contemplated quitting because of their experiences working with AD in the school setting (Westling, 2010).

Research shows that AD behaviors in youth also have high societal costs (Christenson, Crane, Malloy, & Parker, 2016), with school costs among the highest contributor (Beecham, 2014). Annual costs of educating children with AD are three to six times higher than it is for other children (Foster, Jones, & Conduct Problems Prevention Research Group, 2005). A considerable portion of this cost is due to the discipline problems these children exhibit. State-level estimates suggest 5–13% of students in kindergarten through 12th grade have been suspended or expelled due to serious misbehavior, with AD being the most common cause (Burke & Nishioka, 2014). Suspensions and expulsions are costly to schools—expulsions from school have been estimated to cost \$431 per incident (Batton, 2003) and detentions or suspensions have been estimated to cost \$71 per incident (Robb et al., 2011)—because they require administrative and teacher time and resources. Further, students who are disciplined (suspended or expelled) even one time are 23.5% more likely to drop out of school before graduation (American Academy of Pediatrics Council on School Health, 2013), which lowers the school's performance on

high impact metrics (e.g., the school “grade” provided by school evaluation websites). Over time, this may reduce the ability to attract new students and thereby reduce funding for the school. In addition, students who drop out of school contribute less in taxes and have higher welfare use over their lifetime (Marchbanks III et al., 2014). When these findings are considered together, it becomes clear that AD behaviors substantially strain societal and school resources.

Collectively, these research findings show that AD in school is a serious problem with costly consequences at many levels. Over the past decades, there have been hundreds of studies focusing on reducing AD in school. In fact, there is so much research that meta-reviews (i.e., reviews of reviews) have emerged. This research provides guidance for reducing AD in schools, but also strongly underlines the need for continued research. After briefly discussing types of school interventions, we summarize results of meta-reviews that provide information about the impact of school interventions.

Types of School Interventions

School interventions are often implemented at three levels (Durlak & Wells, 1997; Offord, 2000; Smith, Molina, Massetti, Waschbusch, & Pelham, 2007). *Universal interventions* (also called Tier 1 interventions) are applied to all students. *Targeted interventions* (also called Tier 2 interventions) are delivered to a subset of students who do not adequately respond to universal intervention. *Indicated interventions* (also called Tier 3 interventions) involve even more specialized and intensive interventions that are delivered to students who do not respond adequately to universal and targeted interventions (Jimerson, Burns, & VamDerHeyden, 2007).² Typically, 10–25% of students will not respond sufficiently to a universal intervention and will thus require additional targeted services, and about 5% of students will require the most intensive indicated services (Fuchs & Fuchs, 2017; Lewis, Mitchell, Bruntmeyer, & Sugai, 2016). Universal interventions are more widely researched than targeted or indicated interventions, yet they often produce weaker effects, perhaps because they are implemented with a wider range of students (Cook, Gottfredson, & Na, 2010). Often similar intervention techniques are used across the different levels of intervention. For instance, behavior therapy techniques play an important role in most, if not all, school interventions for reducing AD, whether implemented at the universal, targeted, or indicated level (Epstein, Atkins, Cullinan, Kutash, & Weaver, 2008).

² We use the universal/targeted/indicated framework because this is most common in the reviewed studies.

All three levels of intervention seek to induce change using student-centered and/or teacher-/environment-centered approaches (Durlak & Wells, 1997; Osher, Bear, Sprague, & Doyle, 2010). Student-centered interventions are delivered directly to the students, often drawing on techniques from clinical psychology, such as cognitive behavioral therapy (e.g., teaching nonviolent problem-solving skills and targeting maladaptive social thought processes such as the hostile attribution bias) or social-emotional learning modules. Teacher-/environment-centered interventions seek to prevent or reduce AD behavior by using adult-driven behavior management programs or by changing the school culture (Epstein et al., 2008). Of course, many interventions use both approaches. For instance, schools may use a student-centered approach by teaching social-emotional skills and use a teacher-centered approach by having teachers reward children when they display newly learned social-emotional skills. Such an approach may seem ideal because the two intervention styles have similar goals, but student-oriented and teacher-/environment-oriented interventions may not be compatible. For instance, the role of contingencies (reward and punishment) to shape student behavior is central to many teacher-/environment-oriented school interventions, yet may be downplayed or even viewed as unhelpful in some student-oriented interventions (Osher et al., 2010). Such incompatibilities illustrate one reason why multi-component interventions may be less effective than single-component interventions (Matjasko et al., 2012; Park-Higgerson, Perumean-Chaney, Bartolucci, Grimley, & Singh, 2008??; Wilson & Lipsey, 2007).

Review of Interventions

Evaluation of Effectiveness

Recent reviews of school interventions for student AD are summarized in Table 1. Several themes emerge from these reviews. First, school interventions produce statistically significant improvements in AD, but the magnitude of improvement is small. Take, for example, the review conducted by Wilson & Lipsey (2007), which is arguably the best-known meta-analytic review on this topic (e.g., 673 citations as of January 2018 according to Google Scholar). They reported a standardized mean difference (d) effect size for school interventions on AD outcomes that was statistically significant but small by conventional standards ($d=0.21$). Consistent with this conclusion, the average effect size from the meta-analytic reviews reported in Table 1 is small ($d=0.19$), suggesting that school interventions produce positive but small impacts on student AD.

Second, intervention effects are typically not uniformly consistent as judged by the variance in reported effect sizes.

Wilson and Lipsey (2007) reported that the effect sizes in their review were significantly heterogeneous, and exploration of this heterogeneity revealed (among other findings) that universally delivered interventions ($d=0.21$) and targeted interventions ($d=0.29$) were more effective than interventions delivered in specialized schools or classrooms ($d=0.11$) and more effective than multi-component interventions ($d=0.05$). The results of other reviews (see Table 1) are consistent with this example, as demonstrated by the fact that reported effect sizes range across reviews from a low of 0.09 (Park-Higgerson et al., 2008), indicating no impact, to a high of 0.43 (Sklad, Diekstra, Ritter, Ben, & Gravesteyn, 2012), indicating a moderately sized positive impact.

Third, there is some evidence that behavioral or cognitive behavioral strategies are more effective than other school intervention strategies for reducing AD (see Table 1). For example, Wilson & Lipsey (2007) reported that targeted/indicated interventions, as well as interventions that were implemented in special schools or classes, were more effective at reducing AD if they incorporated behavioral strategies. An example of the central role played by behavior management in school-based intervention can be found in a report titled “Reducing Behavior Problems in the Elementary School Classroom,” which was written as part of the *What Works Clearinghouse* (Epstein et al., 2008). The intervention strategies outlined in this report include: (1) identify the specifics of the problem behavior and the conditions that prompt and reinforce it, (2) modify the classroom learning environment to decrease problem behavior, (3) teach and reinforce new skills to increase appropriate behavior and preserve a positive classroom climate, (4) draw on relationships with professional colleagues and students’ families for continued guidance and support, and (5) assess whether school-wide behavior problems warrant adopting school-wide strategies or programs and if so implement ones shown to reduce negative and foster positive interactions. These steps, which are described in more detail in the cited report, are based on moderate-to-strong empirical research and are a concise summary of important points for implementing classroom-based approaches for reducing AD. In general, interventions that make rules clear to students and that increase the consistency and fairness of enforcing rules are effective at reducing AD.

Fourth, several intervention characteristics are consistently associated with more positive intervention effects. These characteristics are cogently discussed by Cook, Gottfredson, and Na (2010), who drew several important conclusions about school interventions for AD. First, the composition and organization of schools significantly impact student AD. For example, there is substantial evidence that having fewer students per teacher is associated with more positive student behaviors because it increases the frequency, quality,

Table 1 Meta-analyses and systematic review of school interventions for aggression and defiance

| References | Type of study | # studies | Years | # subjects | Effect sizes | Notes |
|---|---------------|----------------------|-----------|---------------------|---|---|
| Alford and Derzon (2012) | Meta-analysis | 13 | NR | NR | Physical aggression, $d=0.26$; Antisocial behavior, $d=0.15$; Aggression/disruptive behavior, $d=0.13$; Delinquent behavior, $d=0.08$ | School interventions that are publically available in the USA reduce physical aggression as well as aggression/defiance more broadly but with small effects. Most intervention produced effects on some outcomes but not on other outcomes |
| Barnes, Smith, and Miller (2014) | Meta-analysis | 25 | 1997–2012 | 30,309 | Unweighted, $d=0.14$; Sample weighted, $d=0.23$ | Cognitive behavioral interventions generally effective at reducing aggression, with small effects. Overall, 74% of effect sizes showed aggression improved and 26% of effect sizes showed aggression got worse. Larger effects for universal versus other interventions |
| Durlak, Weissberg, Dymnicki, Taylor, and Schellinger (2011) | Meta-analysis | 112 post 21 f. up | NR | NR | Post-intervention, $d=0.22$; ≥ 6 mo. follow-up, $d=0.14$ | Cognitive behavioral interventions reduce aggression at post-intervention and follow-up (≥ 6 months) but with small effect sizes. No difference between teacher-delivered and professional-delivered interventions. Effects of higher-quality implementation were almost twice as large as effects from lower-quality implementation. Multi-component intervention less likely to be implemented with quality |
| Park-Higgerson et al. (2008) | Meta-analysis | 26 | 1977–2004 | > 7533; NR for some | $d=0.09$ | Only included randomized clinical trials; school interventions overall not effective but single-component interventions relatively more effective than multi-component interventions |
| Sklad et al. (2012) | Meta-analysis | 39 post 16 f. up | NR | $M=543$ | Post-intervention, $d=0.43$; ≥ 6 mo. follow-up, $d=0.20$ | Universal cognitive behavioral interventions reduce antisocial behavior at post-intervention and follow-up (≥ 6 months), with moderate-to-small effects. Effects larger for elementary than secondary schools and larger for shorter duration interventions than longer duration interventions |
| Stoltz, Londen, Deković, Castro, and Prinsz (2012) | Meta-analysis | 11 | 1977–2012 | 738 | $d=0.30$ | Individually delivered, single-component interventions significantly reduce aggression with a small-to-moderate effects |
| Stoltz et al. (2012) | Meta-analysis | 13 | 1975–2008 | 1156 | $d=0.30$ | Individually delivered, multi-component interventions significantly reduce aggression with a small-to-moderate effects |

Table 1 (continued)

| References | Type of study | # studies | Years | # subjects | Effect sizes | Notes |
|--|-------------------------------------|---------------------|---------------|------------|---|--|
| Taylor, Oberle, Durlak, and Weissberg (2017) | Meta-analysis | 30 post 34 f. up | 1981–2014 | NR | Post-intervention, $d=0.07$; ≥ 6 mo. follow-up, $d=0.14$ | Universal cognitive behavioral interventions significantly reduced conduct problems at 6 months or greater follow-up, with a small effect size, but did not have an impact immediately after the intervention (post-intervention) |
| Wilson and Lipsey (2007) | Meta-analysis | 249 | 1960–mid-2000 | NR | $d=0.21$ | Overall effects on aggression-disruption small but positive; larger effects associated with higher-quality implementation; Universal and Targeted student approaches more effective than specialized classroom and multi-component approaches |
| Powers, Bowen, Webber, and Bowen (2011) | Systematic review with effect sizes | 34 | NR | NR | 41.2% with $d < 0.20$ 29.4% with $d \geq 0.20 \leq 0.49$ 14.7% with $d \geq 0.50 \leq 0.79$ 14.7% with $d \geq 0.80$ | Categorized effect sizes for interventions identified as effective by a “reputable source” that a school-based practitioner might access when searching for effective interventions. Most interventions had less than medium-sized effects as judged by effect size conventions |
| Cook et al. (2010) | Systematic review | 35 | 1980–2008 | NR | | School size not related to student aggression/defiance, but adult/student ratio is; smaller class sizes that facilitate positive student-teacher relationship reduce aggression/defiance; interventions that teach self-control using behavioral methods are effective when used with targeted students; clear and fair rules that are consistently enforced more effective in reducing aggression/defiance than imposing severe punishment/consequences such as mandatory expulsion |
| Farrington, Gaffney, Lösel, and Trofi (2017) | Meta-review | 25 | 1983–2016 | NR | $d=0.11$ | Very small effect of interventions on aggression/defiance; effects of school intervention smaller than individual or family interventions. Most school interventions were universal rather than targeted/indicated interventions |
| Lester, Lawrence, and Ward (2017) | Meta-review | 31 | 2005–2015 | NR | Not reported | Universal interventions more common than targeted/indicated interventions; 58% of universal interventions and 89% of targeted/indicated interventions rated as effective |
| Matjasko et al. (2012) | Meta-review | 15 | 1997–2008 | NR | Not reported | 11 reviews found significant and moderate-to-strong effects on aggression/defiance; 4 found weak but significant effects |

Table 1 (continued)

| References | Type of study | # studies | Years | # subjects | Effect sizes | Notes |
|-----------------------|---------------|-----------|-----------|------------|--|--|
| Weare and Nind (2011) | Meta-review | 15 | 1997–2011 | NR | Universal, $d=0.10$; Targeted, $d=0.21-0.35$ | Universal and targeted interventions both have significant positive effects but targeted generally stronger. Cognitive behavioral approaches stronger effects. Grouping aggressive students may have adverse effects |

Number of studies, years, and subjects refers specifically to aggression/defiance outcomes, which were often examined as part of a larger review. Meta-review = a review of reviews. NR = not reported or not clear. d = standardized mean difference effect size; effect sizes reported so that positive values indicate positive effects of the intervention (reduced aggress/defiance)

and consistency of student contact with teachers, which in turn fosters positive relationships between students and teachers and between students and the school as a whole. Second, social-emotional interventions have been shown to significantly reduce AD. These interventions typically rely on instructional techniques to develop student skills that are associated with lowering AD, such as recognizing situations that are likely to get them into trouble, controlling their impulses, anticipating the consequences of their actions, perceiving accurately the feelings or intentions of others, and coping with peer pressure. Third, AD is almost always broadly defined and measured in school intervention studies, but limited available evidence suggests school interventions may have different effects on different types of antisocial behavior. For instance, one meta-analysis (Alford & Derzon, 2012) reported stronger positive effects of school interventions on physical aggression ($d=0.26$) than on broader measures of AD ($ds < 0.15$). Fourth, there are some impacts of child development on AD behavior and interventions for AD. Specifically, AD behavior is higher for students in middle school as compared to elementary or high school (Cook et al., 2010), and there is some evidence that younger students may benefit more from school interventions than older students (Metropolitan Area Child Study Research Group, 2002; Wolpert, Humphrey, Belsky, & Deighton, 2013). It is also worth noting that some interventions are specific to particular developmental levels. For example, keeping sixth grade students in elementary schools as opposed to moving them to middle schools reduces disciplinary infractions (Cook et al., 2010). Finally, as discussed next, quality of implementation was one of, if not the most, the crucial aspects of producing positive intervention effects.

Quality of Implementation

Evidence-based interventions will not produce the desired effects unless they are implemented as designed (Gresham, Cohen, Gansle, Noell, & Rosenblum, 1993; Lipsey, 2009). Four characteristics have been suggested as critical factors in determining whether a school intervention is implemented with high quality: organizational capacity, organizational support, program features, and local integration (Gottfredson & Gottfredson, 2002). *Organizational capacity* refers to the ability of school personnel to work together to implement the intervention. Organizational capacity is indicated by factors such as staff morale, past history of intervention efforts, and amount of turnover in administration and teaching staff. *Organizational support* is the pragmatic supports for the intervention that are available in the school. This characteristic is indicated by availability of training for the intervention, ongoing supervision during the intervention, and principal/administrative support for each of these. *Program features* are the amount of structure and support built

into the intervention in terms of manuals, implementation standards, quality control, feedback mechanisms, and so on. Finally, *local integration* is the extent to which the program is merged into the daily routine and operation. Interventions that are carried out by regular school employees as part of their typical day are likely to be widely implemented and maintained, as compared to interventions that are carried out by specialized personnel or during non-school times. Relatedly, interventions that are selected by the school and community are likely to have better implementation than interventions perceived as a mandate handed down from individuals outside the school.

Although research has demonstrated that quality plays a significant role in determining effectiveness, relatively little attention has been paid to the quality of school interventions as typically implemented. This research gap was addressed as part of the national survey of US schools (Gottfredson & Gottfredson, 2002). Results showed that the average student-directed intervention involved 31% of the student body, consisted of 27 sessions/lessons delivered once per week, and lasted less than one semester (with some lasting less than 1 month). The average teacher-/environment-directed intervention involved 52% of the student body, was delivered about once per week, and lasted nearly all year. For both types of intervention, inconsistency was a hallmark of implementation; just 61% of interventions were conducted on a regular basis. Results also showed that higher-quality implementation was associated with more organizational support, more local integration, and use of standardized program features for both student-directed and teacher-/environment-directed interventions.

Specific Intervention Programs

To provide additional information to school professionals considering interventions for AD, we next review details of selected school interventions (see Table 2). Specific interventions selected for inclusion in this review were those (1) considered empirically supported in the *What Works Clearinghouse* maintained by the Institute for Education Science in the US Department of Education, (2) classified as a promising or model program on the *Blueprints for Healthy Youth Development* hosted by the Center for the Study and Prevention of Violence at the University of Colorado Boulder, and/or (3) supported by at least three studies demonstrating their efficacy. We divided interventions into those that are either universally implemented or have been implemented at multiple levels (i.e., have been implemented as a universal intervention and as a targeted/indicated intervention; 8 interventions) versus those that have been primarily implemented at targeted or indicated levels (3 interventions). As shown, all interventions use behavioral or social-emotional learning techniques, and the majority focus on preschool- through

middle school-aged students. Two other specific school interventions for AD—the Families and Schools Together (FAST Track) program and the Positive Behavior Intervention and Support (PBIS) program—are discussed in more detail next because they have been highly influential on researchers and on schools.

FAST Track

FAST Track is a well-known longitudinal preventive intervention study that used methods informed by developmental and clinical psychology research to prevent and treat serious conduct problems in children (Conduct Problems Prevention Research Group, 1992). Participants included 891 children who were in 401 classrooms, including 445 who were randomly assigned to the intervention condition and 446 who were randomly assigned to the control condition, with random assignment conducted at the school level. The FAST Track school intervention incorporated both universal and targeted interventions. The universal intervention used was the Promoting Alternative Thinking Strategies curriculum, in which classroom teachers delivered two to three classes per week on emotional, friendship, self-control, and social problem-solving skills (Greenberg, Kusche, Cook, & Quamma, 1995). The targeted intervention was implemented for children judged to be at high risk for conduct problems and included academic tutoring, social skills groups, peer-pairing (supervised play sessions to practice social skills), and parenting groups, with all except the peer-pairing program conducted after school or on weekends. Quality of implementation was a primary consideration in FAST Track, with intervention schools assigned an educational coordinator who monitored implementation quality and provided behavioral consultation on classroom management to teachers (Conduct Problems Prevention Research Group, 1999). While FAST Track significantly improved numerous outcomes (e.g., reduced rates of internalizing and externalizing psychiatric problems, substance use, and crime at age 25), it did not have a significant impact on academic outcomes in either elementary or middle school (Bierman et al., 2013), nor in overall education attainment up to age 25 (Dodge et al., 2015).

PBIS

PBIS is another school intervention that focuses on reducing AD behaviors. PBIS is a multi-tiered intervention approach with universal, targeted, and indicated interventions (Horner, Sugai, & Anderson, 2010). The universal intervention stresses implementing behavior management practices throughout the school in a manner that is consistent across classrooms. The targeted and indicated interventions are implemented in classrooms and with individuals

Table 2 Summary of empirically supported school interventions targeting aggression, defiance or both, with empirical support determined by “Blueprints for Healthy Youth Development” or “What Works Clearinghouse”

| Program | Type | Grades/Ages | Implementation |
|---|---------------------|-------------------------------------|--|
| Universal/multi-level | | | |
| Good behavior game ^a | Behavioral | 1st grade | Teachers; three times per week (increasing length of time) to all students |
| I can problem solve ^b | SEL | Preschool through Elementary school | Teachers; daily 20 min, ideally small group, lessons for 4 months to all students |
| Responding in peaceful and positive ways ^c | SEL | 6th grade | Intervention specialist; weekly twenty-five 50-min sessions throughout school year to all students |
| School-wide PBIS ^d | SEL | 1st through 12th grade | All school staff implement throughout school year across all settings with all students; additional services for non-responding students |
| The peacemakers program ^e | SEL | 4th through 8th grade | Teachers to all students during first semester of school year, 17 lessons Intervention specialist to at risk students, fourth through eighth grade, first semester of school year, 17 lessons |
| Second step ^f | SEL | Preschool through 9th grade | Teachers; twice weekly three levels of skills to all students |
| Promoting alternative thinking strategies ^g | SEL | 3rd grade | Teachers; two to three weekly sessions throughout the year to all students |
| Positive action ^h | Behavioral SEL | Kindergarten through 6th grade | Teachers; 140 almost daily 15- to 20-min sessions throughout the school year delivered in classrooms; Principals; changes in school climate and promotion of parent- and community-involvement through various programs and activities |
| Targeted/indicated | | | |
| Linking the interests of families and teachers ⁱ | Behavioral SEL | 1st grade and 5th grade | Teachers; intervention specialists; twenty 1-hr sessions over a 10-week period |
| FAST Track ^j | Behavioral SEL | Kindergarten through 3rd grade | Teachers and intervention specialist; aggressive-defiant children identified prior to grade 1 with weekly intervention sessions in grade 1 (22 total); biweekly sessions in grade 2 (14 total), and monthly sessions in grade 3 (9 total) |
| Coping power program ^k | Behavioral C SEL | 4th through 6th grade | Intervention specialist; aggressive, defiant children identified in 4th grade, interventions in 5th and 6th grades; 1.25-year program with 33 weekly group and 8 intervention sessions in year 1 and 25 weekly group and 1 intervention session in year 2; parent component is 16 sessions |

PBIS positive behavior intervention and support, *Behavioral* includes a behavior management component; *SEL* includes a social-emotional learning component

^aBarrish, Wolf, and Saunders (1969), Dolan et al. (1993), and Ialongo et al. (1999)

^bShure (2001), Shure and Spivack (1979)

^cFarrell, Meyer, and White (2001), Meyer and Farrell (1998)

^dHorner, Sugai, and Anderson (2010), Horner et al. (2009)

^eShapiro, Burgoon, Welker, and Clough (2002)

^fFrey, Hirschstein, and Guzzo (2000), Low, Cook, Smolkowski, and Buntain-Ricklefs (2015)

^gCrean and Johnson (2013), Greenberg et al. (1995)

^hFlay, Allred, and Ordway (2001)

ⁱReid, Eddy, Fetrow, and Stoolmiller (1999)

^jConduct Problems Prevention Research Group (2002)

Table 2 (continued)^kLochman and Wells (2003), Lochman, Wells, and Murray (2007)

at risk of or actively demonstrating aggressive and defiant behaviors. PBIS has been widely disseminated, with an estimated 20,000 schools in the USA using PBIS (Yeung et al., 2016). Dozens of open-trial studies have shown that PBIS is associated with reductions in AD behavior (Horner et al., 2010), but to our knowledge there have been just two randomized trials. The first trial included 60 elementary schools in two states, with 30 schools randomly assigned to implement PBIS and 30 randomly assigned to a waitlist condition (Horner et al., 2009). Results showed improvement in the intervention schools on measures of school culture and subjectively reported school safety, but no difference on measures of AD or academic functioning. The second randomized trial (Bradshaw, Mitchell, & Leaf, 2010; Bradshaw, Waasdorp, & Leaf, 2012) was conducted in 37 elementary schools that were matched then randomized to receive the PBIS intervention ($n=21$) or waitlist control ($n=16$). Teacher ratings showed that students in intervention schools had lower disruptive behavior problem scores and higher prosocial and empathy scores, with stronger positive outcomes (prosocial, empathy) effects for younger students. Mixed evidence was found regarding office discipline referrals and suspensions due to misbehavior; teacher-report of office referrals but not suspensions were rated as improved by the intervention, whereas administrative records showed suspensions but not office referrals as improved. Other research shows that higher intervention fidelity by teachers is significantly associated with more improvement of AD behavior, demonstrating that quality of implementation influences the outcomes of PBIS (Benner, Beaudoin, Chen, Davis, & Ralston, 2010).

Recommendations for Schools

What are the implications of existing research for school personnel considering implementing an intervention for AD? First, as noted earlier, behavioral or cognitive behavioral interventions are a key component of school-based intervention for AD because research consistently shows they are effective at reducing AD (Epstein et al., 2008). Although these strategies are not always the central focus of an intervention, virtually all school-based interventions are likely to rely heavily on clearly communicated rules, praise and incentives, and prudent consequences for misbehavior, which are essential components of behavior therapy.

Second, quality of implementation is a key determinant of intervention effectiveness. In order to develop and implement an intervention for AD with high quality, it is important for school staff to proceed in a systematic

manner that takes a personal approach to the identified school. Toward that end, we suggest that school personnel who are considering implementing an intervention for AD should proceed in three steps. First, develop and implement a system of measuring AD in a reliable and valid manner. Routinely collecting reliable and valid data about AD, ideally linked to other local, regional, and national data on similar outcomes, provides information about the extent to which AD is (or is not) a problem in a specific school (Benbenishty & Astor, 2007). This is important because principals and teachers often underestimate the extent of AD that occurs in schools (Cook et al., 2010). Systematically measuring AD also provides valuable information for assessing whether an intervention is effective once it is implemented. Monitoring AD across multiple schools in a school district could be used to identify schools that have been especially effective at preventing or reducing AD; those schools could then be used as a local model or support team for other schools.

The second step is to develop broad goals or principles for the intervention. Essentially, school staff should develop a theory of change for the proposed intervention by openly deliberating and deciding on proximal targets of the intervention and deciding on methods for achieving the targets that are both evidence-based and acceptable to the school and the larger community. For example, staff in one school may decide that their students lack social-emotional skills and select direct instruction by the teachers as an acceptable means of delivering this intervention. Staff in another school may decide that the school is chaotic and focus on developing rules that are clear and enforced fairly and consistently. Finding an empirically supported intervention that best fits the values or culture of a school is important because it is likely to increase staff commitment to the intervention, which in turn increases the quality of implementation, and ultimately improves the chances of positive outcomes (Atkins, Rusch, Mehta, & Lakind, 2016; Frazier, Formoso, Birman, & Atkins, 2008). There are many research-supported proximal targets of change that schools could address such as (1) increasing attention to positive behavior, (2) increasing the consistency of applying mild negative consequences for misbehavior, (3) decreasing the severity of harsh and negative consequences for misbehavior, (4) imparting social-emotional or self-control skills to students, (5) improving the teacher–student ratio, (6) improving the sense of connection between students and teachers, (7) improving staff monitoring of students, and/or (8) providing intervention quickly during misbehavior incidents to prevent escalation to more serious misbehavior.

The third step for implementing an intervention is for school staff to decide which specific intervention will best achieve their stated goals. As is apparent from Tables 1 and 2, this is not a straightforward decision because there is no single package that is clearly above all others. Instead, there are a range of interventions with varying levels of evidence to support them. This makes choosing an intervention complex; fortunately, there are resources available to help navigate this decision. The previously mentioned *Blueprints for Healthy Youth Development* (<http://www.colorado.edu/cspv/blueprints>) and *What Works Clearinghouse* (<https://ies.ed.gov/ncee/wwc/>) are two resources designed to help schools find empirically supported school interventions. These sites evaluate the evidence supporting the effectiveness of school intervention programs and provide the results in a user-friendly manner. The websites are continually updated and provide an excellent starting point for organizations looking to implement an intervention.

Recommendations for Research

We have several recommendations for additional research on school interventions. The first, and most obvious, recommendation is to do more research. There are likely many thousands of schools doing interventions aimed at preventing or treating AD, yet there are surprisingly few definitive conclusions that can be drawn about their effects. Indeed, it is not yet clear which interventions are generally effective, which are effective under specific conditions or with particular students, and which are not effective. Drawing firm conclusions about school interventions is impeded by important methodological weaknesses in available studies, such as failing to conduct multi-level analyses that simultaneously take individual, classroom, and school differences into account. Another important factor holding back research on school interventions is that open-trial studies are common and randomized trials are rare. School administrators could help address this latter shortcoming by incorporating randomization when implementing a new intervention. For instance, school districts could implement new interventions in stages with the first stage consisting of randomly assigning the intervention to half the schools in the district and the other schools serving as controls. Knowledge gained from this effort could be used to determine how or whether to proceed with the intervention.

Future research is also needed to better understand factors that impact the quality of implementation for school-based interventions. Given that just 61% of school-based interventions are implemented on a regular basis (Gottfredson & Gottfredson, 2002), schools could improve the quality of implementation by providing more organizational support (e.g., support by principal and school administrators),

local integration (e.g., integrating the intervention into daily routine, developing a local decision making and planning mechanism, using regular school staff to implement the intervention), and choosing program features carefully (e.g., using interventions with program materials and methods that are well developed and easily available), but this is easier asserted than done. Research that helps schools successfully navigate these tasks would represent a meaningful advance.

Third, research is needed on moderators of school intervention effects to help move research beyond answering the relatively simplistic question of “what is the effect of school interventions?” to answering the more useful question of “what interventions work for each type of school, student, and context?” The list of potential moderators of school intervention effects is nearly limitless because interventions might be impacted by student factors (e.g., age, academic ability, level of antisocial behavior), classroom/teacher factors (e.g., teaching style, academic subject taught), or school/community factors (e.g., culture, poverty, crime rate). Indeed, there is evidence that interventions are moderated by at least some of these factors: students with high baseline levels of antisocial behavior often benefit more from interventions than do students who do not have high baseline antisocial behavior (Farrell, Henry, & Bettencourt, 2013; Stoolmiller, Eddy, & Reid, 2000), and low-income, urban youth may benefit less than other students (Atkins et al., 2016; Farahmand, Grant, Polo, & Duffy, 2011).

Fourth, mediators of school interventions are also largely unknown. Mediators provide important information on how interventions make an impact, which in turn helps to refine and improve the potency of intervention effects. A meta-analytic review of school-based interventions for aggression implemented in elementary schools reported that mediation was examined in just 10 of 36 studies (Dymnicki, Weissberg, & Henry, 2011). About half of the 10 studies showed that the intervention was effective at improving the mediator (student skills, social cognitive style, or classroom behavior management), but the changes in the mediator were not associated with changes in aggression. Another one-fourth of the studies showed that the intervention influenced the mediator which in turn influenced the outcome.

Fifth, research is needed comparing single-component versus multi-component interventions. It seems intuitive that interventions that use multiple components would have greater benefit as compared to interventions that use just one approach. However, three meta-analytic reviews concluded that single-component interventions are more effective than multi-component interventions (Matjasko et al., 2012; Park-Higgerson et al., 2008; Wilson & Lipsey, 2007). The authors of these reviews speculated that multi-component interventions may have unintended negative effects on the fidelity of implementation. In other words, it may be that multi-component interventions result in schools doing many things

poorly instead of doing one thing well—a “jack of all trades but master of none” effect. Research is needed to continue evaluating whether more is better or less is more when it comes to school interventions.

Finally, more effort is needed to distinguish school interventions that are effective from school interventions that are *not* effective. Although most interventions are well-meaning, not all well-meaning interventions are effective. For example, metal detectors have been widely introduced in schools as a means of deterring serious antisocial behavior, yet empirical reviews suggest that they are ineffective and possibly detrimental for reducing aggression in schools (Hankin, Hertz, & Simon, 2011). Distinguishing interventions that have insufficient evidence on which to draw conclusions from interventions that have been shown to be ineffective would help educational professionals make better evidence-based decisions about interventions (Waschbusch, Fabiano, & Pelham, 2012).

As is clear by the many questions that remain unanswered, evaluating and implementing school interventions for aggression and defiance remains an important task for researchers and educators. Serious aggressive and defiant behavior by students is a far-reaching problem that has long-standing consequences to students, schools, and society. It will take a concerted effort by teachers, school administrators, and scientists to ensure that the steps taken by schools to address these problems are effective and acceptable to all parties involved.

Compliance with Ethical Standards

Conflict of interest The authors declare that they have no conflicts of interest.

Research involving Human Participants and/or Animals This article does not contain any studies with human participants or animals performed by any of the authors.

Ethical Approval Ethical approval is not applicable to this article because it is a review paper; neither human participants nor animals were involved.

Informed Consent Informed consent is not applicable to this article because it is a review paper; human participants were not involved.

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